

Planet Population Synthesis

2017 Sagan Exoplanet Summer Workshop

Microlensing in the Era of WFIRST

Yann ALIBERT



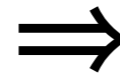
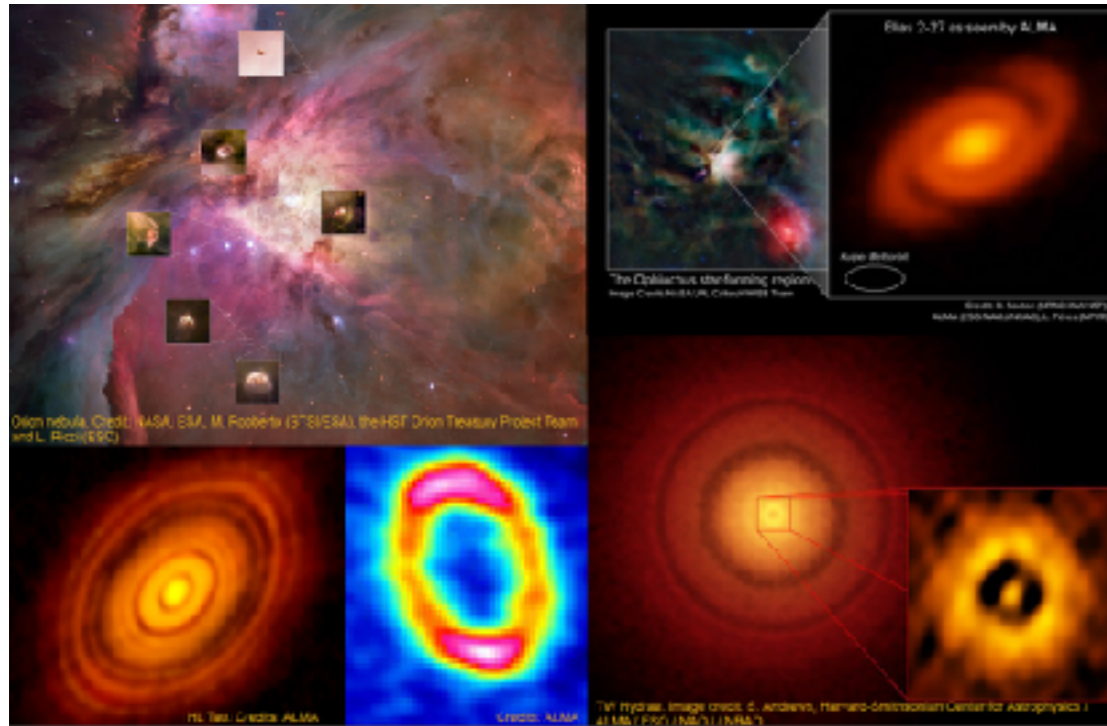
European Research Council



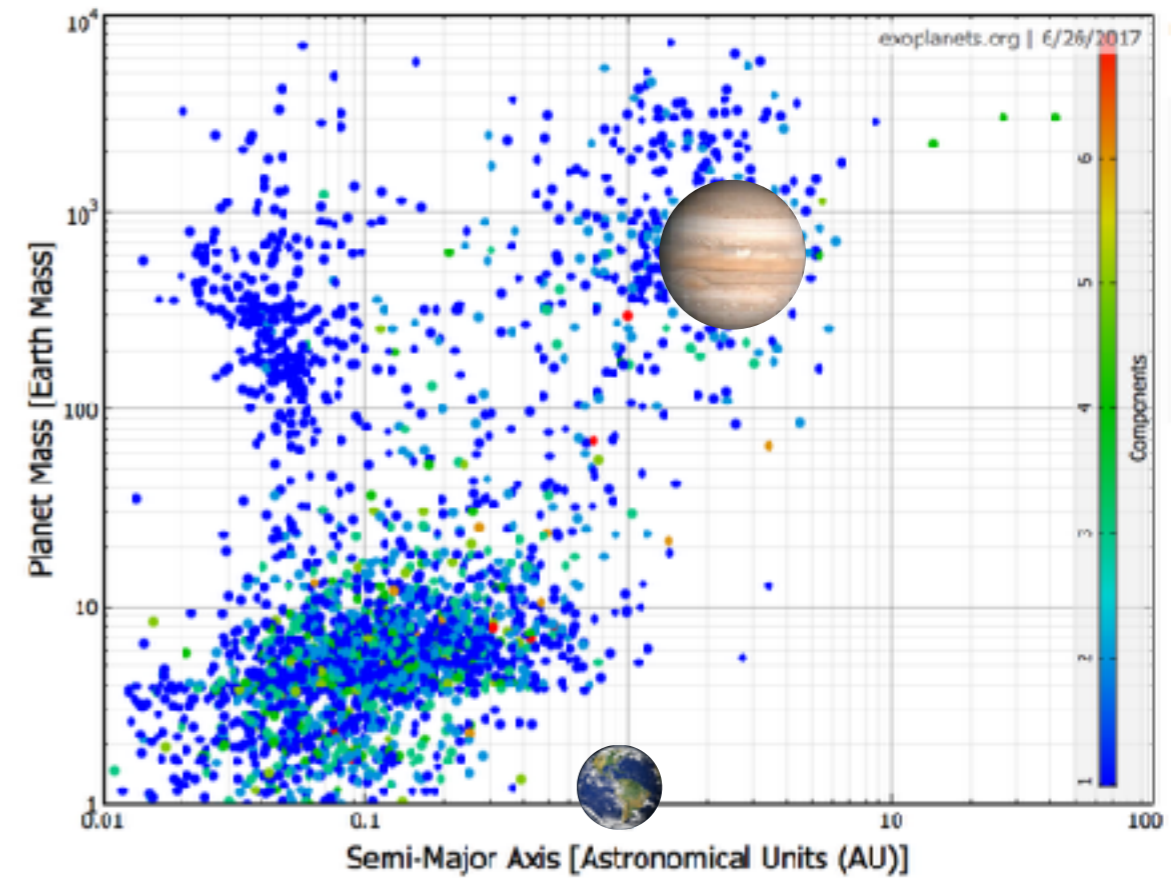
- 1- Exoplanets and population synthesis
- 2- From disks to planets: integrated models
- 3- Population synthesis: comparison and results
- 4- Pebbles *versus* planetesimals

Planet formation models

protoplanetary disks

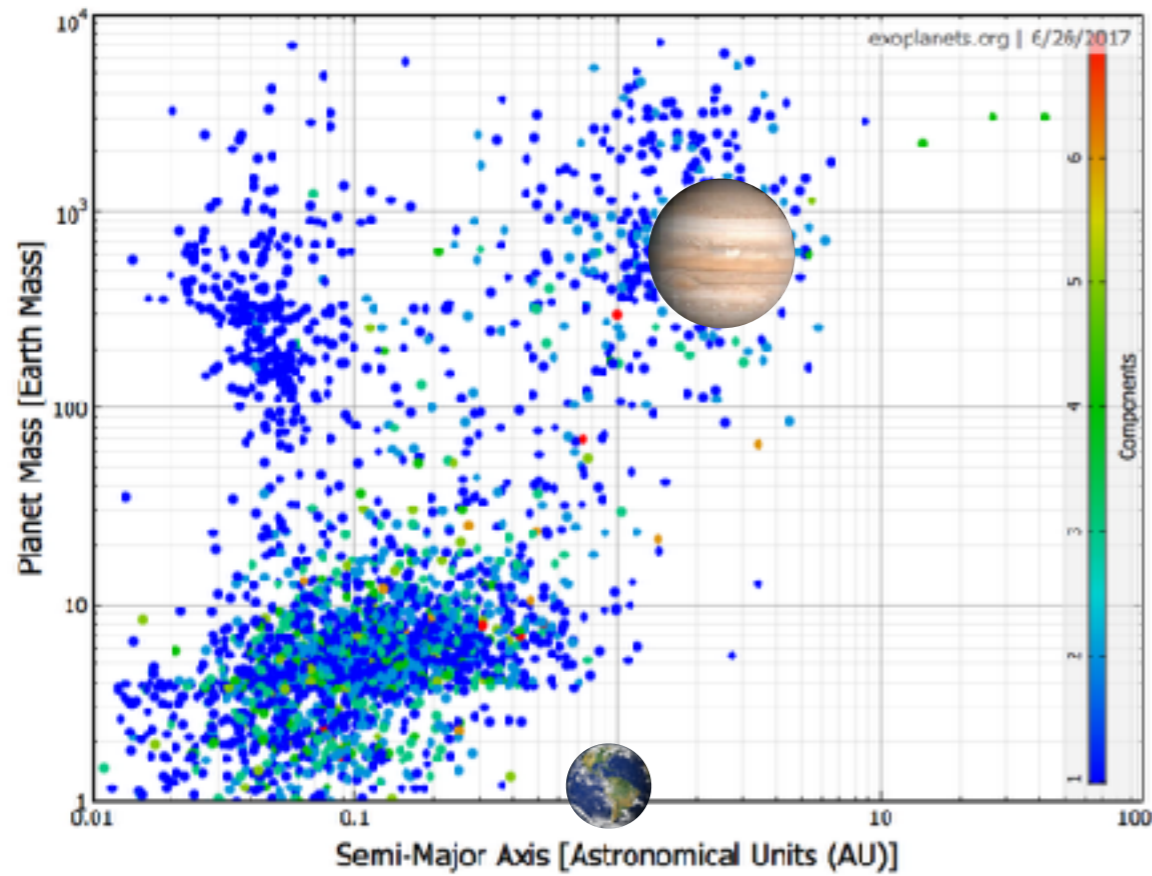


planets

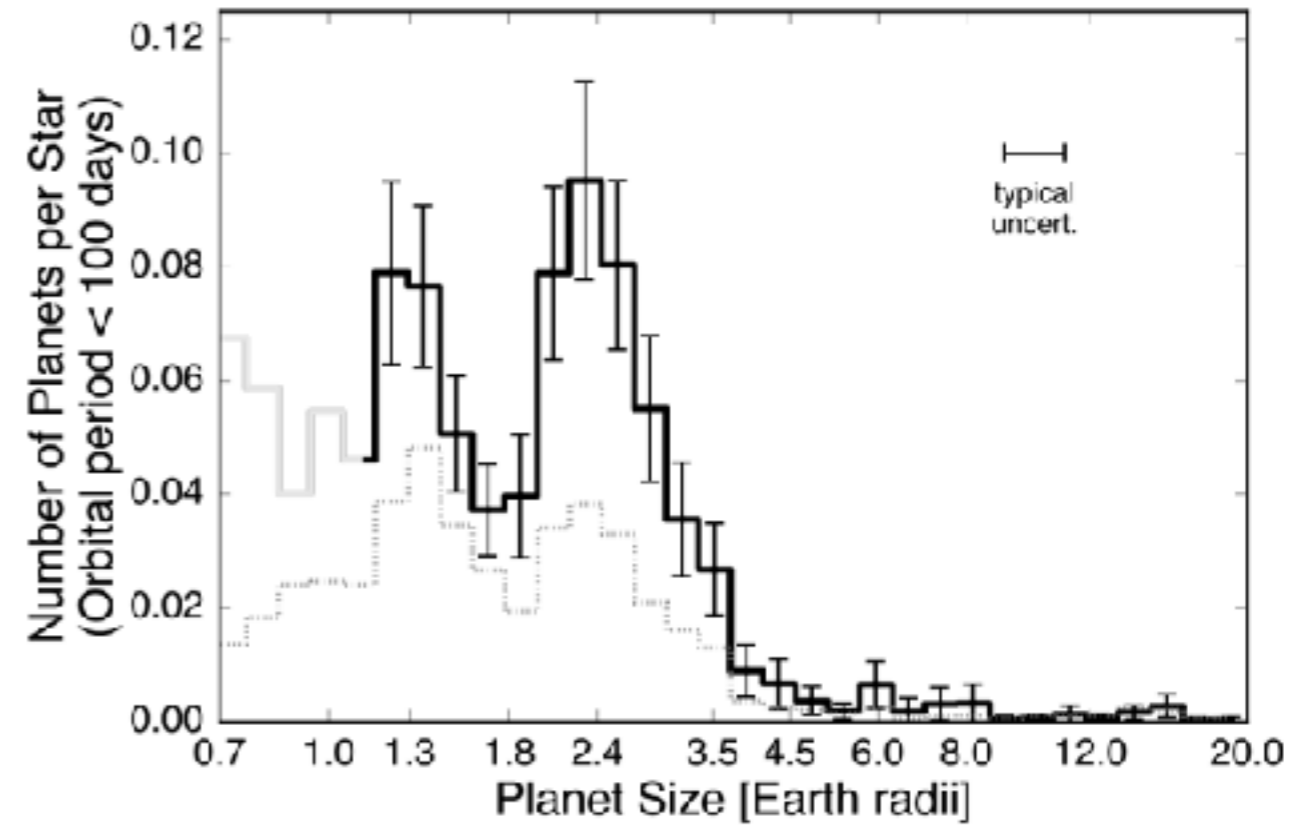


What is the origin of the diversity of planetary systems?

Importance of stellar evolution models



Kepler - bias corrected Fulton et al. 2017



Parameter		IID 10180
Spectral type		G1V
V	[mag]	7.33
$B - V$	[mag]	0.629
π	[mas]	25.39 ± 0.62
M_V	[mag]	4.35
T_{eff}	[K]	5911 ± 19
$\log g$	[cgs]	4.39 ± 0.03
[Fe/II]	[dex]	0.08 ± 0.01
L	$[L_{\odot}]$	1.49 ± 0.02
M_*	$[M_{\odot}]$	1.06 ± 0.05
$v \sin i$	$[\text{km s}^{-1}]$	< 3
$\log R'_{\text{HK}}$		-5.00
$P_{\text{rot}}(\log R'_{\text{HK}})$	[days]	24 ± 3
Age ($\log R'_{\text{HK}}$)	[Gyr]	4.3 ± 0.5

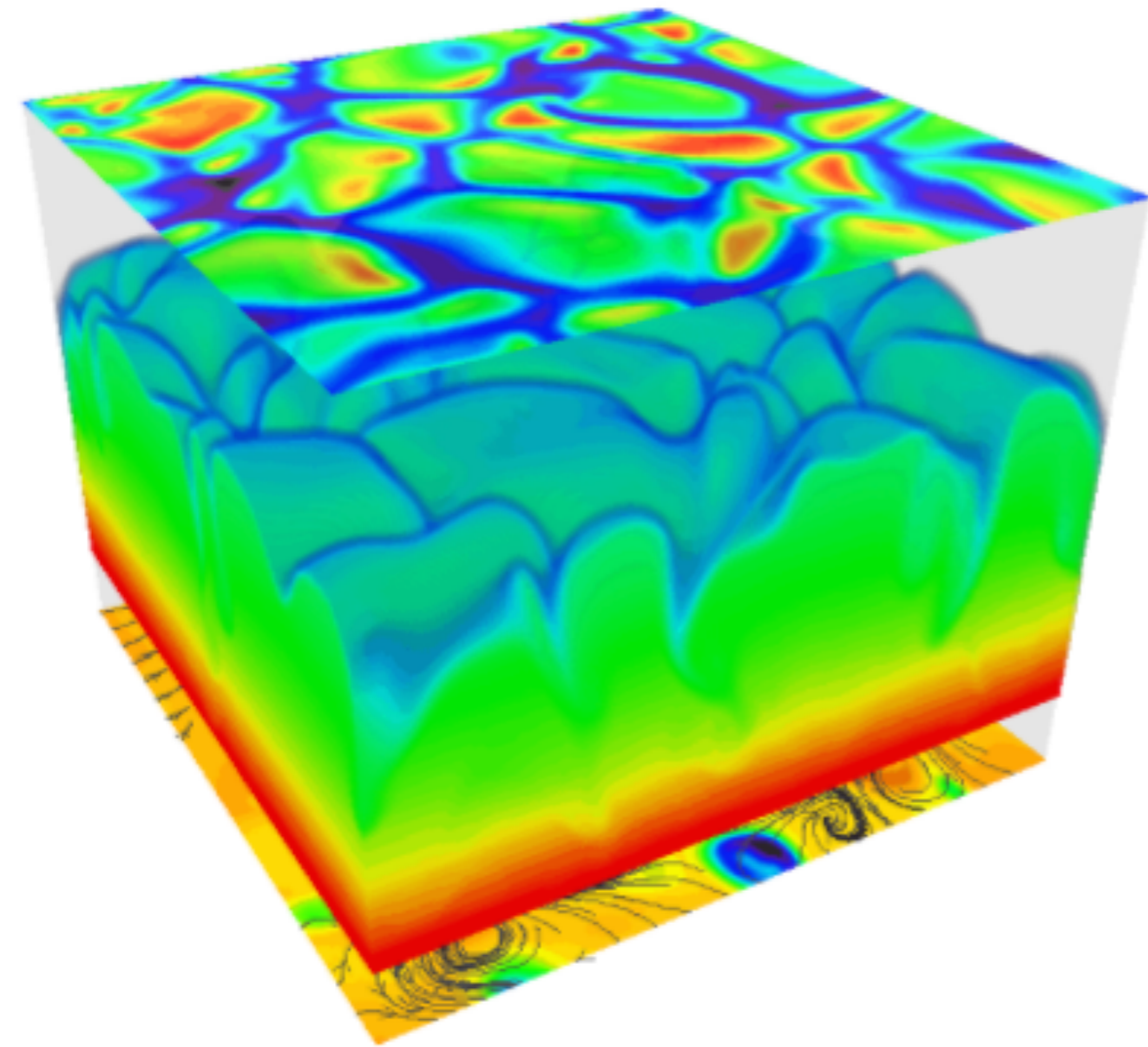
Table 2
Stellar Parameters

KOI	Kepler-ID	KID	T_{eff} (K)	T_{eff} (K)	$\log g$ (cgs)	$\log g_{\text{r}}$ (cgs)	[Fe/II]	[Fe/II] _r	R_* (R_{\odot})	R_{cor} (R_{\odot})	ρ_* (g cm^{-3})	ρ_{cor} (g cm^{-3})	Flag	Blend
41	Kepler-100	6521045	5825	75	4.125	0.045	0.02	0.10	1.490	0.035	0.457	0.013	5	3
46	Kepler-101	10905239	5570	134	4.065	0.240	0.30	0.10	1.666	0.415	0.351	0.300	3	4
70	Kepler-201	6850504	5443	74	4.398	0.100	0.00	0.07	0.986	0.095	1.304	0.400	4	3
72	Kepler-10	11904151	5627	44	4.342	0.046	-0.15	0.04	1.056	0.021	1.068	0.008	5	3
82	Kepler-102	10187017	4908	74	4.640	0.100	0.08	0.07	0.716	0.032	3.132	0.304	4	3
85	Kepler-65	5866724	6169	50	4.236	0.035	0.09	0.08	1.424	0.024	0.621	0.011	5	3
89		8056665	6688	342	4.059	0.150	0.21	0.10	1.773	0.357	0.329	0.186	3	3
94	Kepler-89	6462863	6184	83	4.196	0.068	0.11	0.07	1.486	0.139	0.543	0.127	4	3
102		8456679	5705	100	4.311	0.150	0.18	0.10	1.199	0.219	0.867	0.419	3	3
108	Kepler-103	4914423	5845	88	4.162	0.051	0.07	0.11	1.436	0.039	0.513	0.020	5	3

