

Transit Surveys

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Sagan Exoplanet Summer Workshop

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Our Mission: *Find a transiting Earth-like planet*

Designing transit surveys

Constraining planetary parameters

Accounting for selection effects

Estimating planet occurrence rates

If **1%** of FGK stars harbor **hot Jupiters**

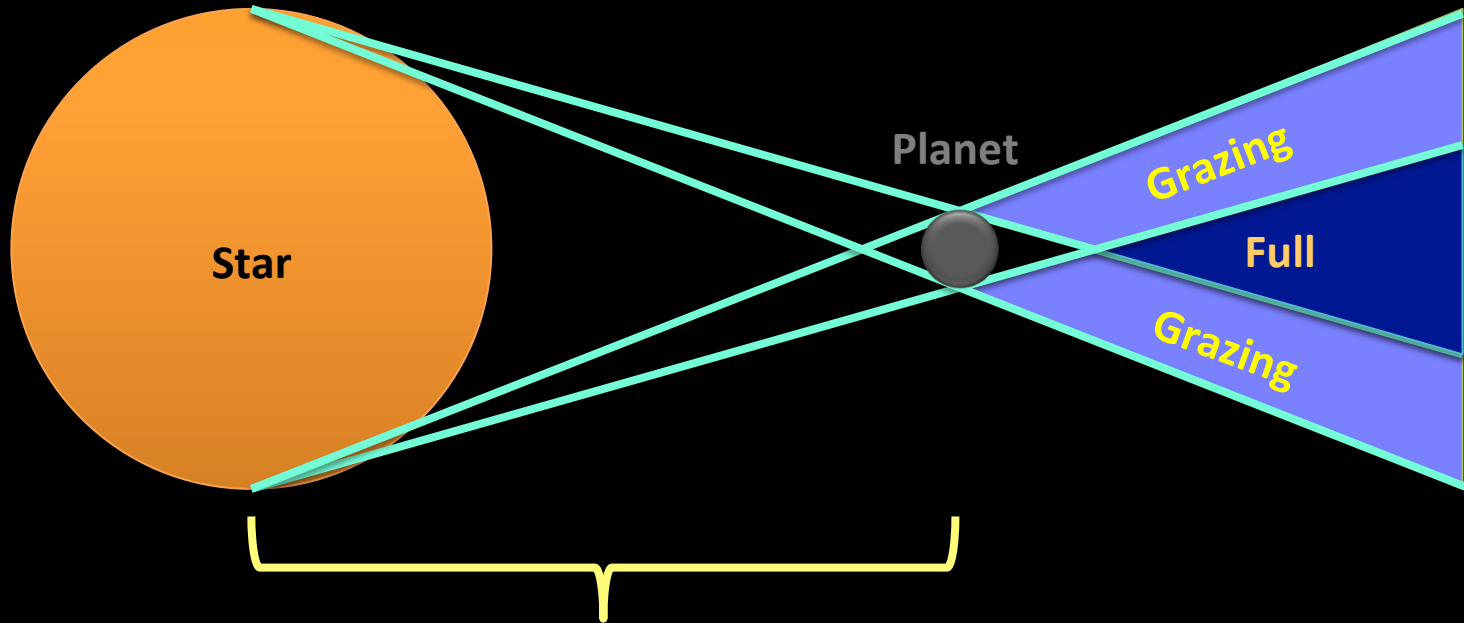
Marcy et al. 2005

then **how many stars** will we need to
survey in order to find a single

transiting

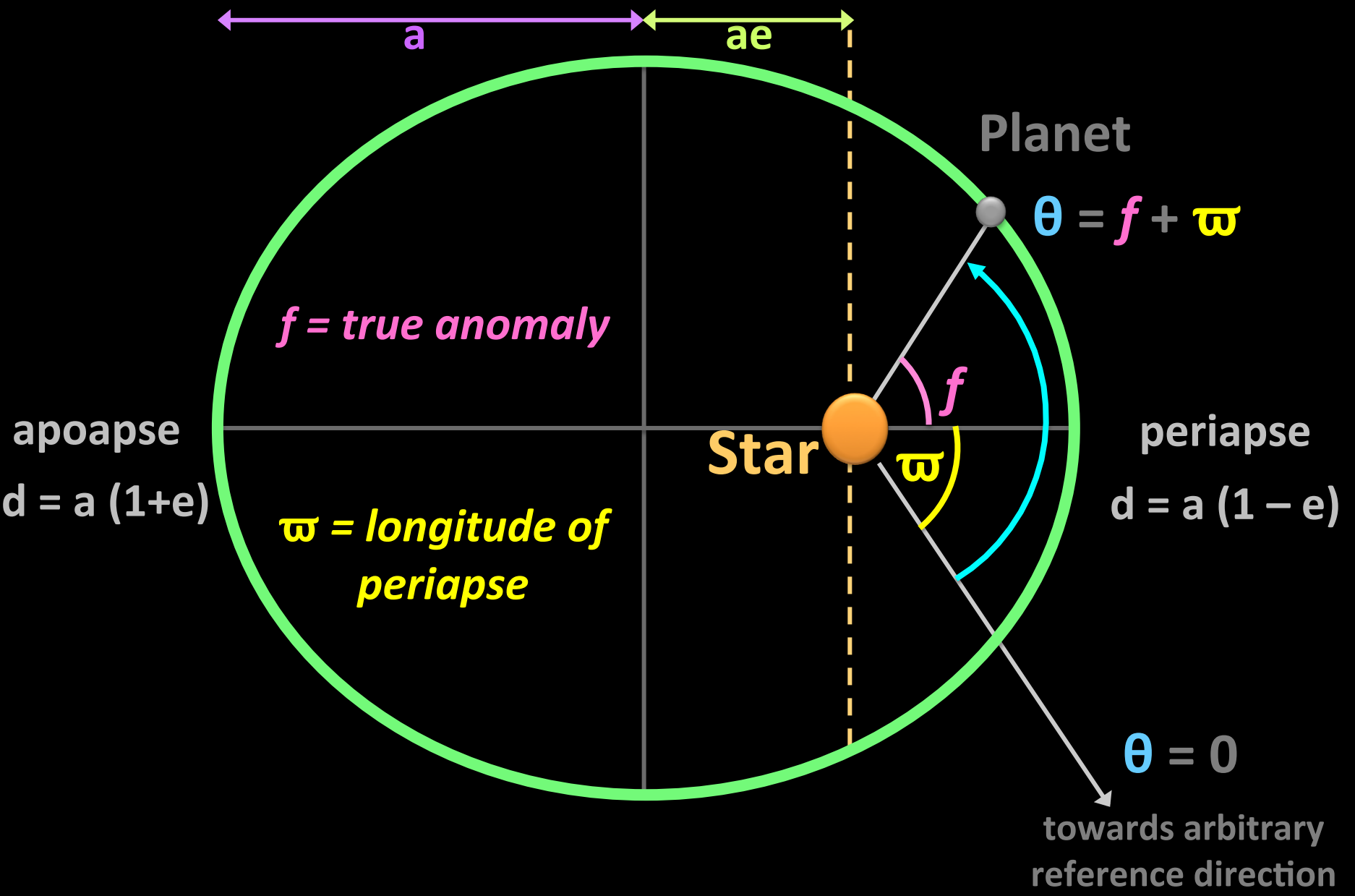
hot Jupiter?

