

Atmospheric Retrieval 101: Extracting Science from Spectra

Michael Line
Hubble Fellow Nasa Ames
Arizona State University (~1 month)

Outline

- **Retrieval Demystified**
- **Forward Models—the art of parameterizing complex atmospheres**
- **Bayesian Tools**
- **Some cool results**

Previous Method of Interpretation

No quantification of uncertainties-“eyeballed fits” resulting in model driven conclusions

Difficult to diagnose why models don't fit

Need a statistically rigorous, data driven framework

Retrieval in a Nutshell

The problem we are trying to solve is...

$$y = F(x) + \epsilon$$

↑
Measurements
(spectra)

Physics
(Radiative Transfer)

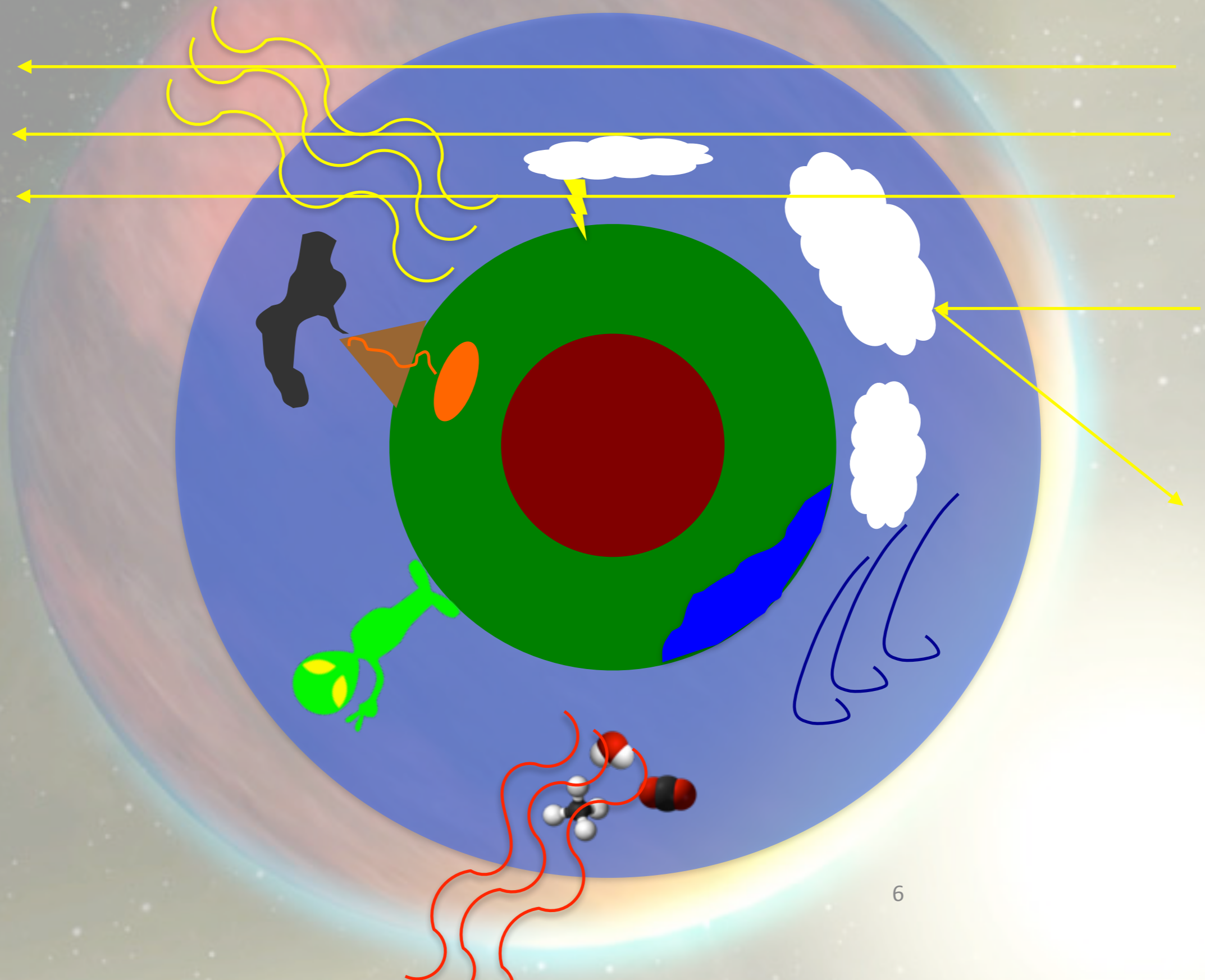
↑
State (what we want)
(temperatures, abundances, clouds)

↑
Noise ☹️

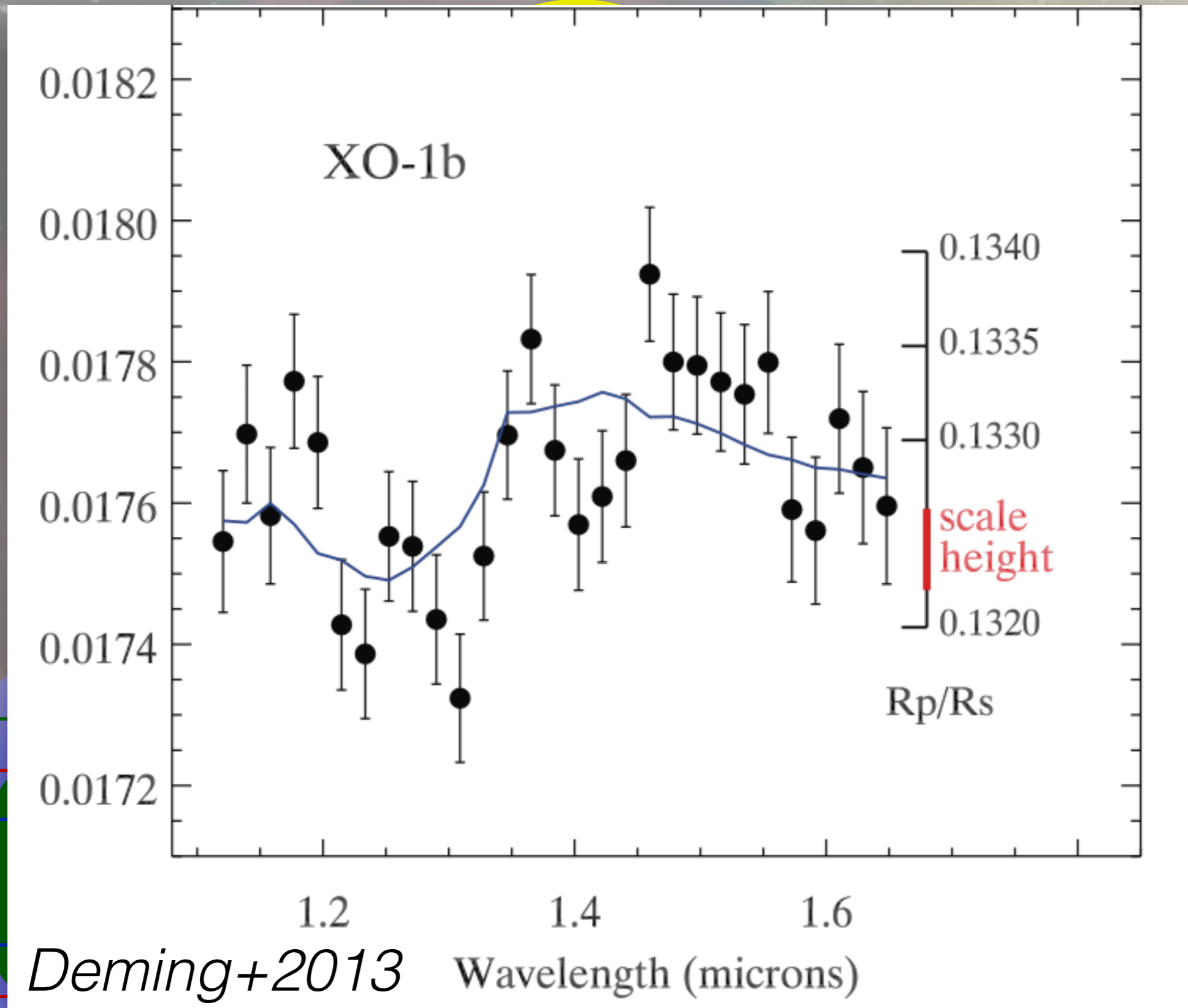


***Forward Models: Relating Atmospheric
Properties to Observables***

What is x?

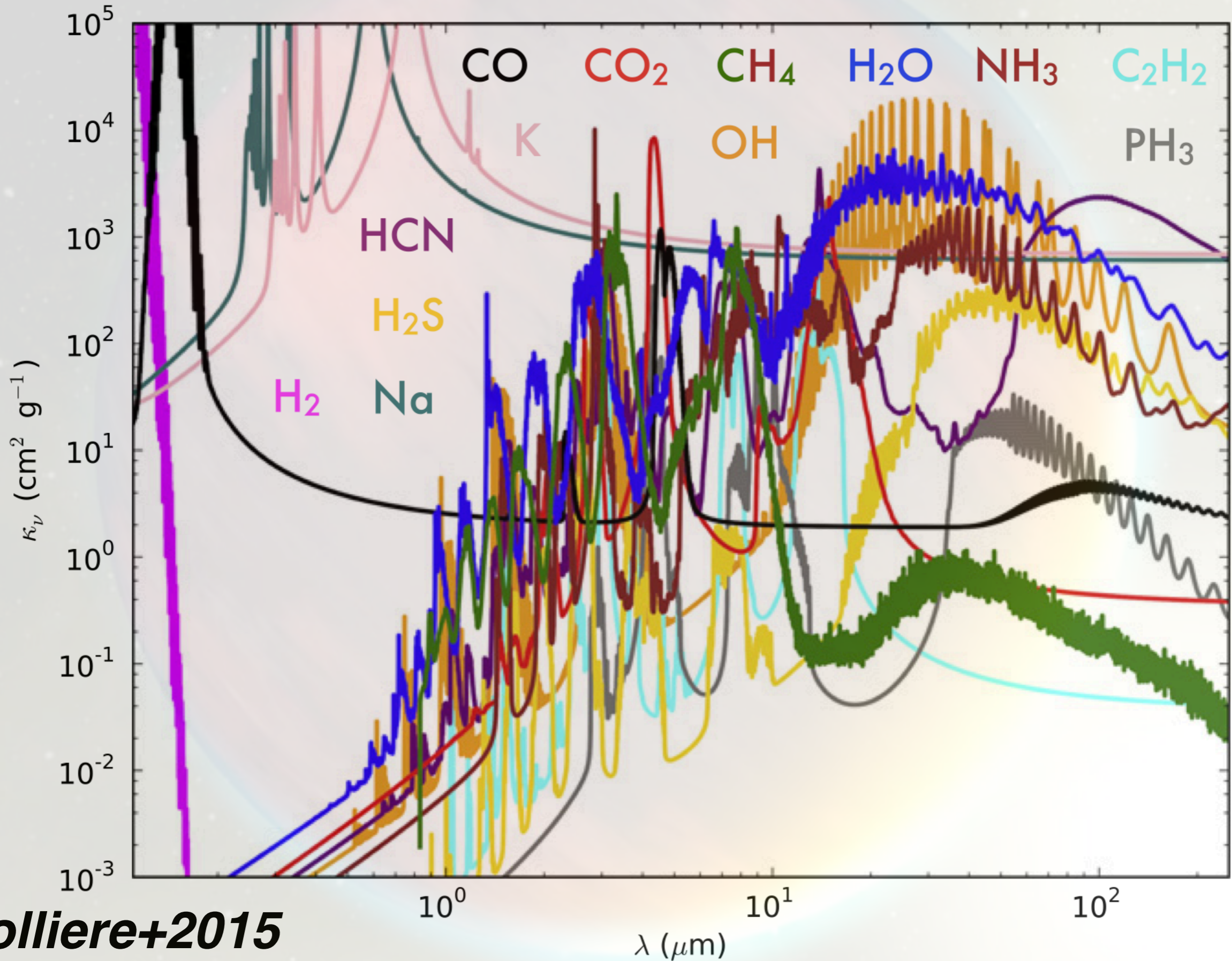


F(x): Transmission

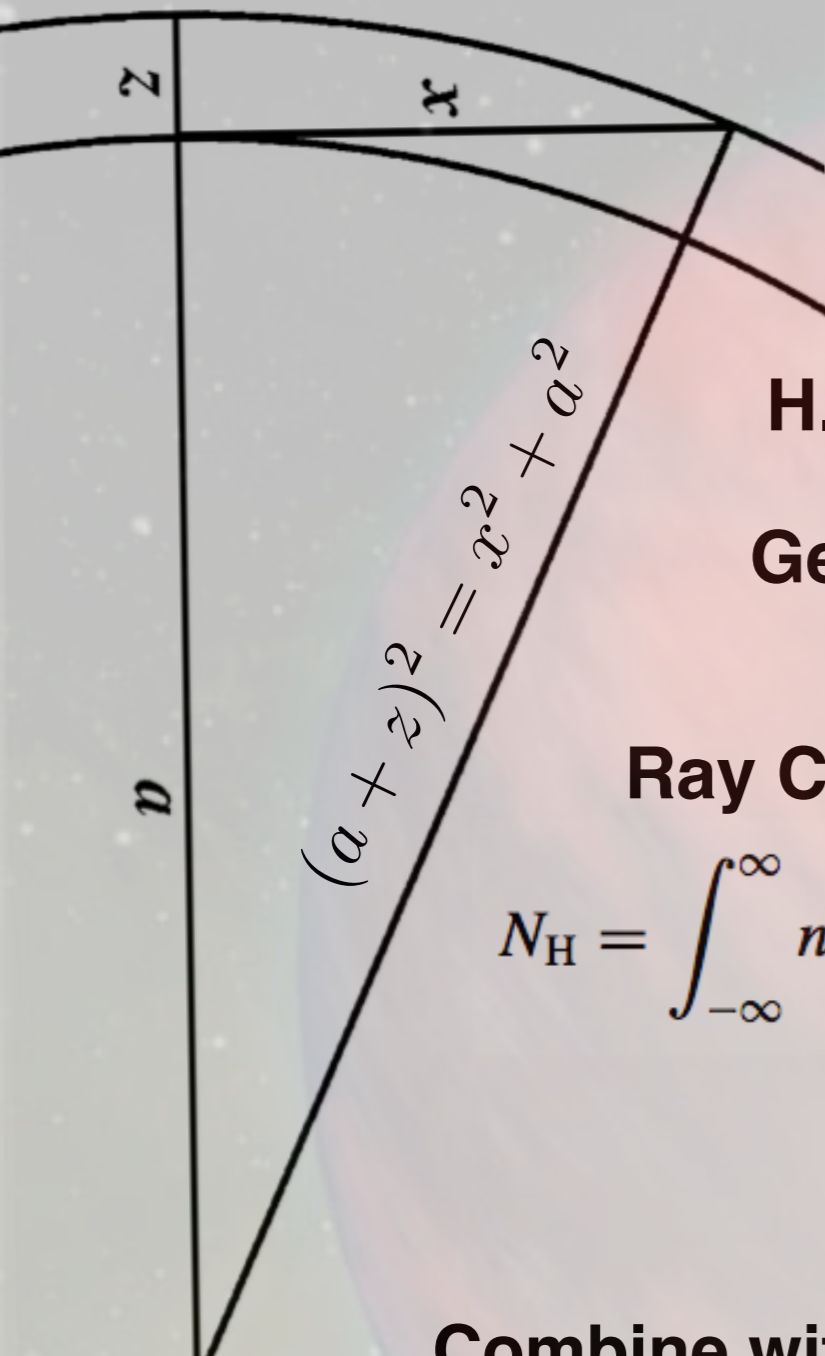


wavelength

Under the Rug: Opacities



F(x): Transmission



H.E: $n(z) = n_0 e^{-z/H}$

Geom, $z \ll a$: $z \approx \frac{x^2}{2a}$

$$n(x) = n_0 \exp\left(\frac{-x^2}{2aH}\right)$$

$$H = \frac{k_b T}{\mu g}$$

Ray Column Abund.

$$N_H = \int_{-\infty}^{\infty} n(x) dx = n_0 \sqrt{2\pi a H}$$

Optical Depth along tangent ray at some Z

$$\tau_\lambda(z) = \sigma_\lambda(T, P) \frac{P(z)}{k_B T} \sqrt{2\pi R_p H}$$

Combine with H.E. and re-arrange:

$$z(\lambda) = H \ln\left(\xi_{\text{abs}} P_{z=0} \sigma_{\text{abs}}(\lambda) / \tau_{\text{eq}} \times \sqrt{2\pi R_p / kT \mu g}\right)$$

Effective Eclipse Depth:

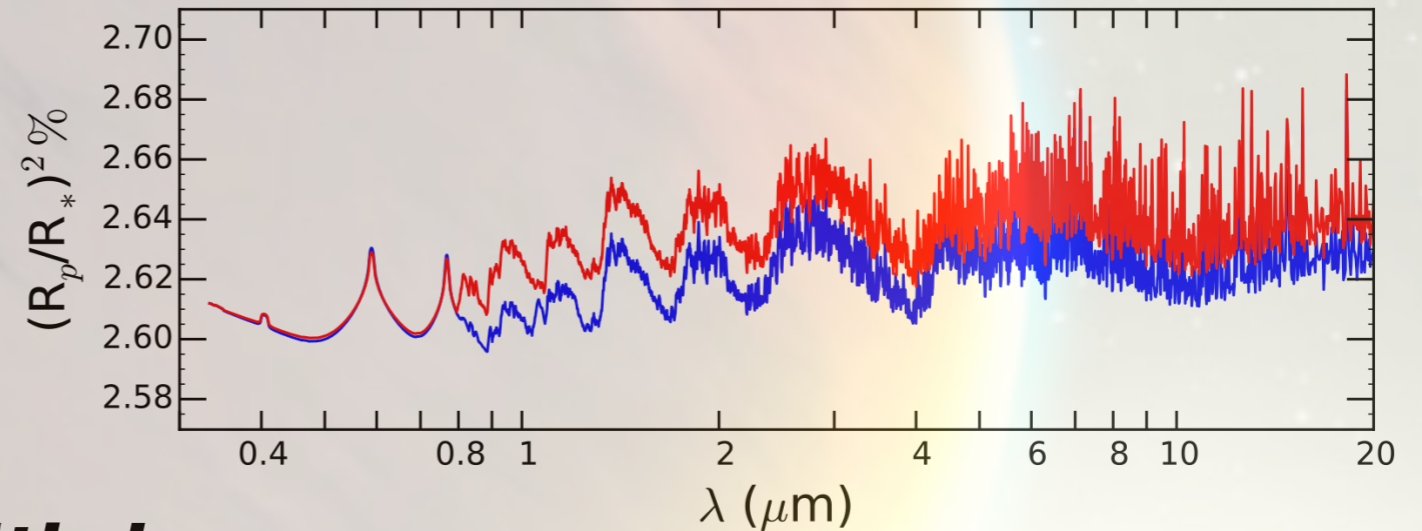
$$\alpha_\lambda \approx \left(\frac{R_p}{R_{\text{star}}}\right)^2 + \frac{2R_p z_\lambda}{R_{\text{star}}^2}$$

F(x): Transmission

$$z(\lambda) = H \ln \left(\xi_{\text{abs}} P_{z=0} \sigma_{\text{abs}}(\lambda) / \tau_{\text{eq}} \times \sqrt{2\pi R_p / kT \mu g} \right) \quad \alpha_\lambda \approx \left(\frac{R_p}{R_{\text{star}}} \right)^2 + \frac{2R_p z_\lambda}{R_{\text{star}}^2}$$

Spectral “shape” for a single gas

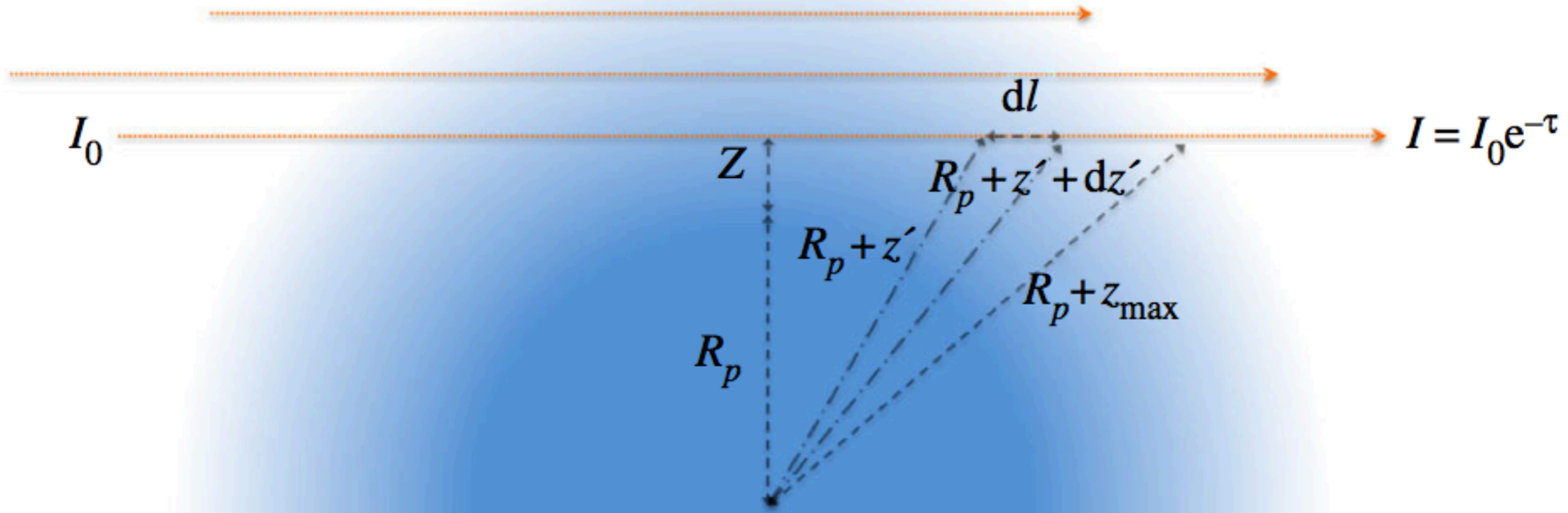
$$\frac{d\alpha_\lambda}{d\lambda} = \frac{2R_p}{R_{\text{star}}^2} H \frac{d \ln(\sigma_\lambda)}{d\lambda}$$



Spectral “shape” for multiple gases

$$\frac{d\alpha_\lambda}{d\lambda} = \frac{2R_p}{R_{\text{star}}^2} H \frac{1}{1 + \frac{\xi_2 \sigma_{\lambda,2}}{\xi_1 \sigma_{\lambda,1}}} \left(\frac{d \ln \sigma_{\lambda,1}}{d\lambda} + \frac{\xi_2 \sigma_{\lambda,2}}{\xi_1 \sigma_{\lambda,1}} \frac{d \ln \sigma_{\lambda,2}}{d\lambda} \right)$$