The majority of planet properties are based on stellar evolution models

Stellar evolution

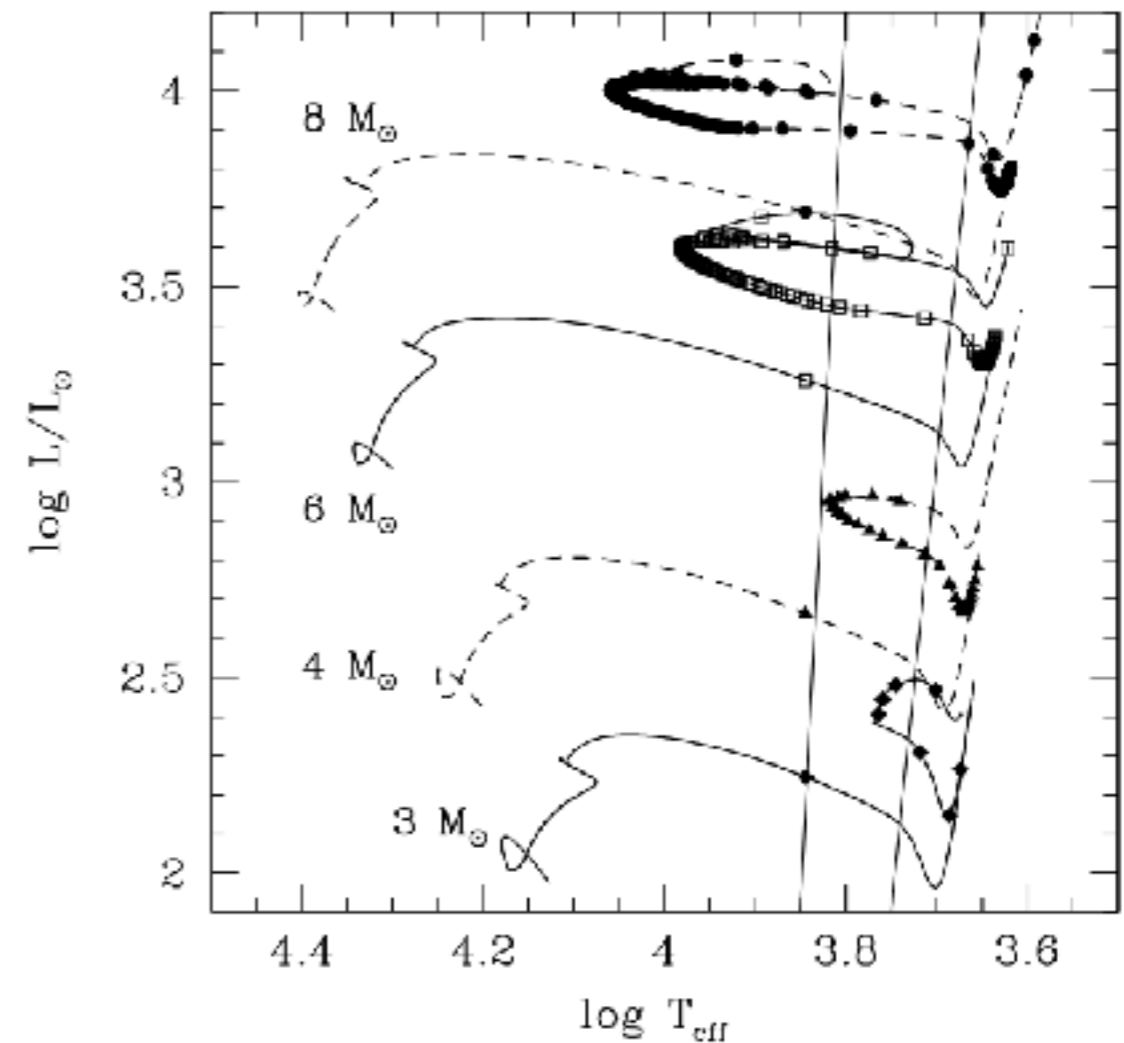
the full numerical approach



the stellar evolution models

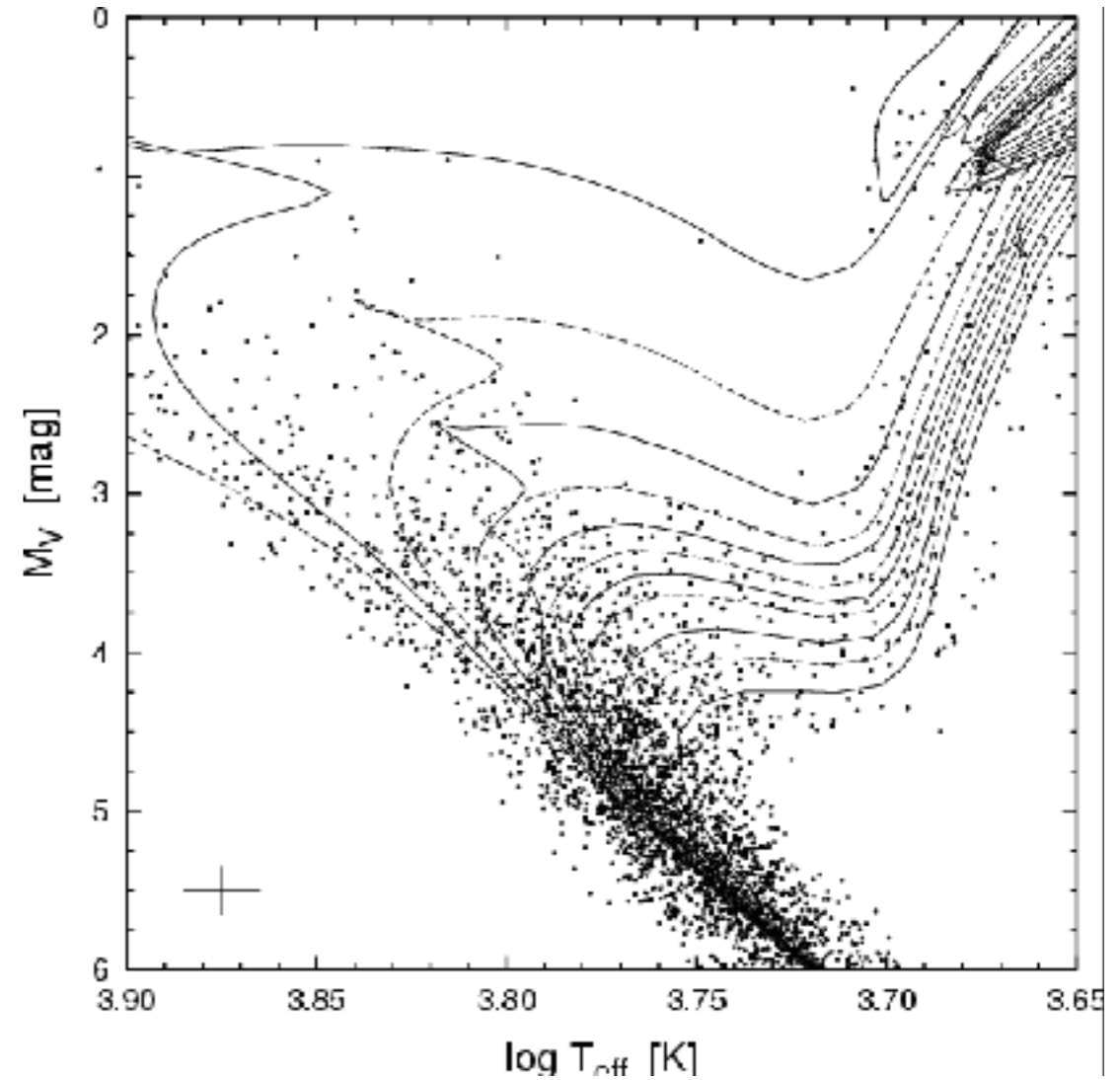
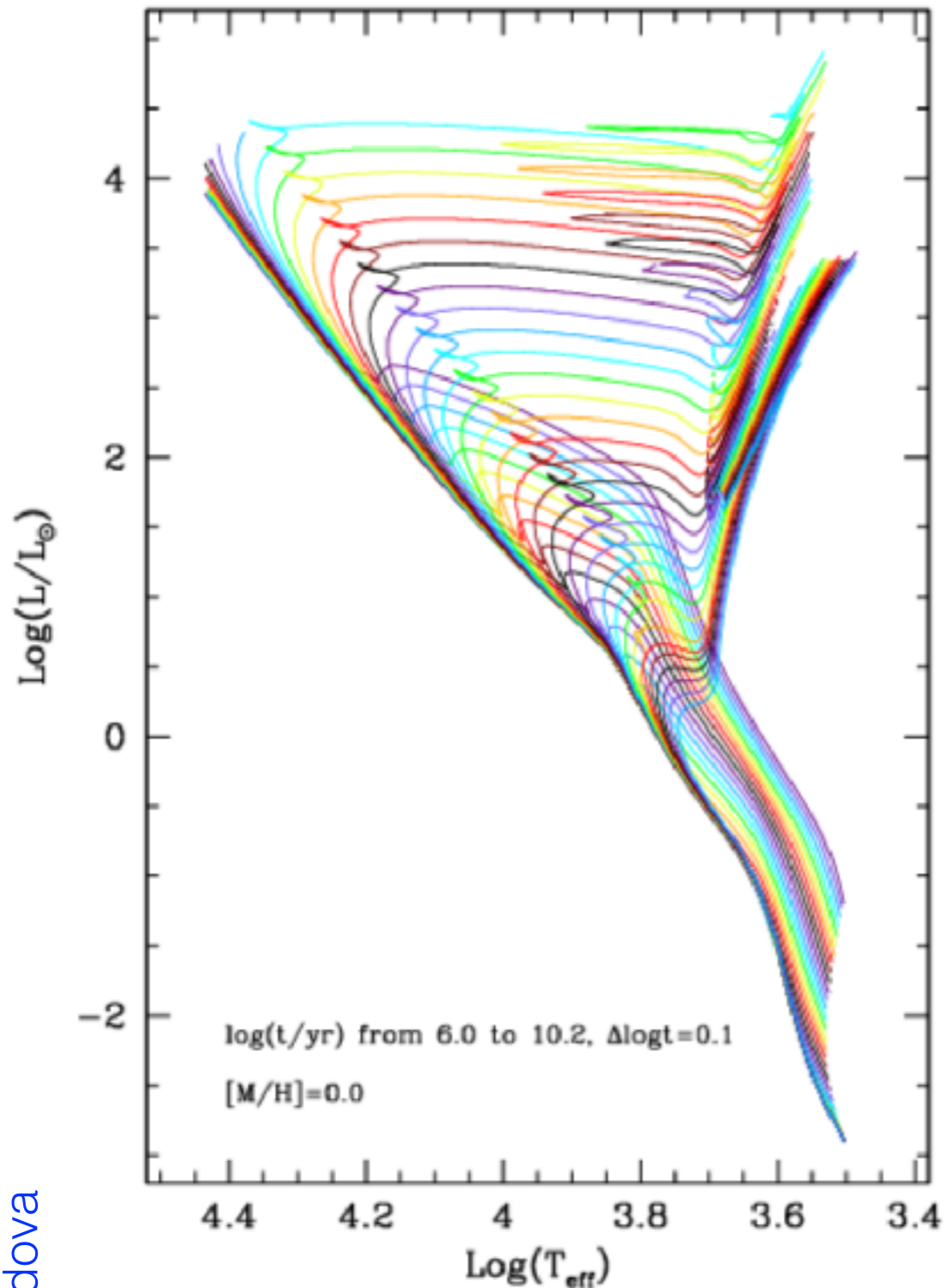
$$\frac{dR}{dM_r} = \frac{1}{4\pi R^2 \rho}, \quad \frac{dP}{dM_r} = -\frac{GM_r}{4\pi R^4},$$
$$\frac{dL_r}{dM_r} = \epsilon - T \frac{dS}{dt}, \quad \frac{dT}{dM_r} = -\frac{GM_r T}{4\pi R^4 P} \nabla$$

$$L_{\text{conv}} = \pi R^2 C_p \Lambda_{\text{ml}}^2 \times \left[\left(\frac{\partial T}{\partial R} \right)_s - \left(\frac{\partial T}{\partial R} \right) \right]^{3/2} \sqrt{\frac{1}{2} \rho g \left| \left(\frac{\partial \rho}{\partial T} \right)_P \right|}$$



Integrated models and HR diagram

initial condition + stellar evolution = HR diagram



-> age, other properties

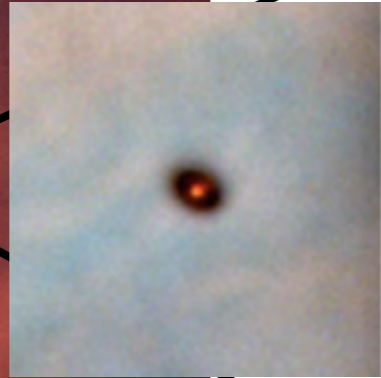
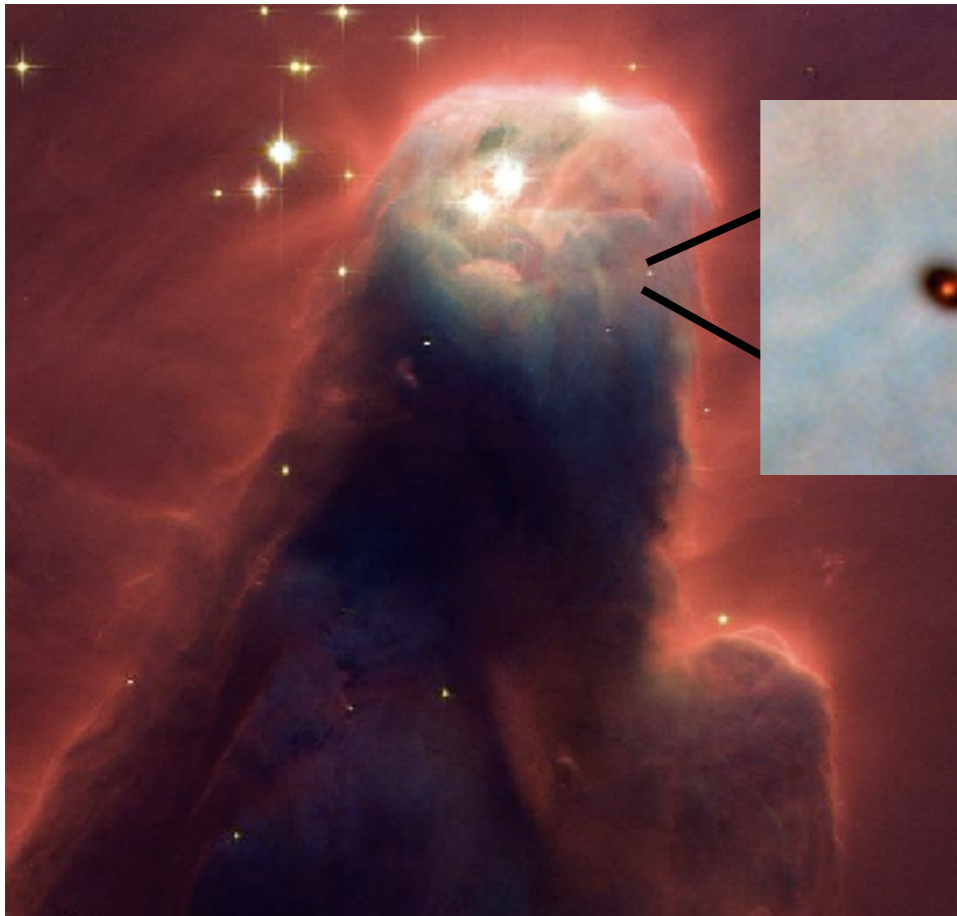
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- 2- From disks to planets: integrated models**
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From GMC to present day planets

Giant Molecular Cloud

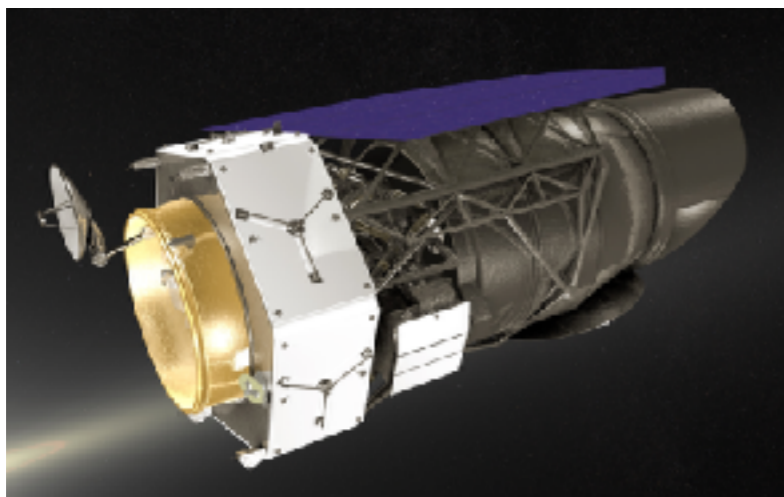
Protoplanetary disk

2.5 Light years ~160000 AU



~100s AU

99% gas 1% solids
 $T_{\text{disk}} < 10^7 \text{ yr}$

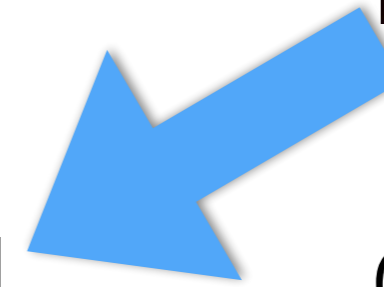


Top down or bottom-up?



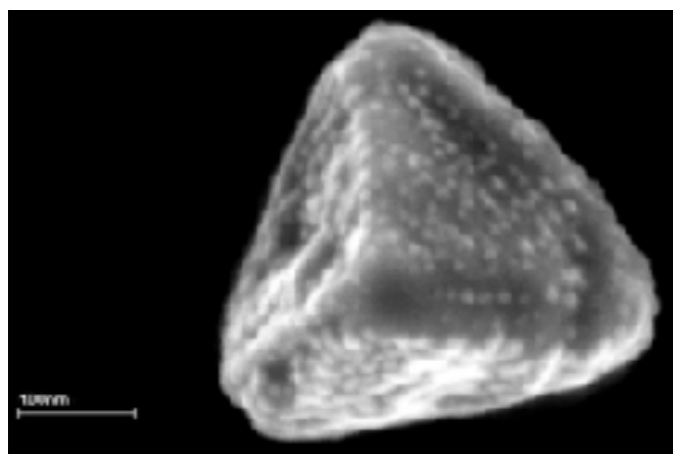
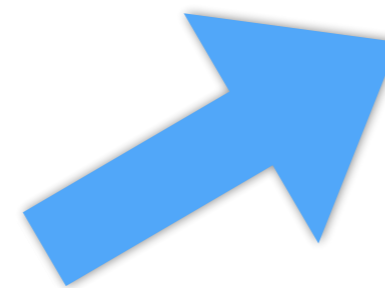
Protoplanetary disk

GI/DI model

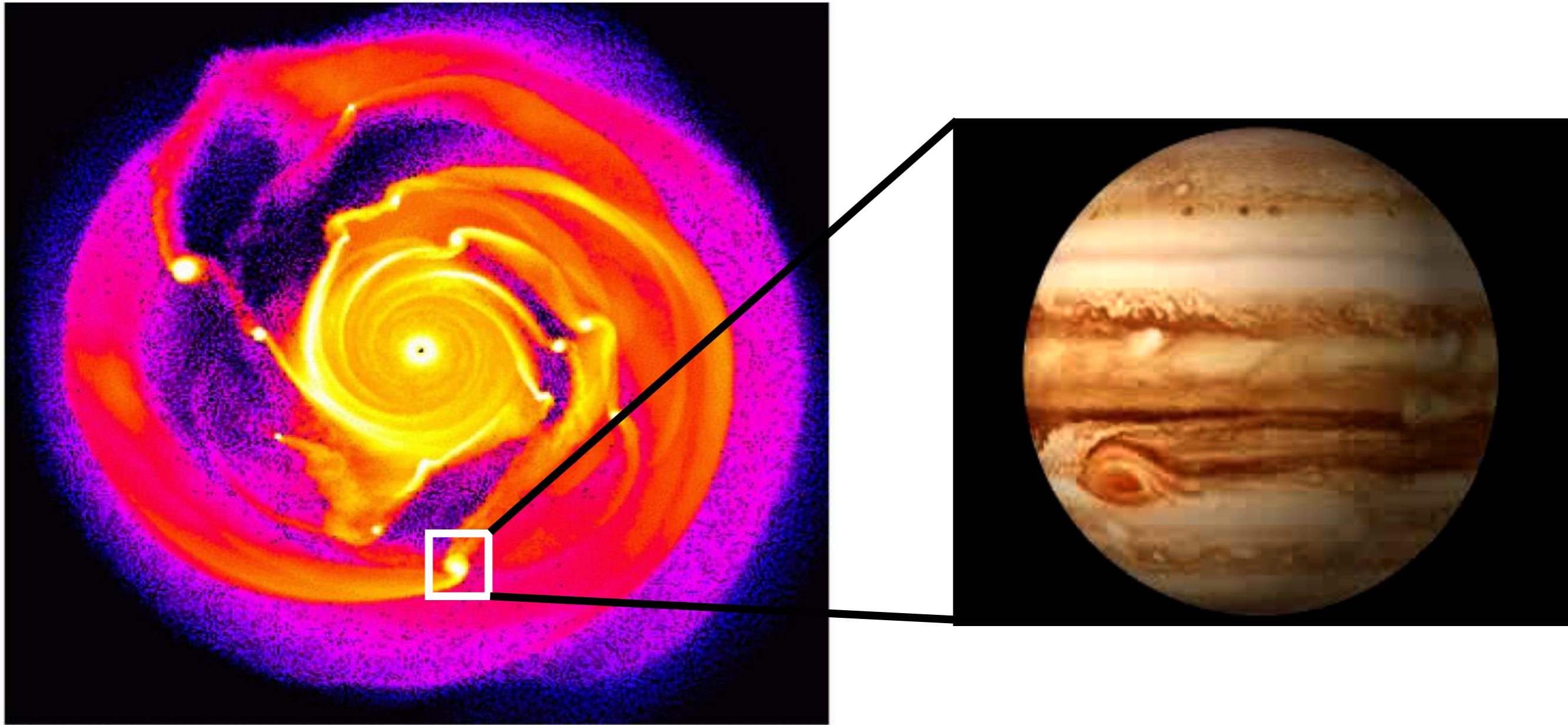


Core-accretion model

pre-solar grain



Top-down models: the disk instability model



Clump formation depends critically on disk cooling

- ⇒ formation of massive planets
- ⇒ formation in outer parts of the disk

Origin of enrichment in heavy elements/formation of low mass (Earth, Neptune) planets?

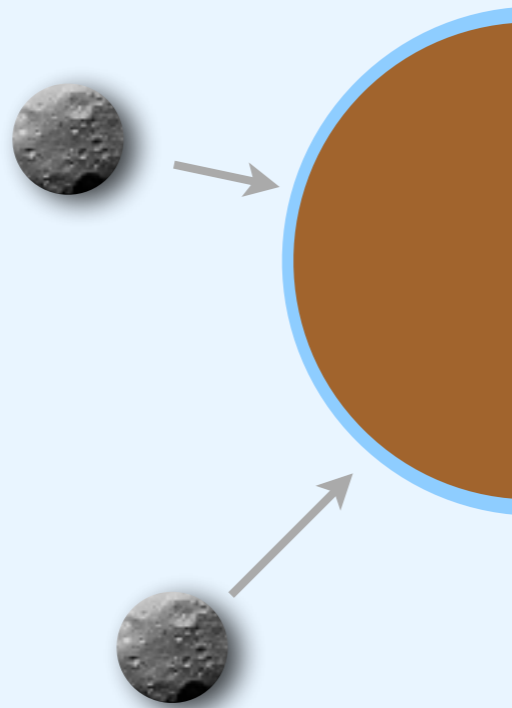
Bottom up model: the core accretion model

Protoplanetary disk

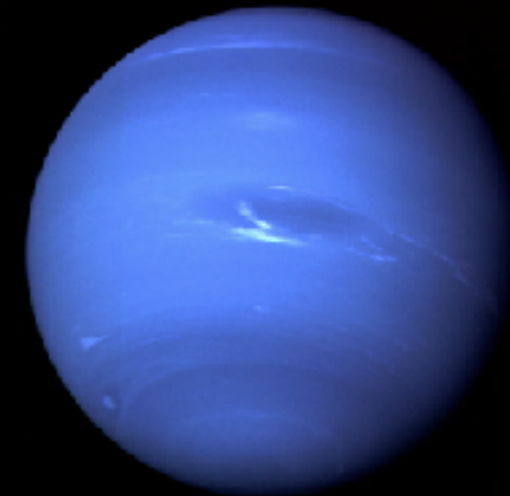
99% gas 1% solids

$T_{\text{disk}} < 10^7 \text{ yr}$

Core formation
by solid
accretion



The core-accretion model



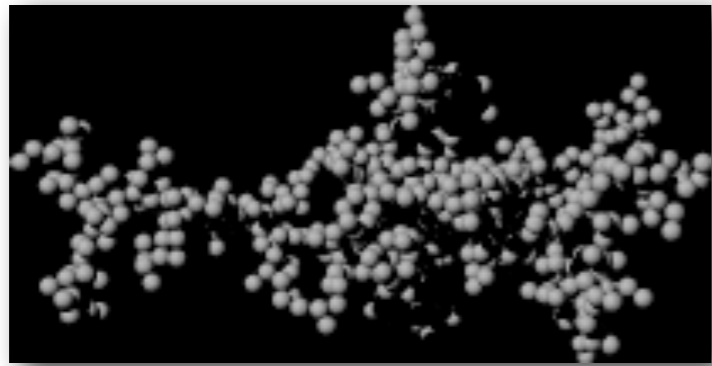
gas giant

ice giant

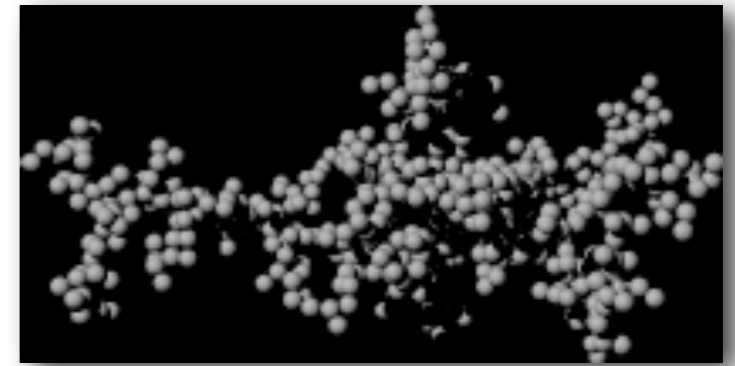
terrestrial planet

What are these solids?

Planetesimals-based model



Pebbles-based model



dust ~ microns

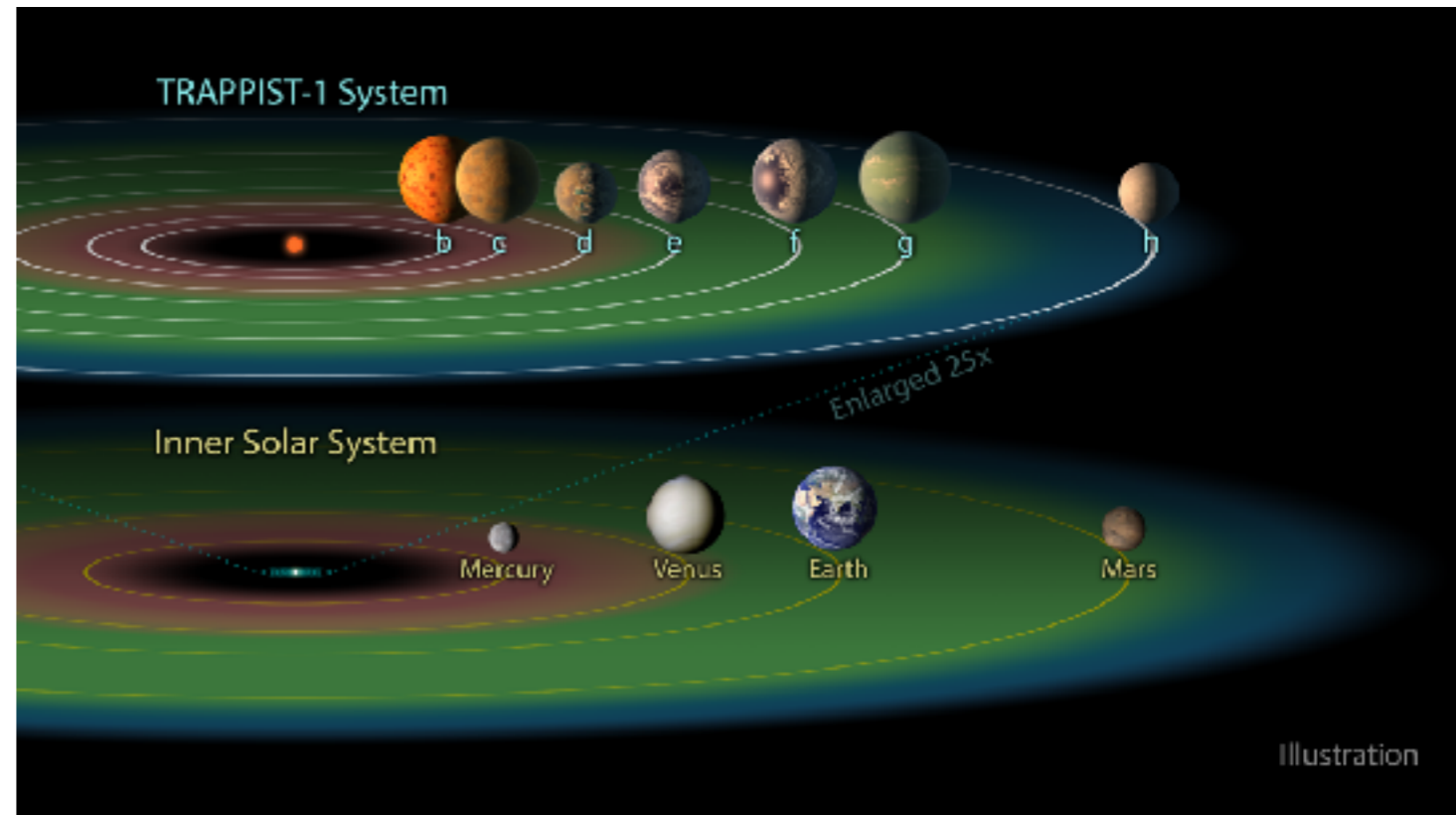
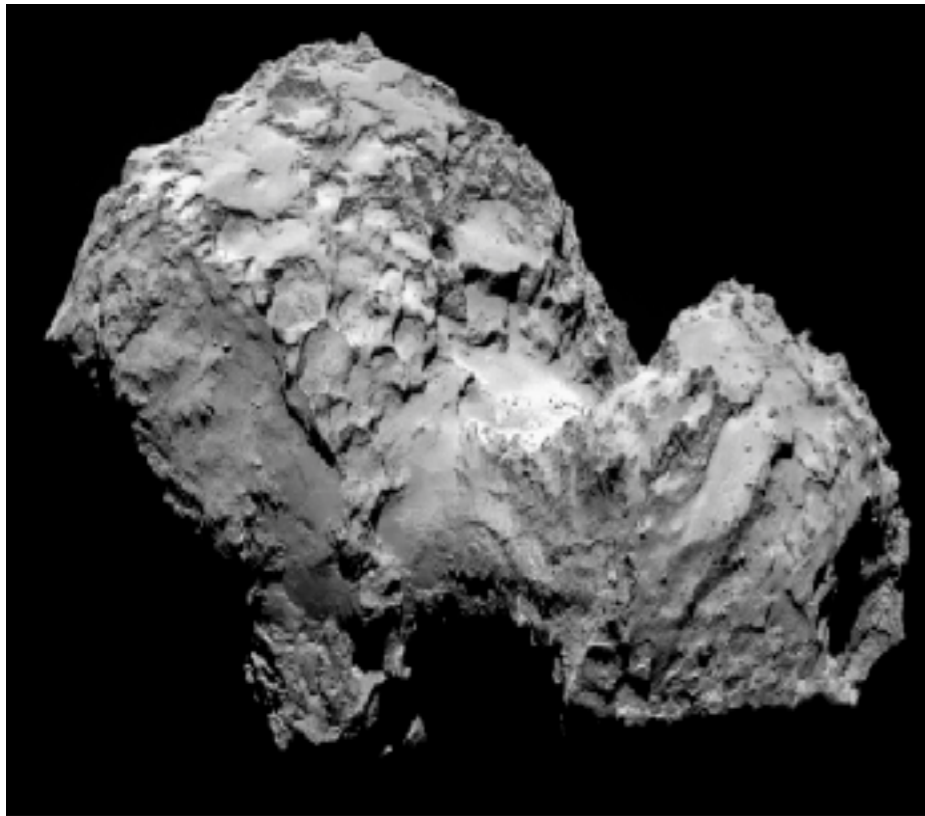
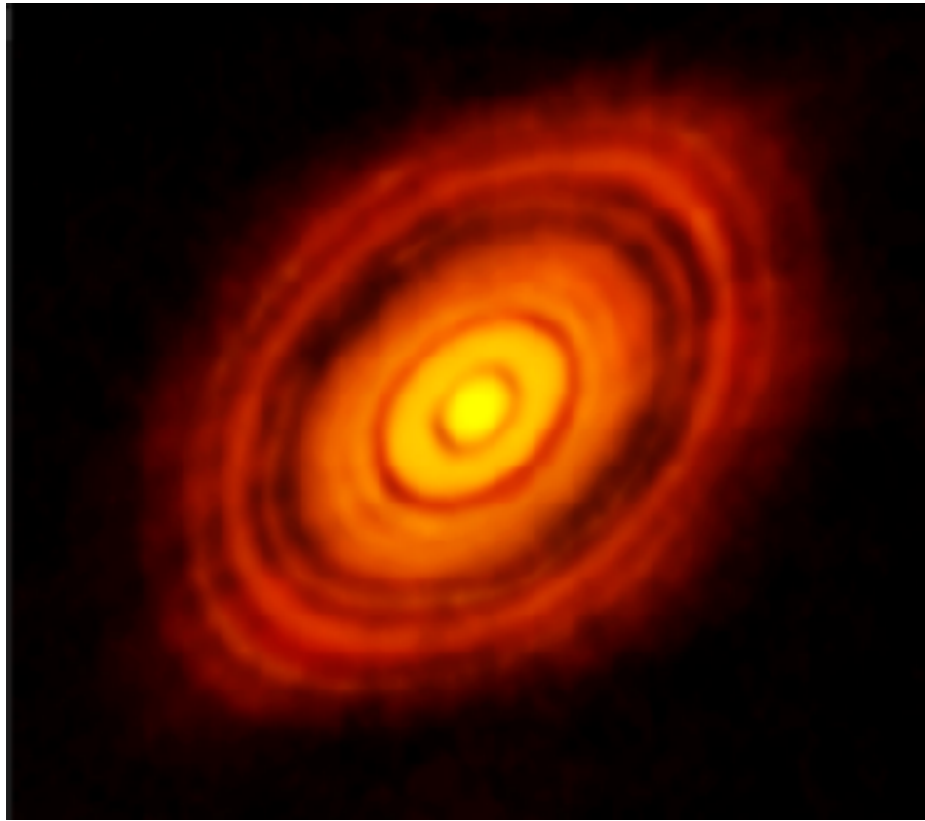
pebbles ~ cm

planetesimals ~ km

high efficiency

low efficiency

Planet formation: the players

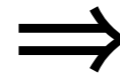
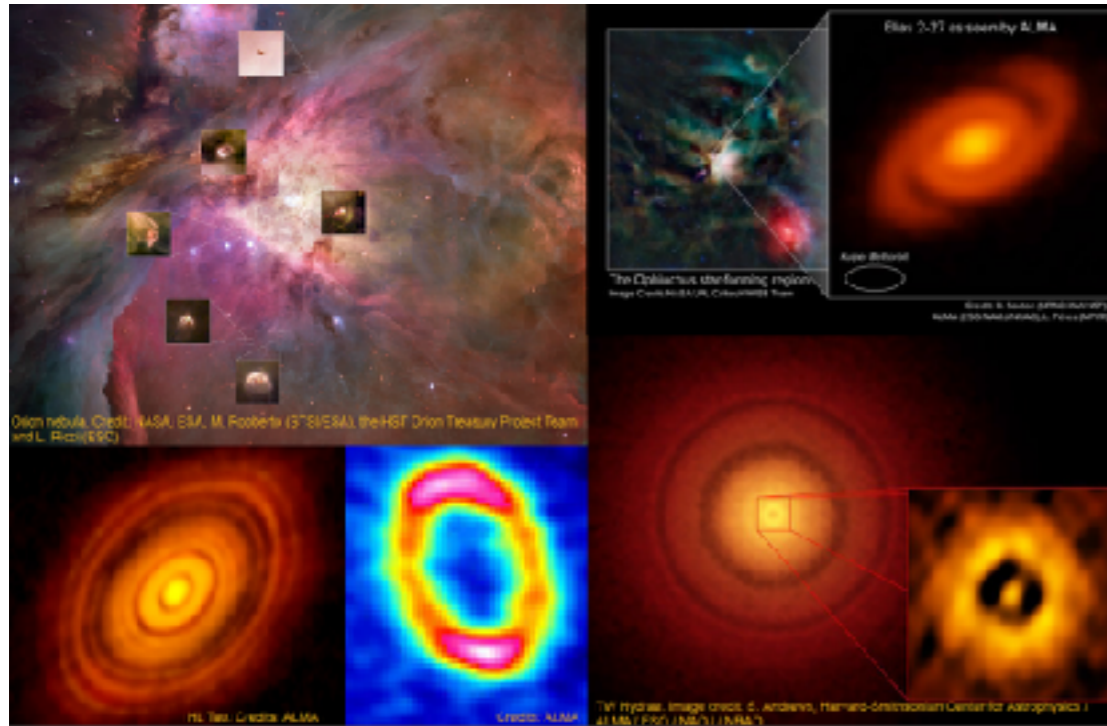


Planet formation: the interactions

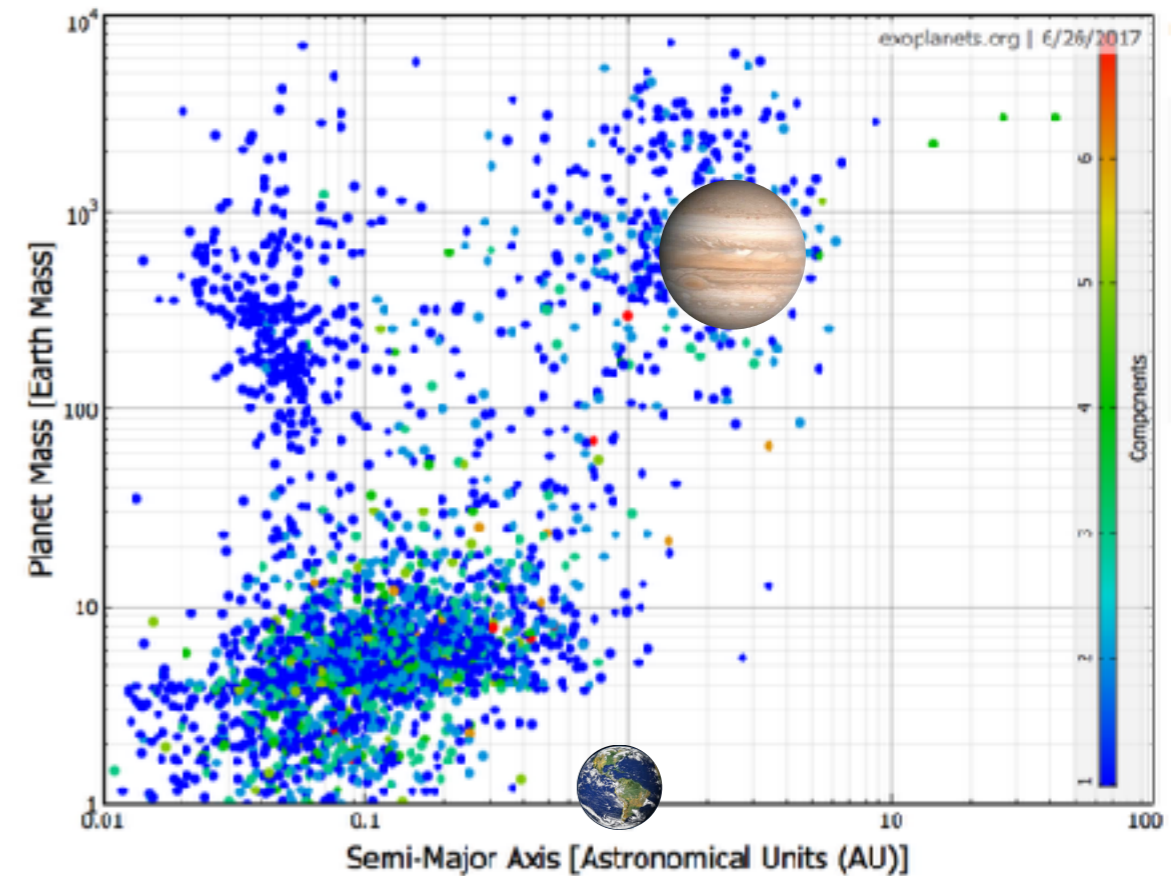
- solid-solid interactions
- gas disk structure and evolution
- solid accretion
- solid-gas disk interactions
- planet-disk interactions
- planet internal structure and gas capture
- planet-planet interactions

Integrated models

protoplanetary disks

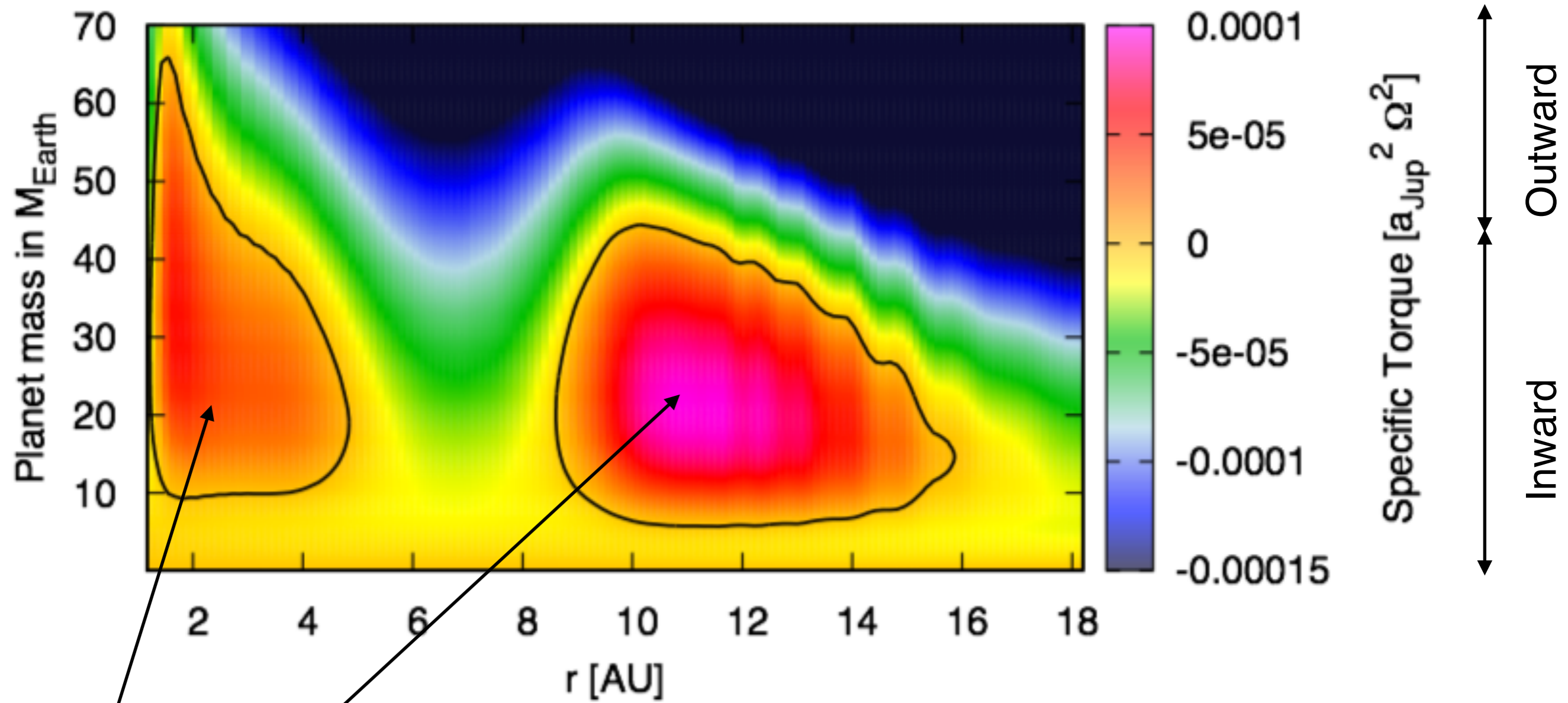


planets



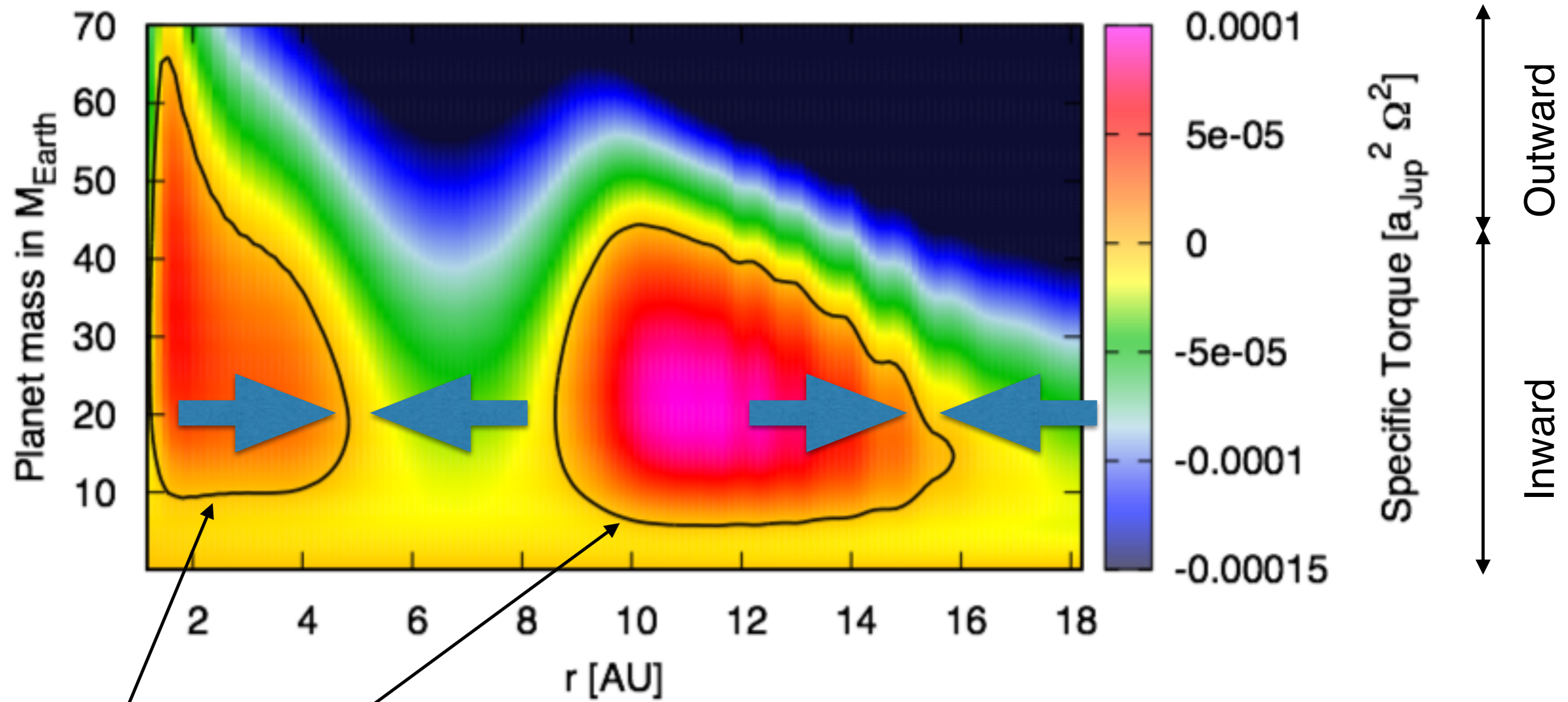
What is the effect of the combined processes in shaping planetary systems?

Integrated models



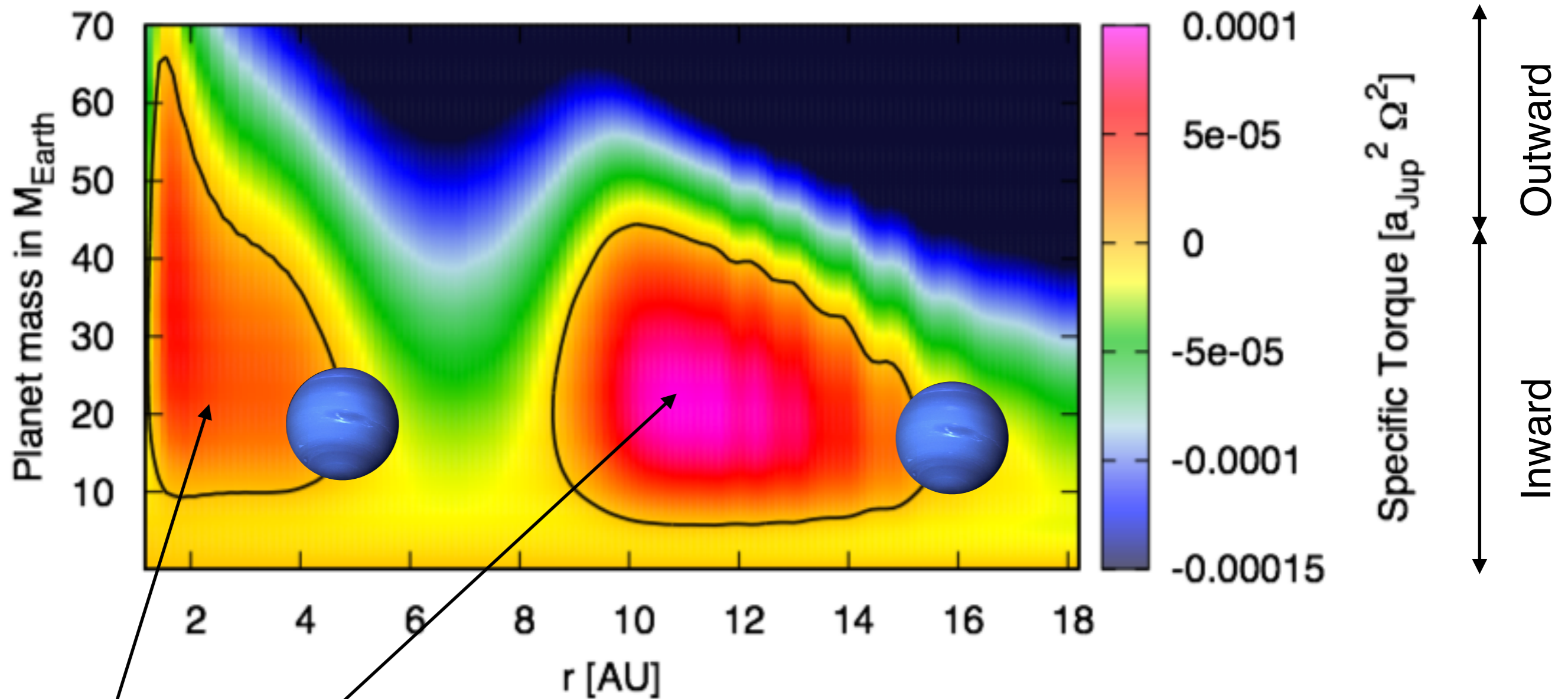
Outward migration

Integrated models



Outward migration

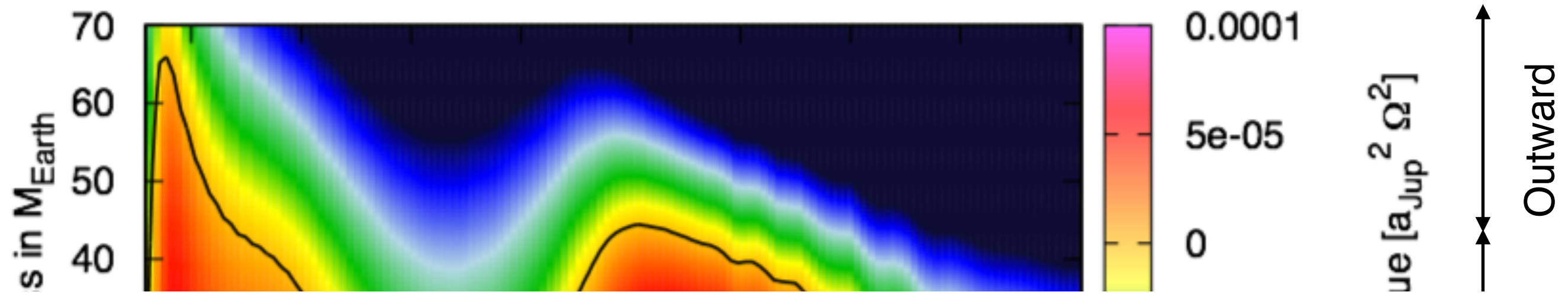
Integrated models



Outward migration

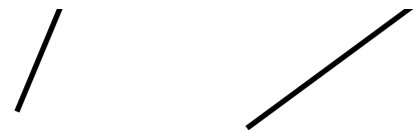
Neptune mass planets should be found at 5 AU and 16 AU !!

Integrated models



The observed mass/period distribution of planets is the result of combined processes

Migration / disk evolution / planetary growth

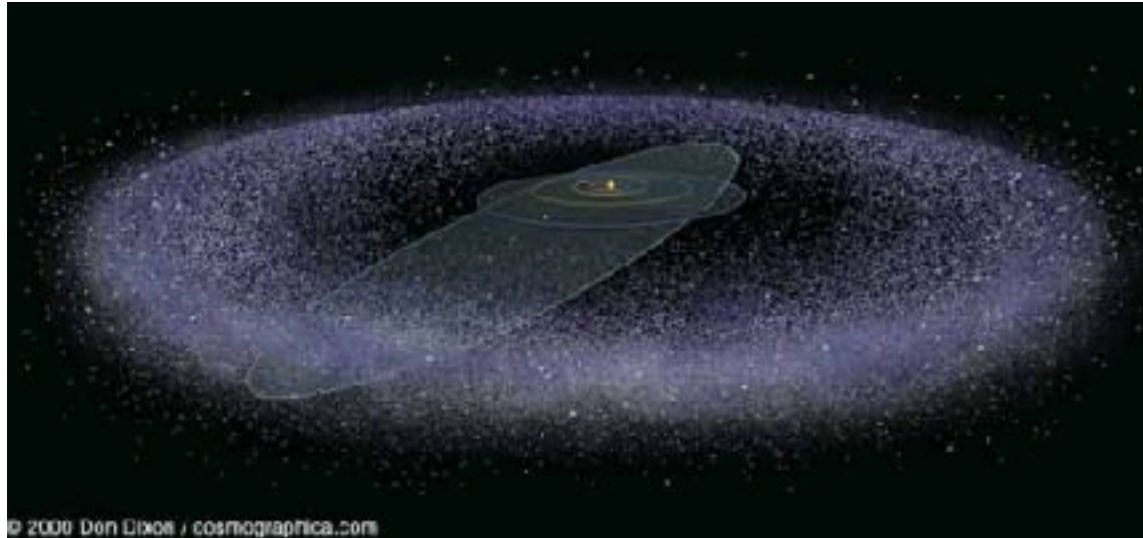


Outward migration

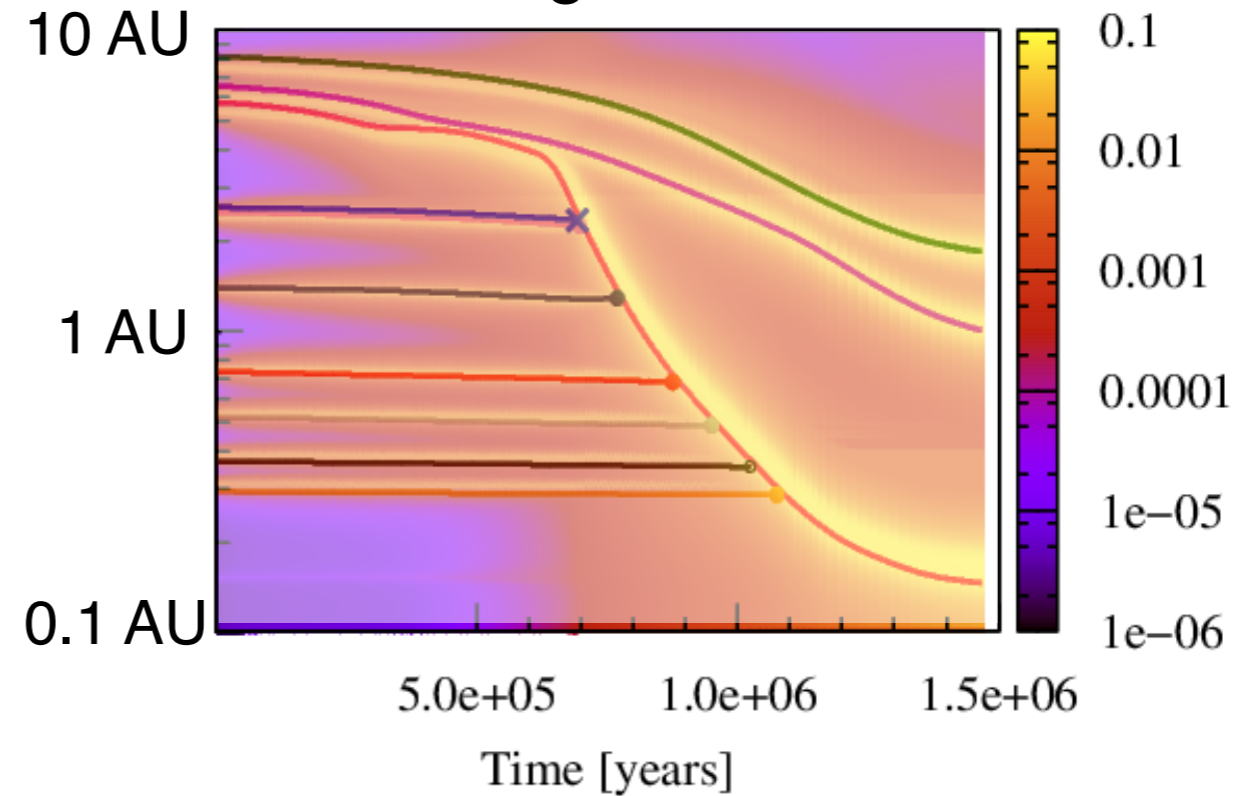
Neptune mass planets should be found at 5 AU and 16 AU !!