F(x): Transmission

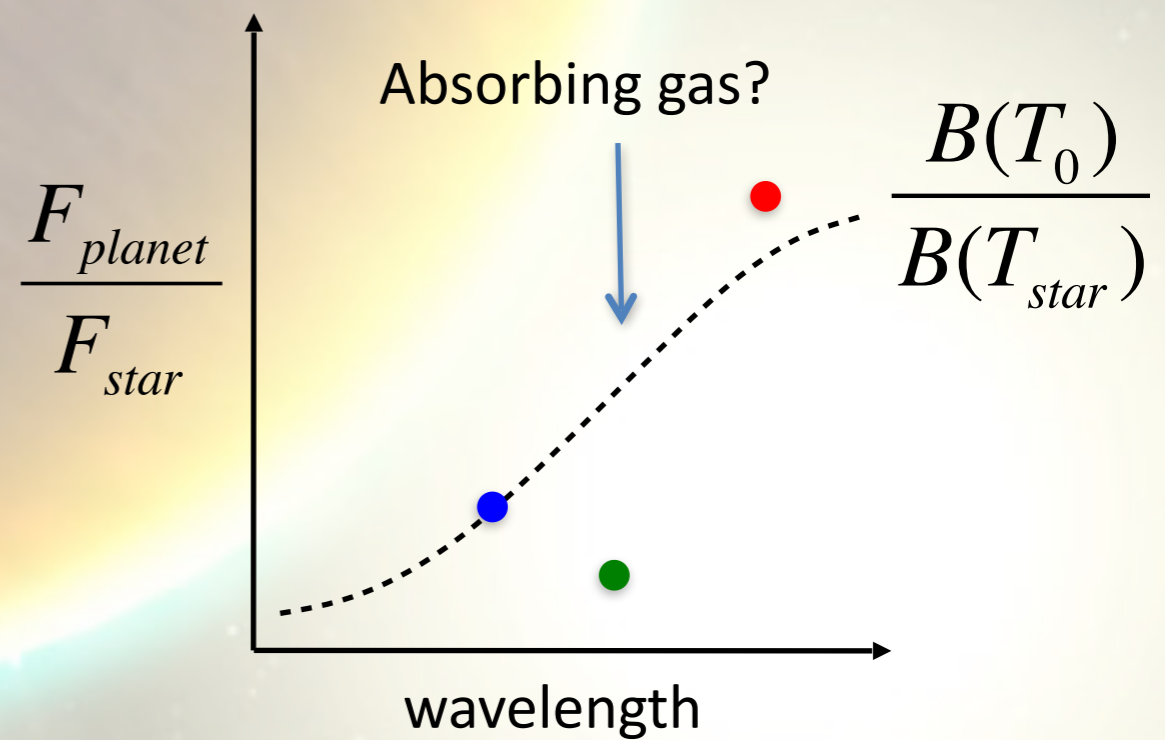
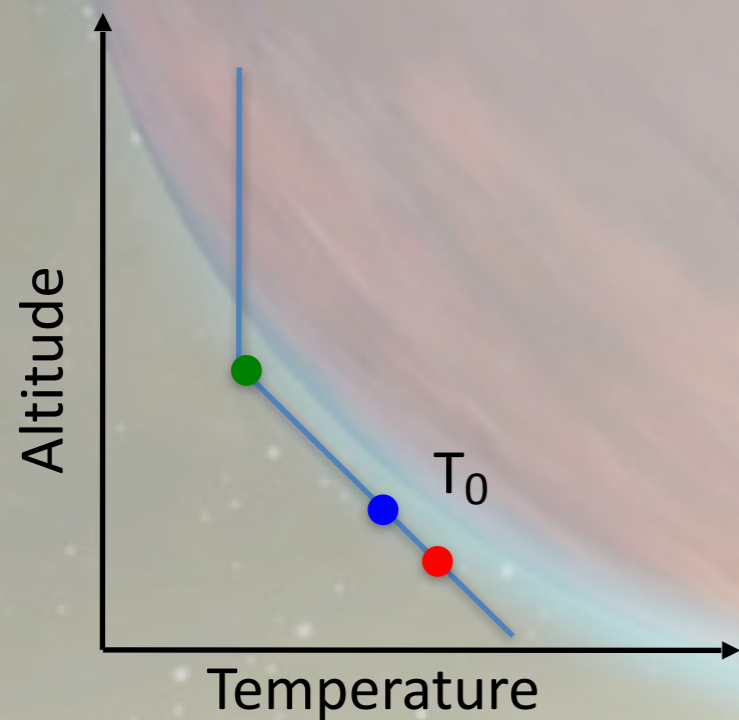
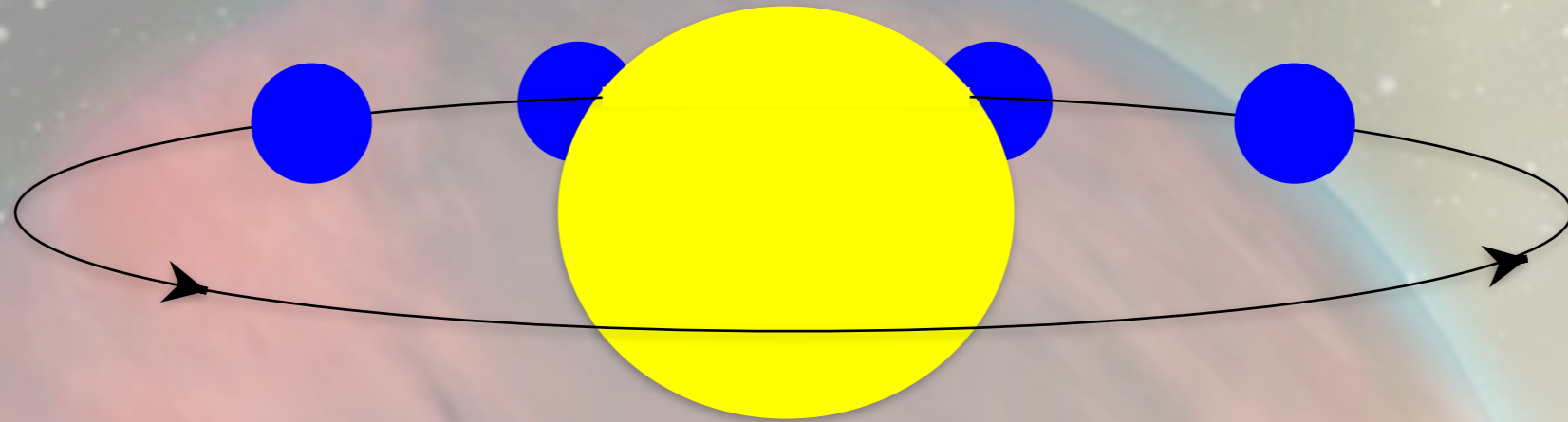


$$\tau_i(\lambda, z) = 2 \int_0^{\ell(z)} \rho(z') \chi_i(z') \sigma_i(\lambda, T) d\ell$$

$$d\ell = \sqrt{(R_p + z' + dz')^2 - (R_p + z)^2} - \sqrt{(R_p + z')^2 - (R_p + z)^2}$$

$$\kappa(\lambda) = \frac{R_p^2 + 2 \int_0^{z_{\max}} (R_p + z) (1 - e^{-\tau(z, \lambda)}) dz}{R_*^2}$$

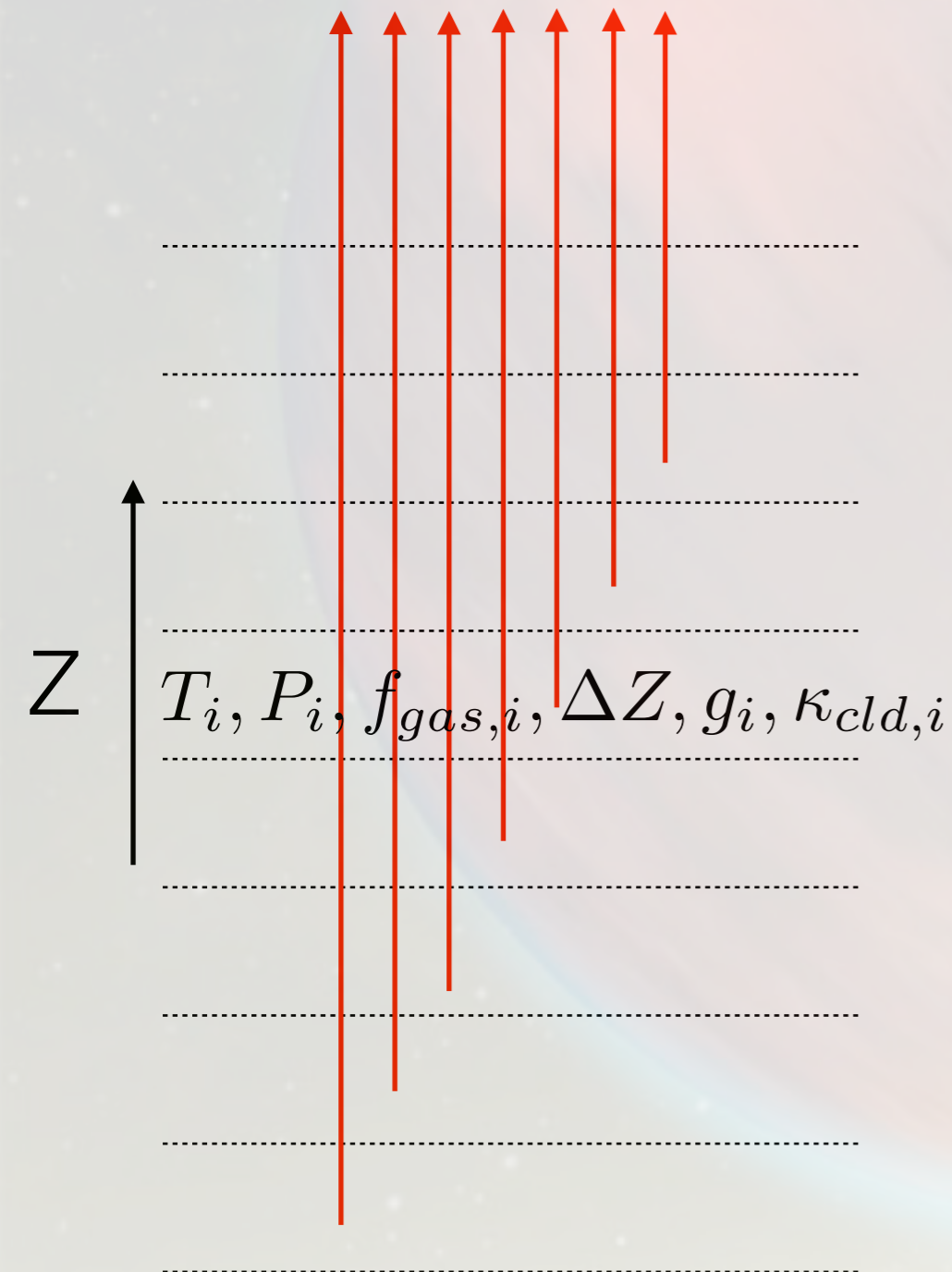
F(x): Emission



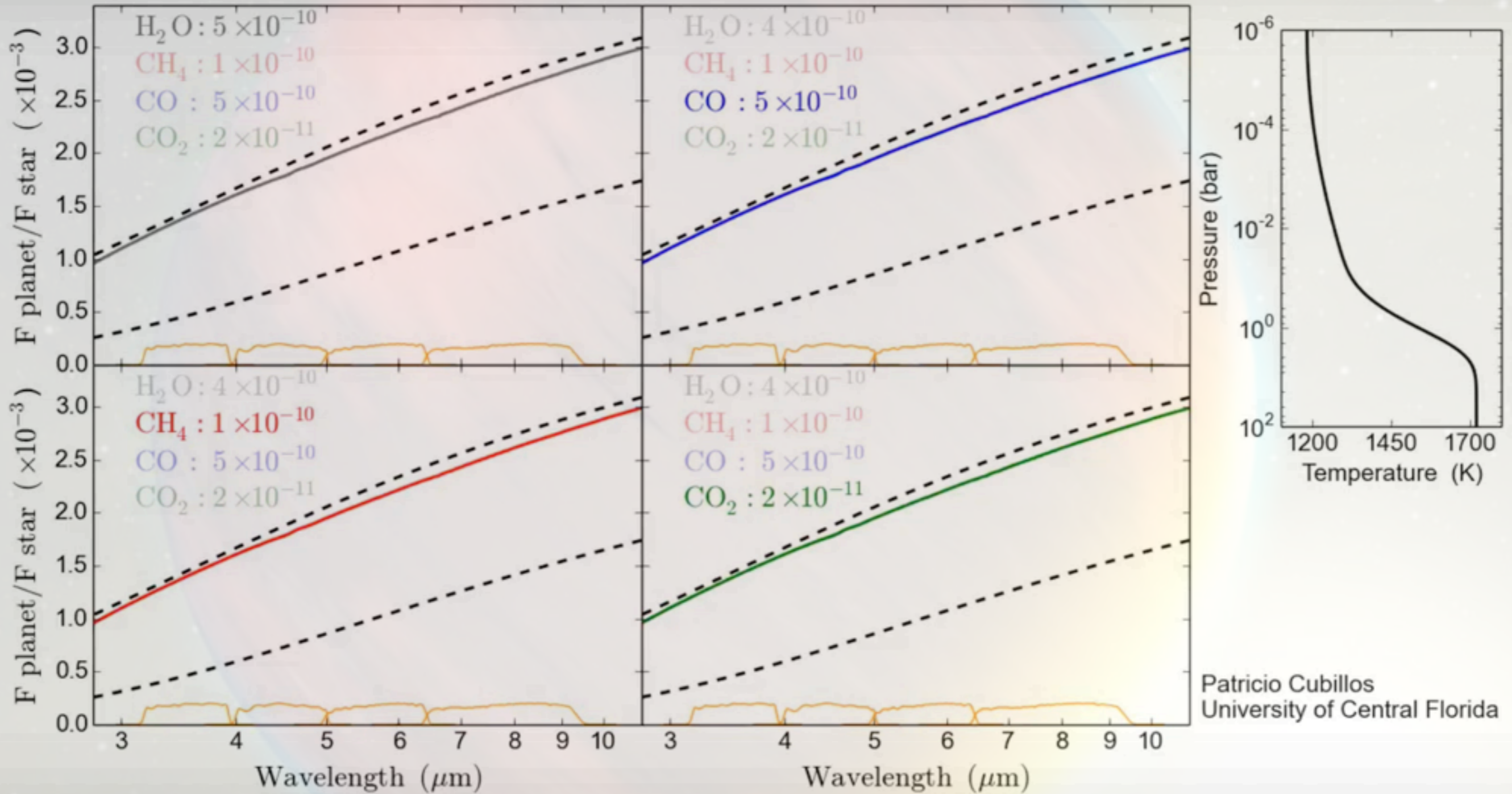
F(x): Emission

$$F_{\lambda} = \int_{d\Omega} \int_{\tau} B_{\lambda}(T) e^{-\tau_{\lambda}} d\tau_{\lambda} d\Omega$$

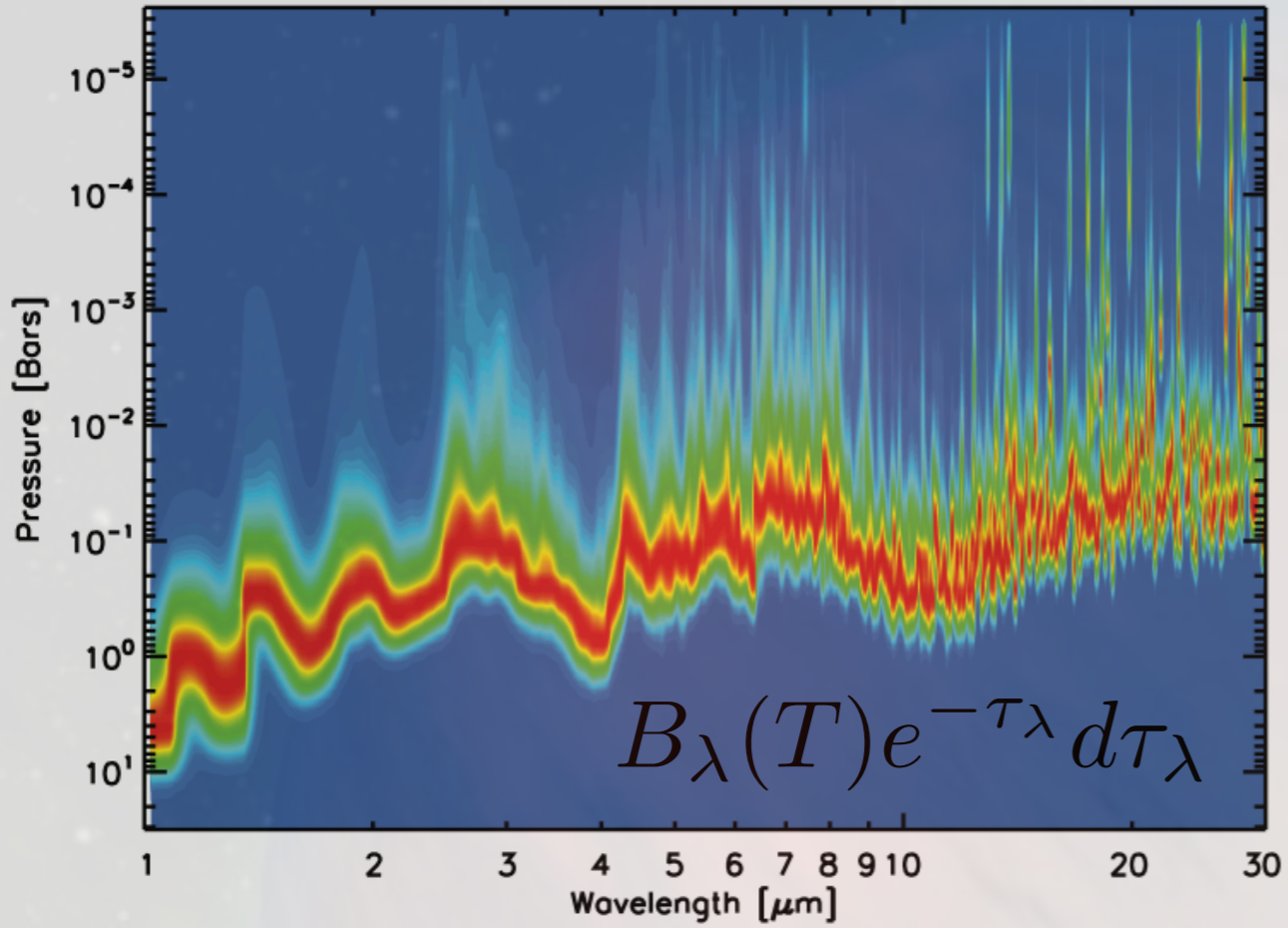
$$\Delta\tau_{k,z,\lambda} = f_{k,z} \sigma_{k,z,\lambda} \frac{\Delta P_z}{\mu_{\text{atm}} g}$$



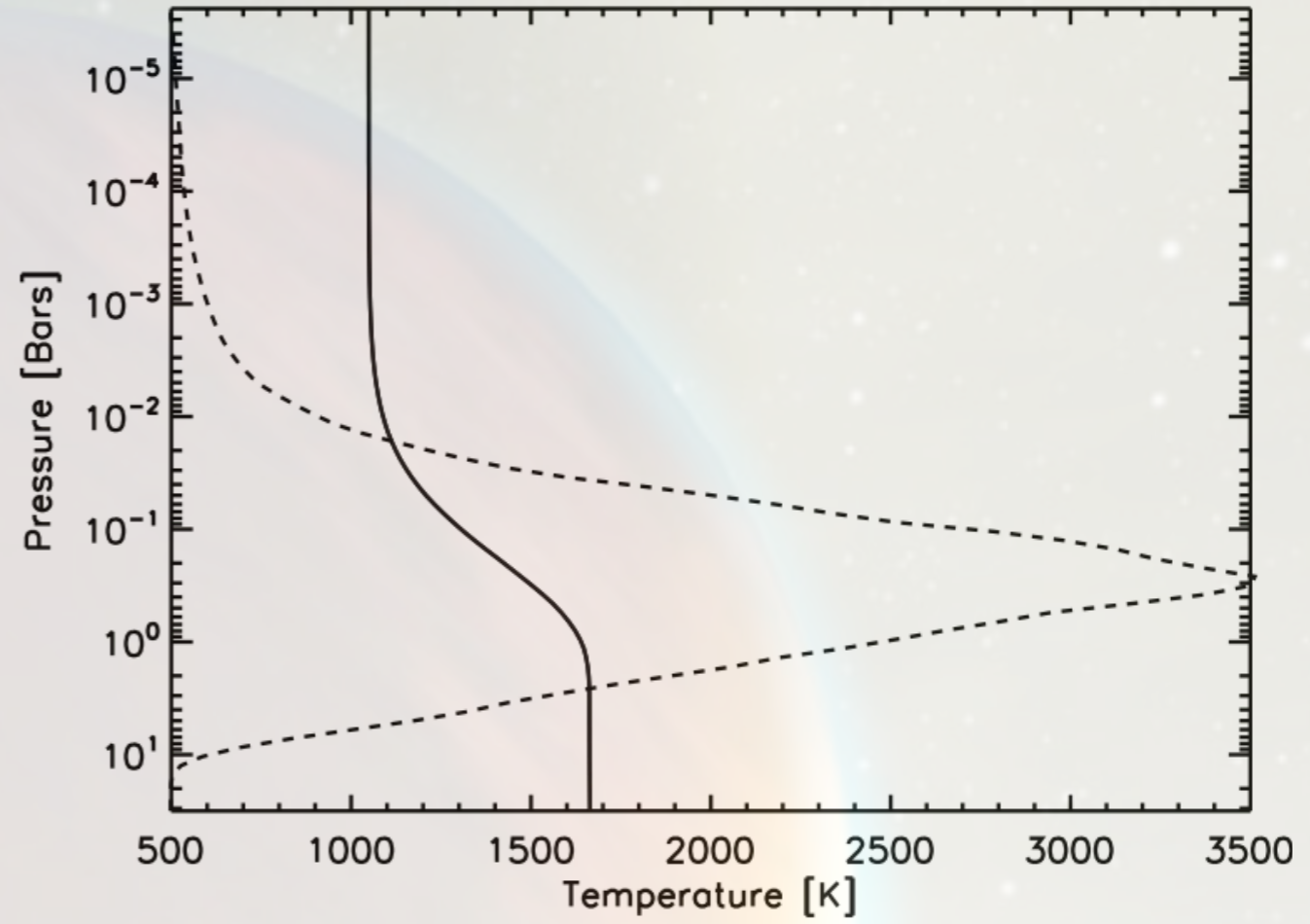
F(x): Emission



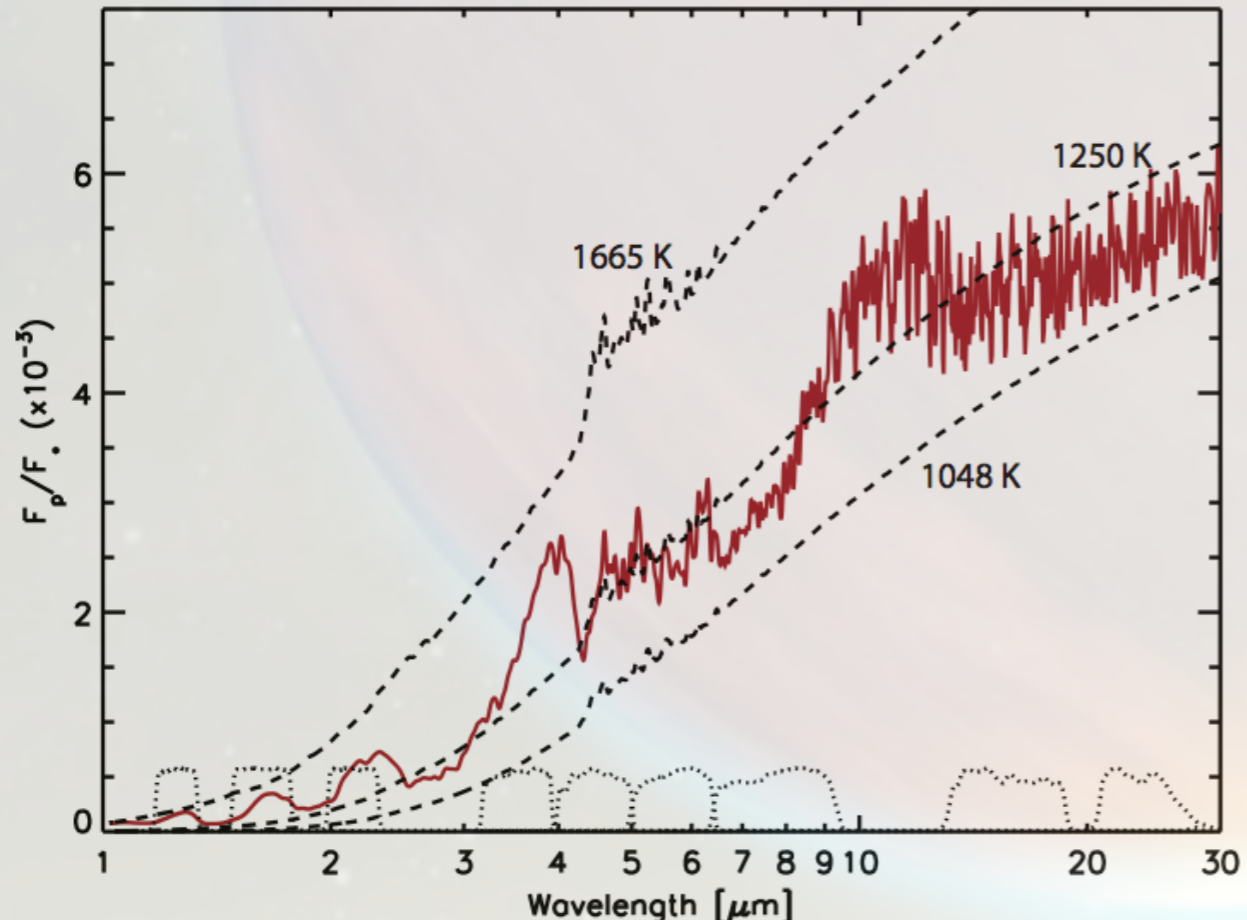
Thermal Emission Weighting Functions



Temperature Profile



Planet Spectrum



Basic Retrieval Setup

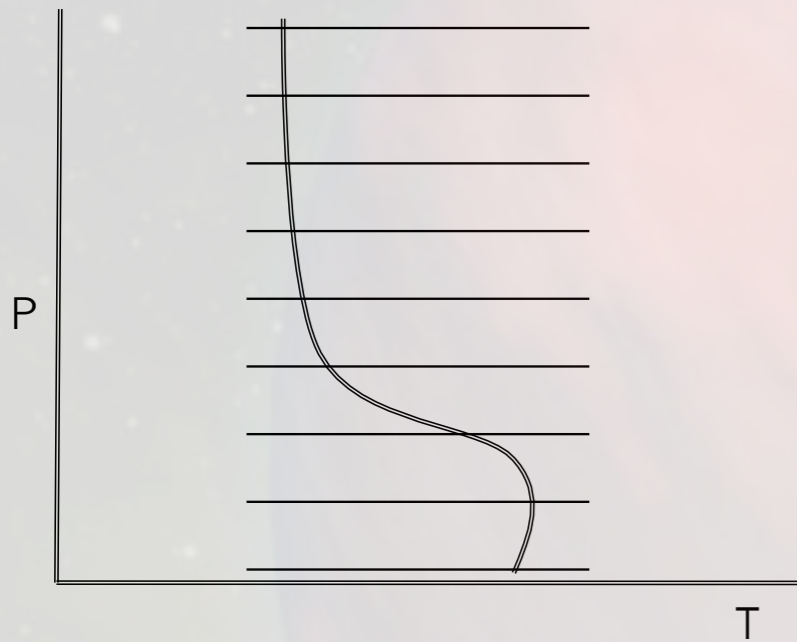
Typical Retrieved Parameters:

- Molecular Abundances: H₂O, CH₄, CO, CO₂
(sometimes NH₃, C₂H₂, HCN, H₂S, TiO, Na/K)
- Thermal structure in Emission (parameterized or L-b-L) (usually includes albedo/redistribution)
- Clouds—usually a “cloud-top-pressure” in transmission—generally ignored in emission
- C/O, [Fe/H] derived from Molecular abundances