Planet formation: full numerical or integrated models?

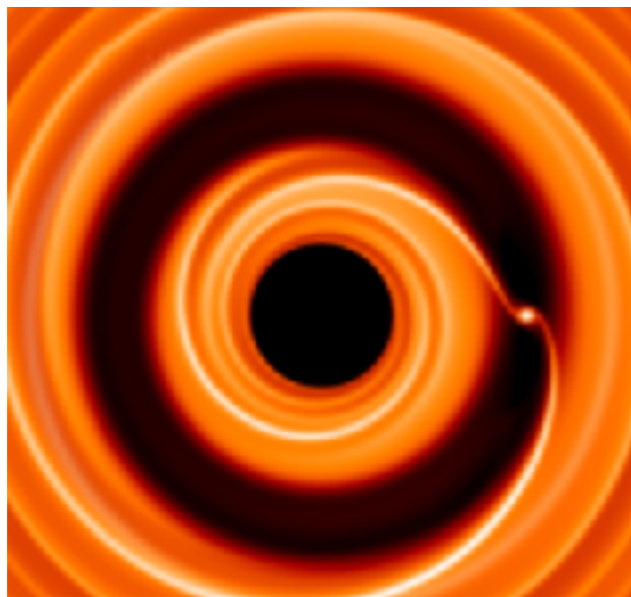
the full numerical approach



the integrated models



$$\frac{de}{dt} = \left. \frac{de}{dt} \right|_{GD} + \left. \frac{de}{dt} \right|_{VS,E} + \left. \frac{de}{dt} \right|_{VS,p}$$

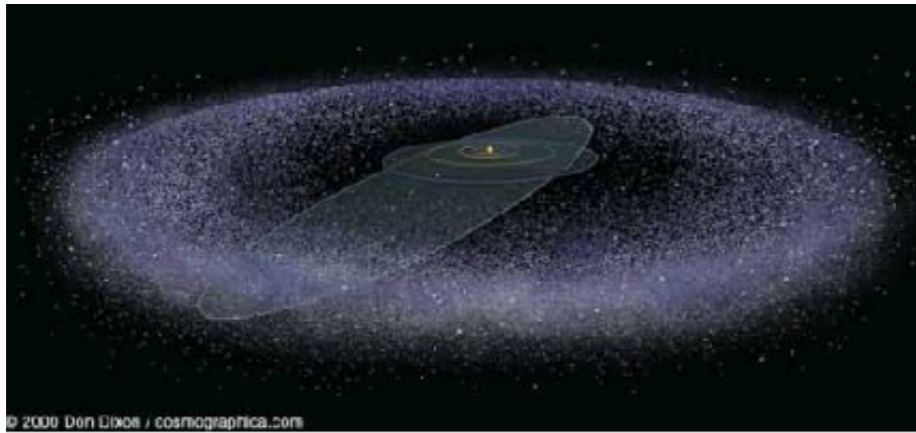


$$\tau_{\text{mig1}} = \frac{a}{\dot{a}} = \frac{1}{C_1} \frac{1}{3.81} \left(\frac{c_s}{a\Omega_K} \right)^2 \frac{M_*}{M_{\text{planet}}} \frac{M_*}{a^2 \Sigma_g} \Omega_K^{-1}$$

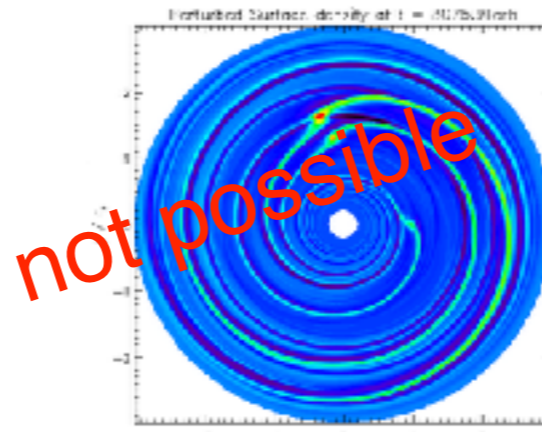
Different integrated models

full numerical model

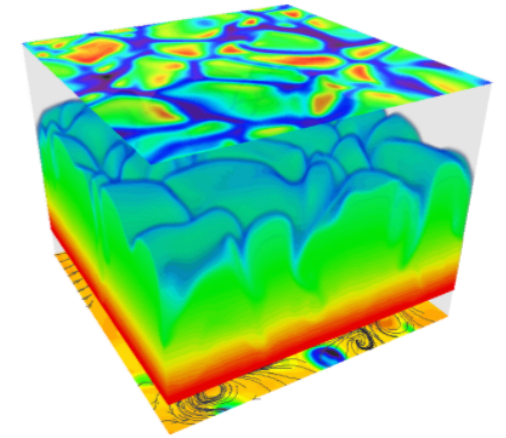
Nbody (incl. planetesimals)



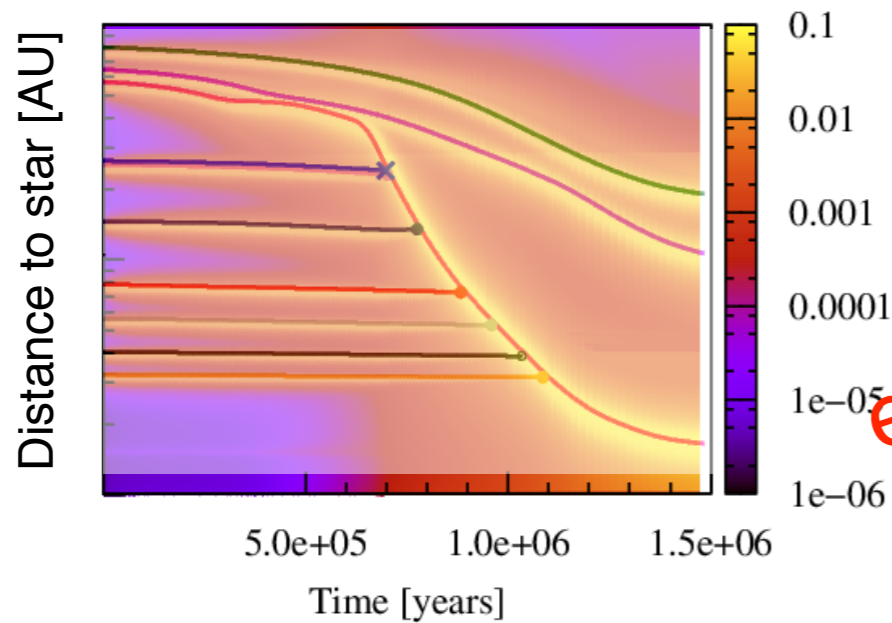
hydrodynamical disk



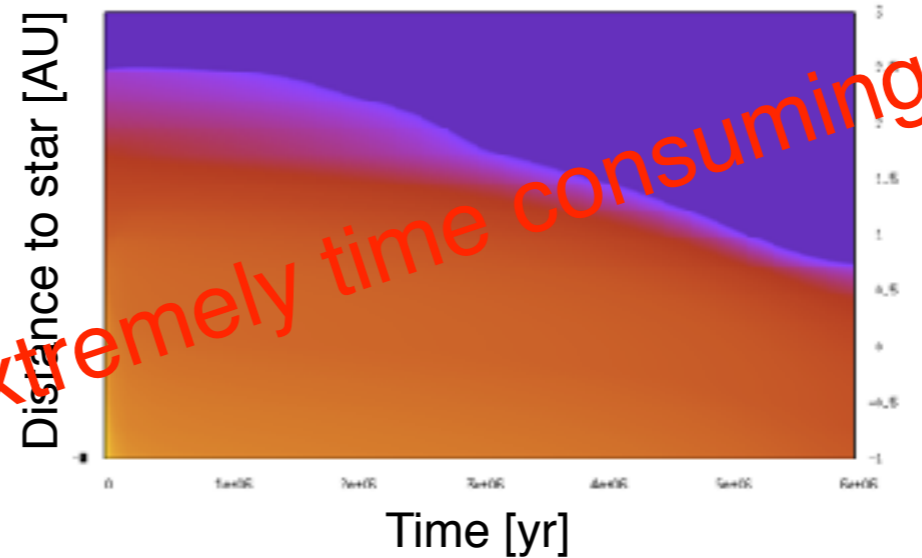
3D interior structure



Nbody + statistics of planetesimals



semi-analytical disk



1D interior structure

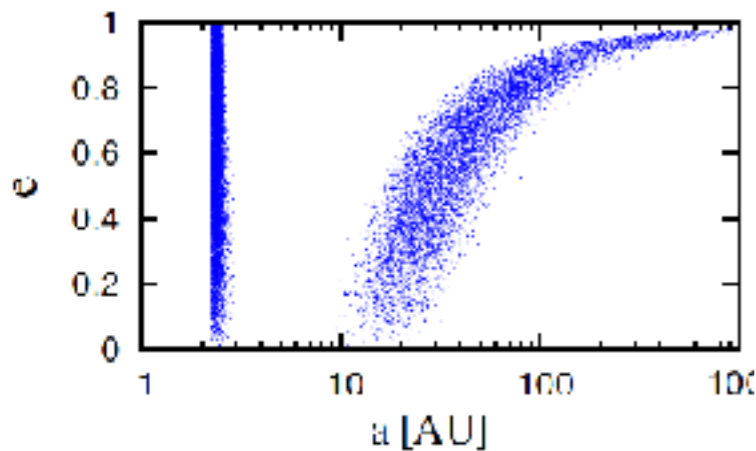
$$\frac{dr}{dM_r} = \frac{1}{4\pi\rho r^2}$$

$$\frac{dP}{dM_r} = -\frac{GM_r}{4\pi r^4}$$

$$\frac{dT}{dP} = \nabla_{ad} \text{ or } \nabla_{rad}$$

Bern model

statistical Nbody (planets)



parametrized disk

$$\Sigma = \Sigma_{10} f_g (r/10\text{AU})^{-q}$$

$$f_g = f_{g,0} \exp(-t/\tau_{dep})$$

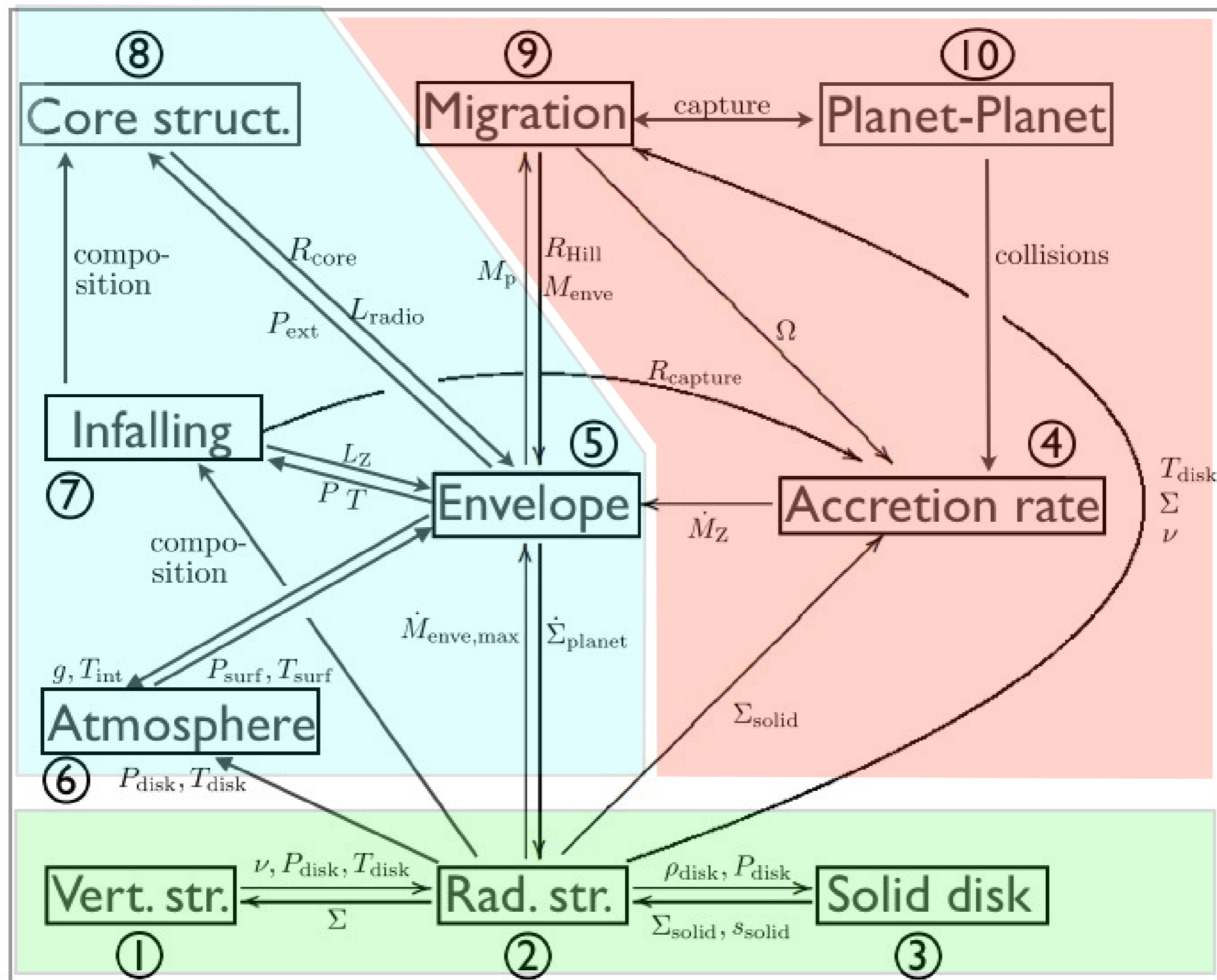
rate equations

$$\frac{dM_{planet}}{dt} \simeq \frac{M_{planet}}{\tau_{KH}}$$

$$\tau_{KH} \simeq \tau_{KH1} \left(\frac{M_{planet}}{M_{\oplus}} \right)^{-k2}$$

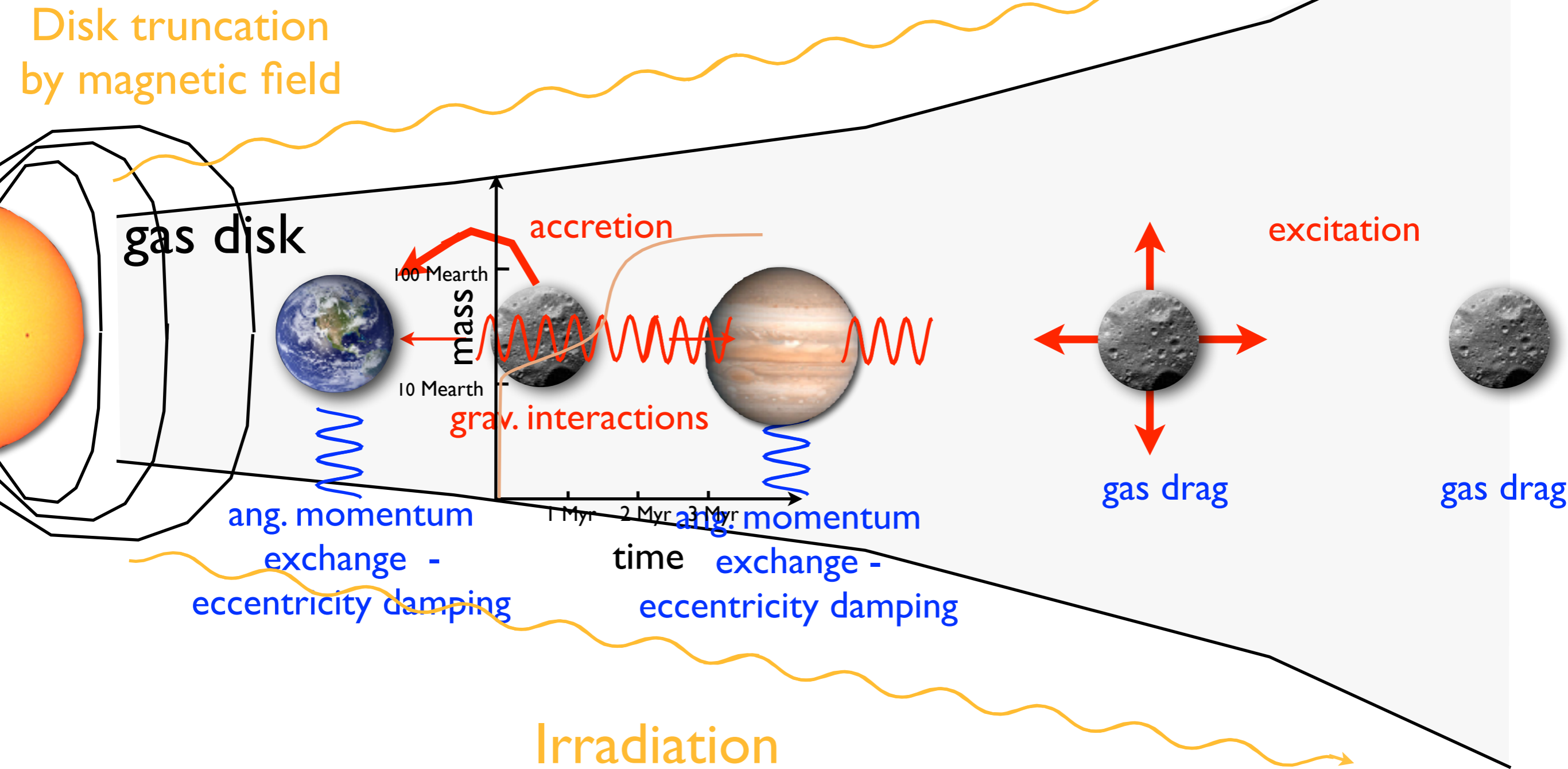
IL model

Formation & evolution model: Bern model



Formation & evolution model: Bern model

Seen from the edge



protoplanetary disk = gas disk + solids

1 planetary system - 10 planetary seeds/system

time = 0.00 Myr

Time

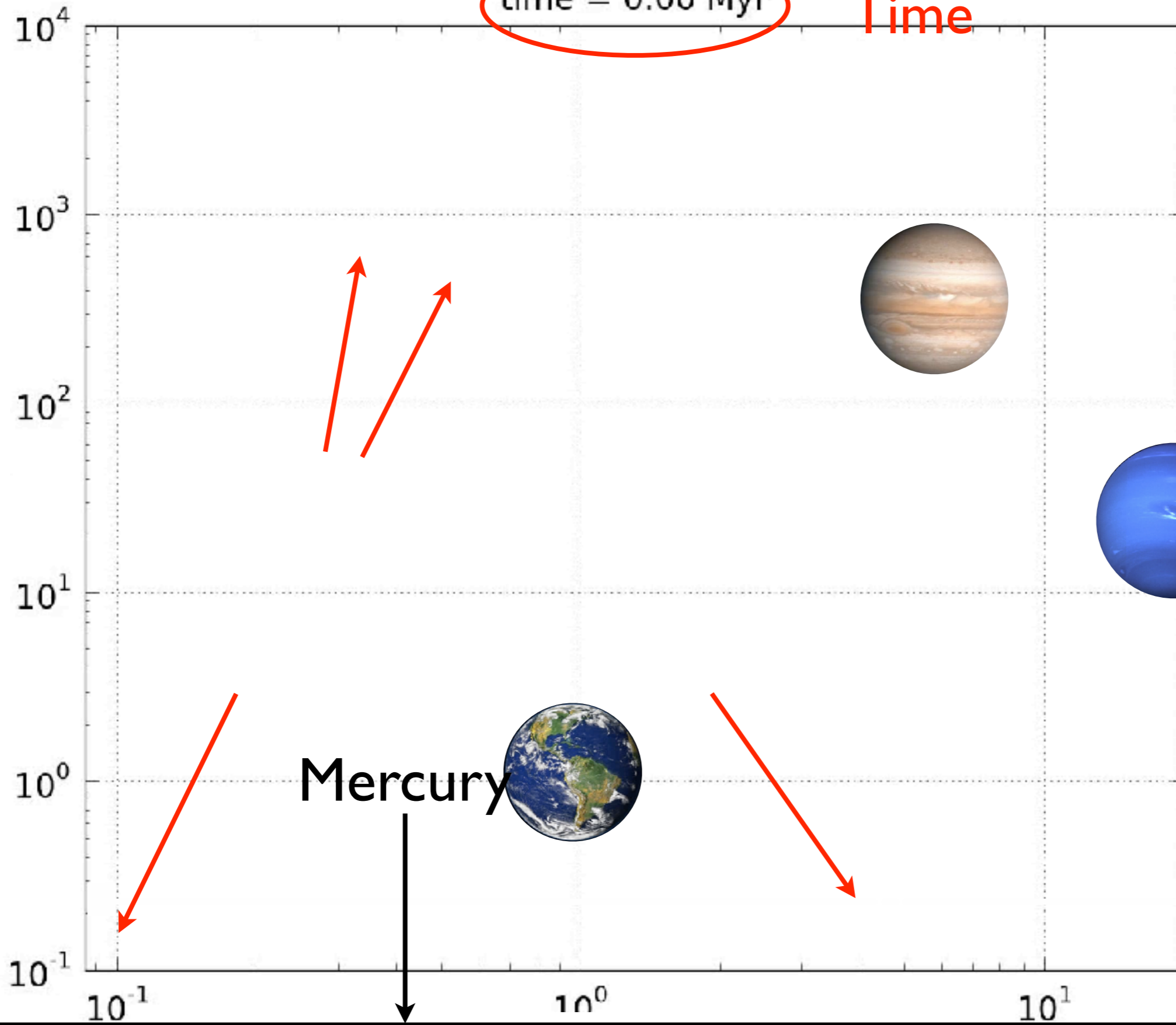
Icy

Rocky

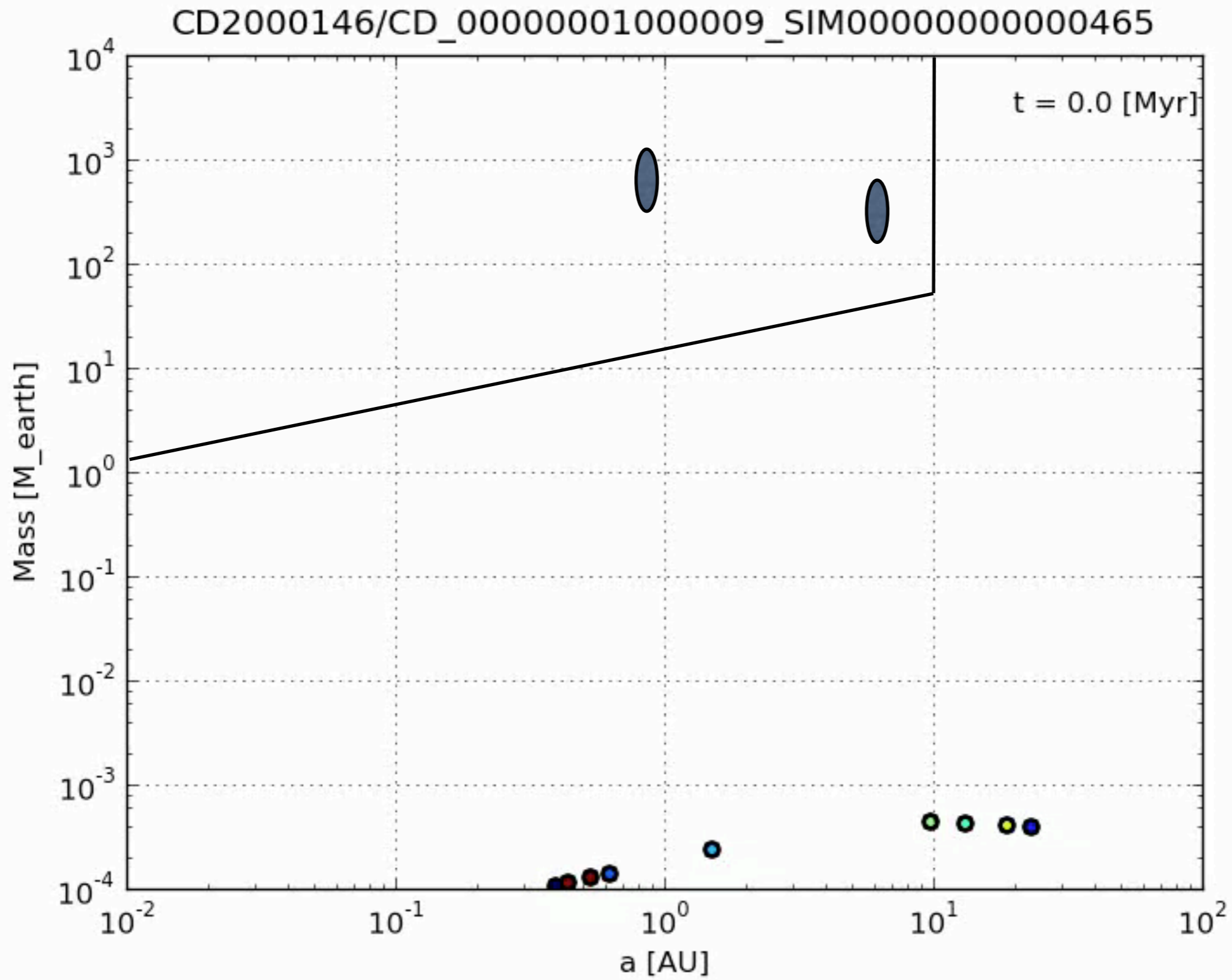
fraction of icy planetesimals

Movie from Frederic Carron

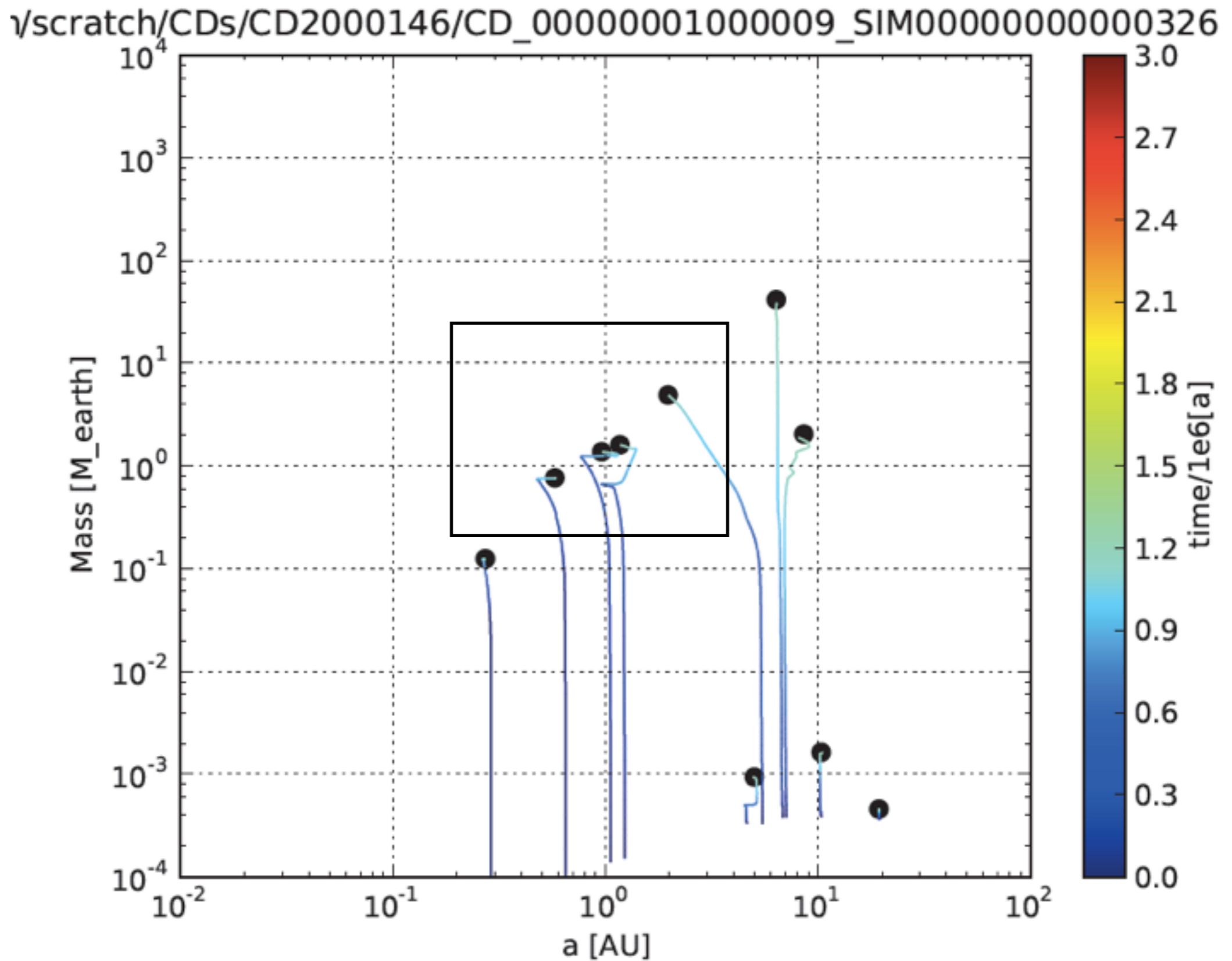
Mass (Earth masses)



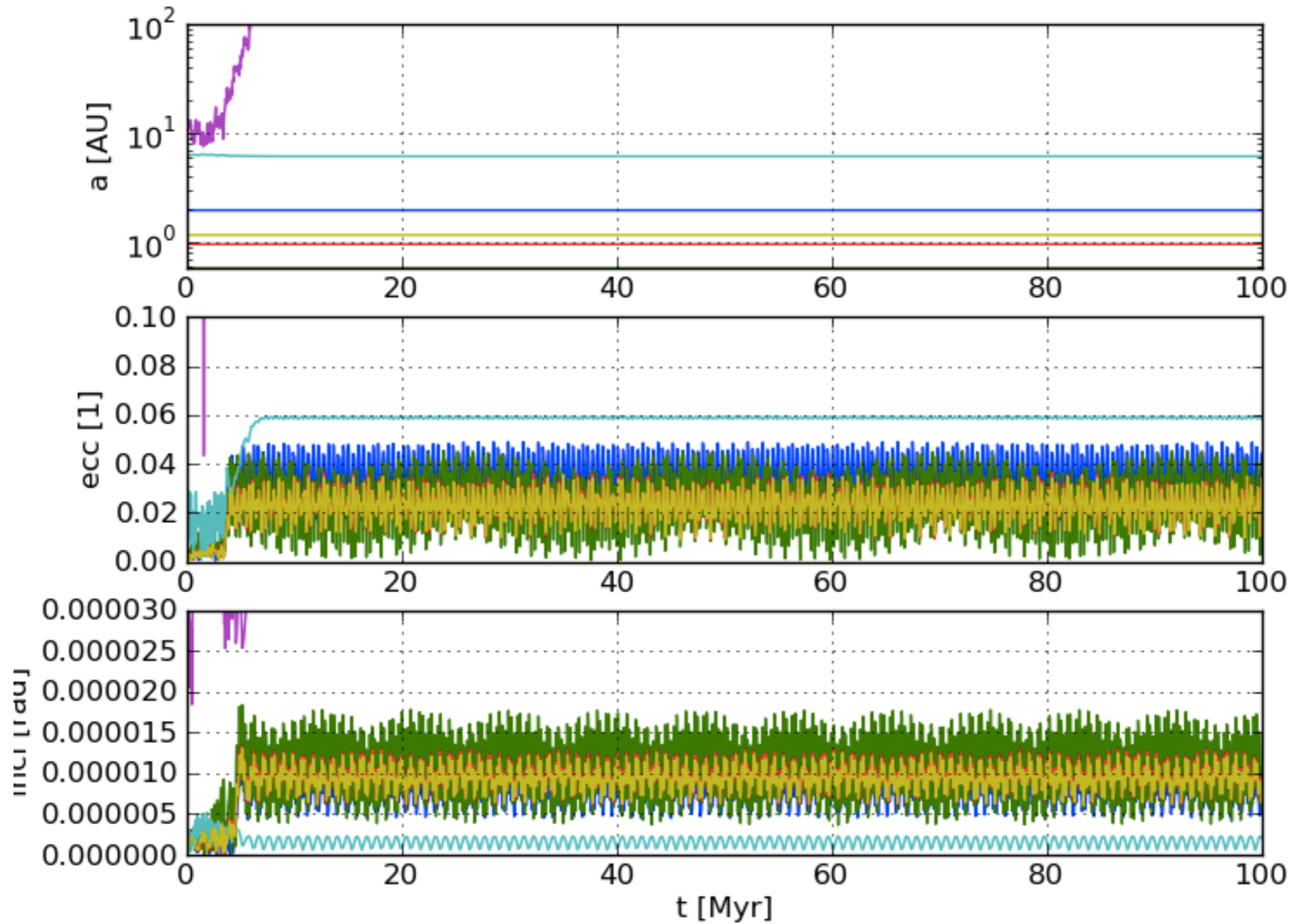
HD 134987



a system with TWO Earths at 1 AU



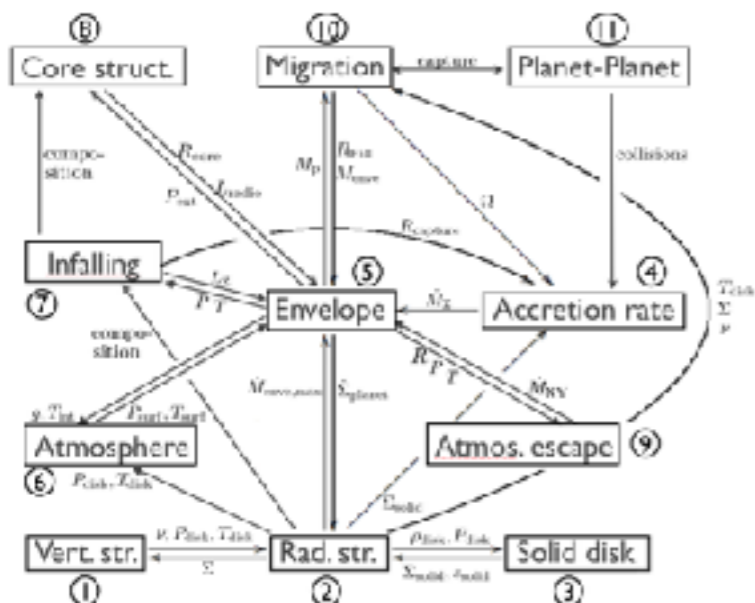
long term stability



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Population synthesis

Formation & evolution model

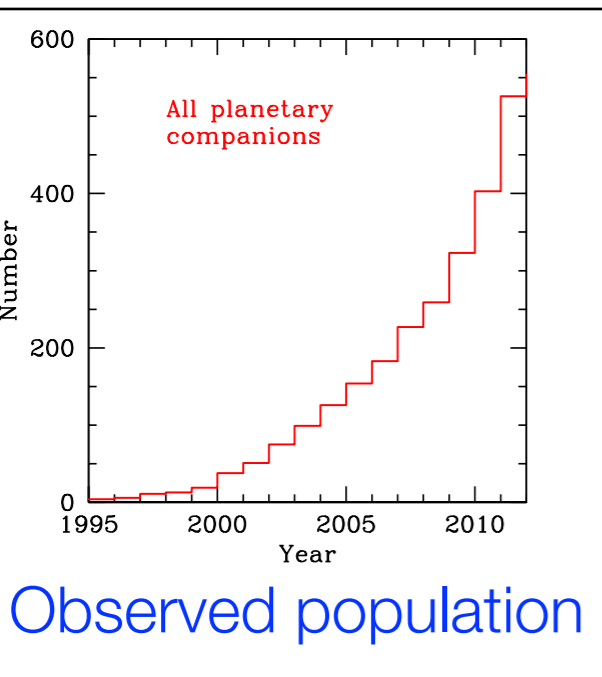


Initial Conditions



Compute synthetic planet population

Apply observational detection bias



Observed population

Predictions
(going back to the full synthetic population)

Comparison:

- Observable sub-population
- Distribution of semi-major axis
- Distribution of masses
- Distribution of luminosities
- Distribution of radii

No match

Match

Model solution found

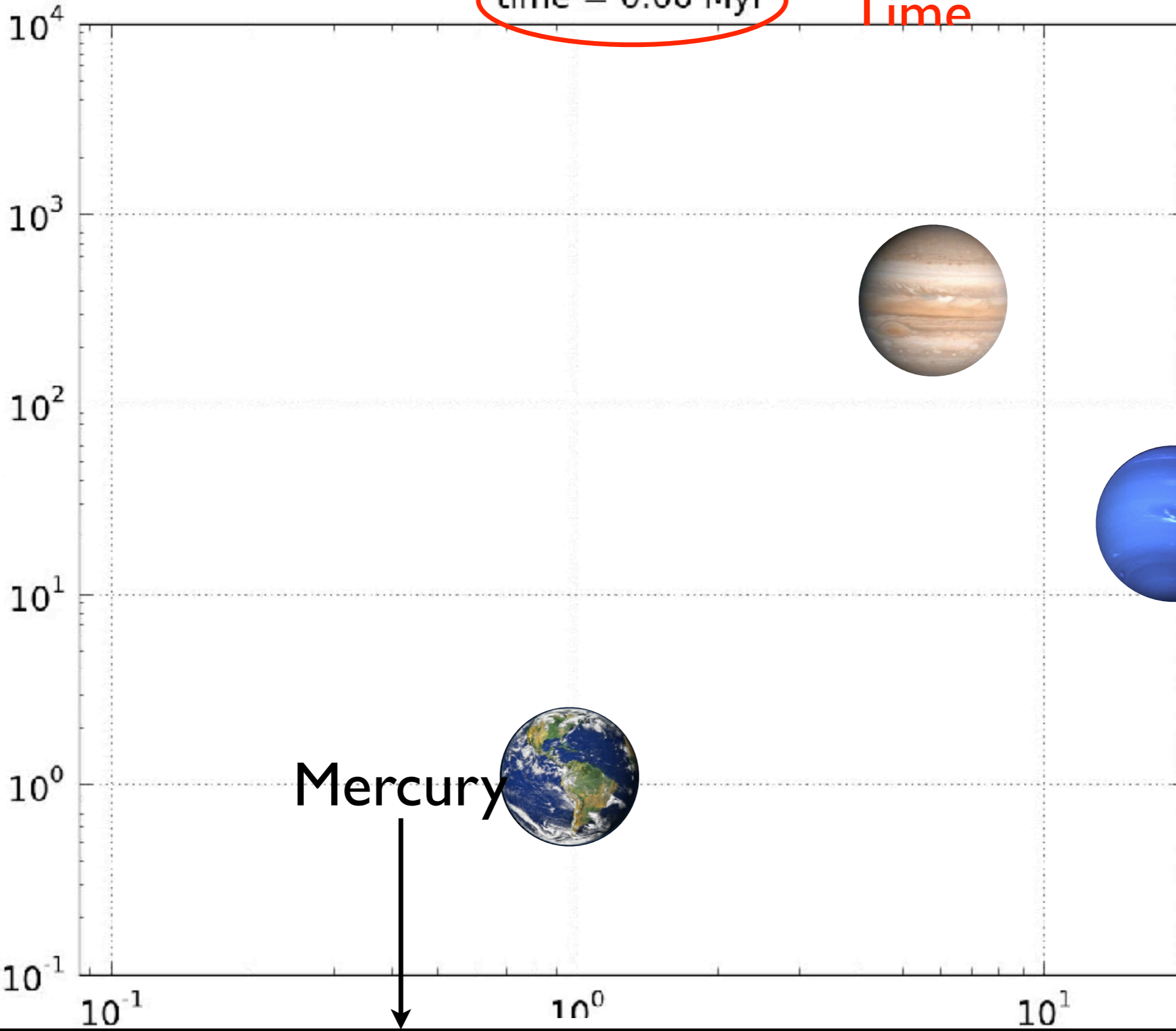
100 planetary systems - 10 planetary seeds/system

time = 0.00 Myr

Time

Icy

Mass (Earth masses)



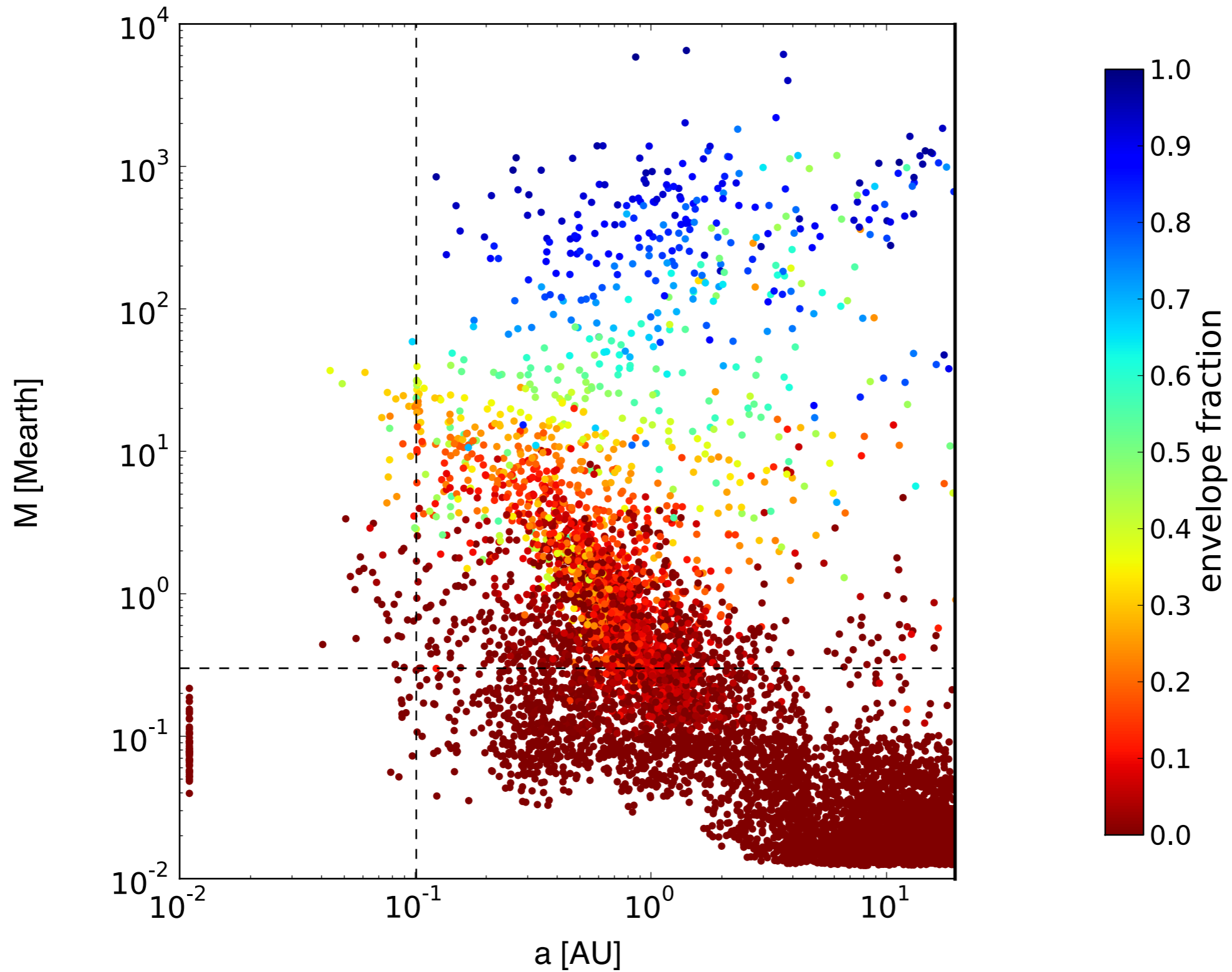
Mercury

Semi-major axis (AU)