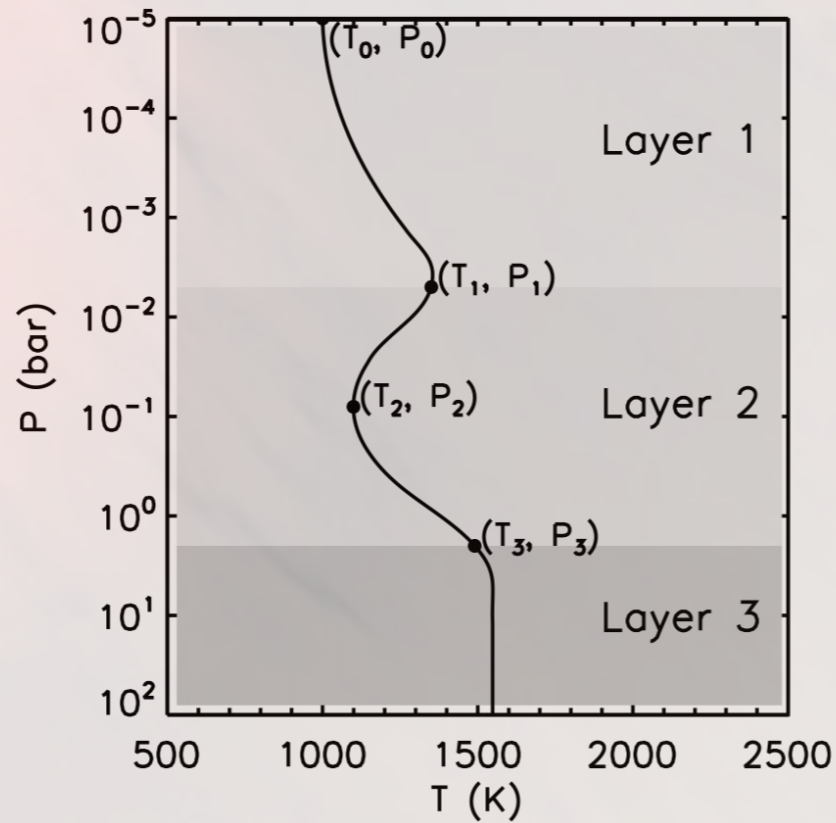
$$\ln \mathcal{L}(\mathbf{y}|\mathbf{x}) = -\frac{1}{2} \sum_{i=1}^n \frac{(y_i - F_i(\mathbf{x}))^2}{s_i^2}$$

TP-Profile Parameterizations

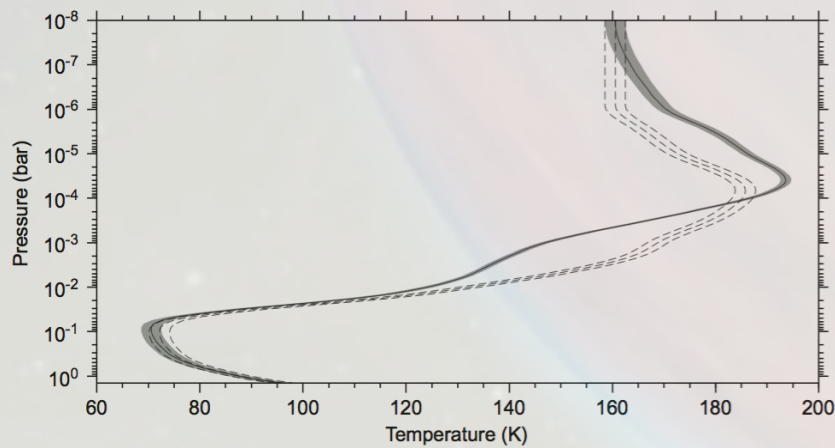
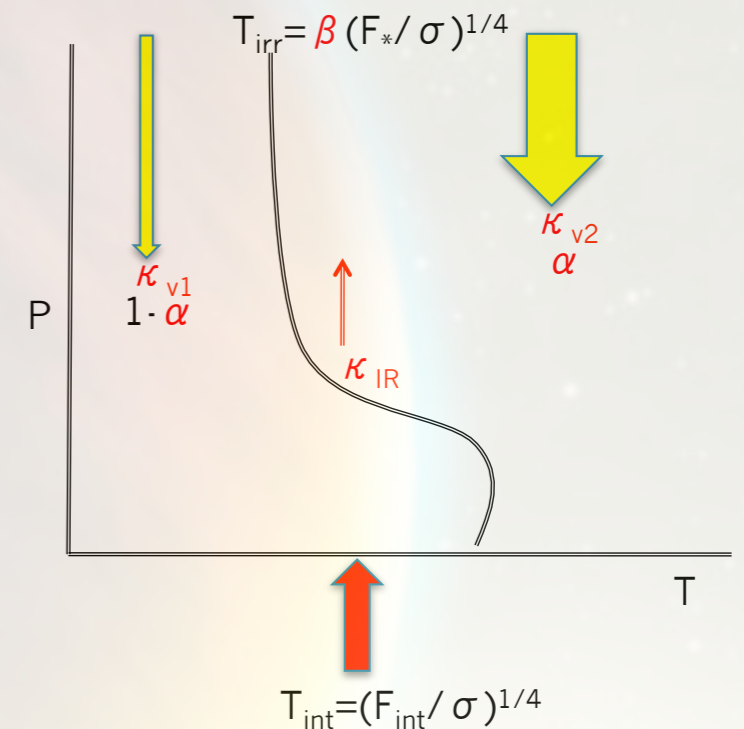
Classic-L-b-L



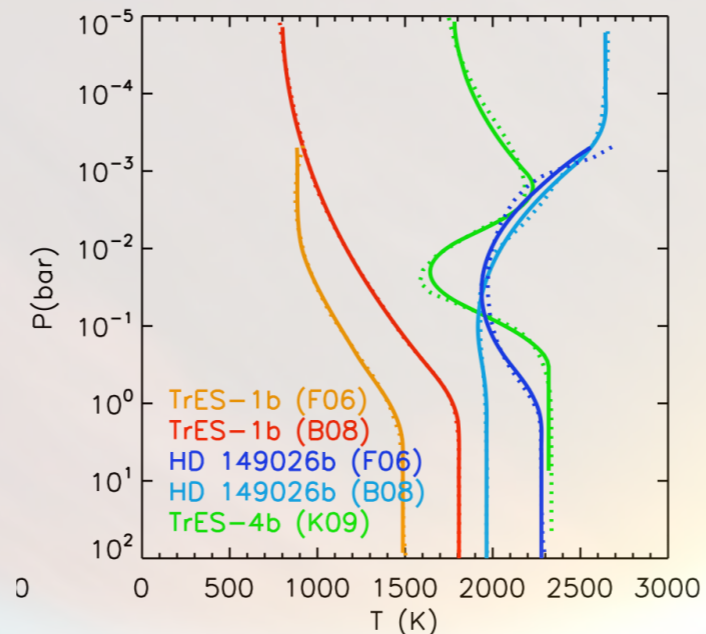
Parameterized



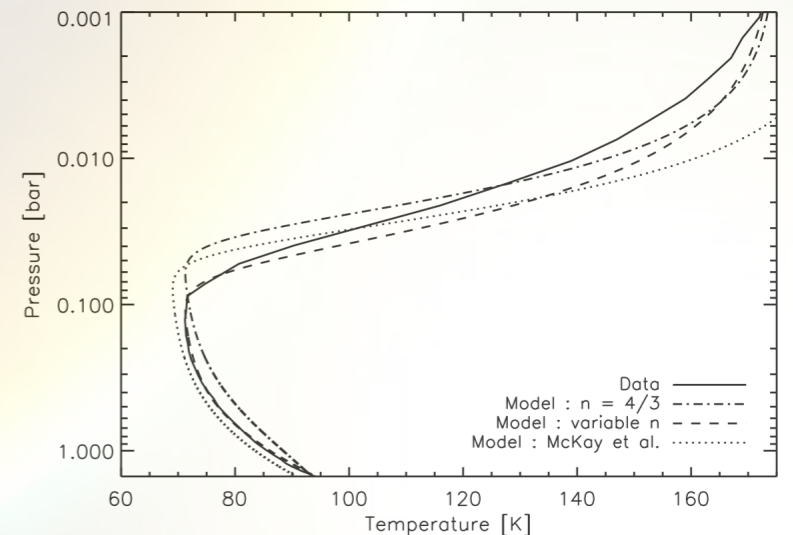
Physically Motivated Parameterization



Irwin+2008



M&S 2009



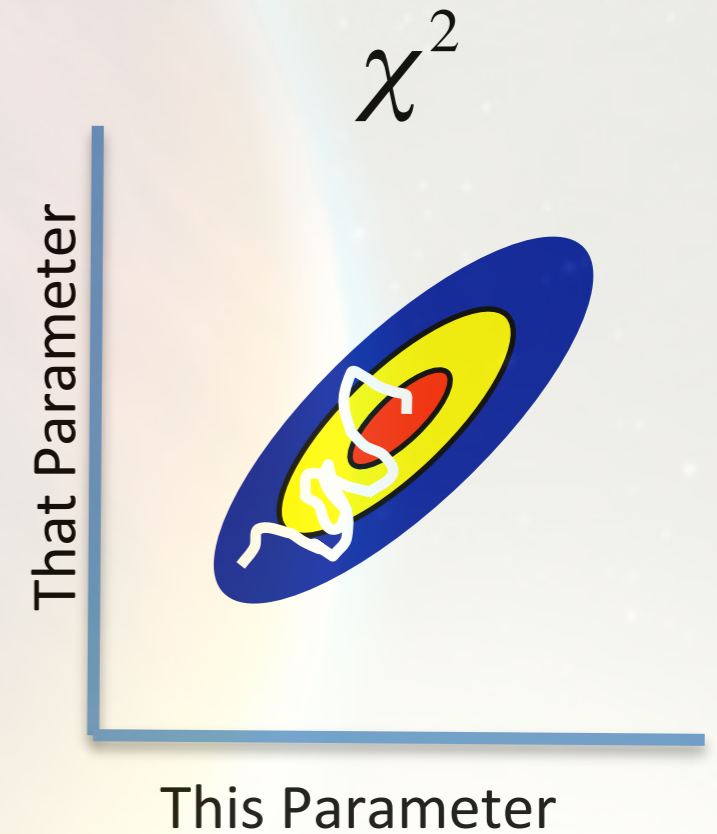
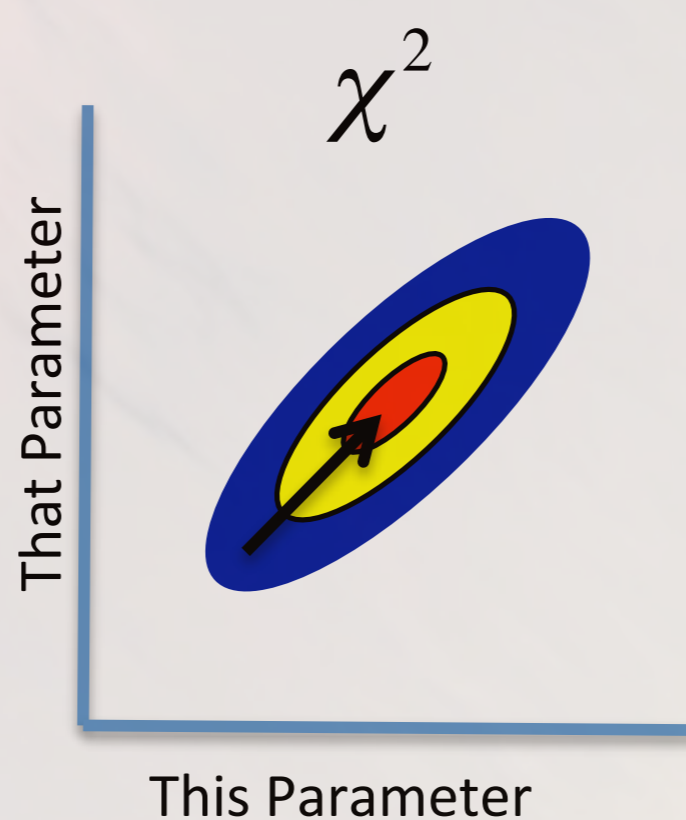
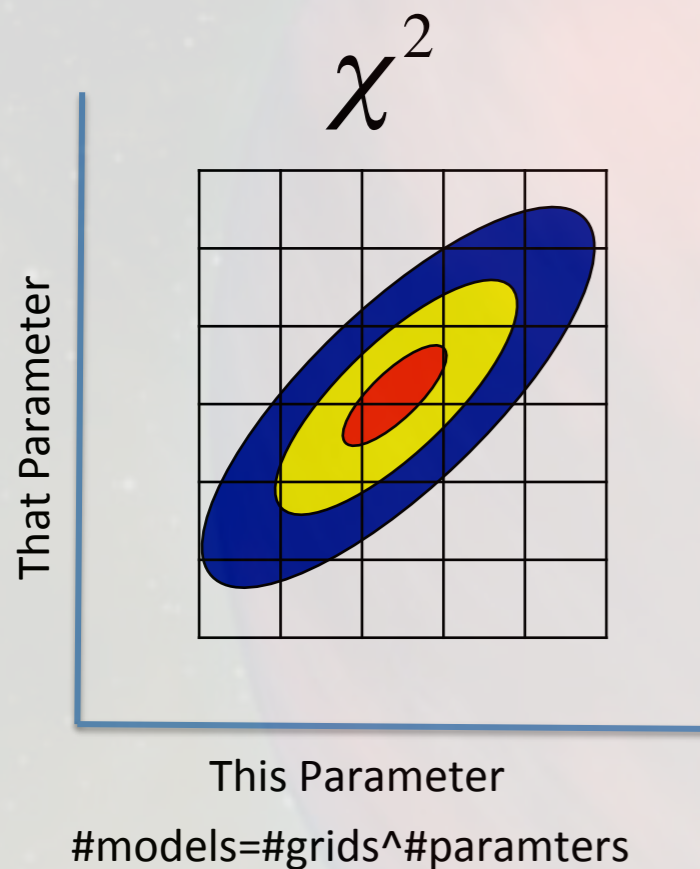
Guillot 2010; Robinson & Catling 2012

Parameter Estimation Tools

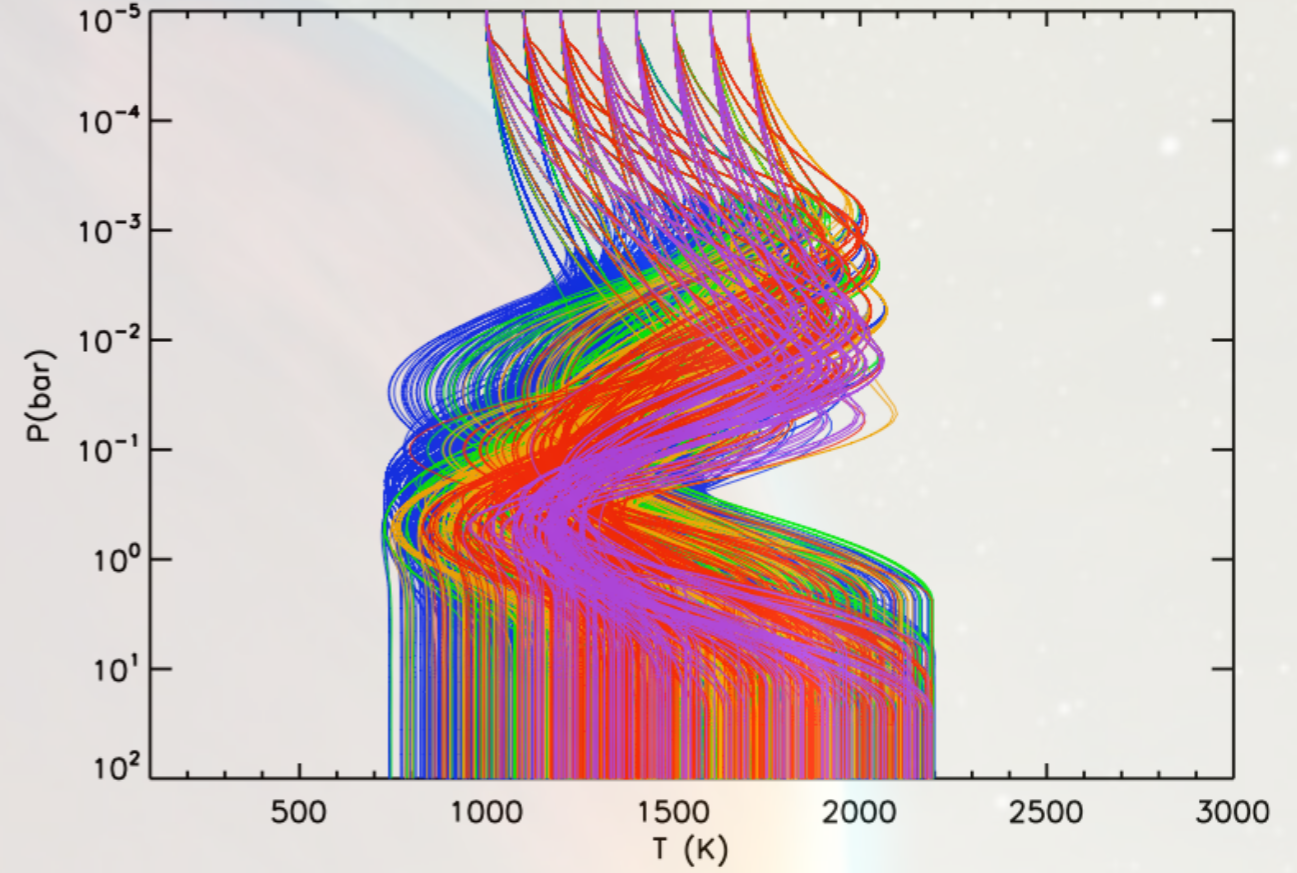
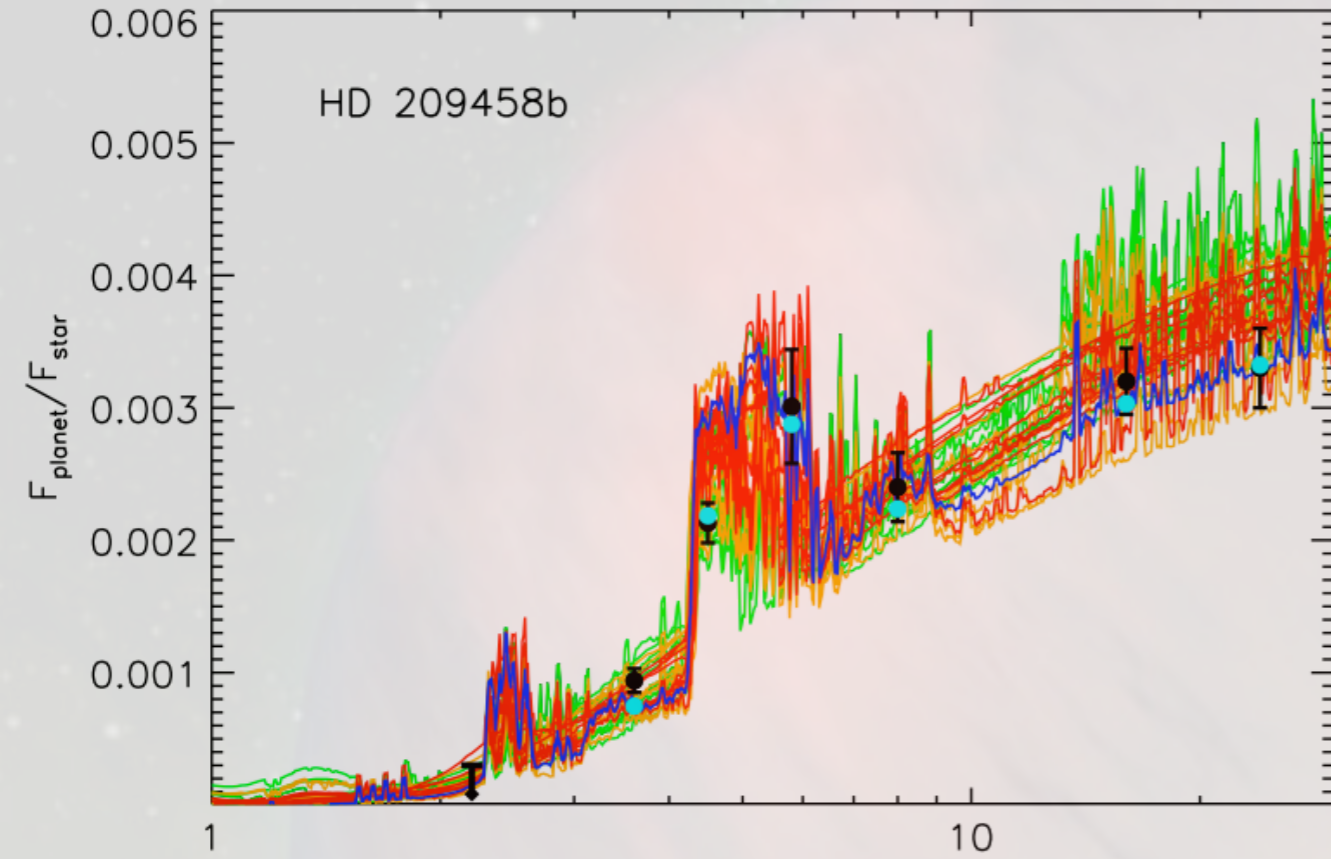
Grid Search

Optimal Estimation

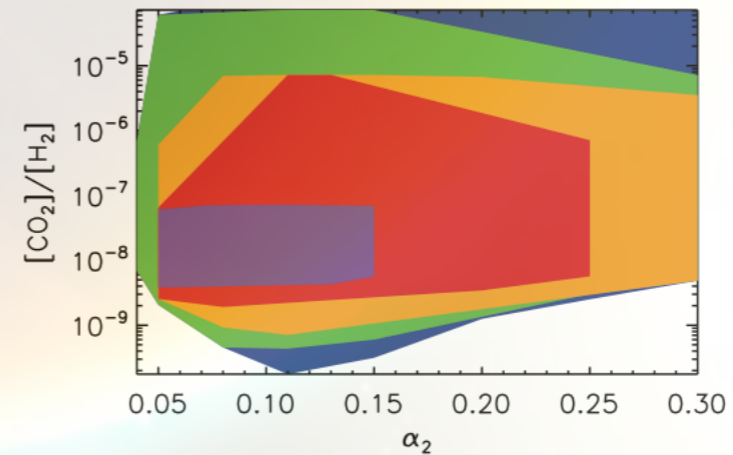
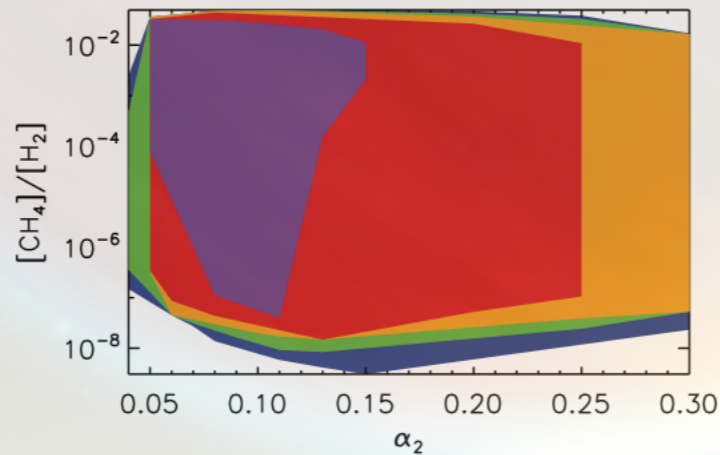
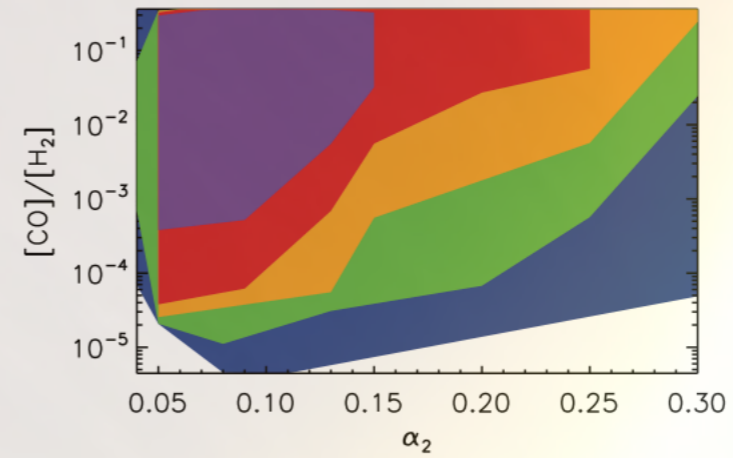
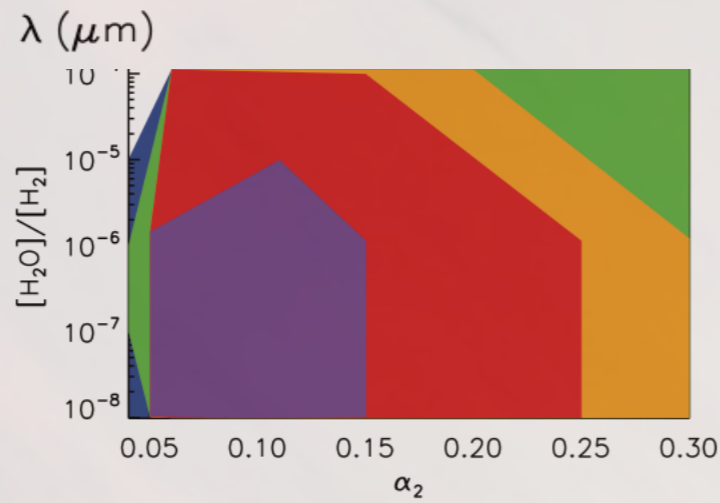
MCMC