Rocky

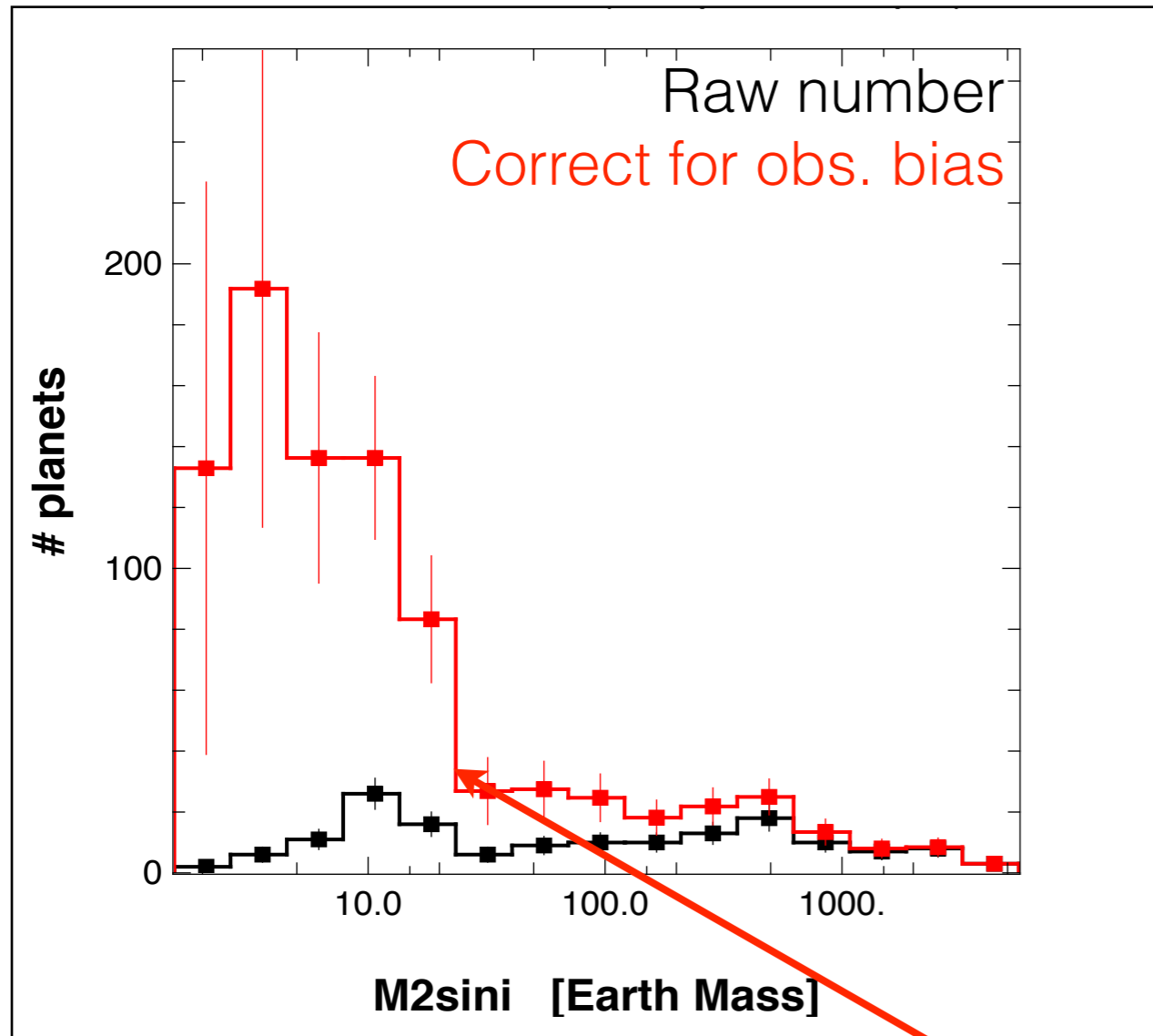
fraction of icy planetesimals

Planetesimal based: gas fraction



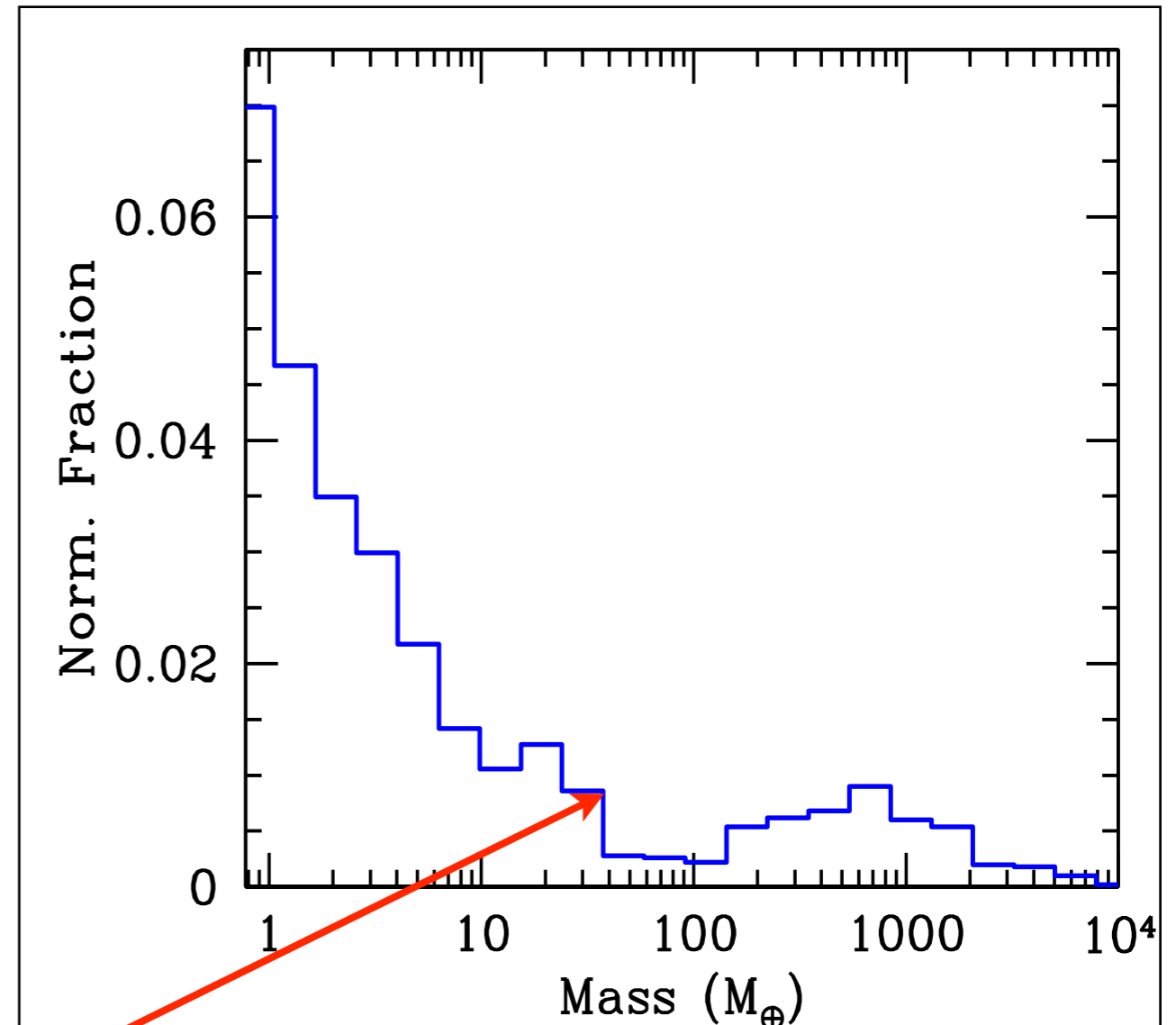
Planetesimal based: mass function

Observations



Mayor et al. 2011

Synthetic



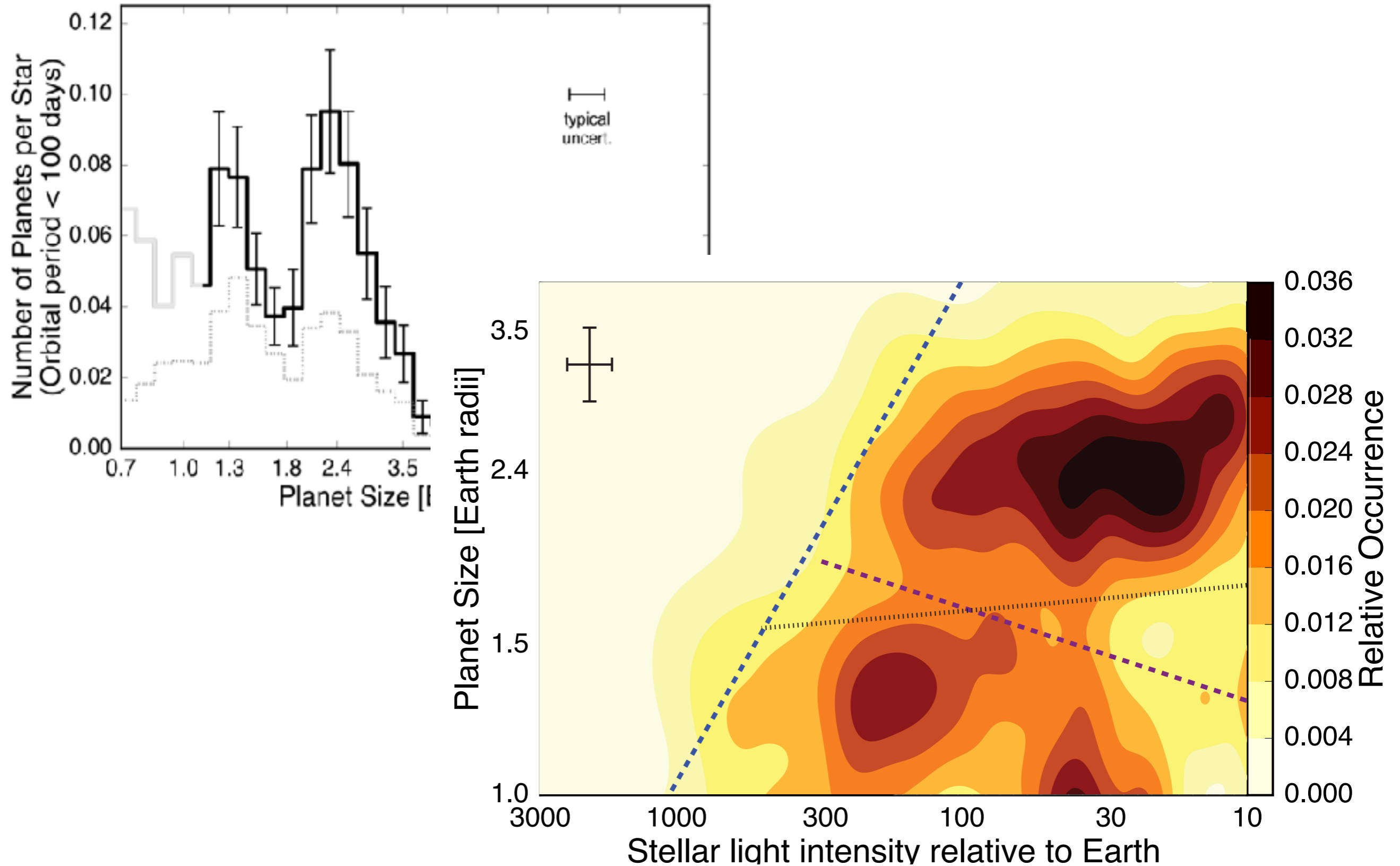
Benz et al. 2014

Sudden increase

Typical for core accretion. Constraint on M_{crit} & gas accretion rate .

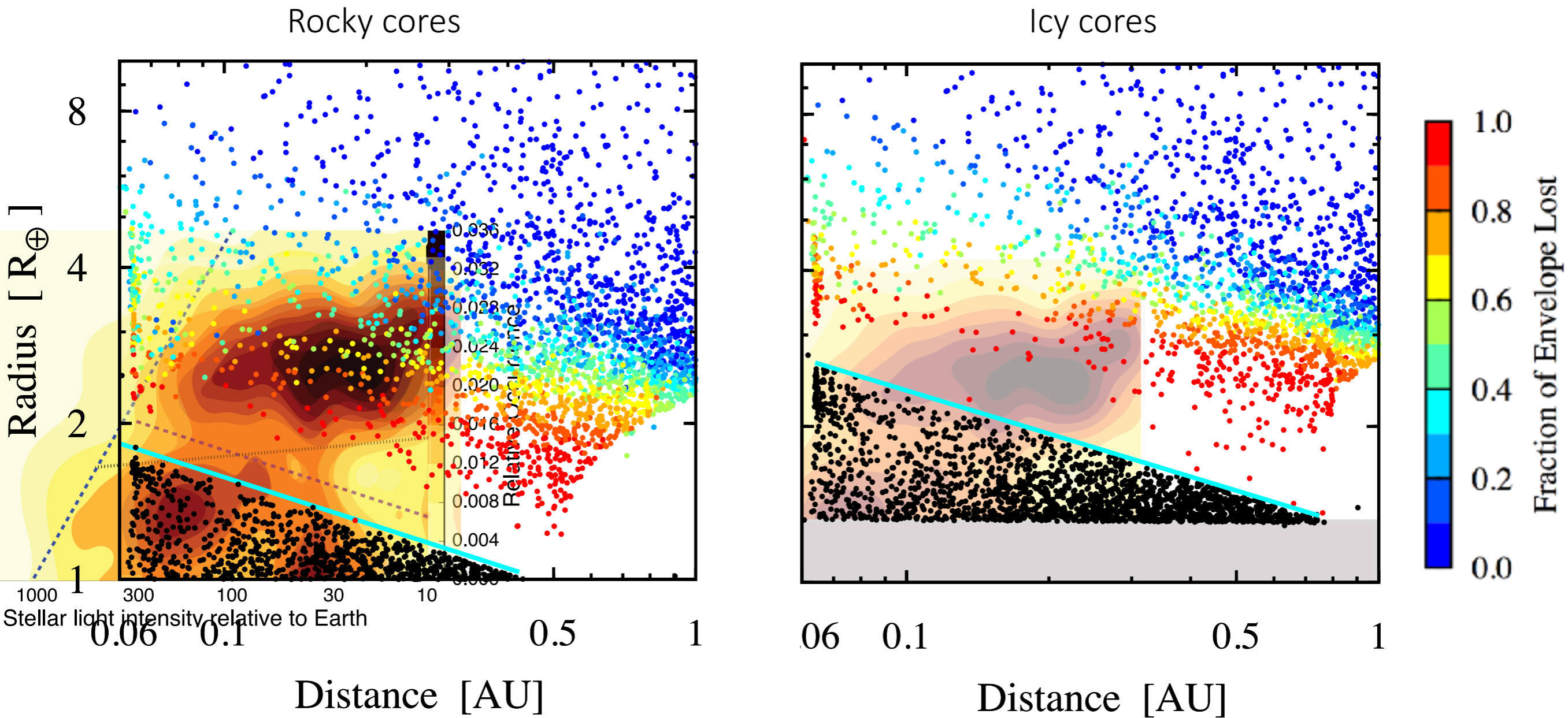
Testing models with transit observations

Kepler - bias corrected Fulton et al. 2017



Testing models with transit observations

Jin & Mordasini, *astroph-170600251J*



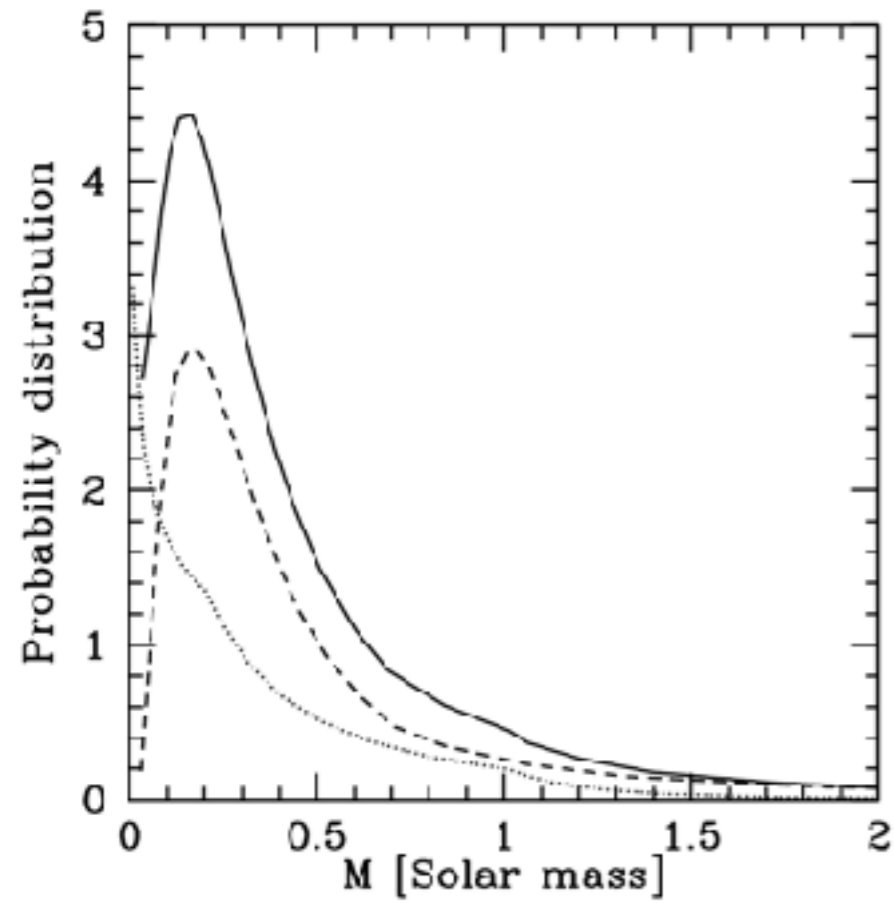
Observed locus of the valley agrees with evaporation

- *consistent* with mainly *rocky* composition
- *inconsistent* with mainly *icy* composition

Planetesimal based: successes and problems

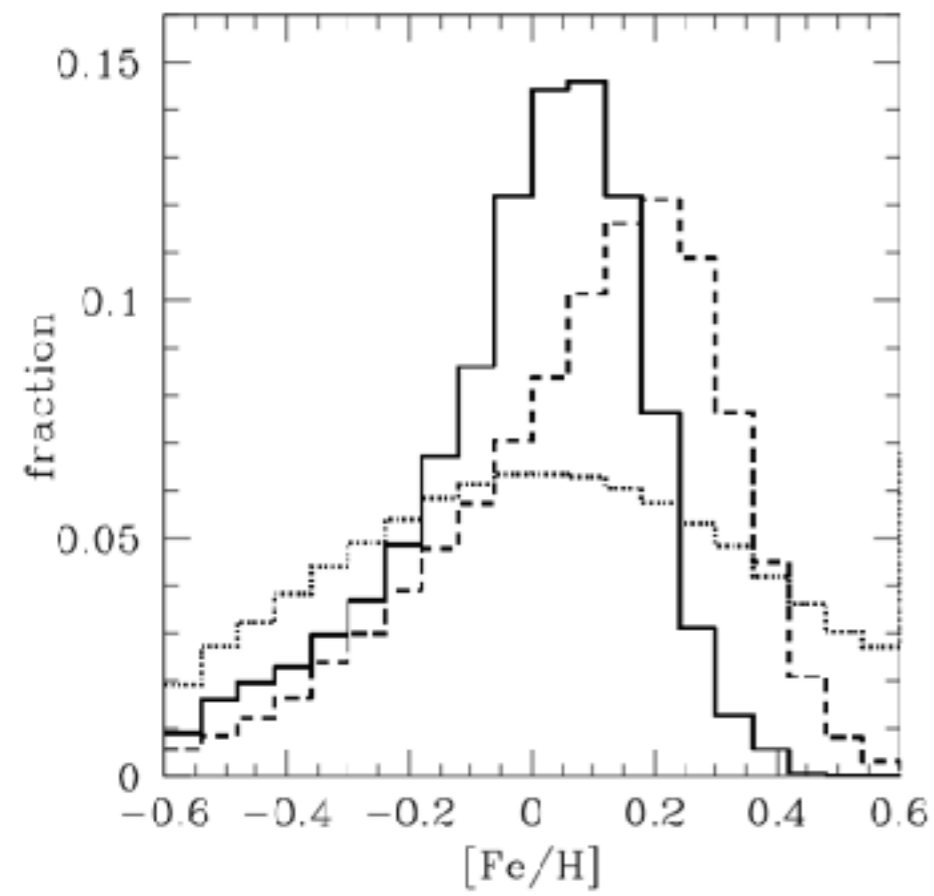
- 1- Predicted mass function is similar to observed one
- 2- Disk size similar to observations / masses in the higher end of the distribution
- 3- Works better with low mass planetesimals (~km)
- 4- Formation of planets at large distance - timescale problem
 - > ejection?
 - > outwards migration?
 - > another formation mechanism?

Testing models with microlensing observations



Dominik 2006

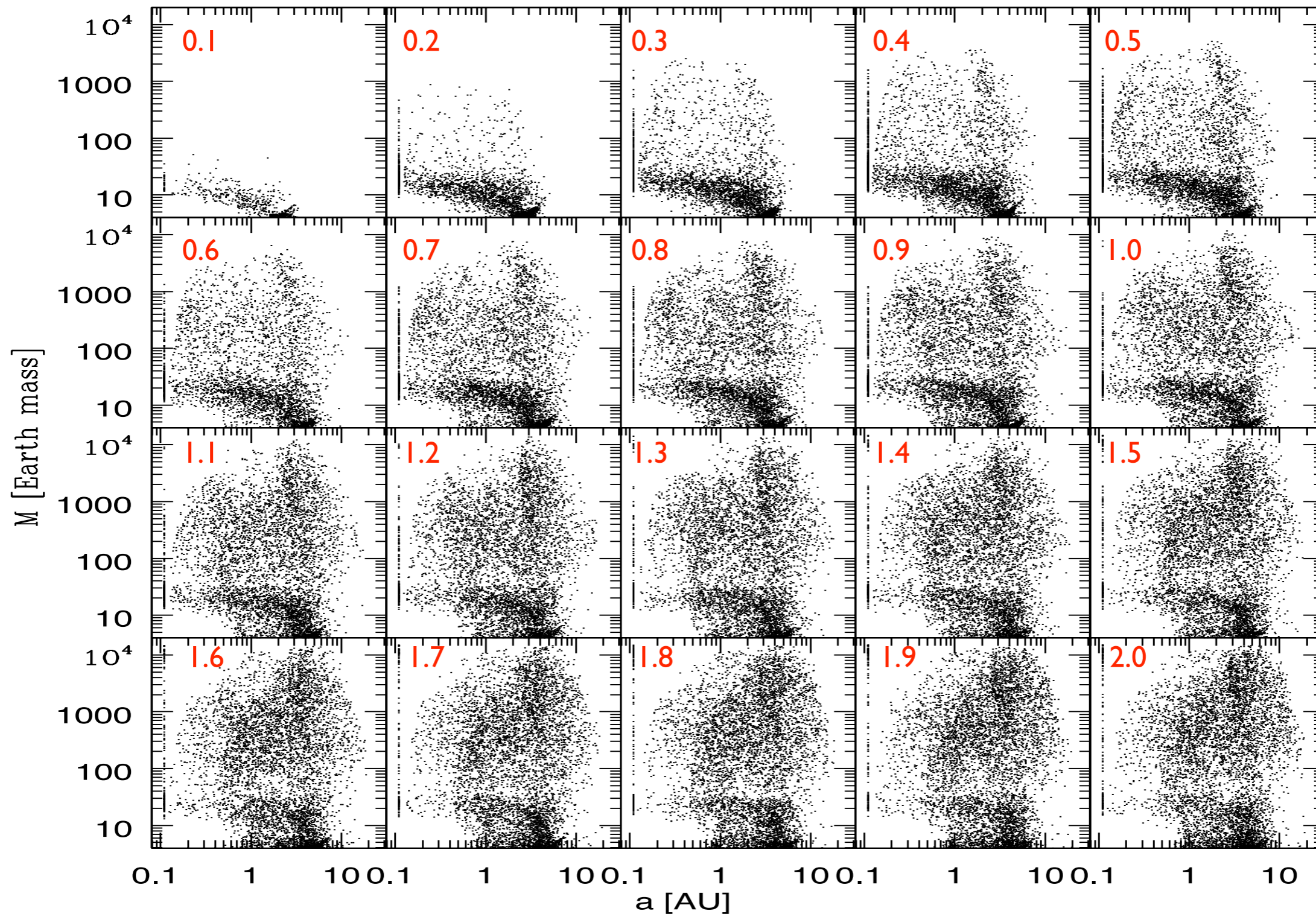
Theoretical lens population including mass and metallicity distributions



Besancon model
Robin et al. 2003

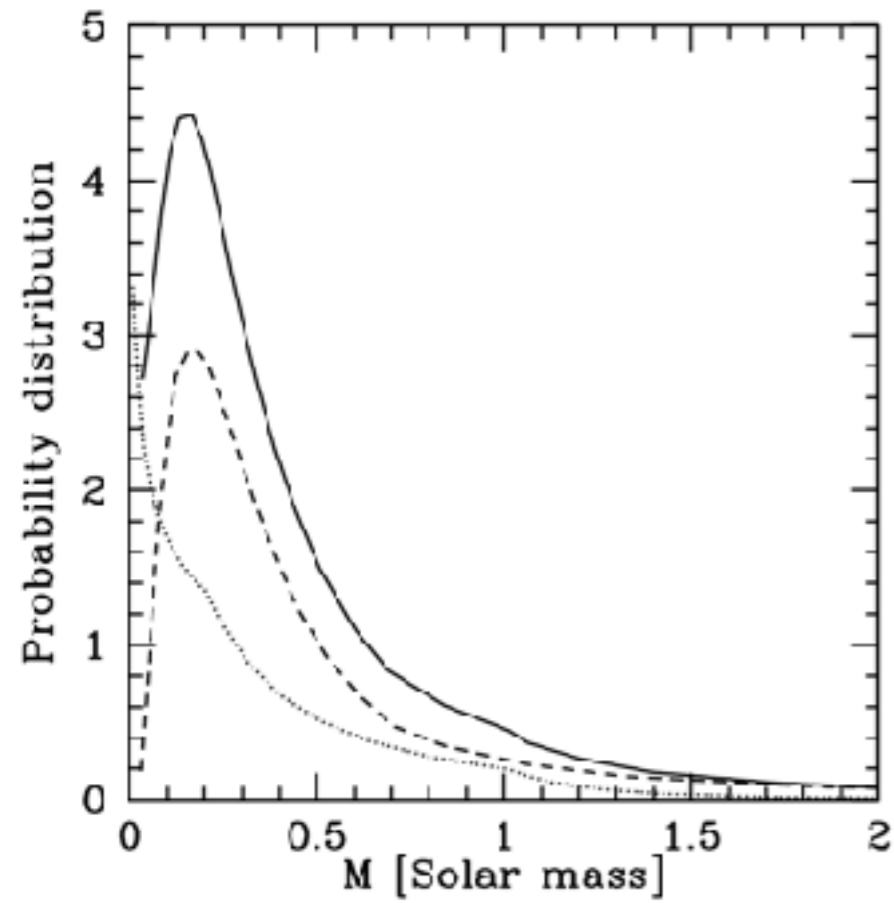
Testing models with microlensing observations

$$M_{\text{disk}} \propto M_{\text{star}}^{\alpha D}$$

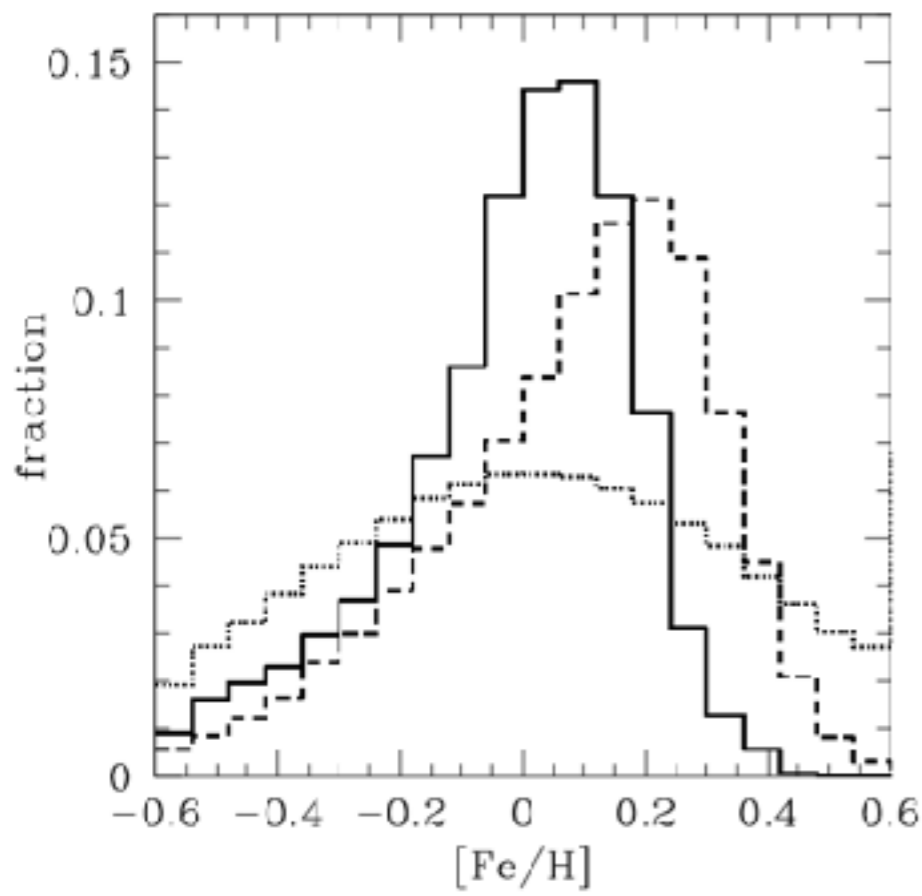


!! one-planet models !!