Grid Search: First Quantification of TP/Abundance uncertainties

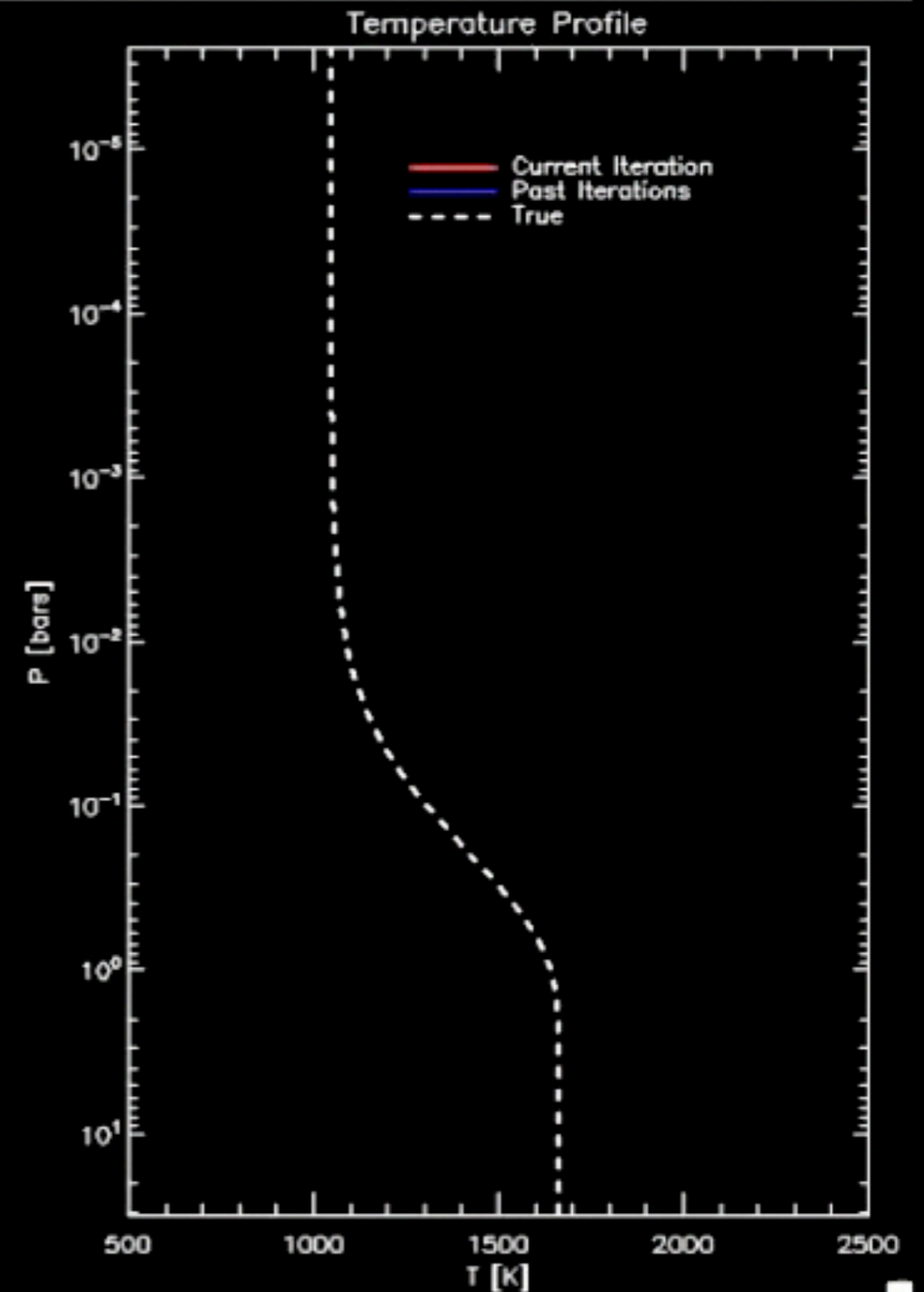
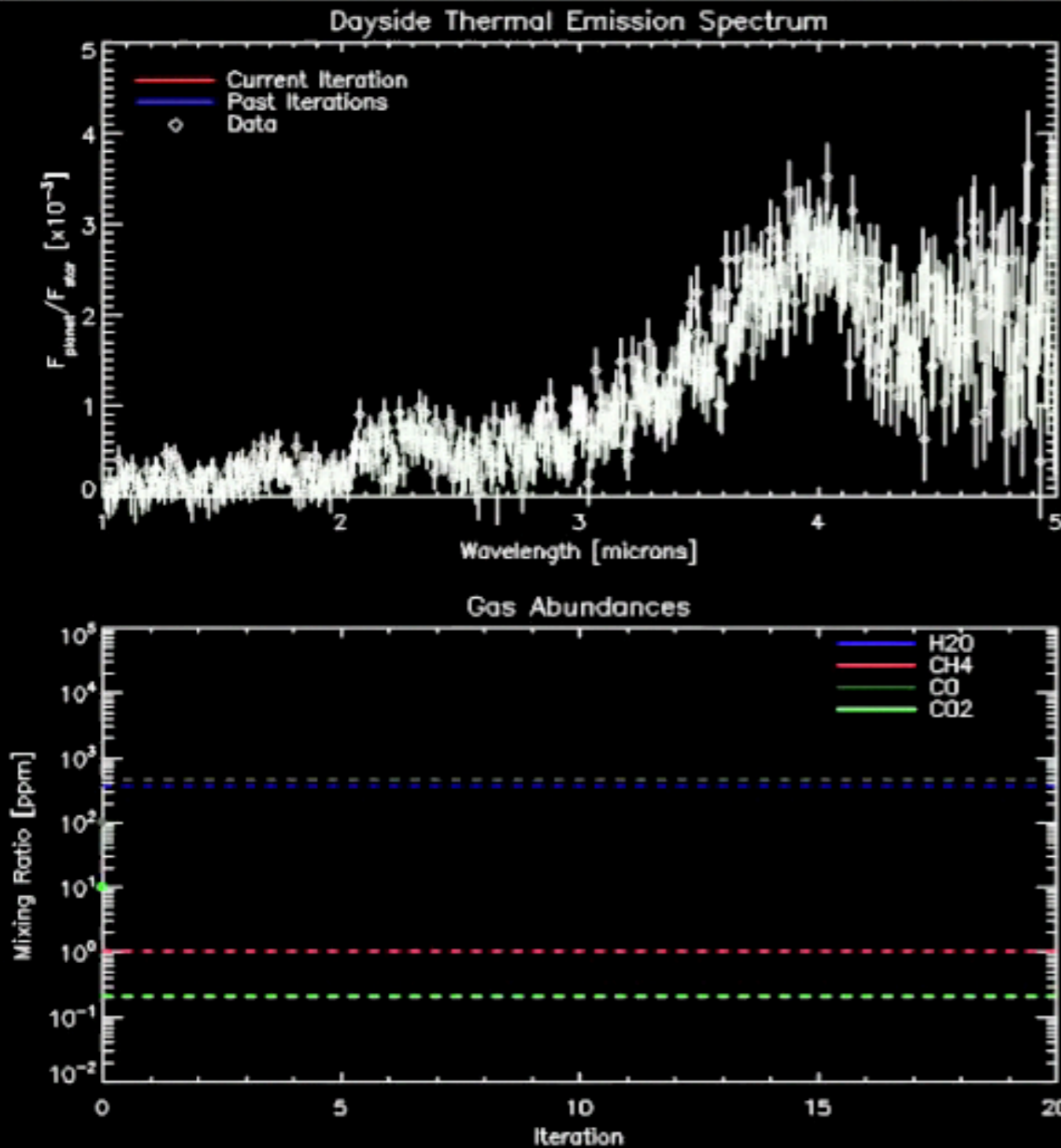


Madhusudhan
&
Seager 2009



Optimal Estimation

Retrieval of Synthetic Data Demo



$$H = \frac{1}{2} \ln(|\hat{\mathbf{S}}^{-1} \mathbf{S}_a|).$$

Lee+2012;13 Line+2012;13;14 Barstow+2013;14;15

Markov Chain Monte Carlo

Formalism:

$$\text{Bayes': } P(\mathbf{x}|\mathbf{y}) \propto \mathcal{L}(\mathbf{y}|\mathbf{x})P(\mathbf{x})$$

$$\ln \mathcal{L}(\mathbf{y}|\mathbf{x}) = (\mathbf{y} - \mathbf{F}(\mathbf{x}))^T \mathbf{S}_e^{-1} (\mathbf{y} - \mathbf{F}(\mathbf{x}))$$

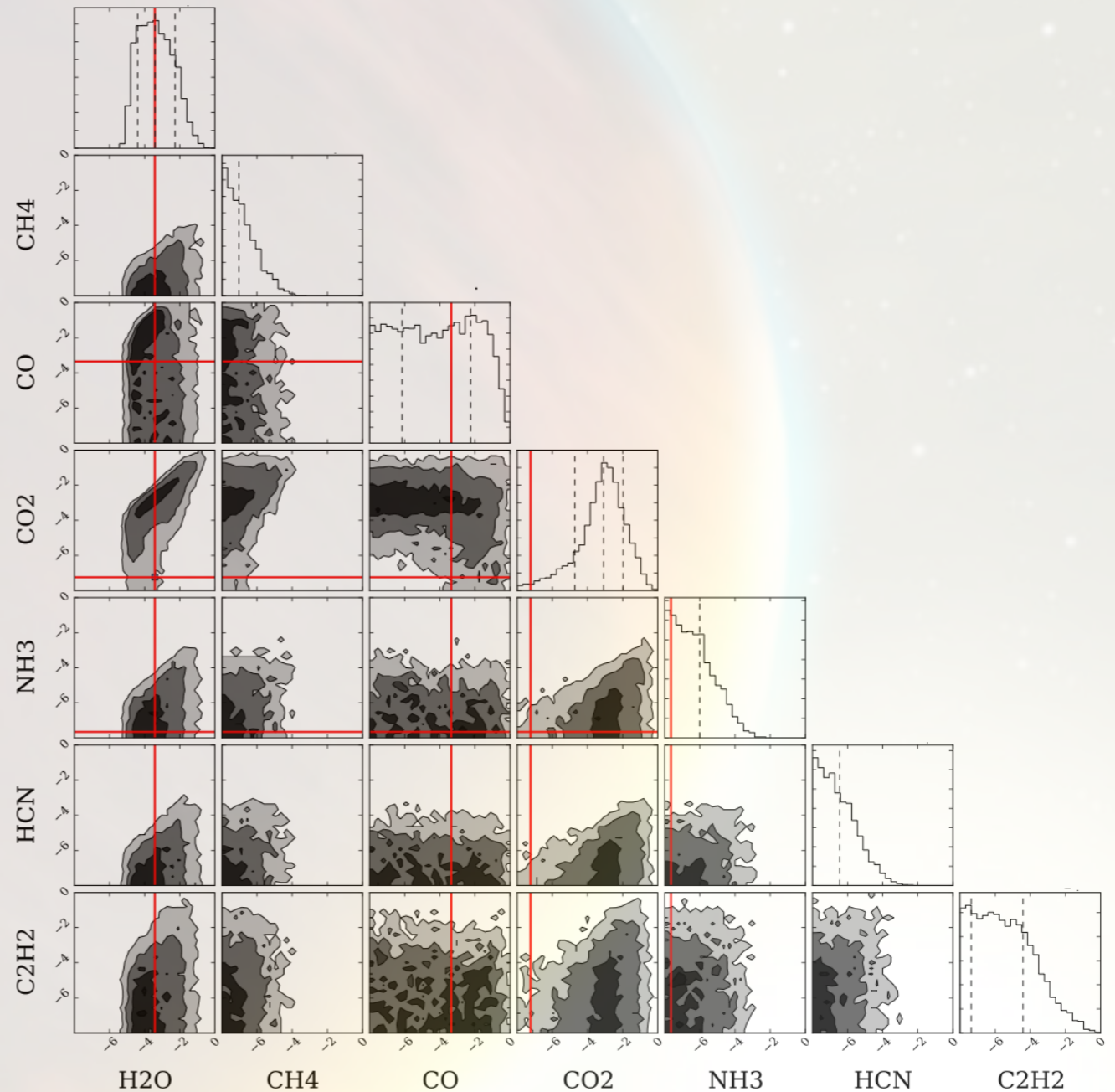
$\ln P(\mathbf{x}) = \text{whatever you want it to be}$

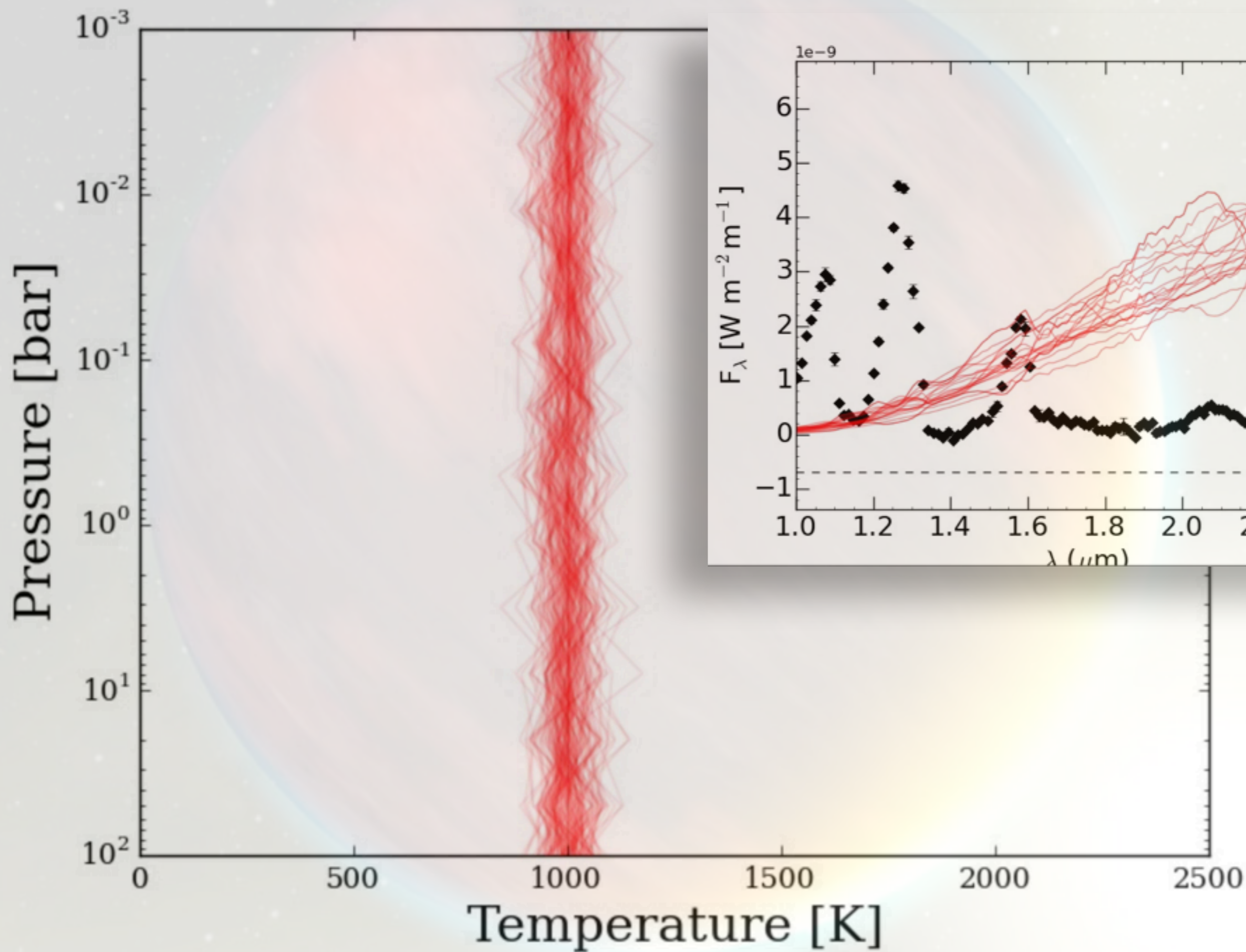
Pros:

- Full exploration of parameter space
- no posterior shape assumptions
- arbitrary likelihood or prior functions
- can do “hierarchal” magic
- many off-the-shelf routines (emcee, pymultinest etc)

Cons:

- oh so slow with complicated models

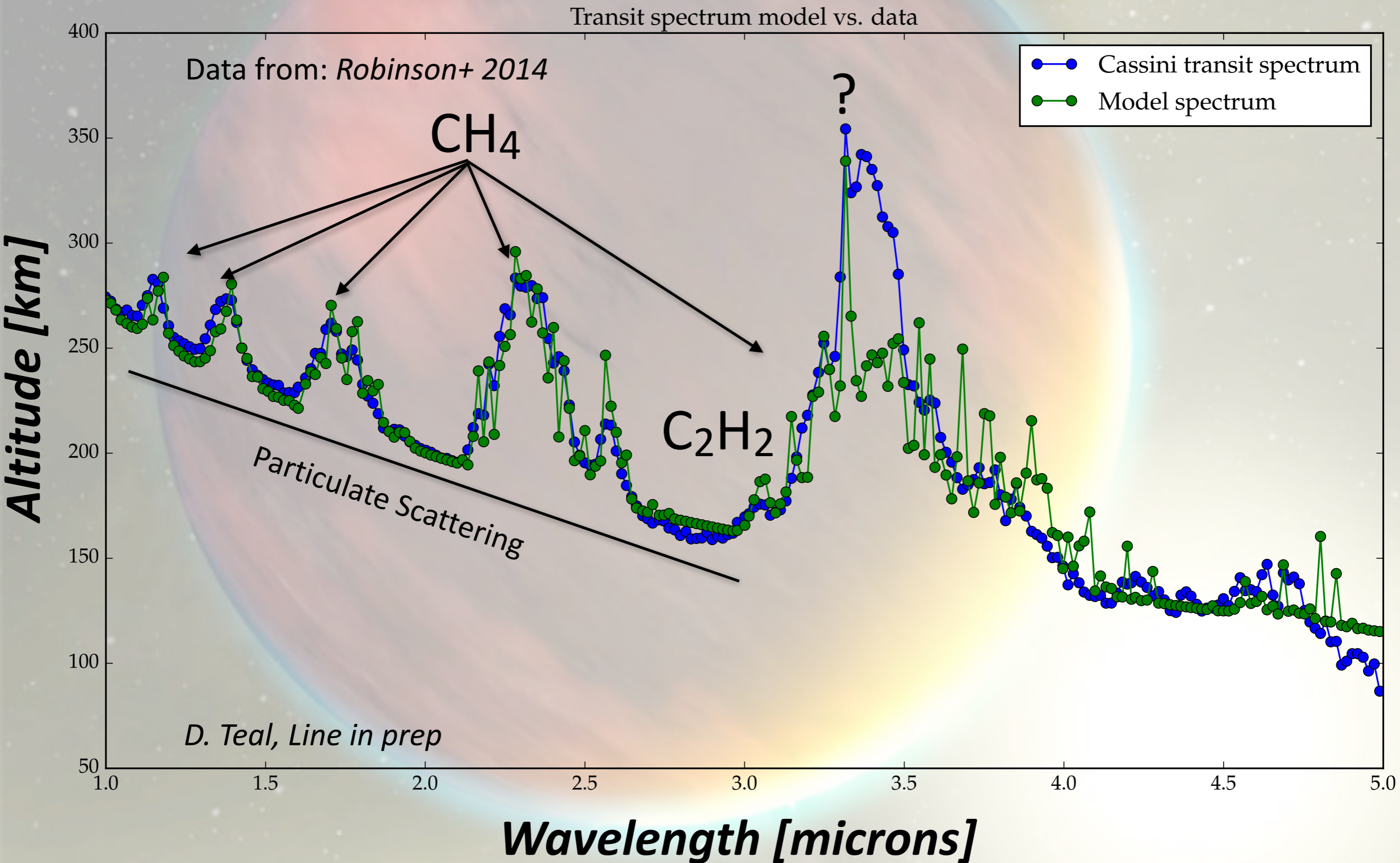




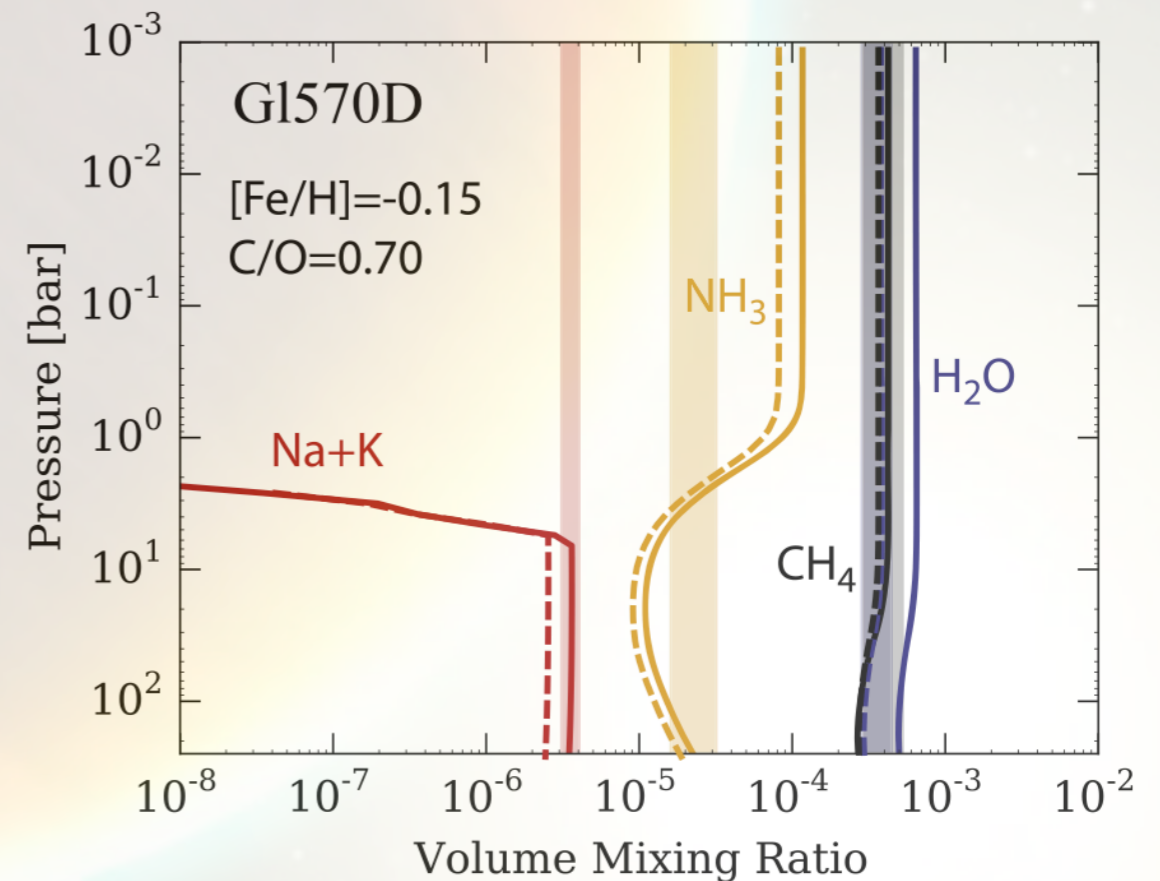
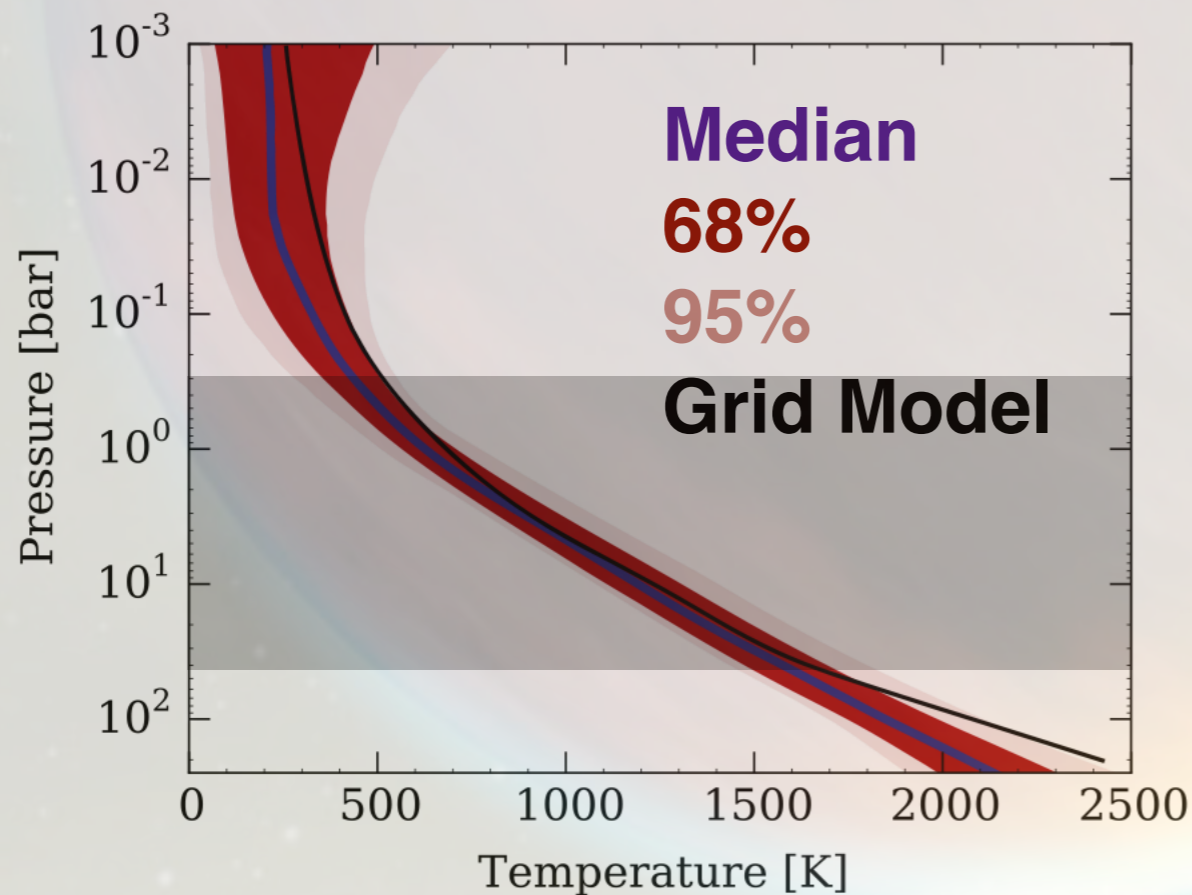
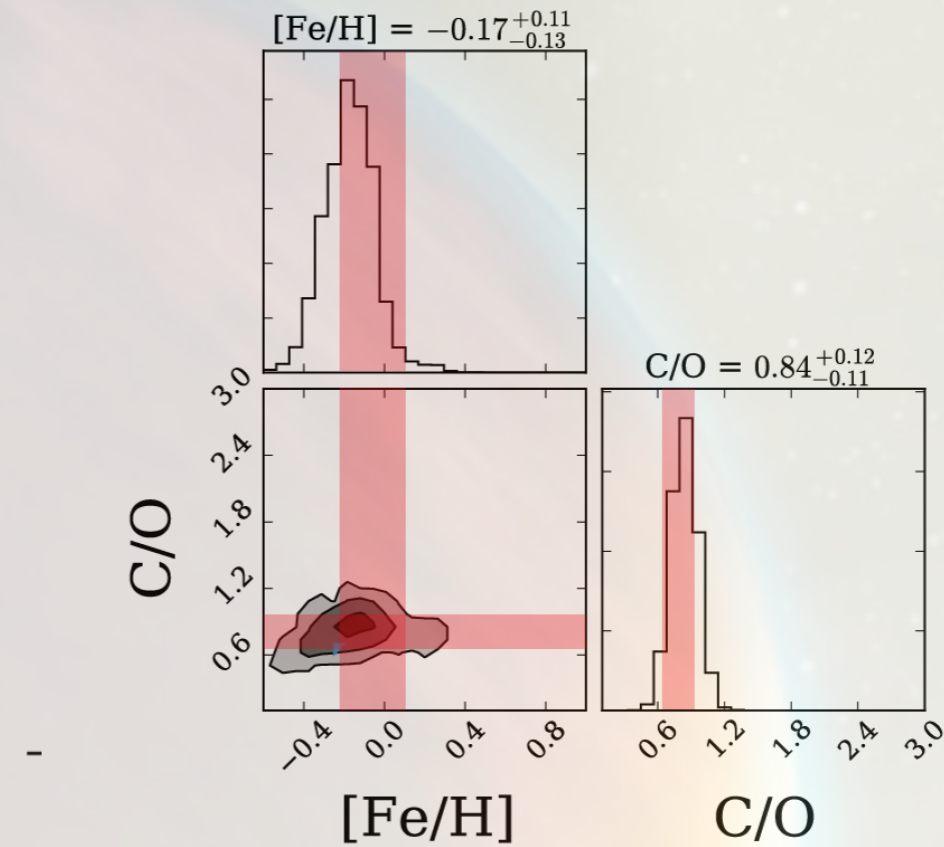
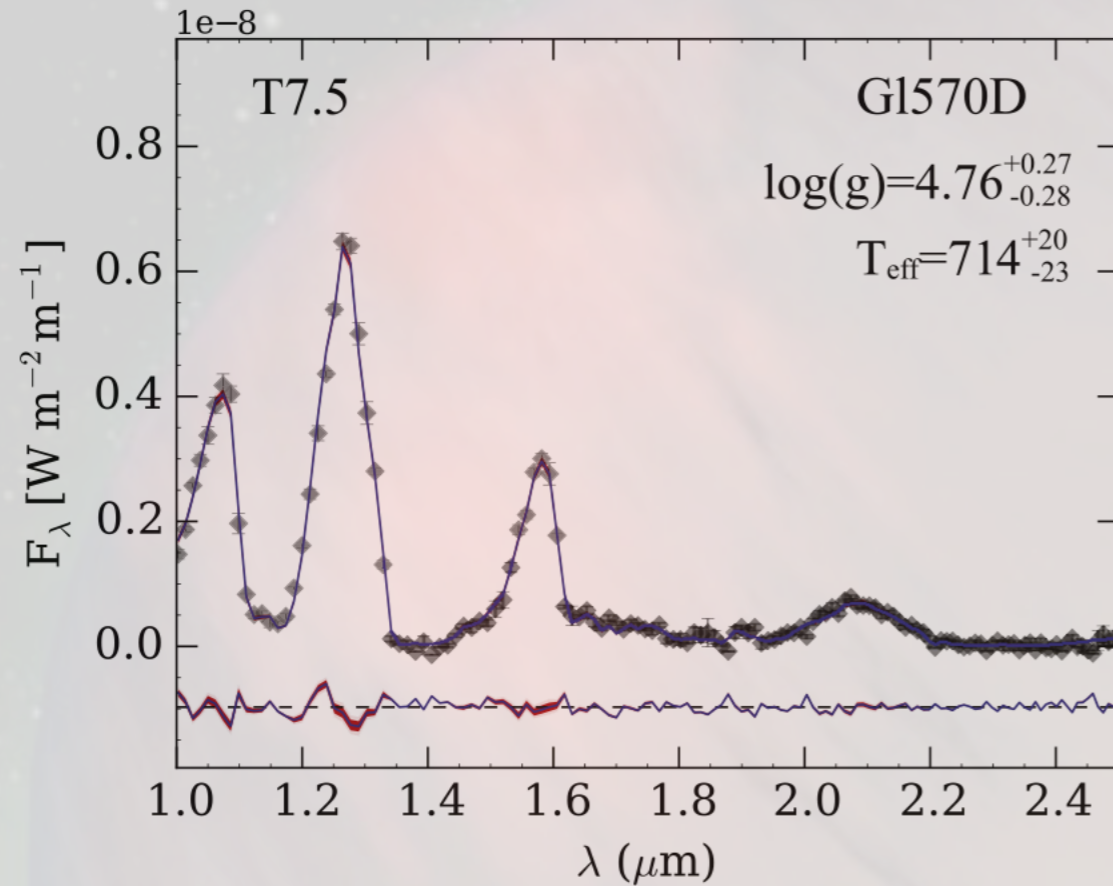
A space-themed background featuring a large, dark planet on the left side, partially illuminated by a bright, glowing orange and yellow star in the center. The background is filled with numerous small, distant stars.

Tool Validation

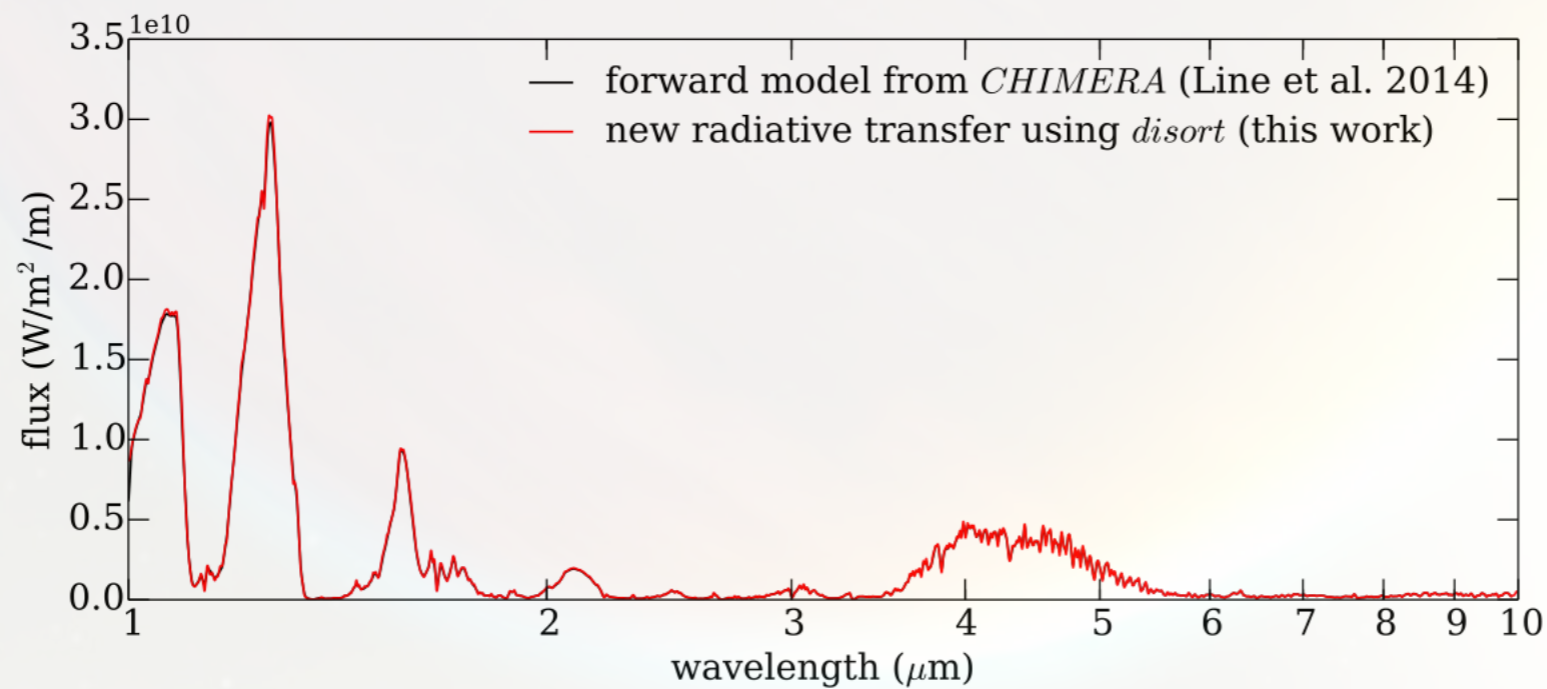
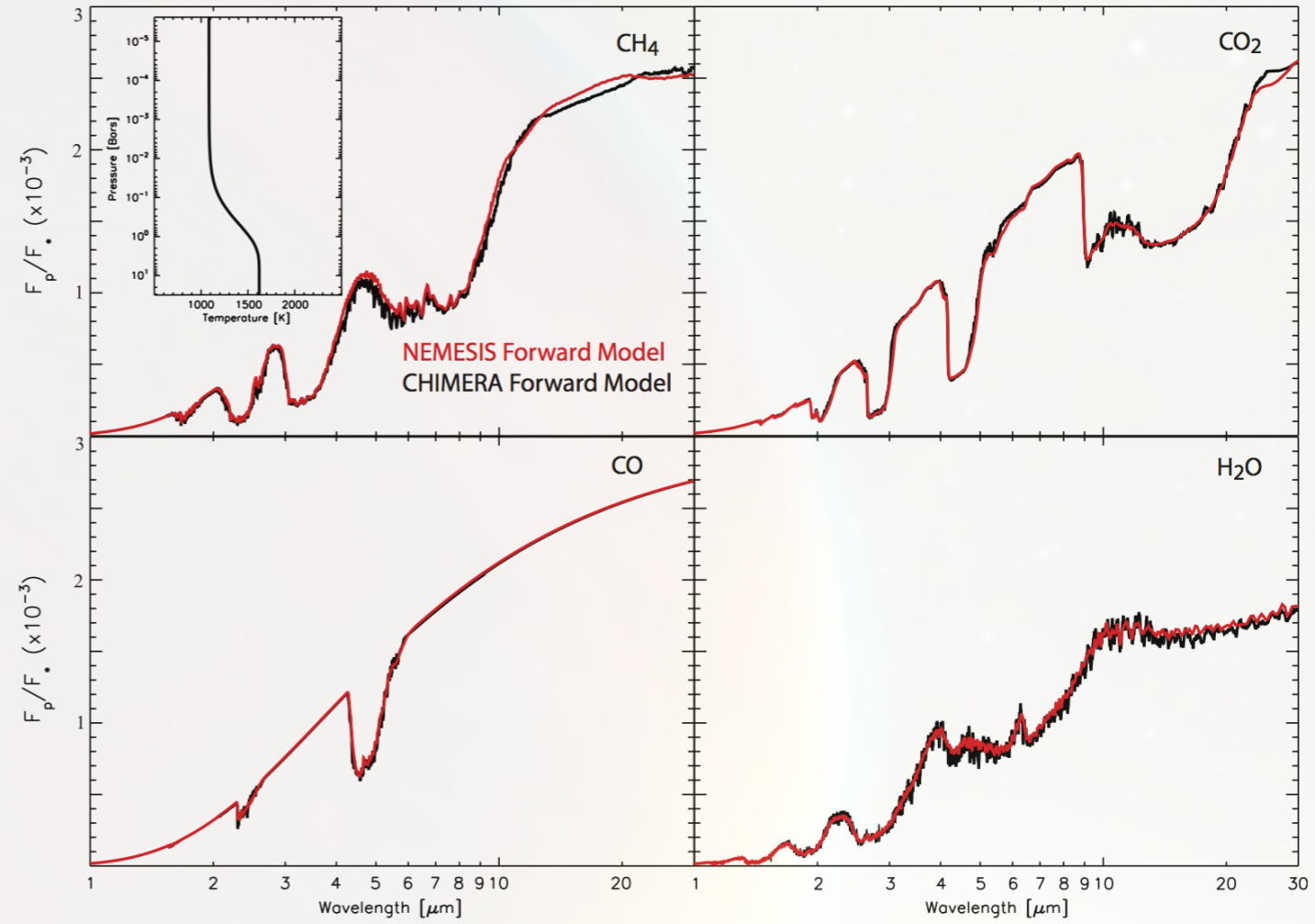
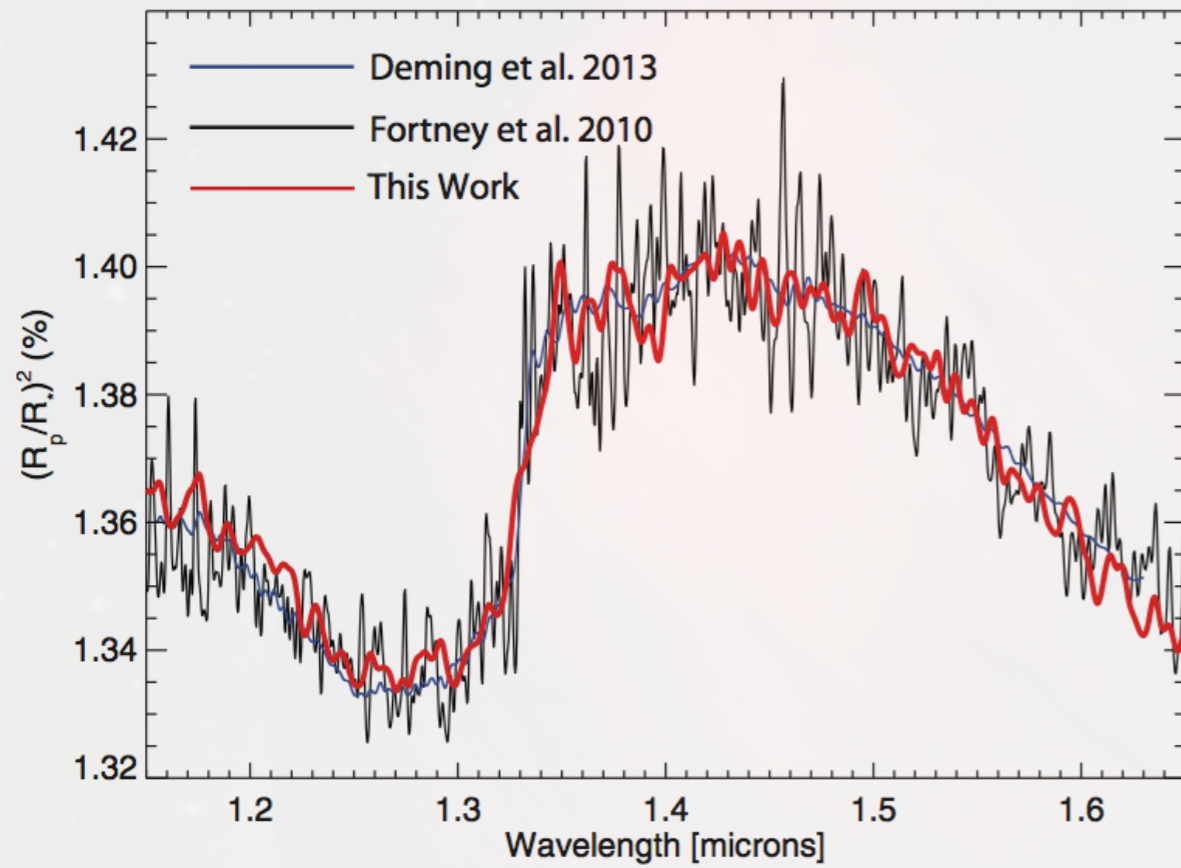
Tool Validation: Solar System



Tool Validation: Brown Dwarfs



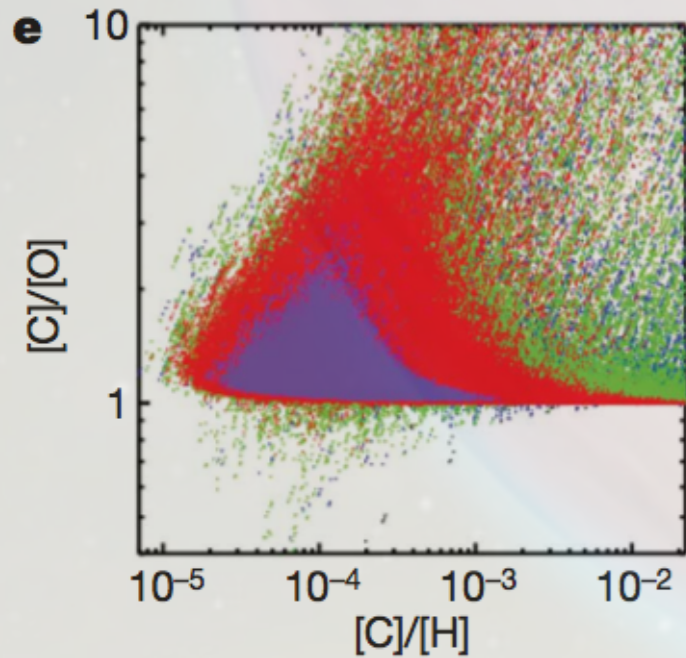
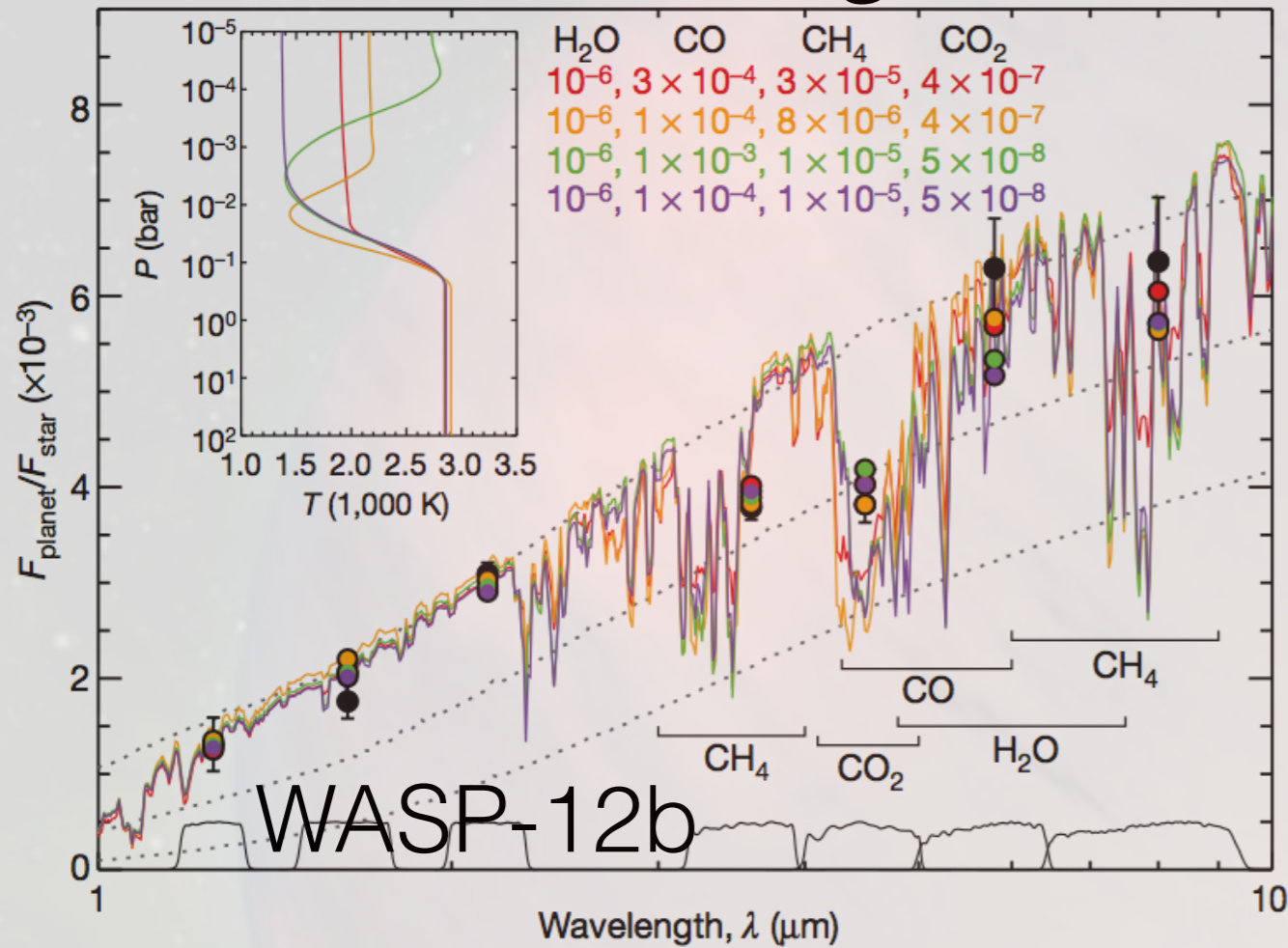
Tool Validation: Model Comparisons



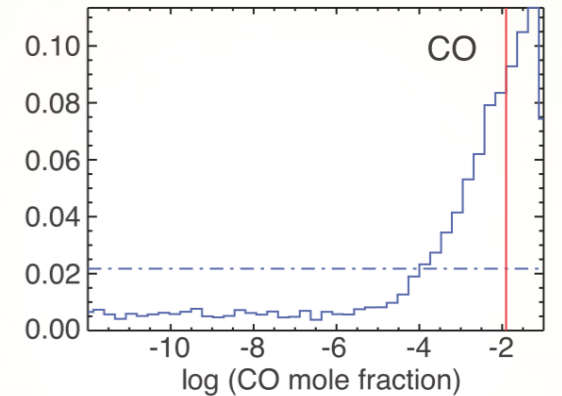
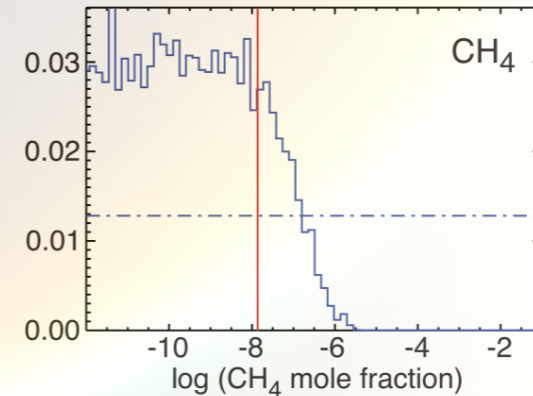
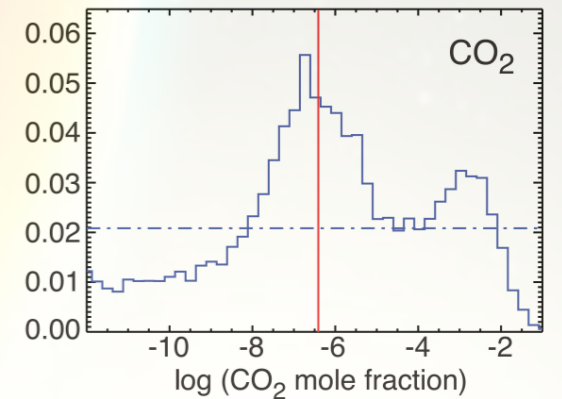
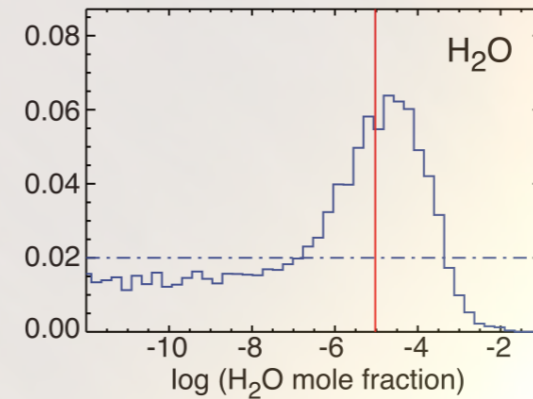
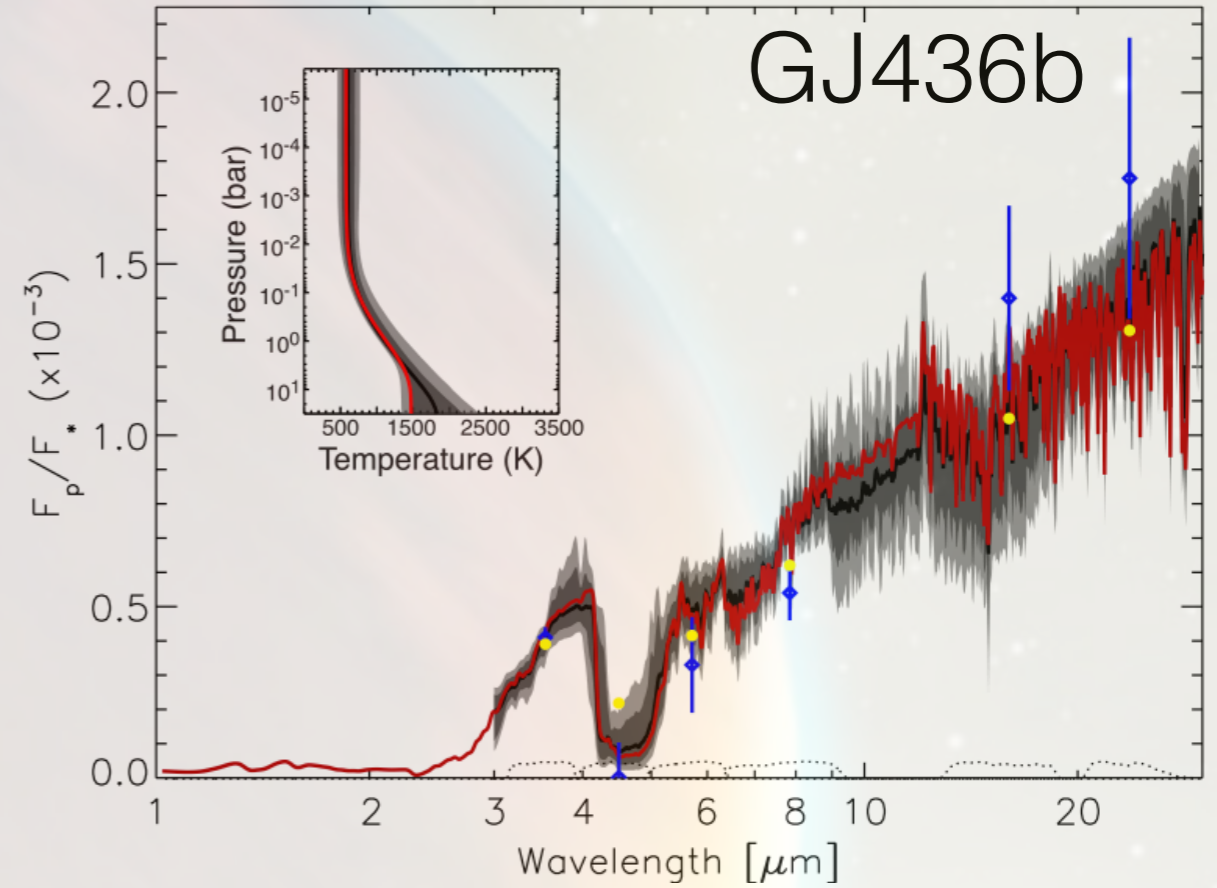
A large, dark planet with a thin, glowing blue and orange horizon line is in the foreground. In the background, a bright orange and yellow star is visible, surrounded by a field of smaller, distant stars.