Testing models with microlensing observations



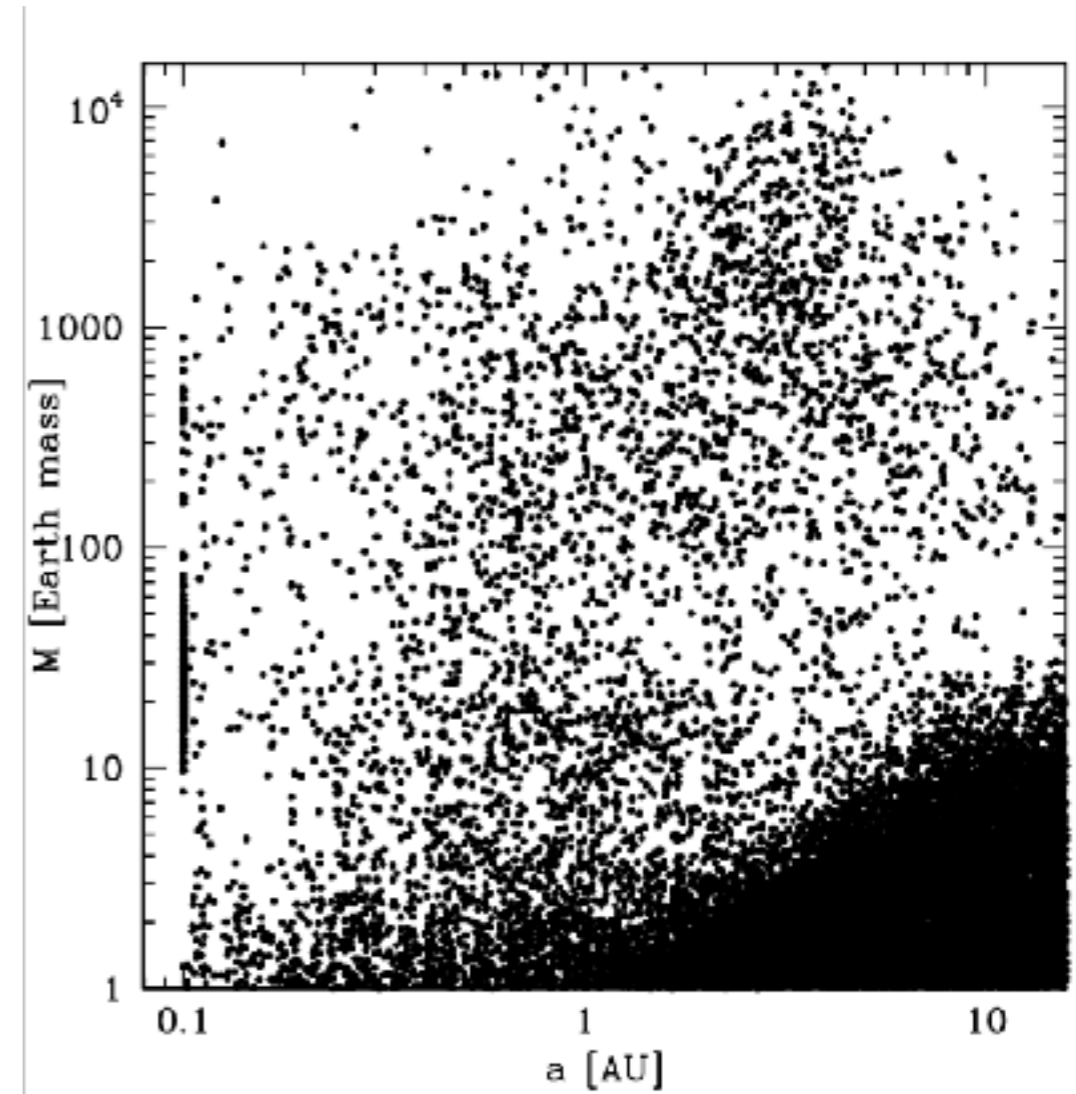
Dominik 2006



Besancon model
Robin et al. 2003



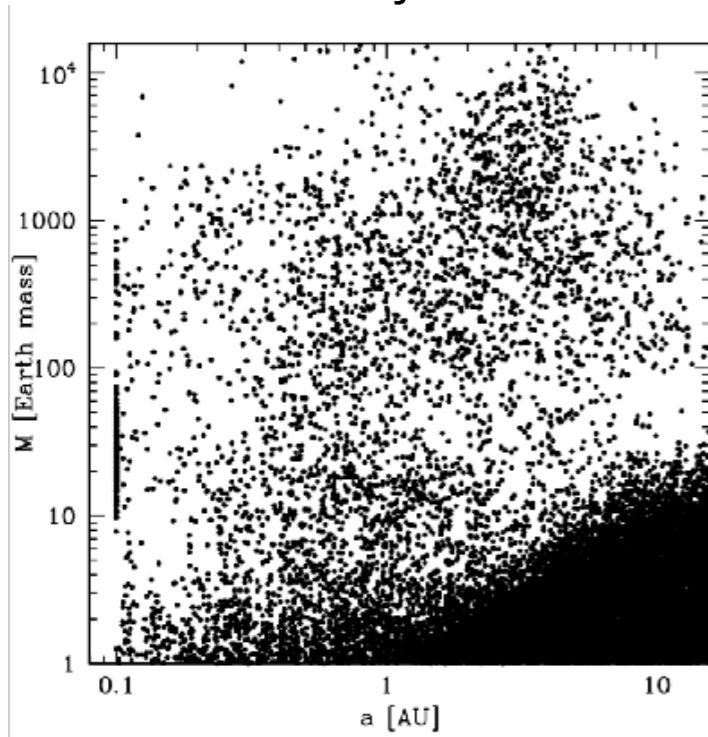
Theoretical lens population including mass and metallicity distributions



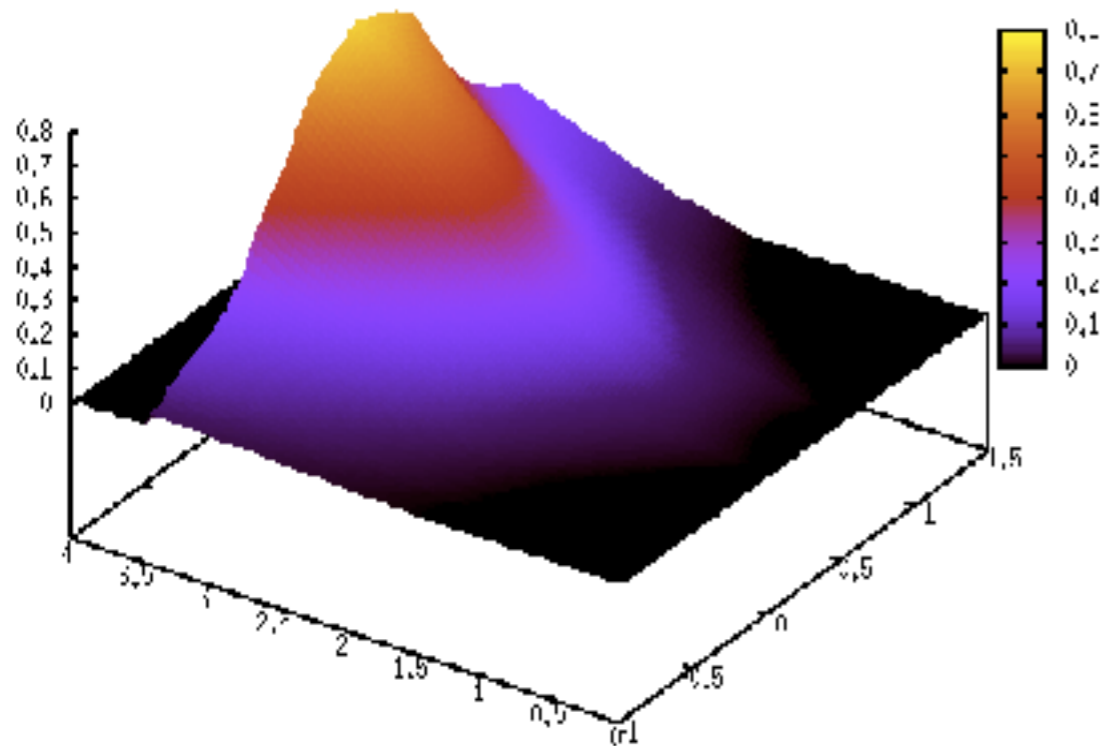
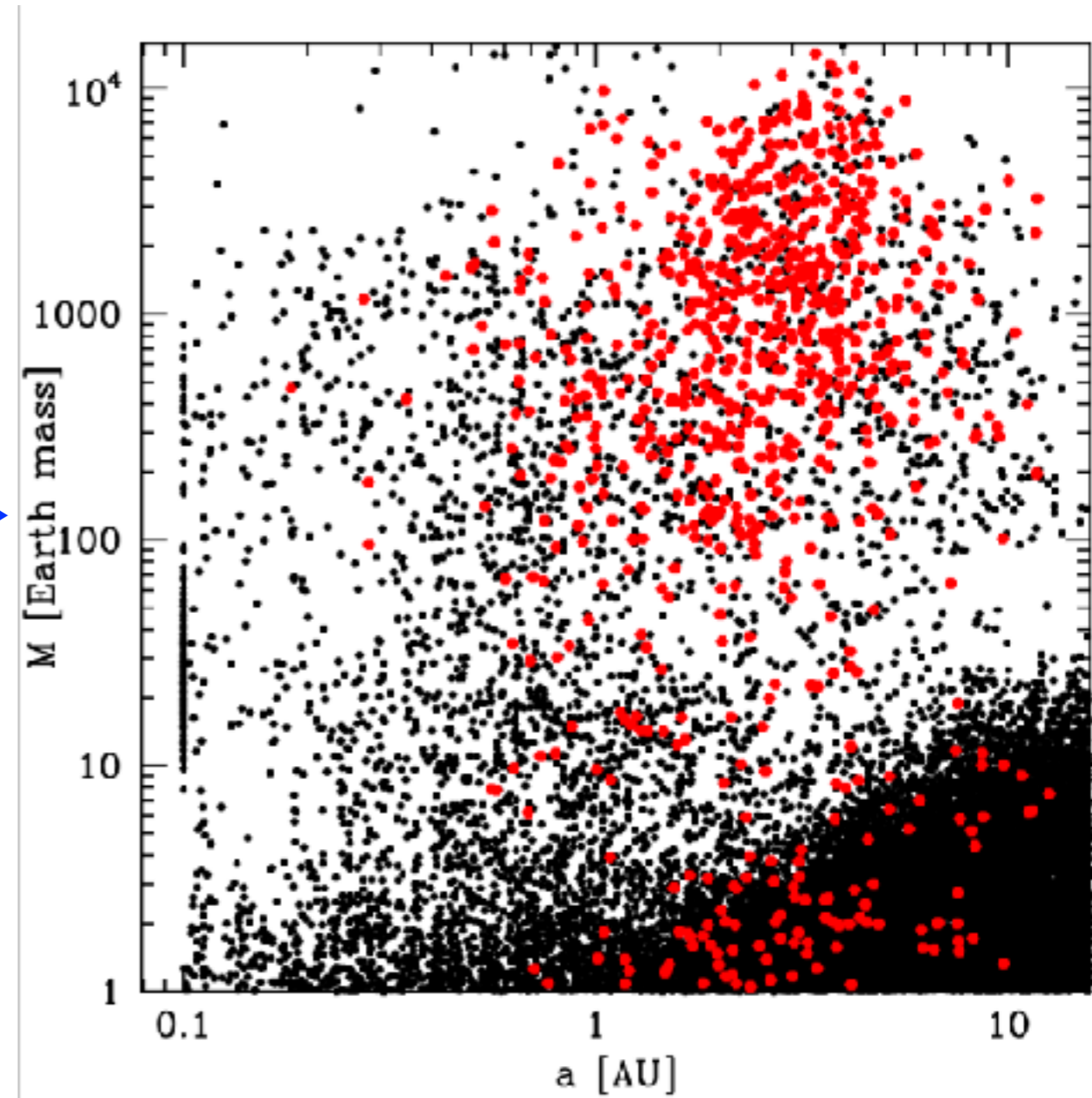
Alibert et al. 2011 (unpublished)

Testing models with microlensing observations

Theoretical lens population including mass and metallicity distributions

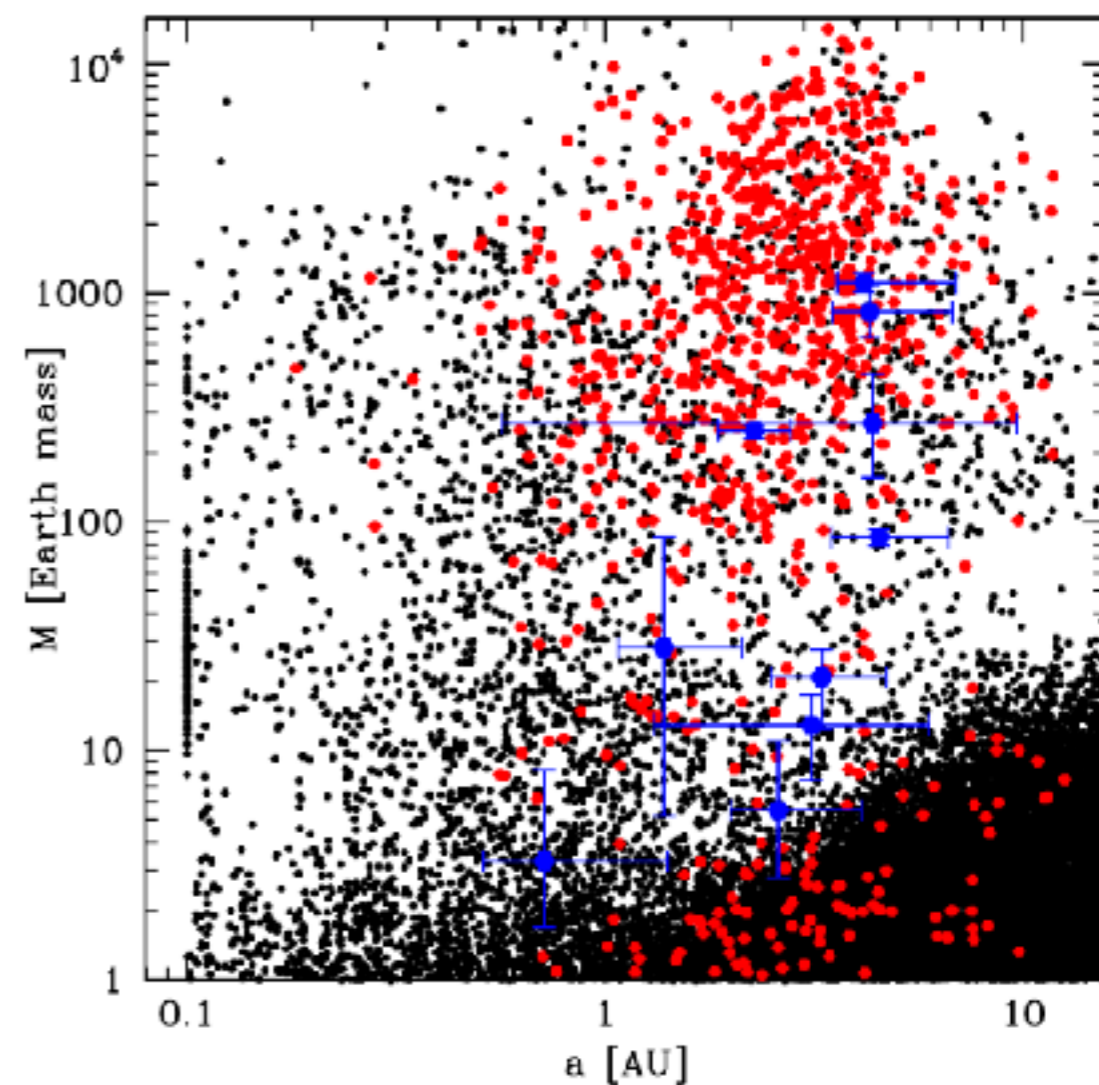
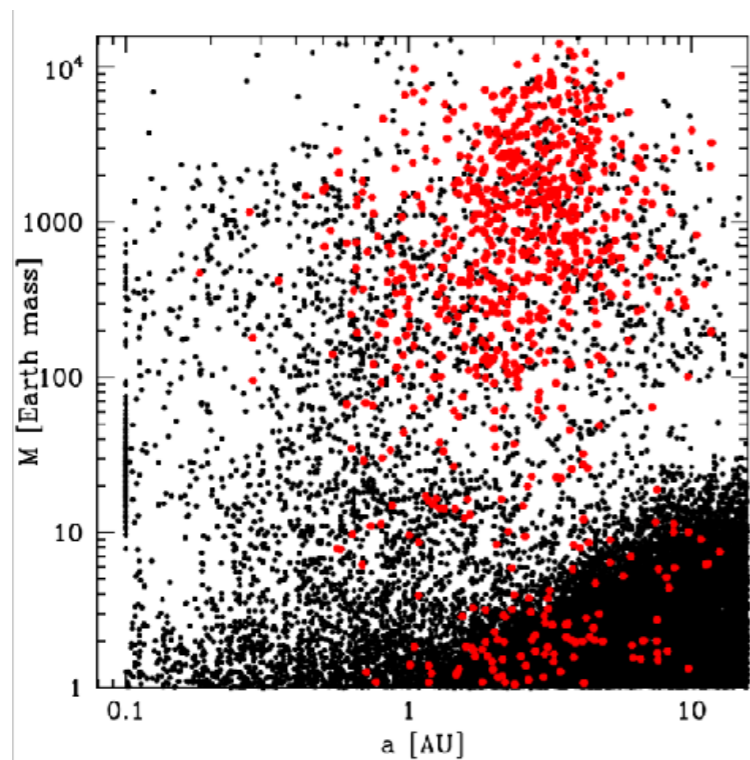


Theoretical observable lens population



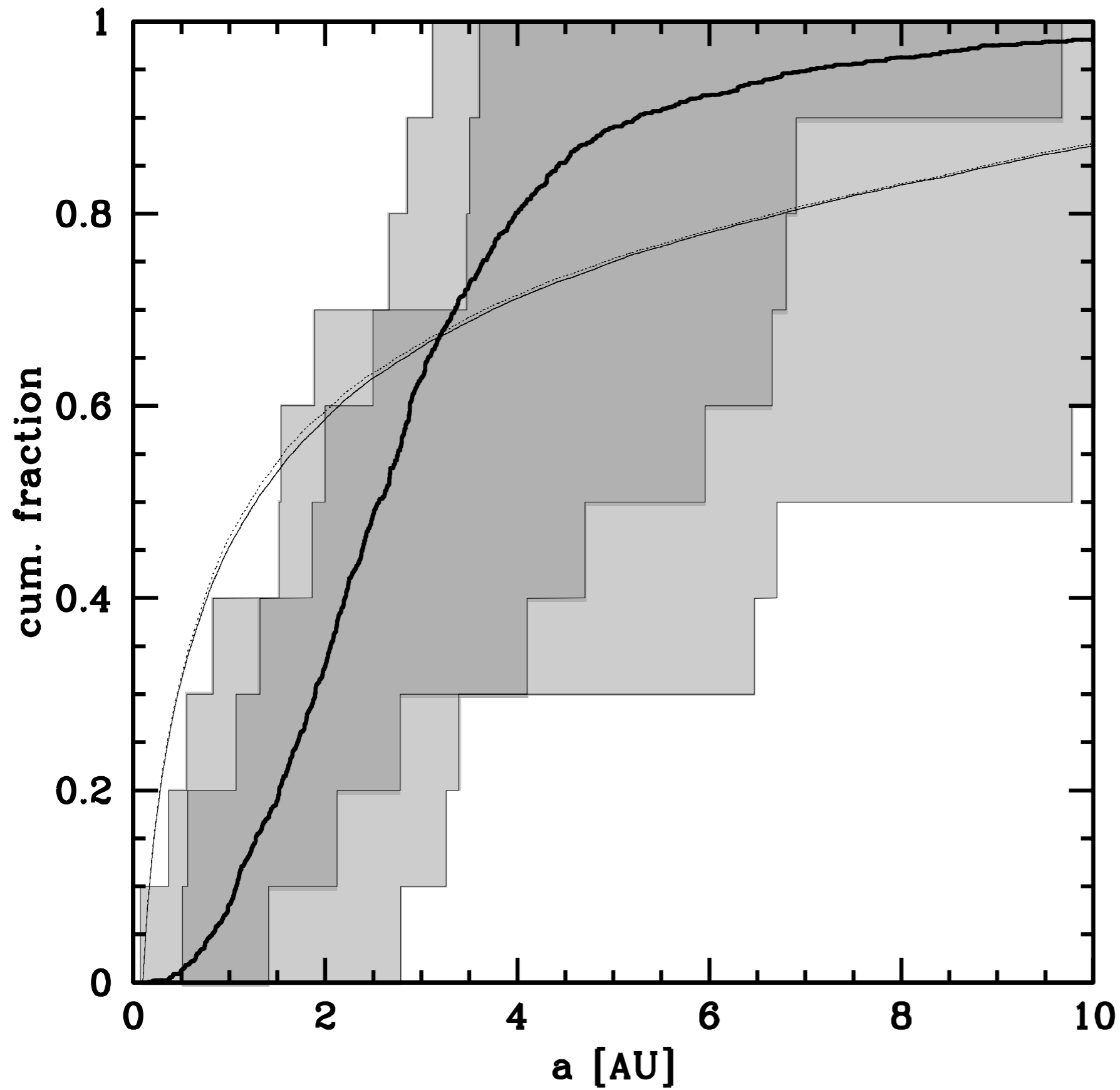
Testing models with microlensing observations

Theoretical observable lens population



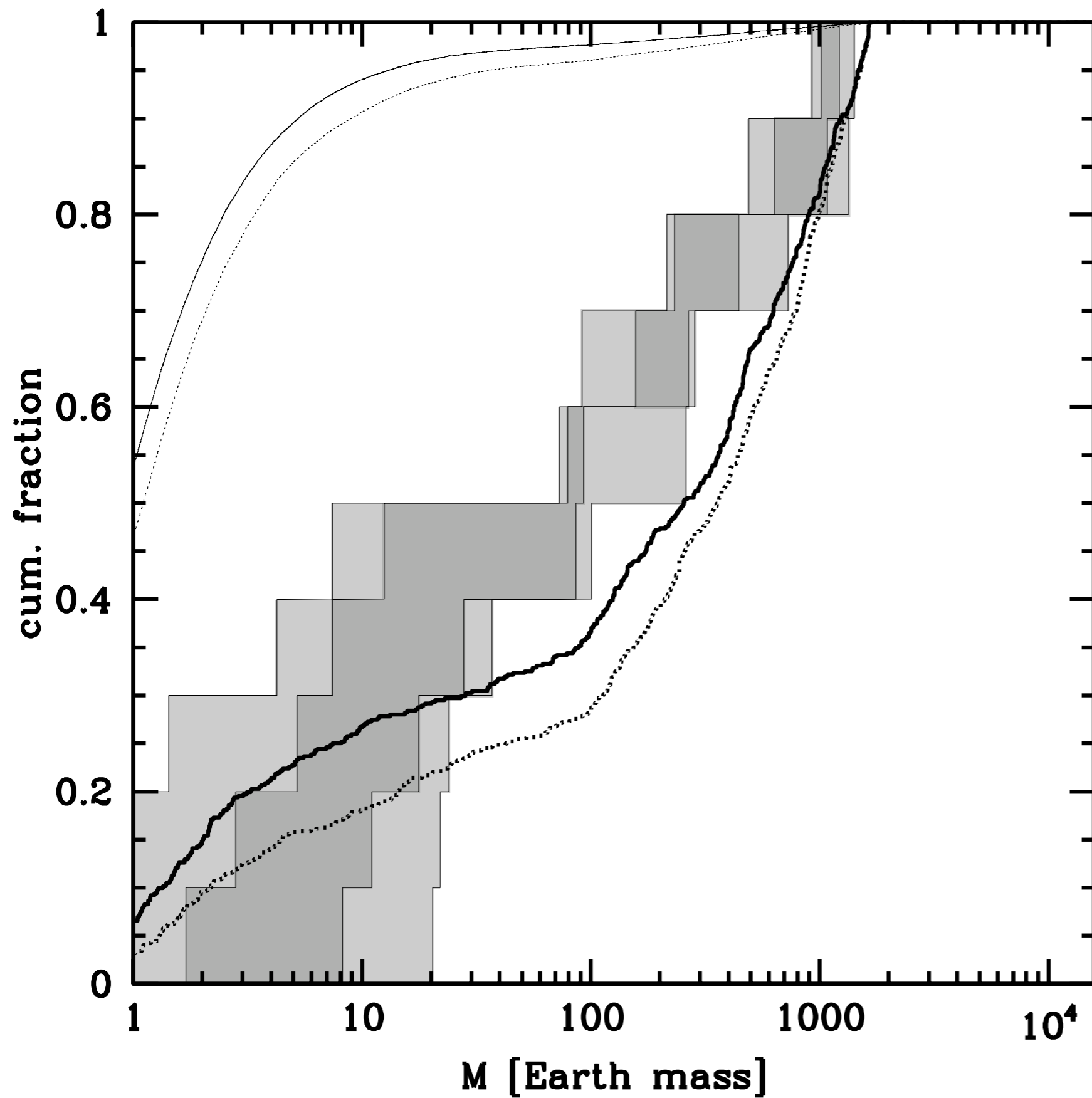
Name	$M_{\text{star}}/M_{\odot}$	$M_{\text{planet}}/M_{\oplus}$	$a_{\text{planet}}/\text{AU}$	$\log(\sigma_M^-)$	$\log(\sigma_M^+)$	$\log(\sigma_s^-)$	$\log(\sigma_s^+)$	Distance (kpc)
OGLE 235b/MOA 53b	0.63	2.6	5.1	0.8	0.8	1.6	1.6	5.2
OGLE 71b	0.46	3.5	3.6	0.3	0.3	0.2	0.2	3.3
OGLE 109b	0.51	0.727	2.3	0.06	0.06	0.5	0.5	1.51
OGLE 109c	0.51	0.271	4.5	0.022	0.022	1.0	1.0	1.51
MOA 192b	0.06	0.01	0.66	0.005	0.015	0.14	0.19	0.7
MOA 400b	0.35	0.9	0.85	0.4	0.4	0.25	0.25	6
OGLE 390b	0.22	0.017	2.1	0.0085	0.017	0.6	1.5	6.5
OGLE 169b	0.49	0.04	2.8	0.020	0.021	1.42	1.94	2.7
MOA 310b	0.67	0.23	1.25	0.05	0.05	0.1	0.1	>6
OGLE 368b	0.64	0.0694	3.3	0.025	0.022	0.8	1.4	5.9
MOA 319b	0.38	0.157	2.0	0.075	0.138	0.4	0.4	6.1
MOA 387b	0.19	2.6	1.8	1.6	4.1	0.7	0.9	5.7

Semi-major axis



—
Lenses at 0.5 kpc
.....
Lenses at 6 kpc

Mass

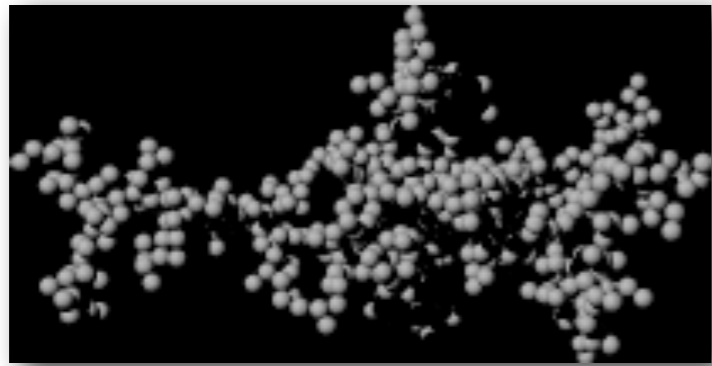


— Lenses at 0.5 kpc
..... Lenses at 6 kpc

- 1- Exoplanets and population synthesis
- 2- From disks to planets: integrated models
- 3- Population synthesis: comparison and results
- 4- Pebbles *versus* planetesimals

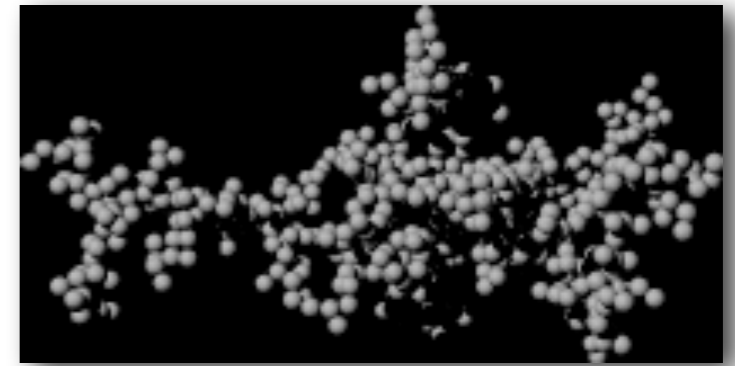
What is the mass of core's building blocks?