Cool Results

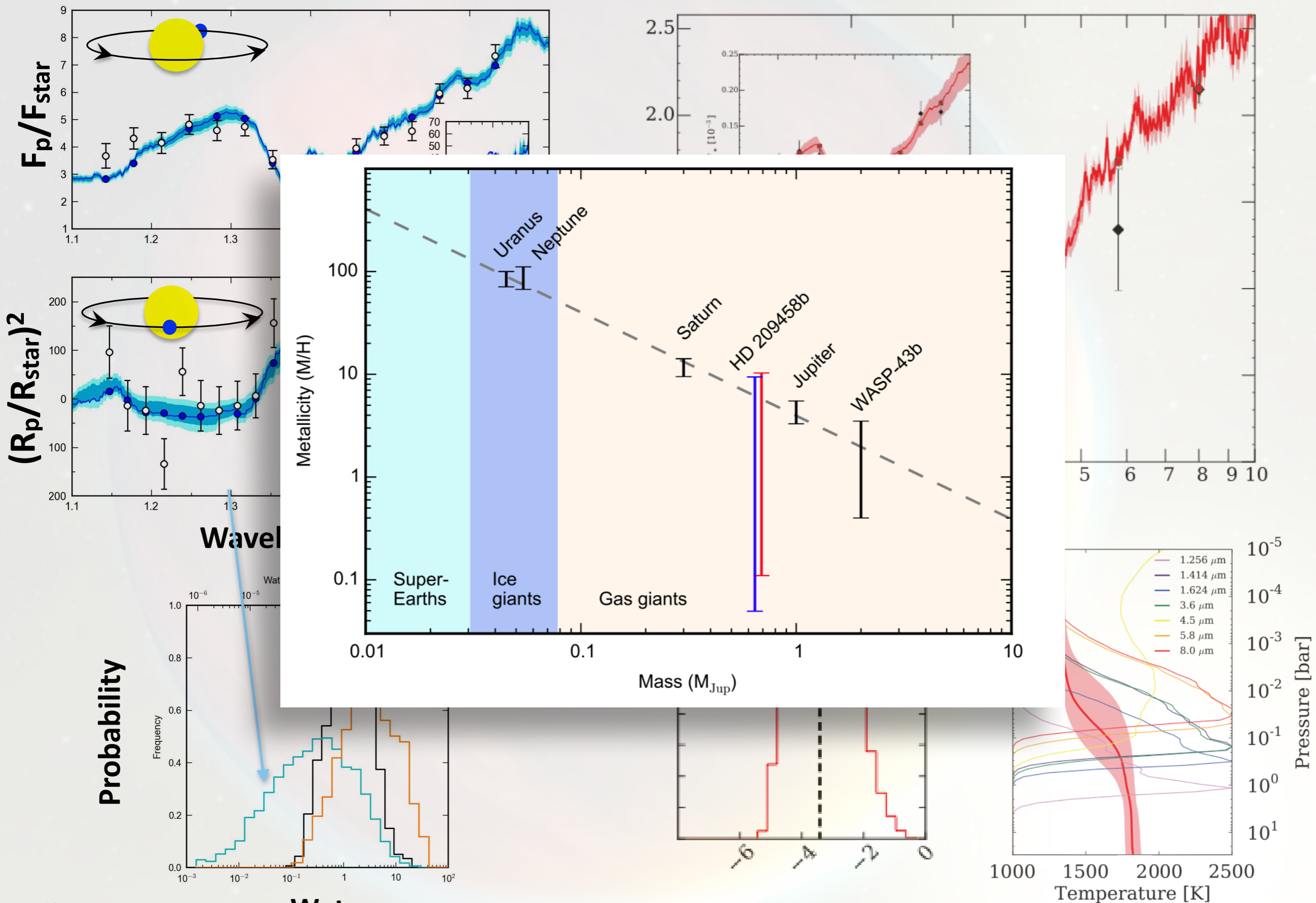
Evidence for High C/O



High Metallicity Atmospheres



Towards "Precision" Abundance Determinations



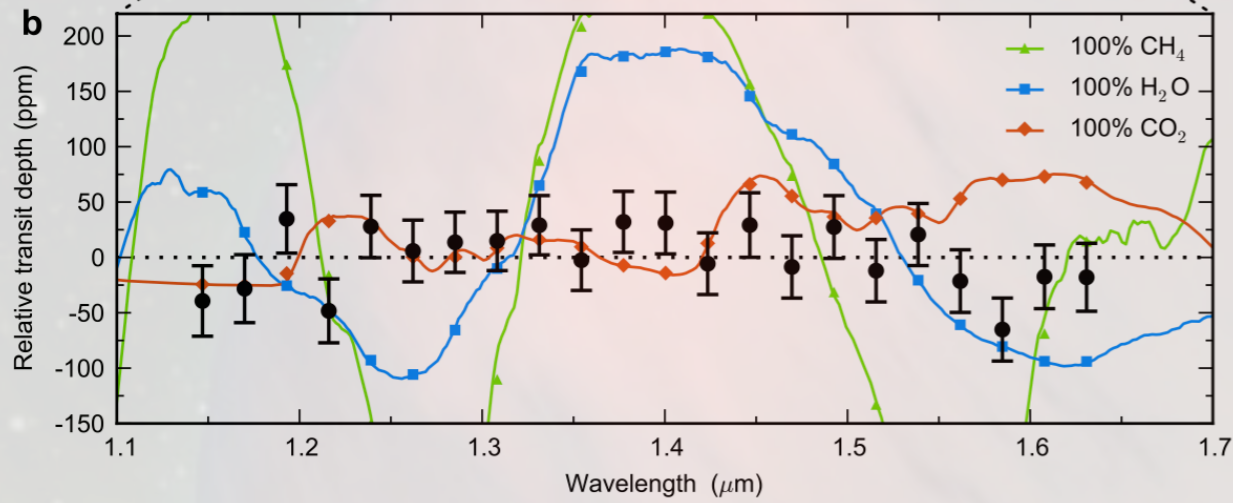
Kreidberg+2014

Water

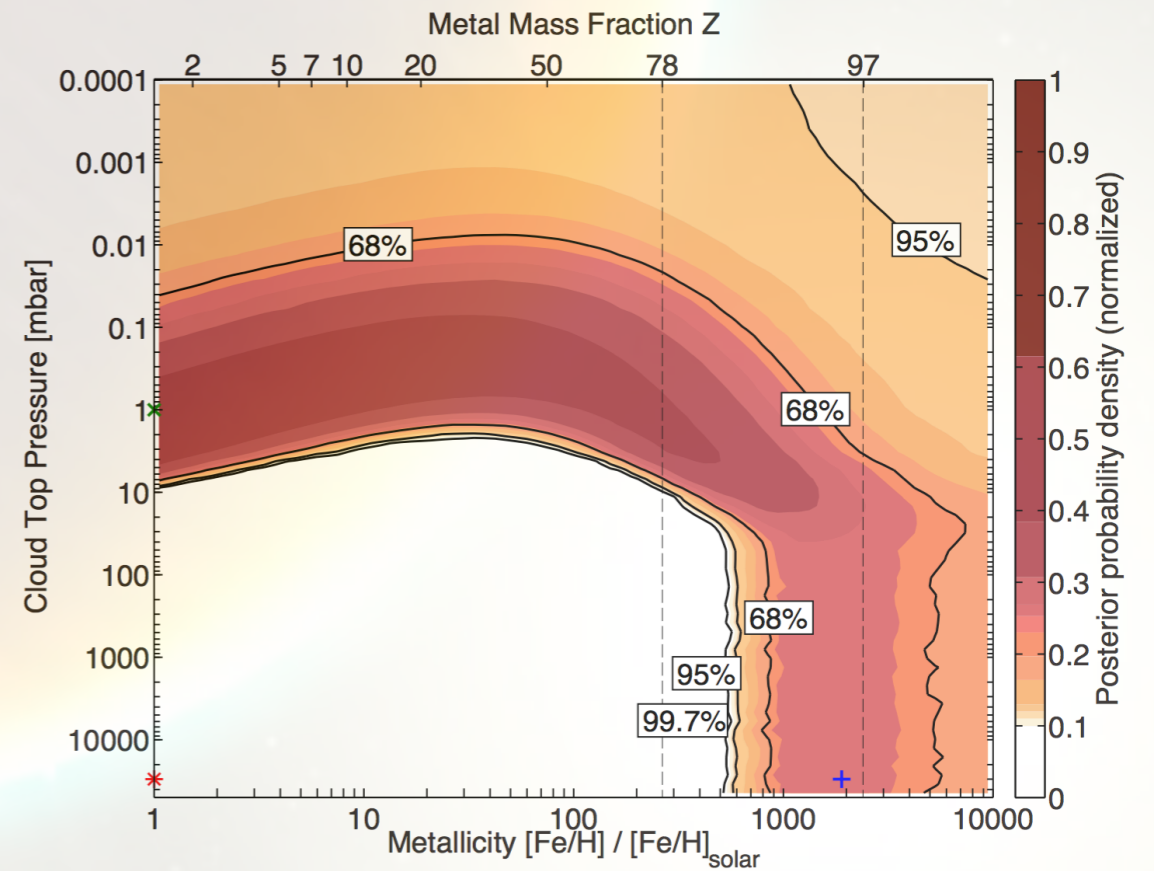
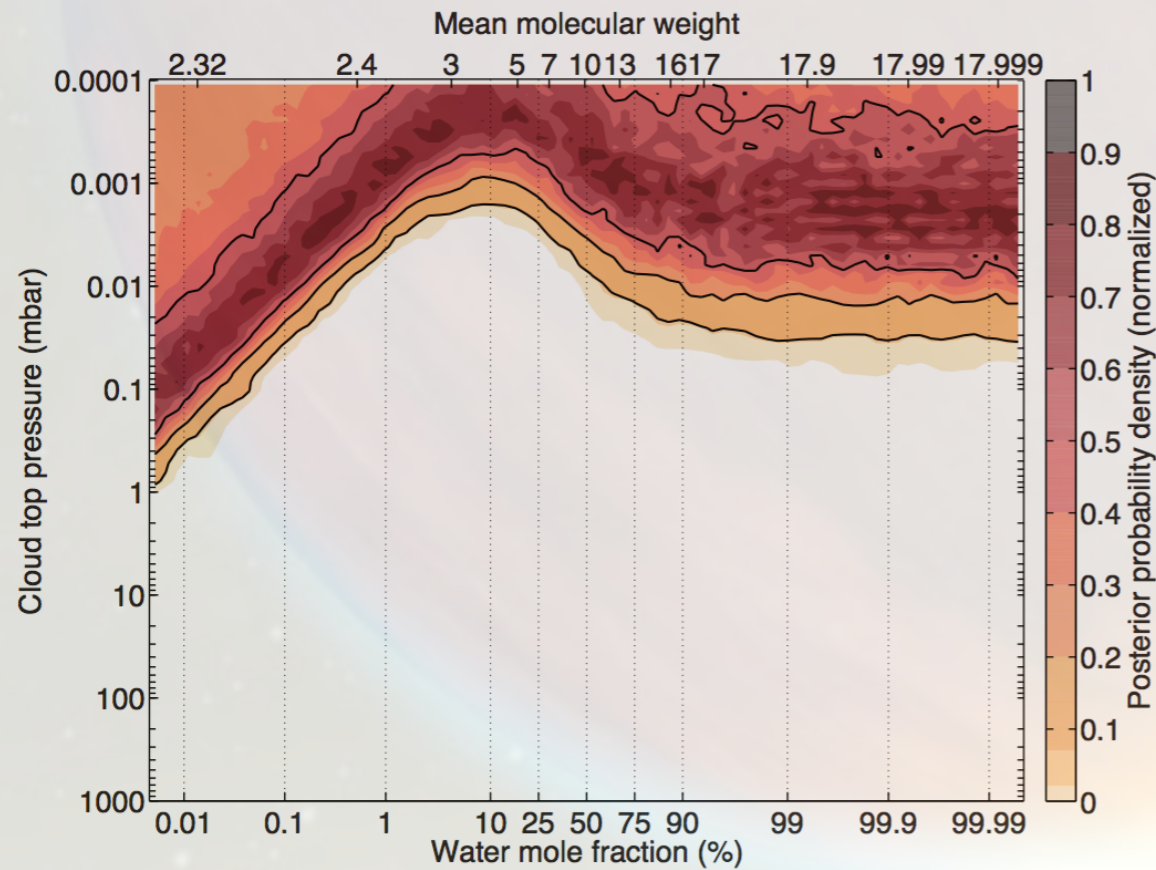
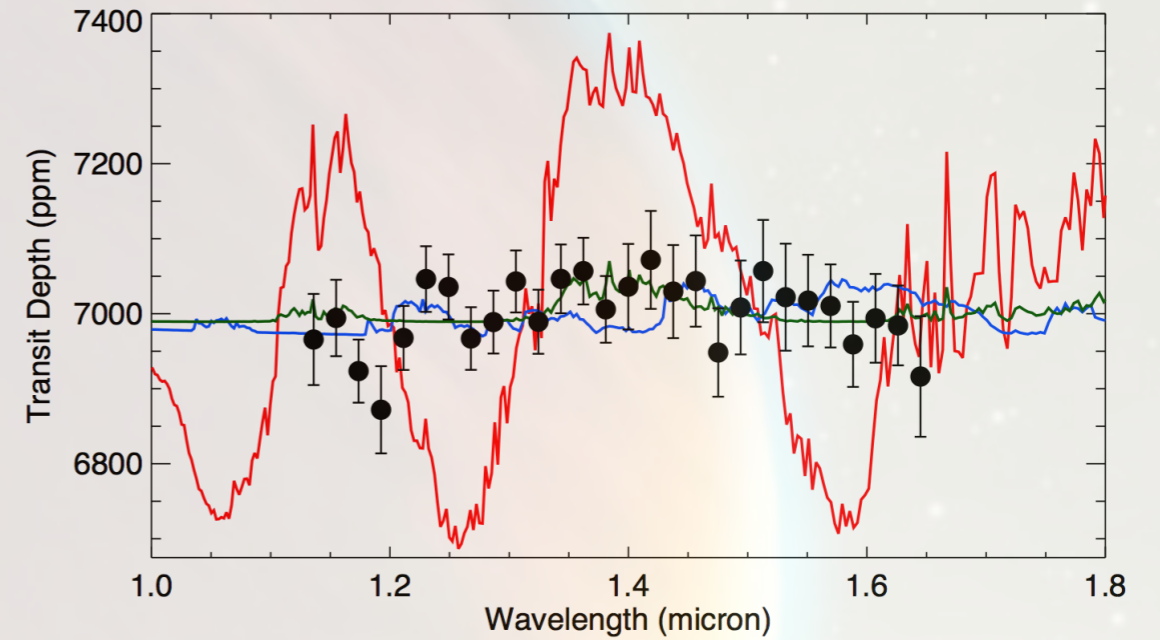
Line+2016

Clouds are just the Worst

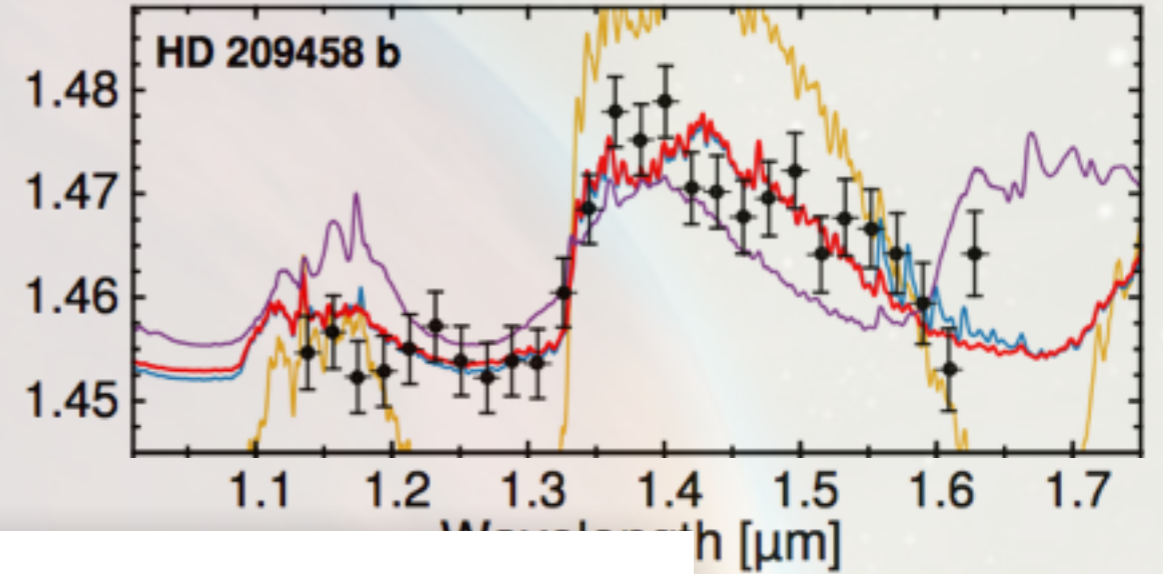
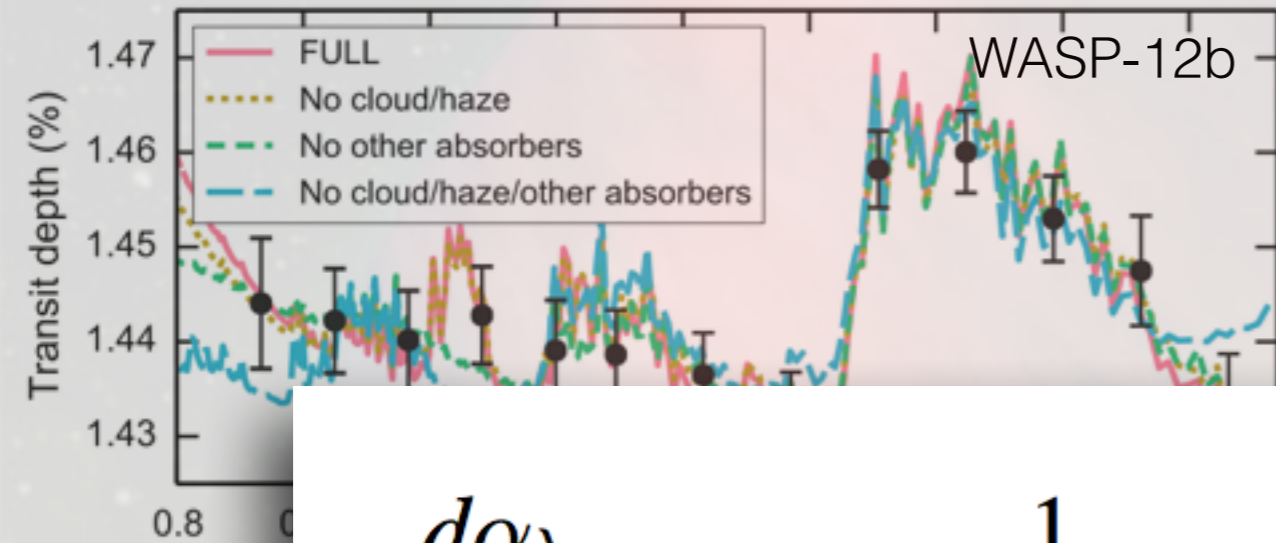
GJ1214b



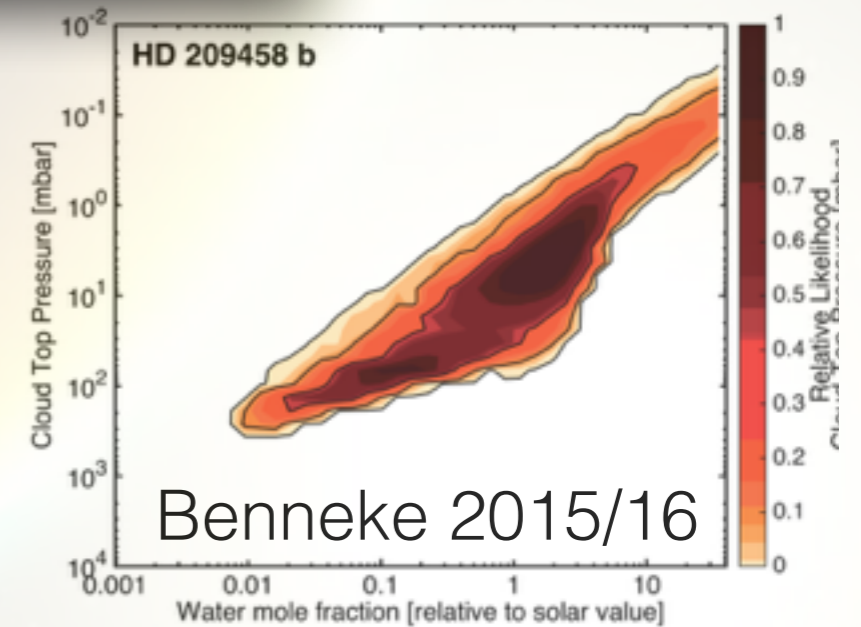
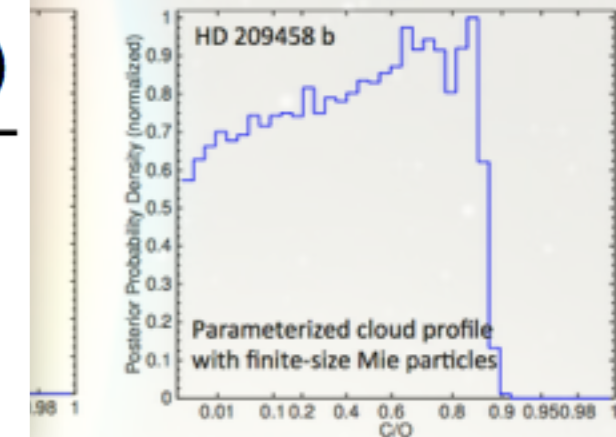
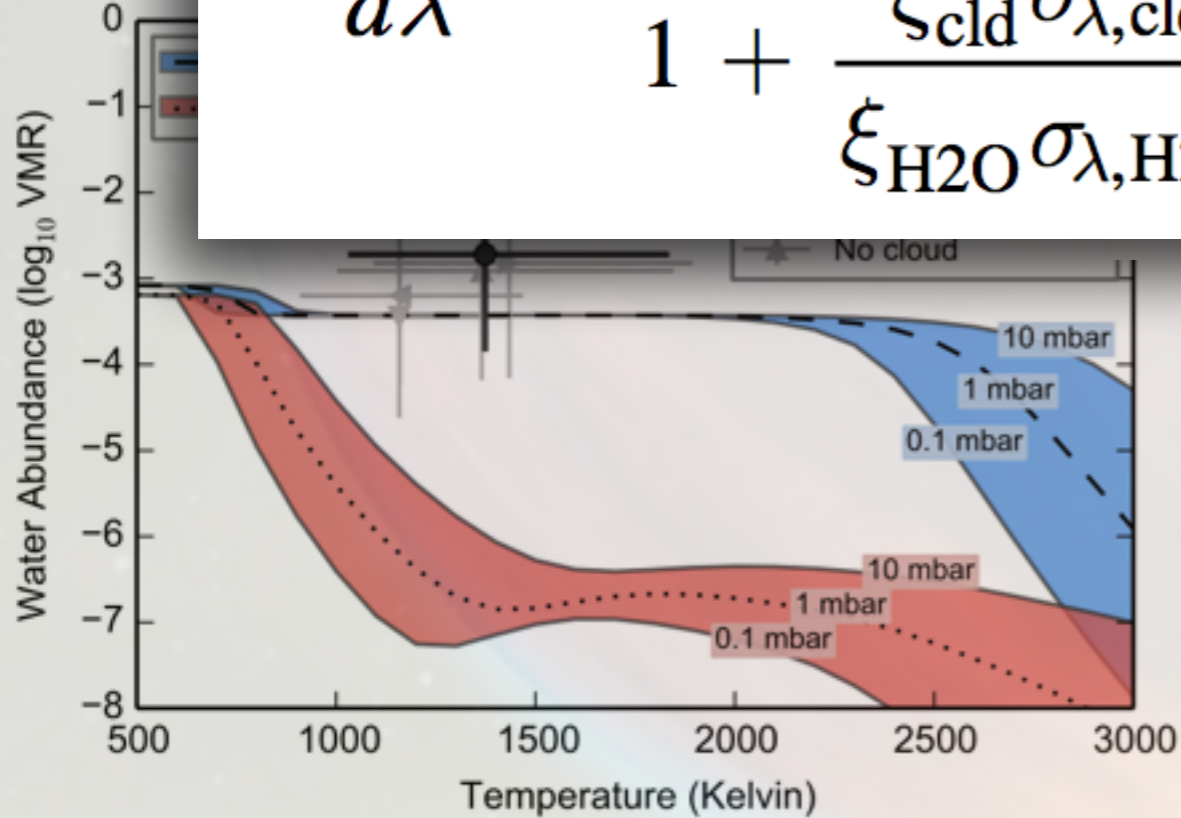
GJ436b



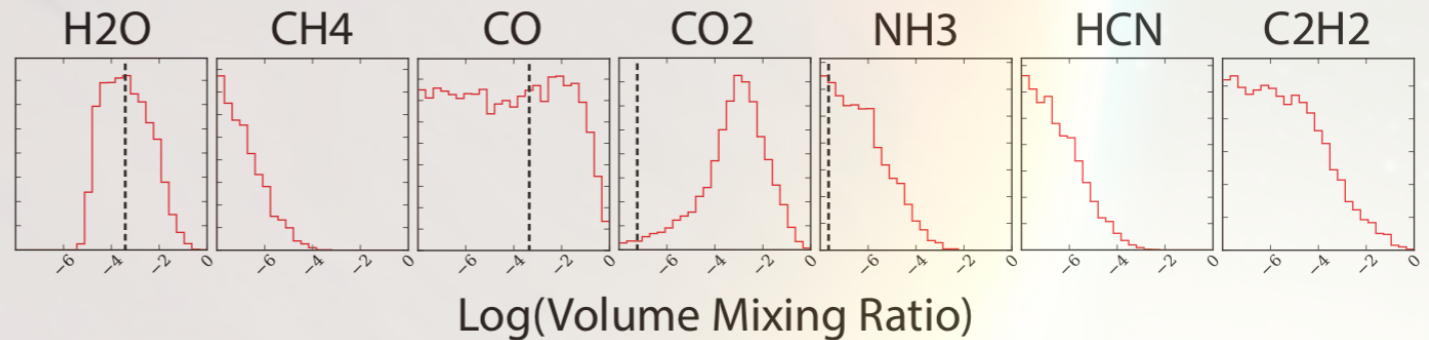
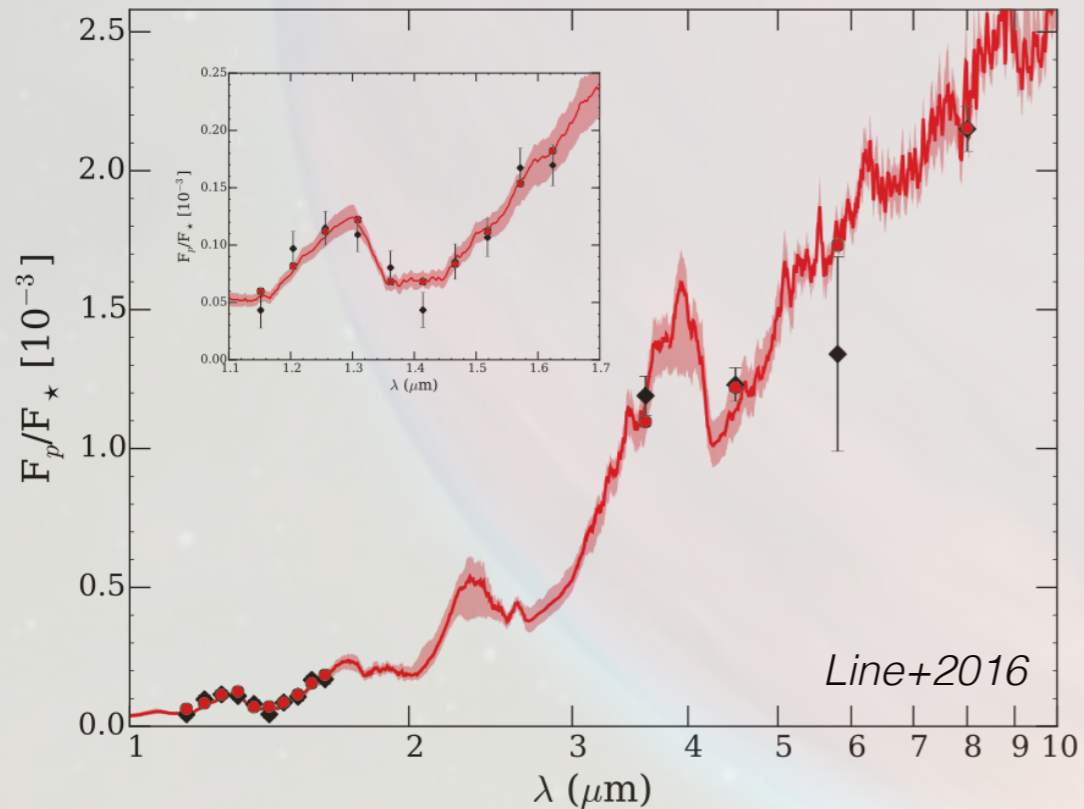
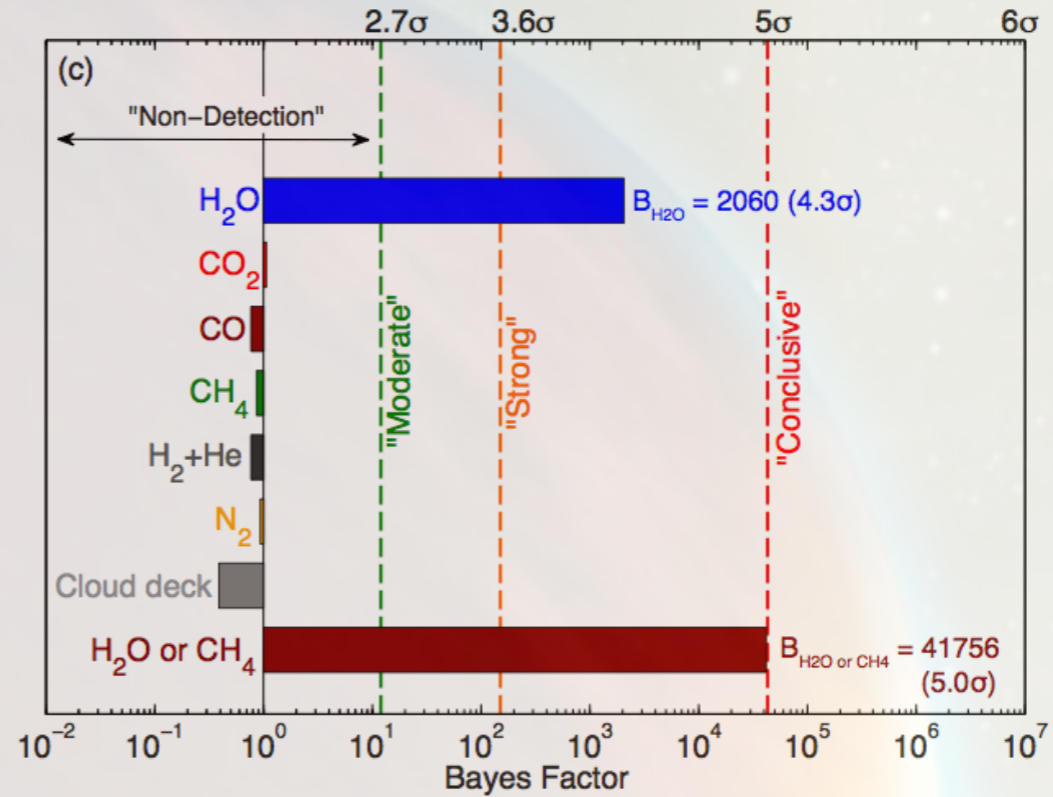
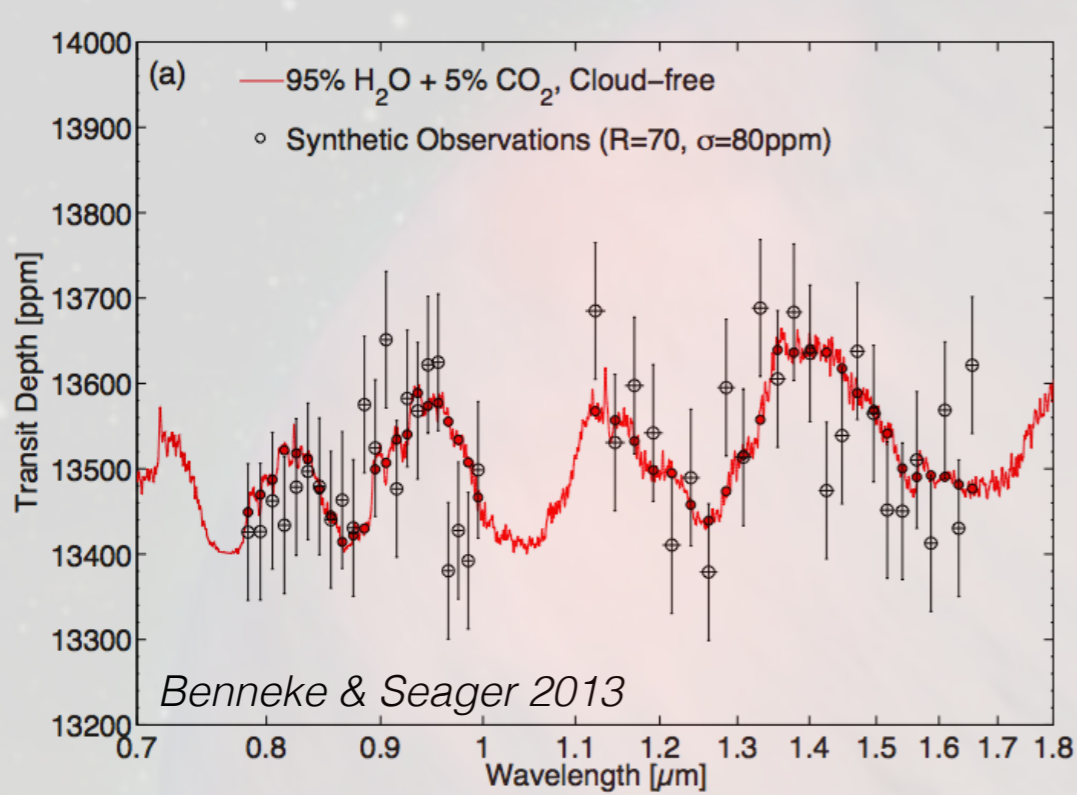
Quantifying Cloud Impacts



$$\frac{d\alpha_\lambda}{d\lambda} = \frac{1}{1 + \frac{\xi_{\text{cld}} \sigma_{\lambda, \text{cld}}}{\xi_{\text{H}_2\text{O}} \sigma_{\lambda, \text{H}_2\text{O}}}} \frac{2R_p}{R_{\text{star}}^2} H \frac{d \ln(\sigma_{\lambda, \text{H}_2\text{O}})}{d\lambda}$$



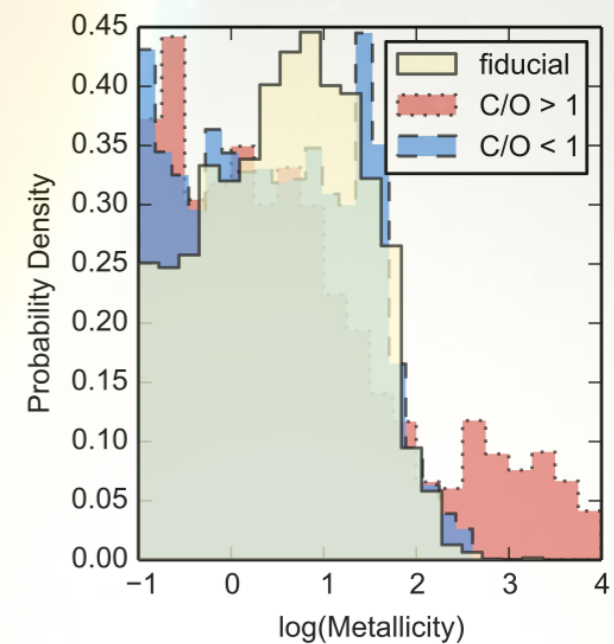
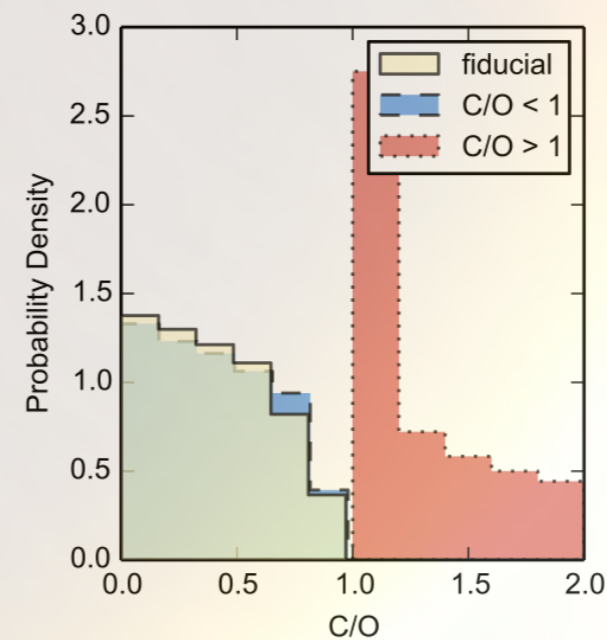
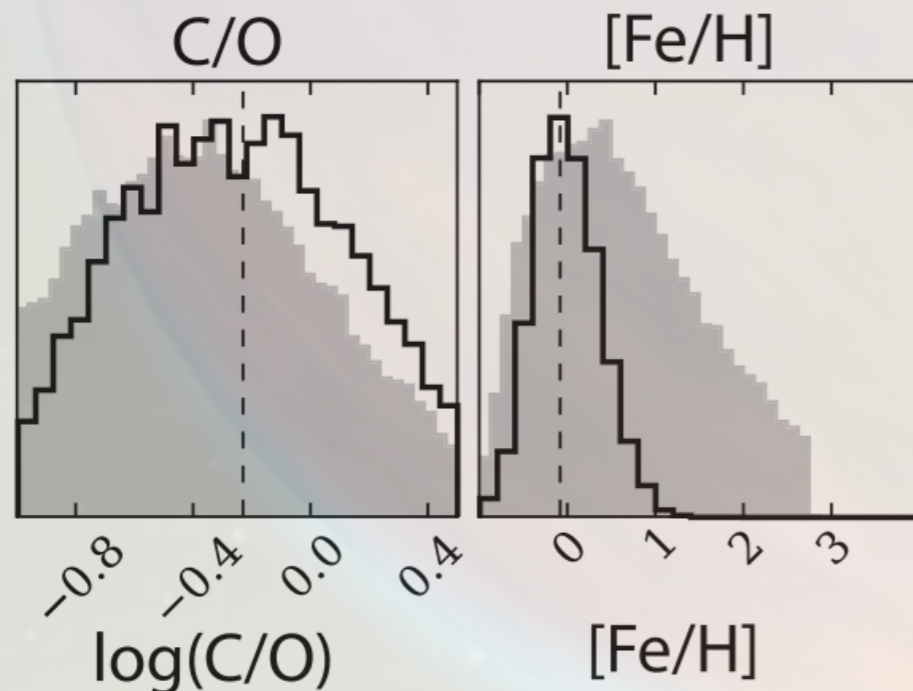
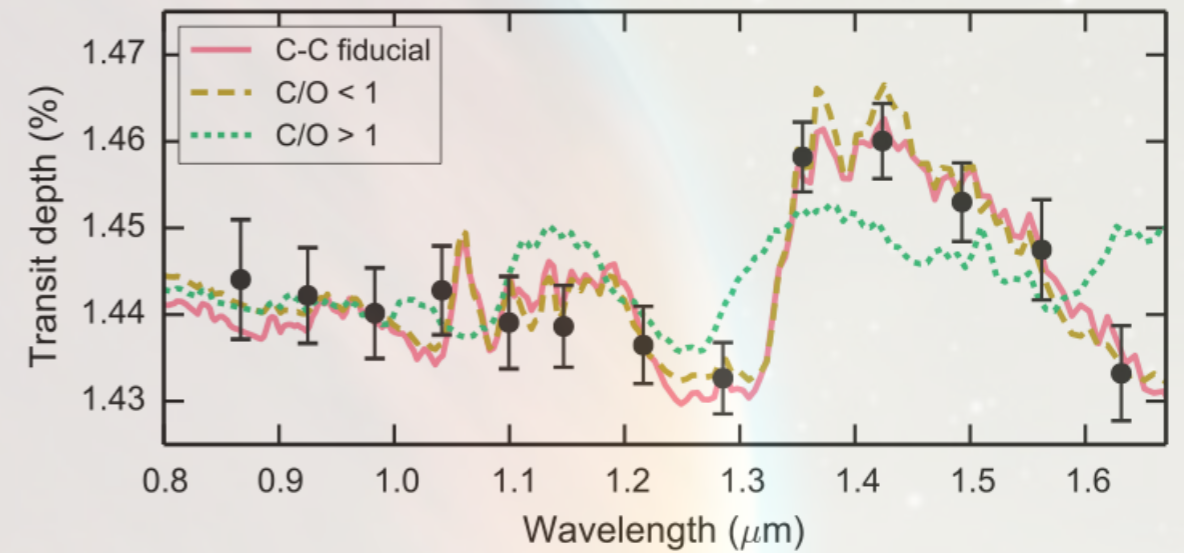
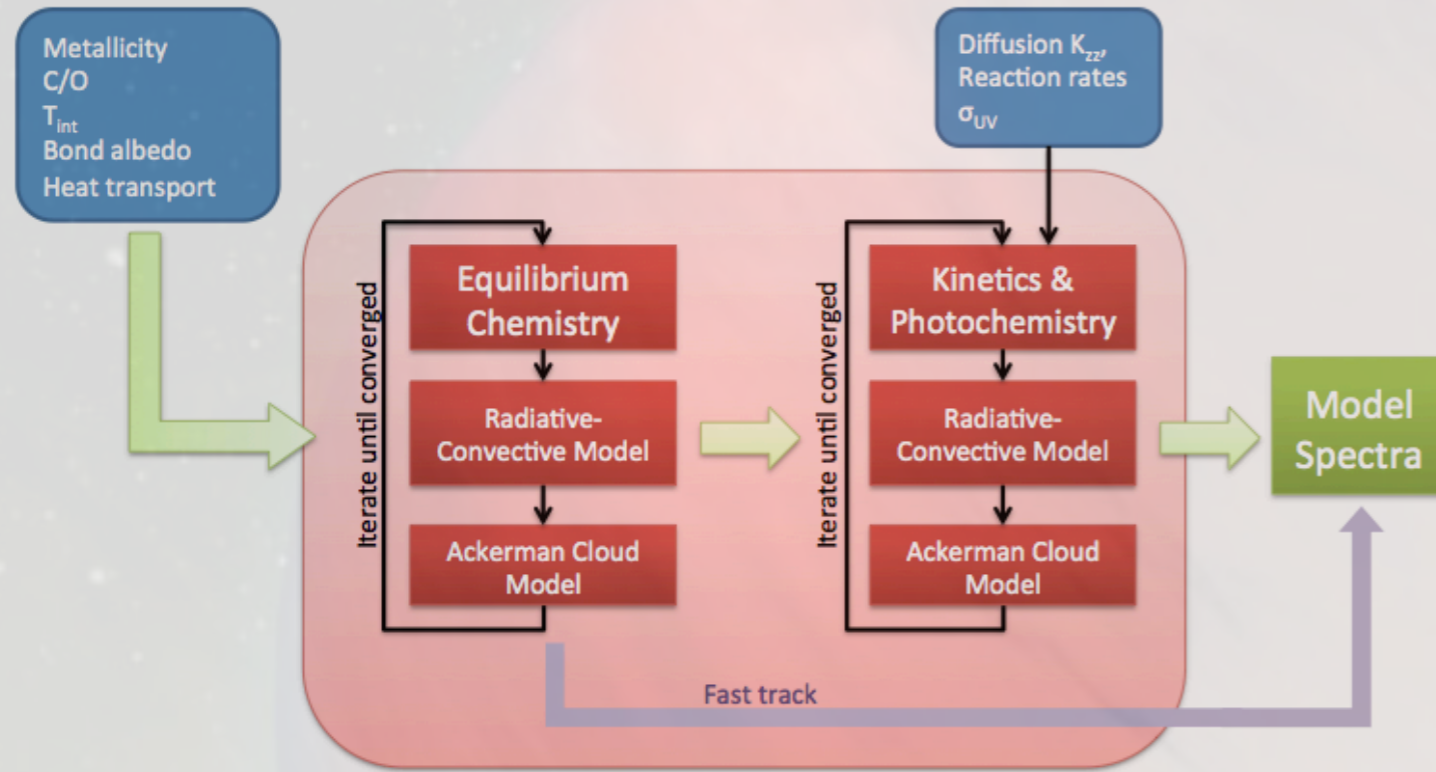
Is there a Molecule in my Spectrum?