Planetesimals-based model



high efficiency

Pebbles-based model



low efficiency

dust ~ microns

pebbles ~ cm

planetesimals ~ km

Planetesimal based: Integrated model

- 1- protoplanetary disk evolution
- 2- planet internal structure (core & envelope)
- 3- orbital migration
- 4- planet-planet interactions (gravity & competition)

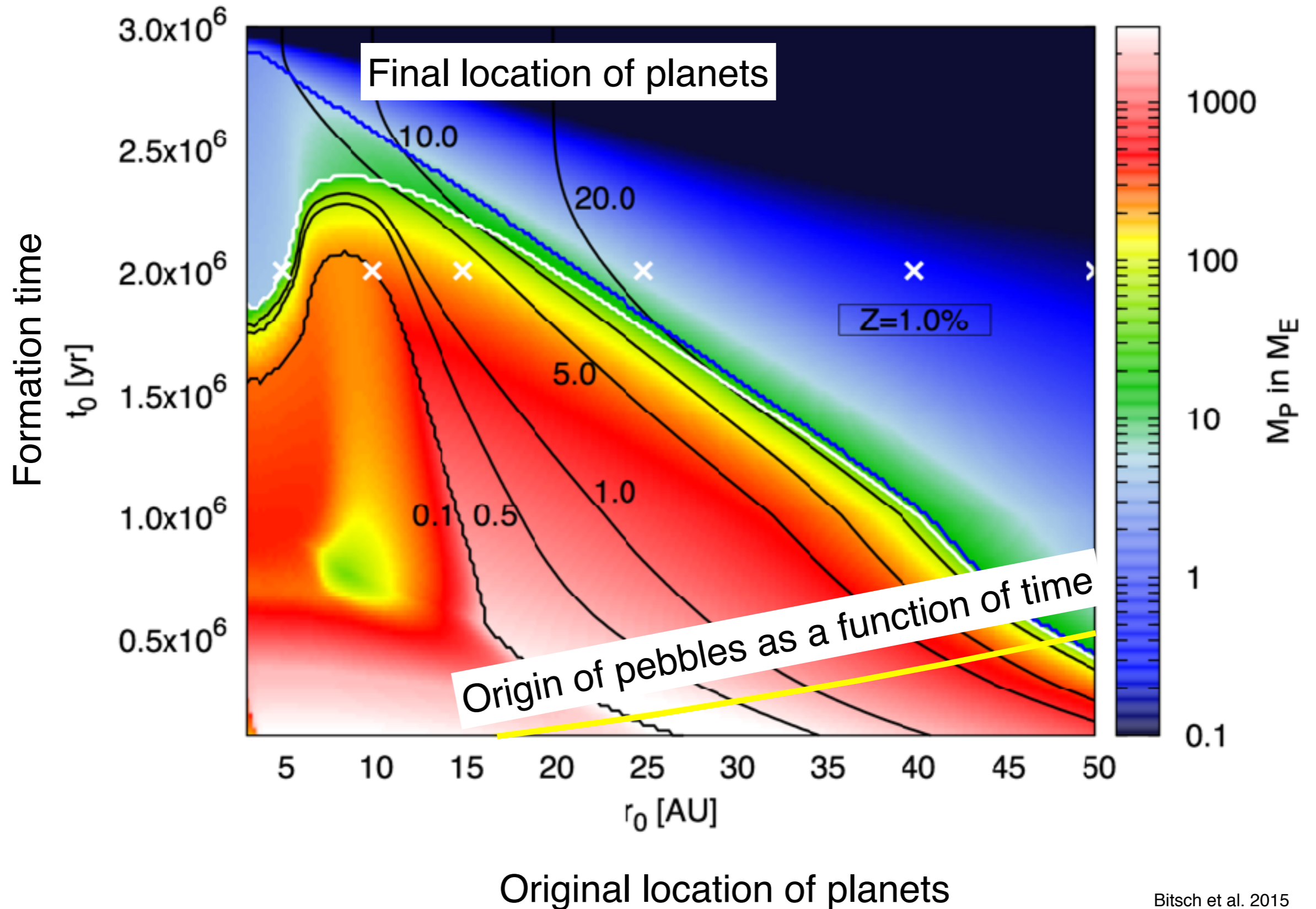
Planetesimal based: successes and problems

- 1- Predicted mass function is similar to observed one
- 2- Disk size similar to observations / masses in the higher end of the distribution
- 3- Works better with low mass planetesimals (~km)
- 4- Formation of planets at large distance - timescale problem
 - > ejection?
 - > outwards migration?
 - > another formation mechanism?

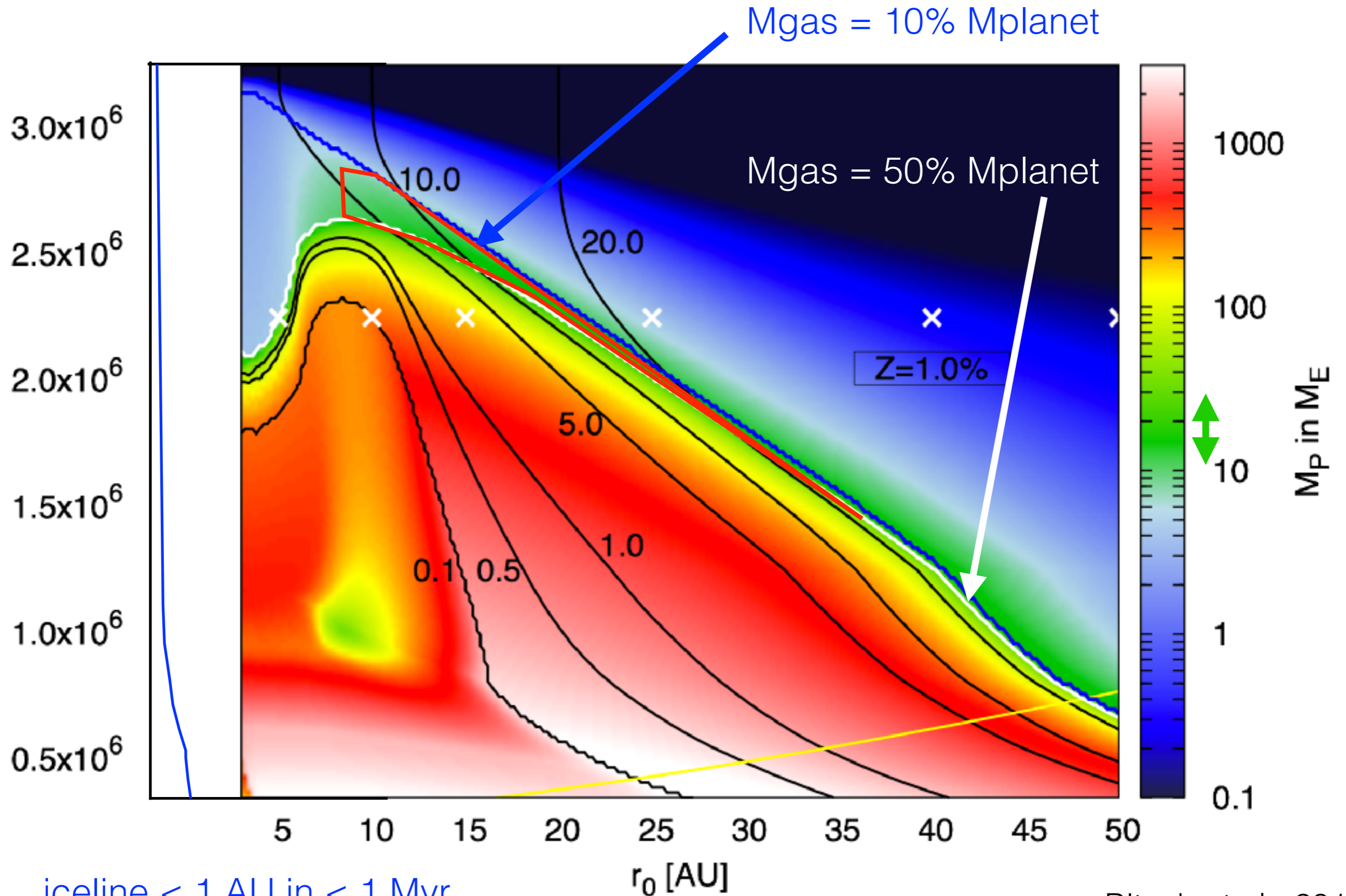
Pebble based: Integrated model

- 1- protoplanetary disk evolution
- 2- **no** planet internal structure
- 3- orbital migration
- 4- **no** planet-planet interactions (one planet per system)

Pebble-based model: rapid formation of planetary cores



Pebble-based model: few Neptune mass planets - no HN



iceline < 1 AU in < 1 Myr

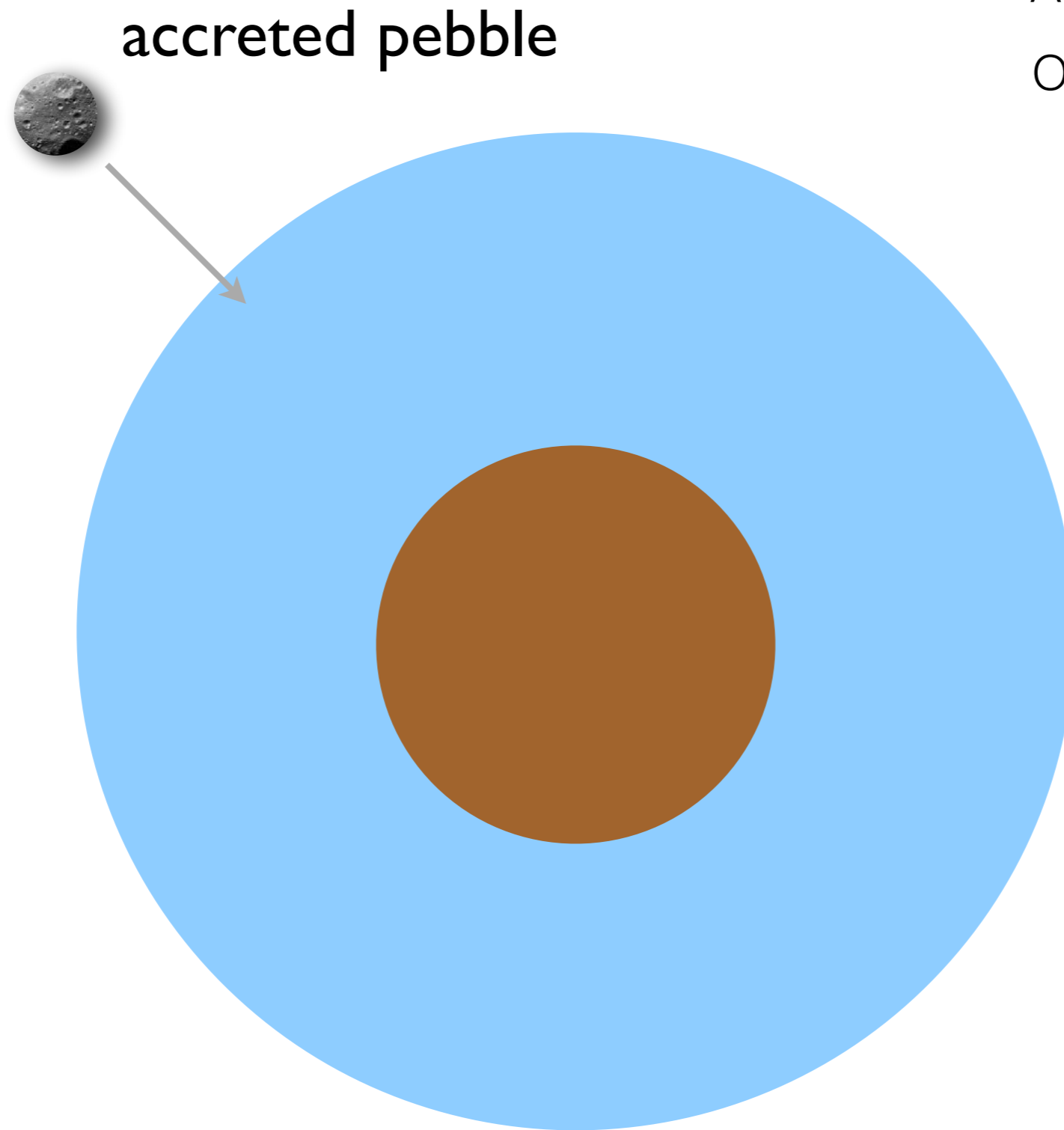
Pebble based: successes and problems

- 1- No timescale problem (even *inversed* timescale problem)
- 2- All disks are observed with pebbles, but they should drift to the star and disappear very rapidly -> recycling process?
- 3- Formation of Neptune planets very unlikely - *no* Hot Neptunes
 - > are they all formed by collision of smaller planets?
 - > rotation axis of exo-Neptunes?
- 4- Pebbles are destroyed in the planetary envelope

Maximum mass of planets formed by pebble accretion

Alibert, astroph-1705.06008

Ormel et al. 2015

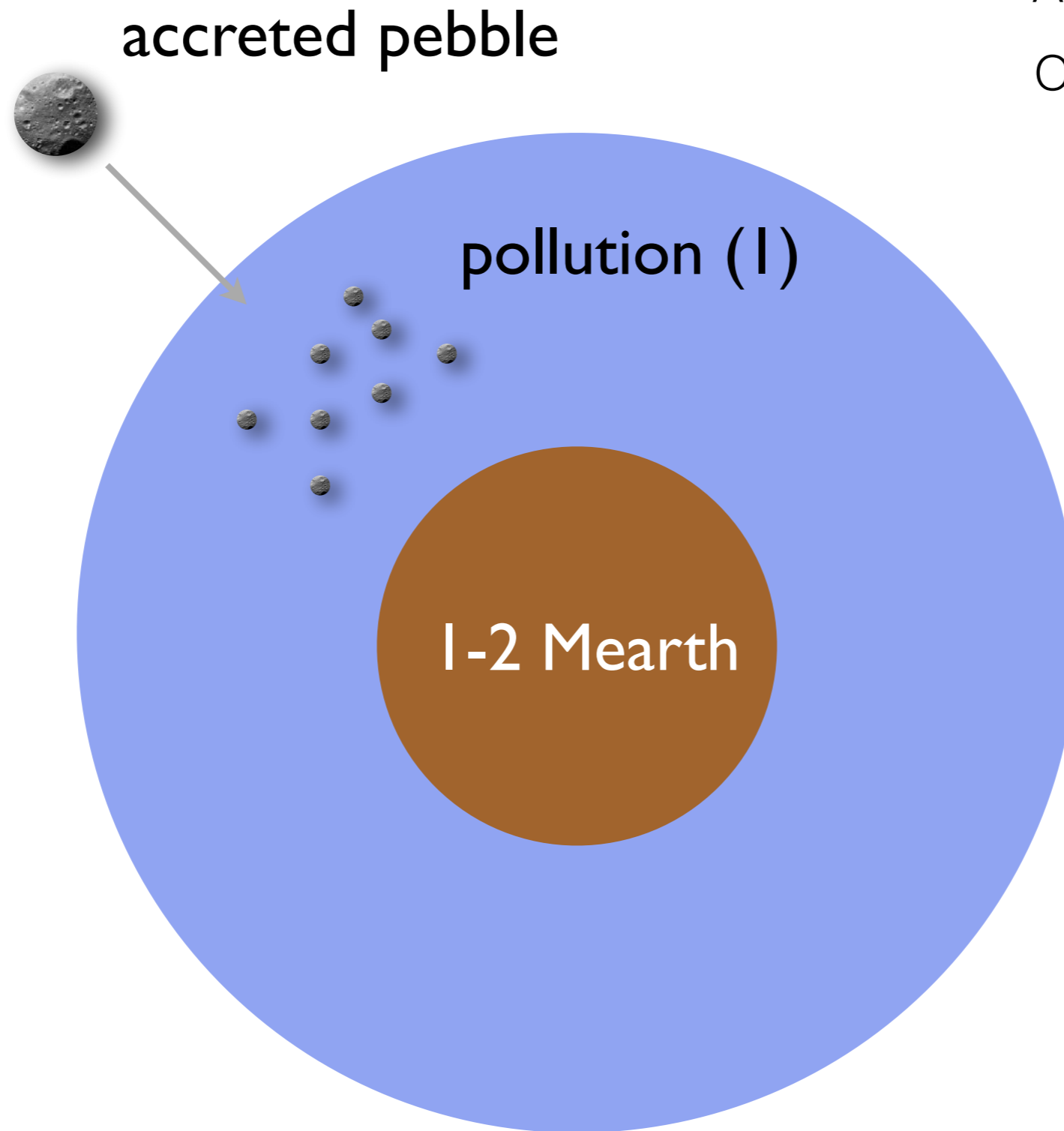


-> accreted pebbles interact with the envelope (gas drag)

Maximum mass of planets formed by pebble accretion

Alibert, astroph-1705.06008

Ormel et al. 2015

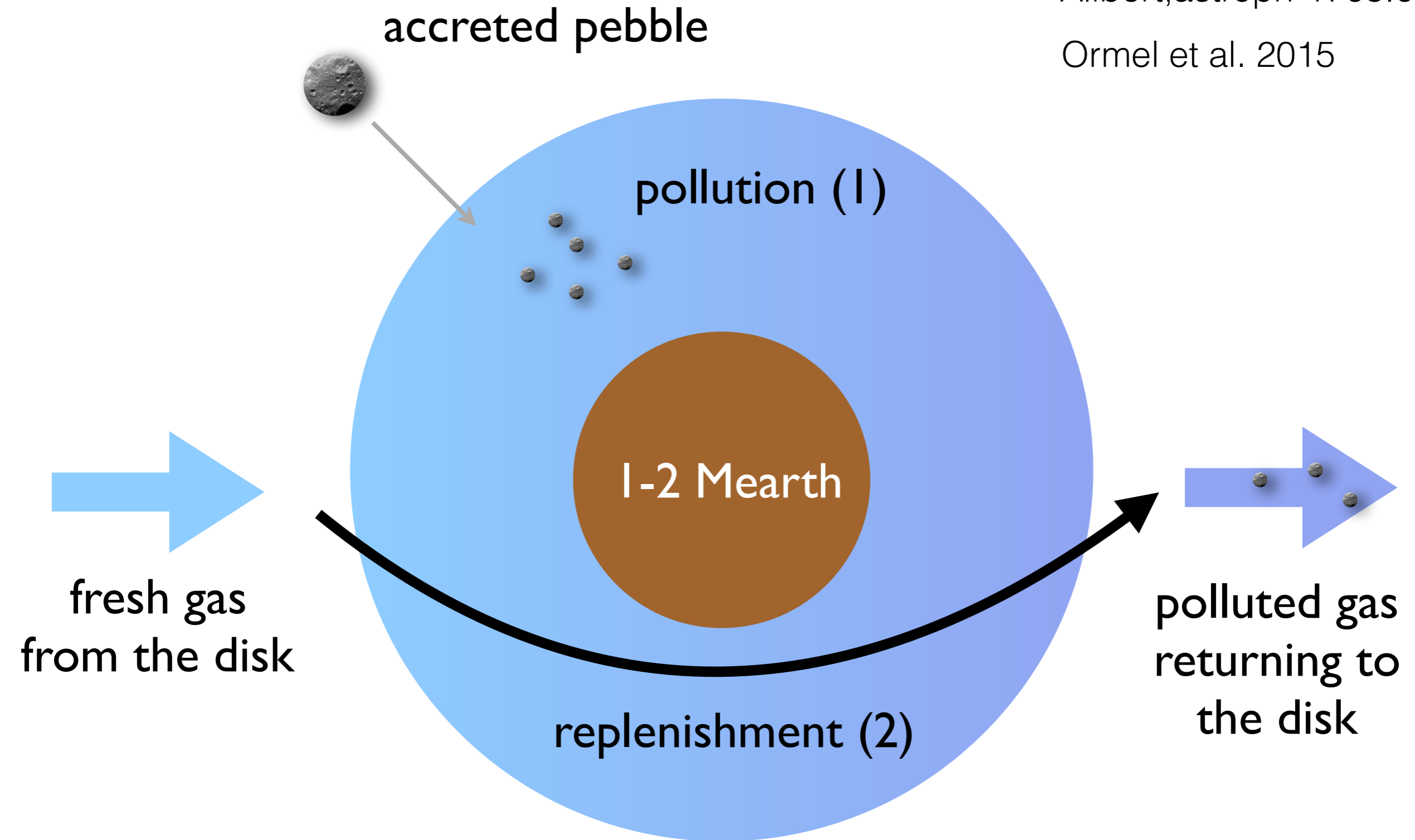


-> heavy material is dispersed and vaporized in the envelope and *does not reach the core*

Maximum mass of planets formed by pebble accretion

Alibert, astroph-1705.06008

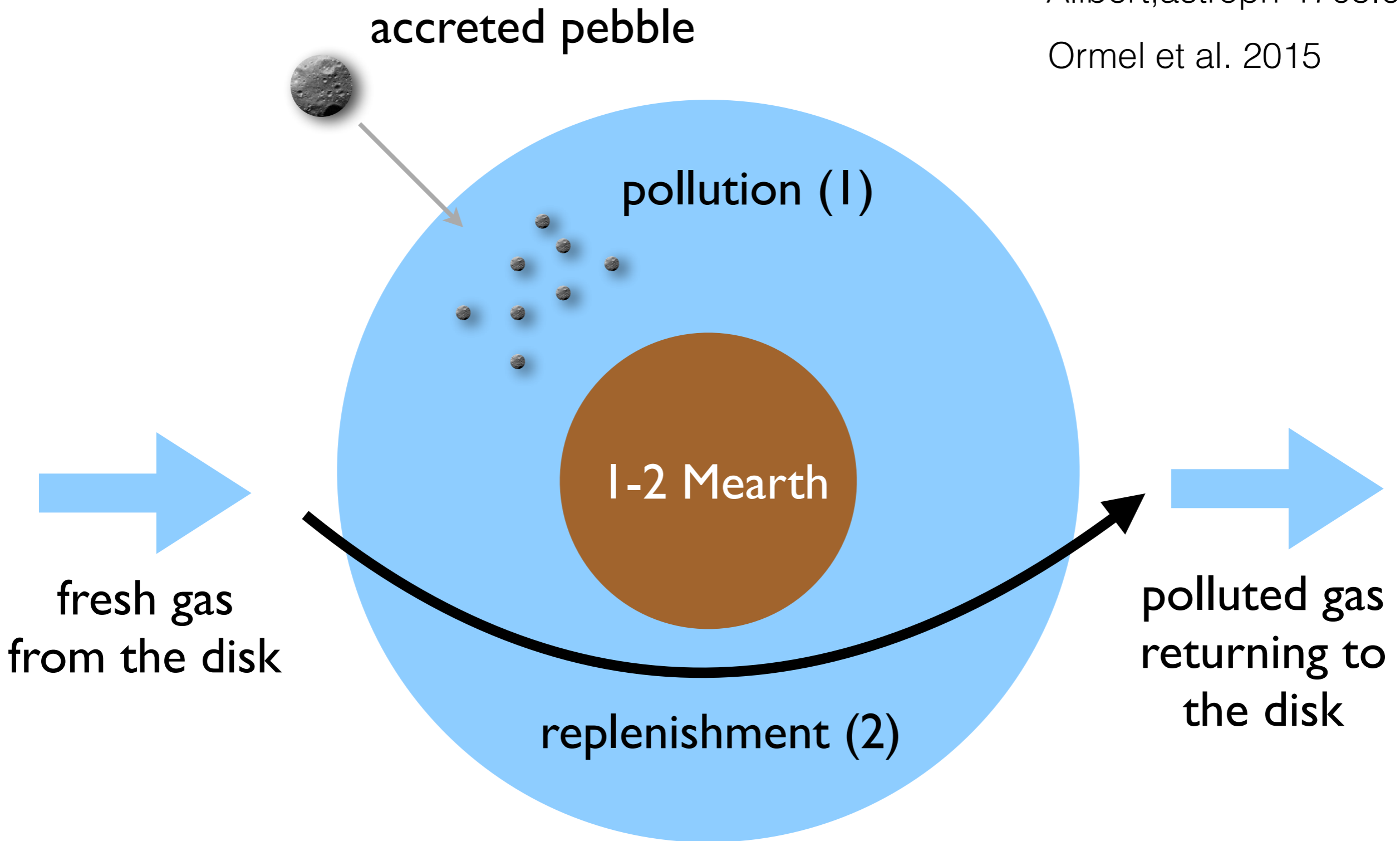
Ormel et al. 2015



Maximum mass of planets formed by pebble accretion

Alibert, astroph-1705.06008

Ormel et al. 2015



gas remains unpolluted if (2) is more rapid than (1), i.e. inside ~ 10 AU

Maximum mass of planets formed by pebble accretion

During core growth by pebble accretion, when the core is larger than $\sim 1-2 M_{\text{Earth}}$:

- > heavy material is dispersed and vaporized in the envelope and **does not reach the core**
- > the heavy elements **cannot accumulate** in the envelope because of mass exchange with the disk, inside ~ 10 AU
- > the total mass of heavy elements is limited to $1-2 M_{\text{Earth}}$
- > the planet **cannot accrete gas** because it is too small

heavy material cannot accumulate neither in the core nor in the envelope, gas cannot be accreted, the planetary growth stops at $\sim 1-2 M_{\text{Earth}}$

-> no planet larger than $\sim 1-2 M_{\text{Earth}}$ can form directly by pebble accretion inside ~ 10 AU

Conclusions

Do not mix integrated models and population synthesis

1- integrated models = stellar evolution models

2- population synthesis = compute HR diagram

Population synthesis model can be used for:

1- get global picture of planetary formation

2- understand/predict planet statistics from different observation means

Population synthesis models require the knowledge of the bias - 'blind' observations better to avoid the 'observer bias'

Microlensing observations constraint a part of the aM diagram that is not probed by other means \Rightarrow strong constraint on models