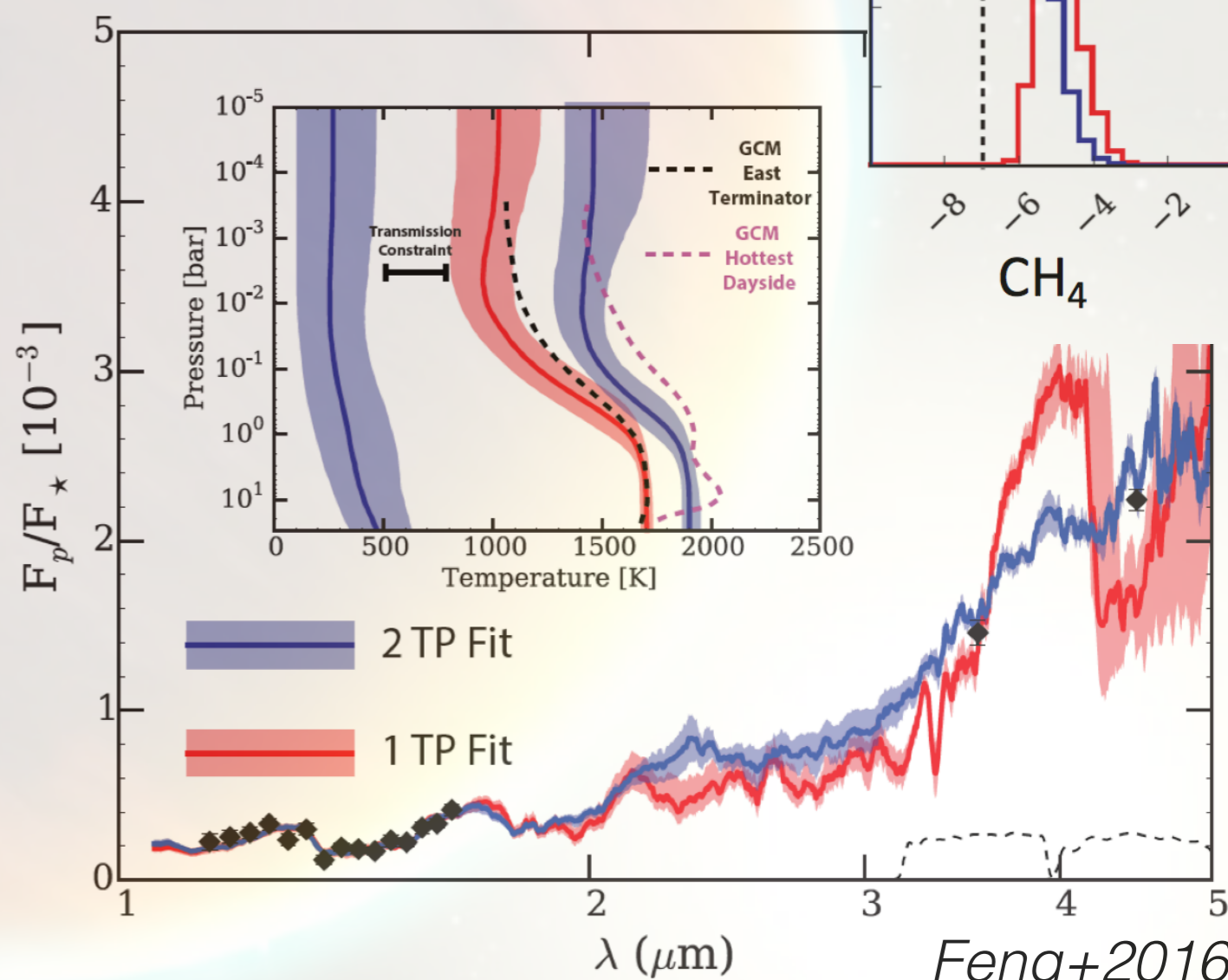
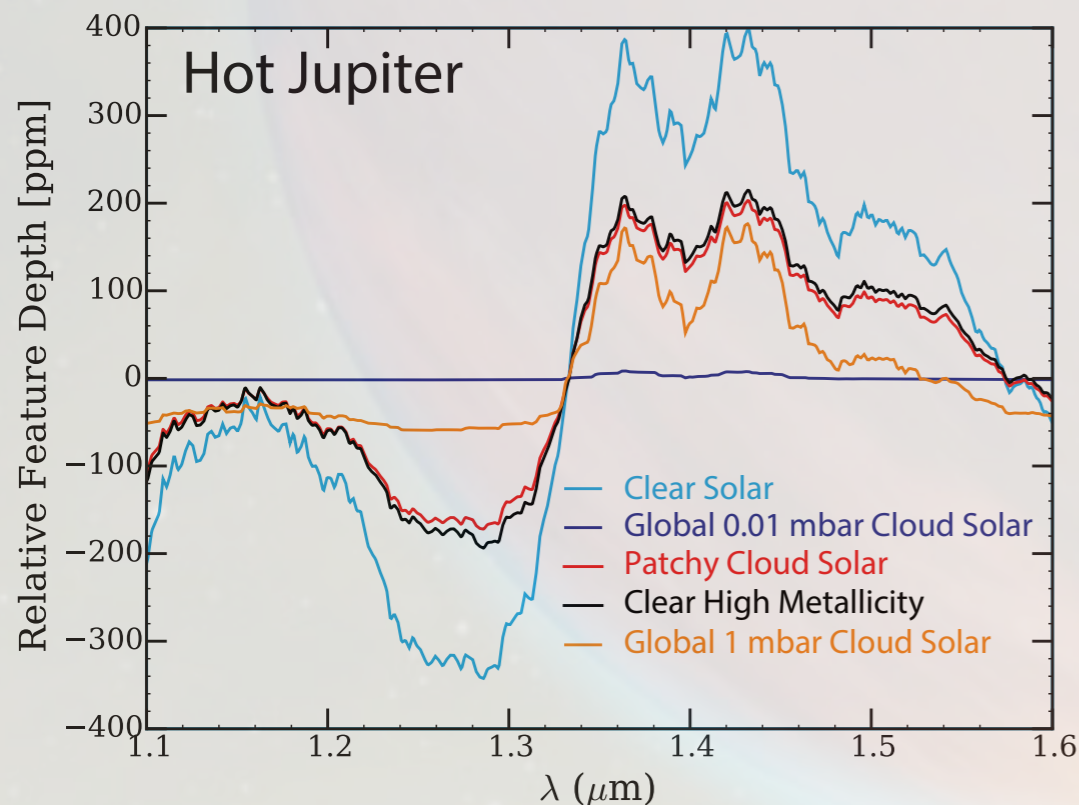
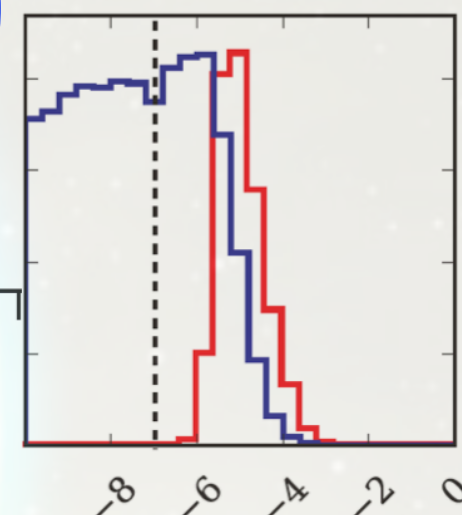
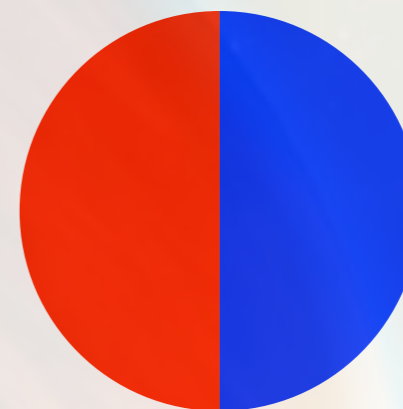
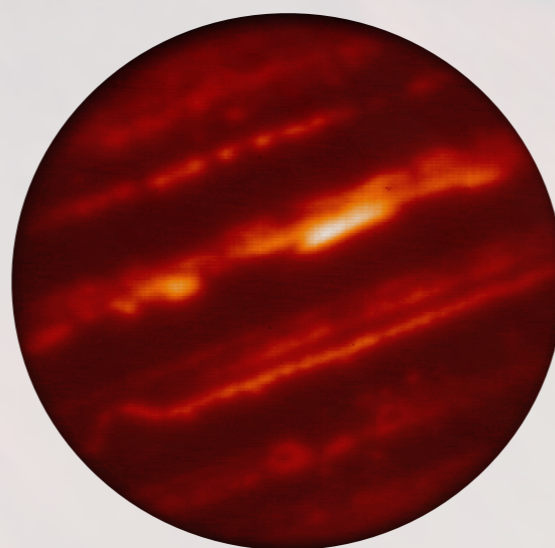
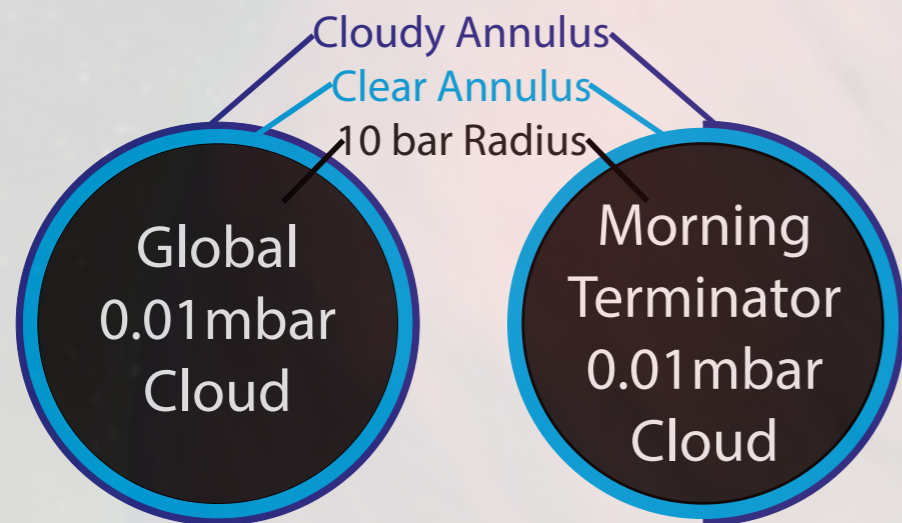
| Scenario (included gases) | $\ln B$ | Det. Sig. (σ) |
|--|---------|------------------------|
| FULL (all gases) | - | - |
| Molecules other than Water (H ₂ O) | 3.96 | 3.3 |
| CO & CO ₂ (H ₂ O, CH ₄ , NH ₃ , HCN, C ₂ H ₂) | 6.90 | 4.1 |
| CH ₄ & NH ₃ (H ₂ O, CO, CO ₂ , HCN, C ₂ H ₂) | -0.92 | undefined |
| HCN & C ₂ H ₂ (H ₂ O, CH ₄ , CO, CO ₂ , NH ₃) | -0.71 | undefined |
| H ₂ O (CH ₄ , CO, CO ₂ , NH ₃ , HCN, C ₂ H ₂) | 17.10 | 6.2 |
| Monotonically Decreasing T (all gases) | 27.10 | 7.7 |

Semi-Self Consistent Methods

(a.k.a boosting “prior” information)

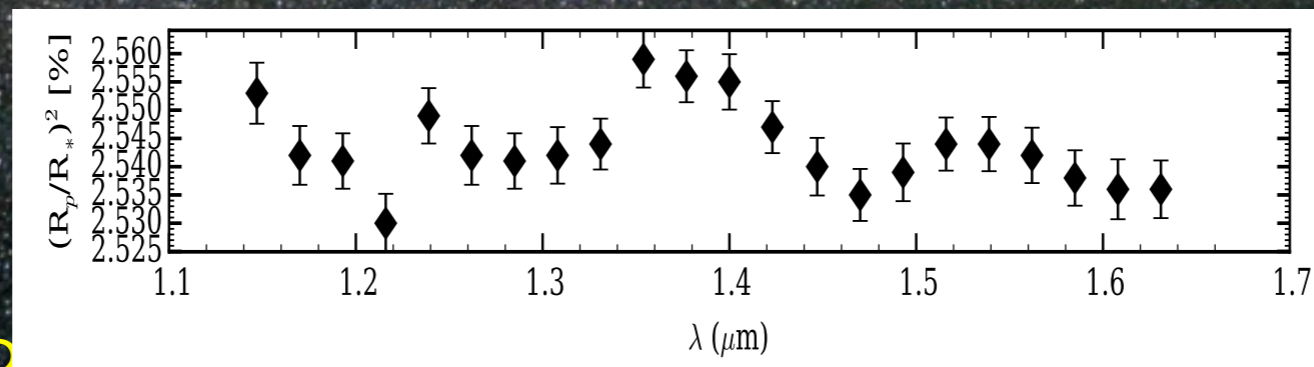
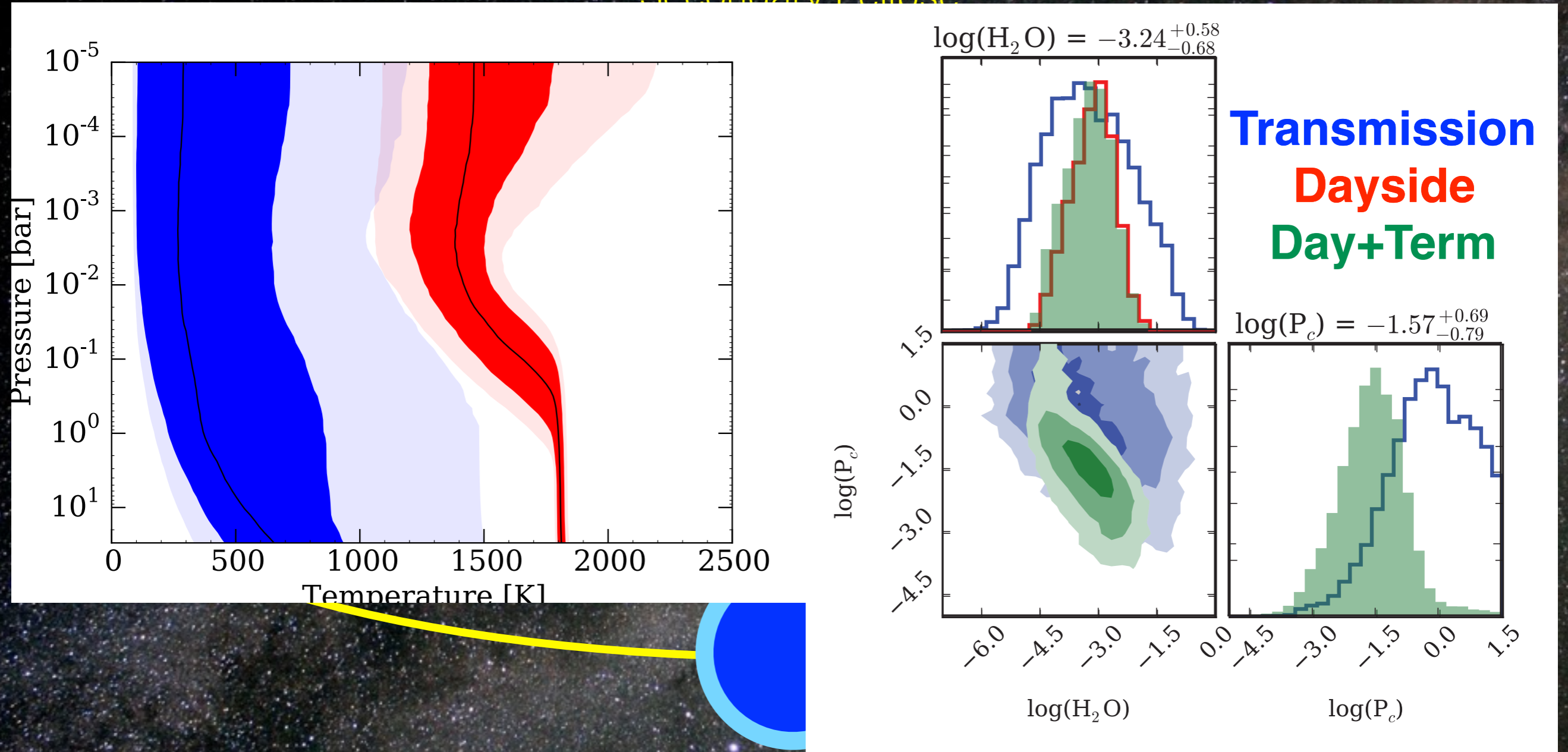


Uh oh... Planets are 3D



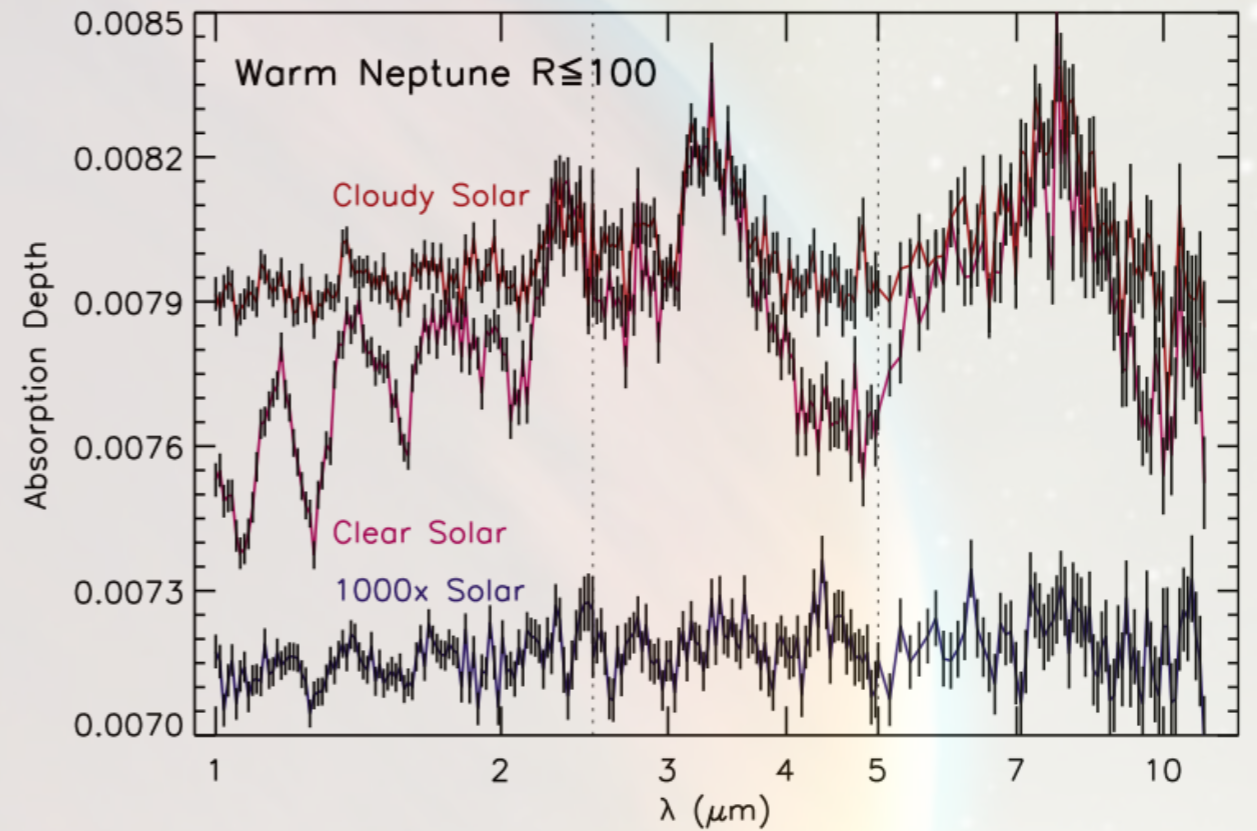
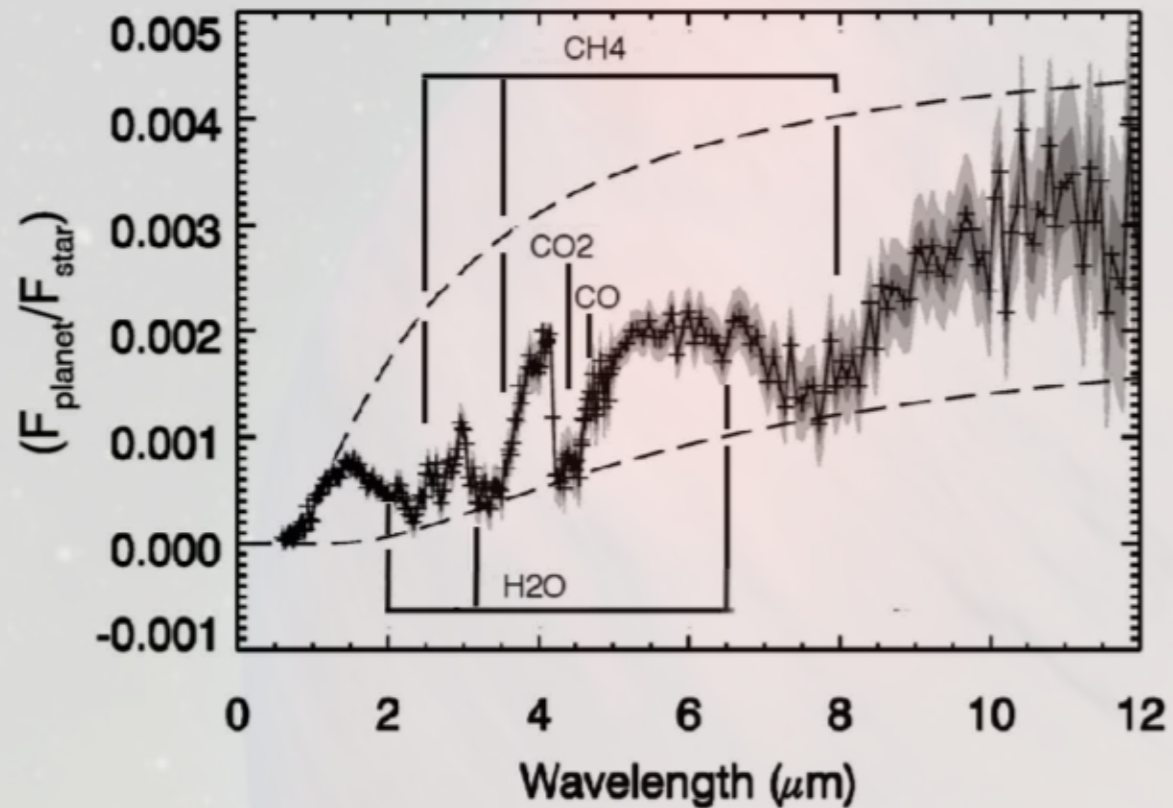
Simultaneous Transmission+Emission Fit

Secondary Eclipse

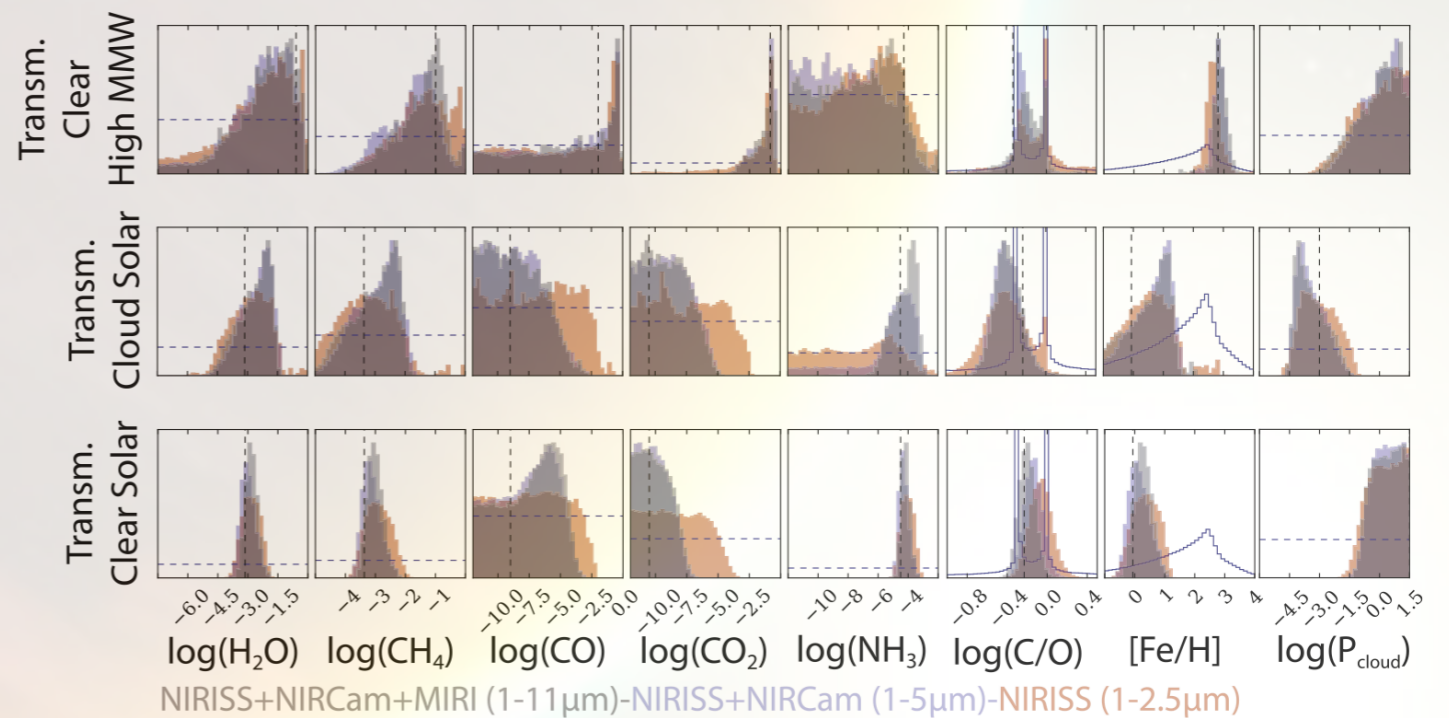
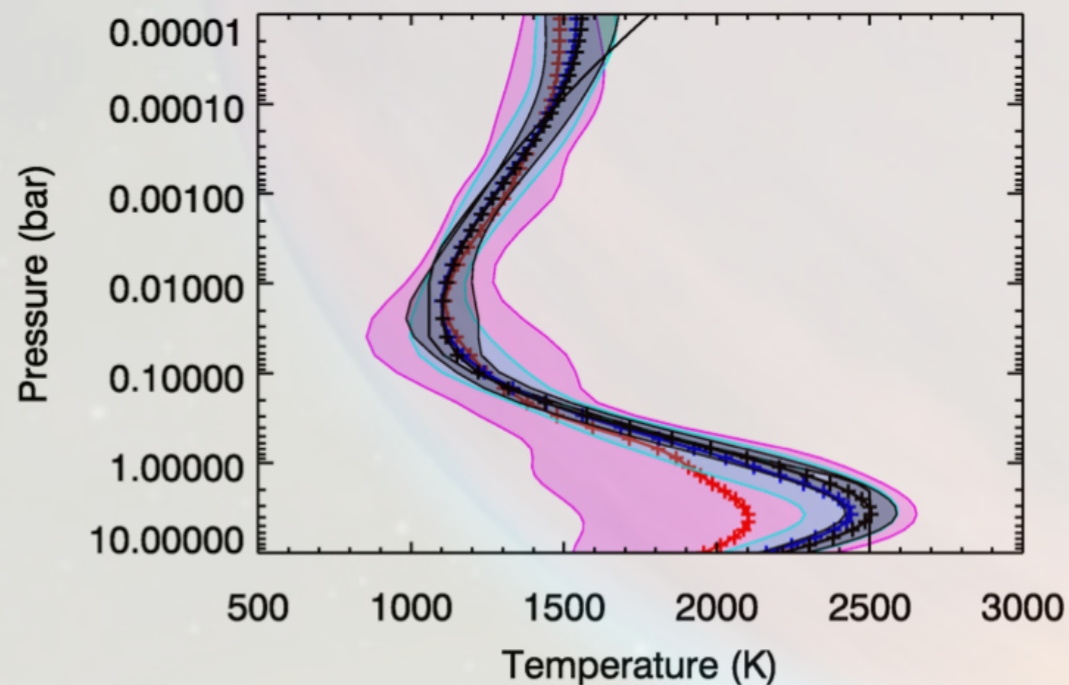


See also Griffith 2014 for preliminary test of this

Future Mission Planning



Temperature Profiles



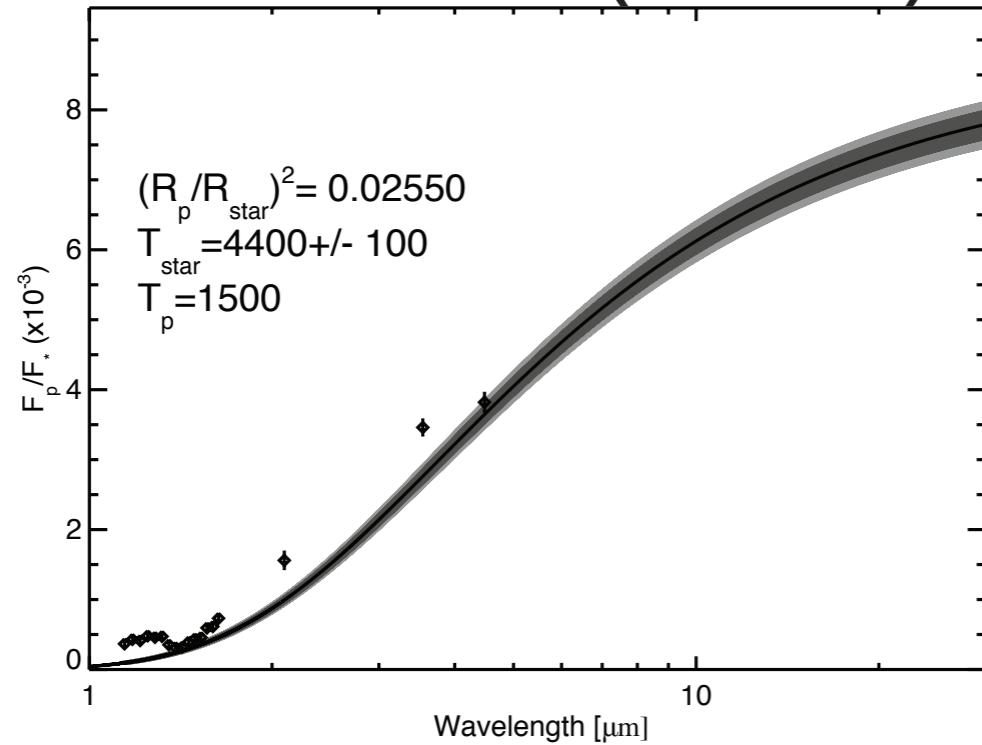
Philosophies

- Results only as good as the robustness of the data—data not consistent with itself sometimes
- The hidden “prior”—Implicit assumption that forward model is “correct”—model selection helps with this (and is being done...)
- Human Choices matter :(
- Atmospheres are complex—much room for improved parameterizations

Backup

Stellar Uncertainties Matter

WASP-43 (K-star)



GJ436 (M-star)