Transit Geometry

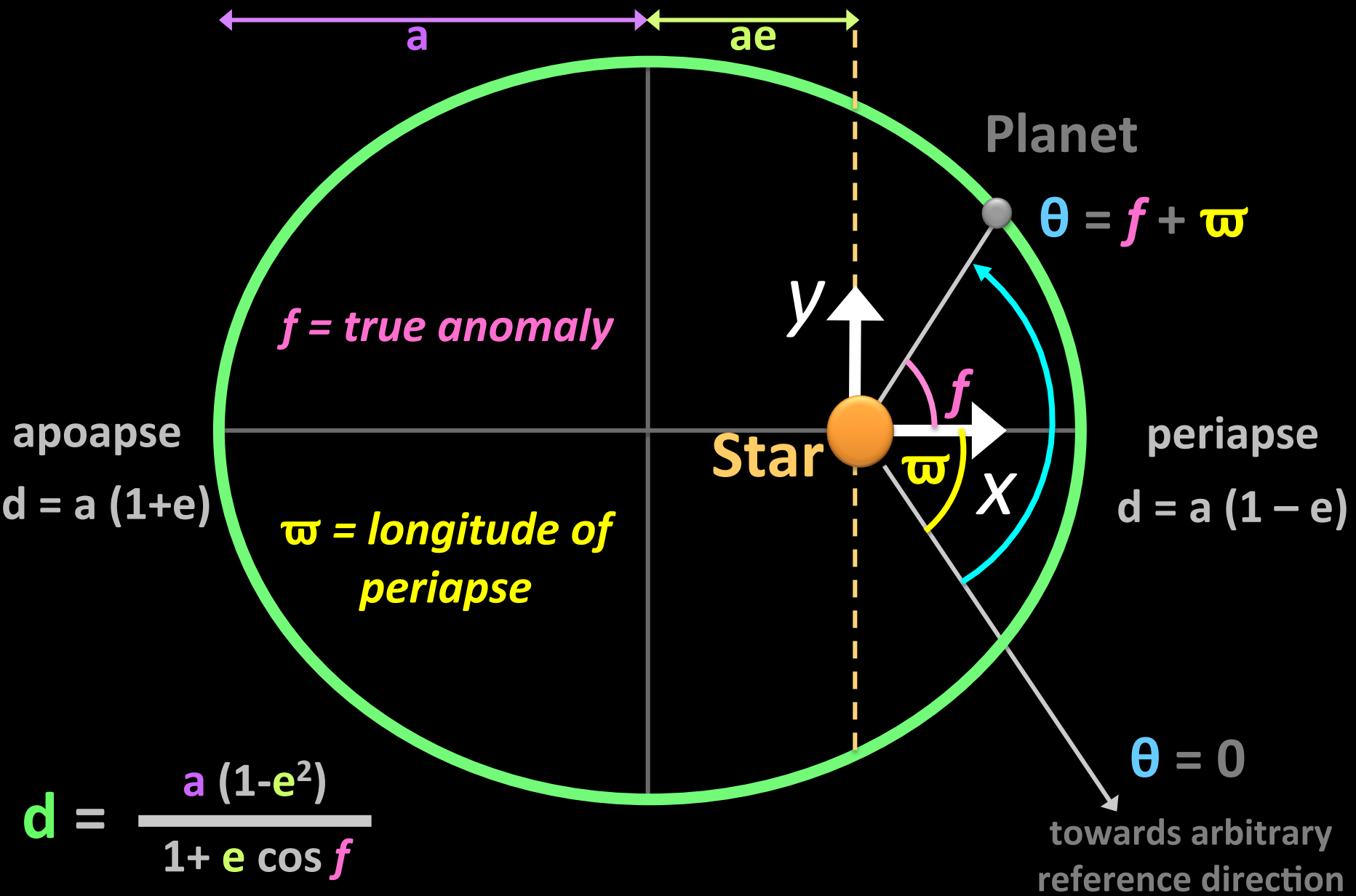


$d =$ Star-planet separation during transit

Transit Geometry



Transit Geometry



$$\text{Transit Probability} = \frac{(R_{\star} \pm R_p)}{a} \frac{(1 + e \sin \varpi)}{1 - e^2}$$

Easier formula for **circular orbits** with $a \gg R_{\star}$

$$\text{Transit Probability} = \frac{\text{Stellar Radius}}{\text{Semimajor Axis}}$$

<i>Transit Probability</i>	Sun	Kepler M dwarf	Typical M dwarf
Orbital period = 3.5 days	10%	7%	4%
Insolation = $1 F_{\text{Earth}}$	0.5%	0.9%	1.4%

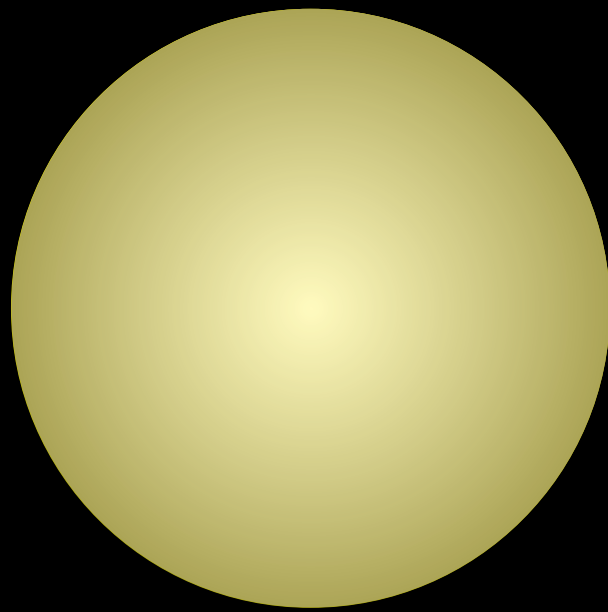
If **1%** of FGK stars harbor **hot Jupiters**

Marcy et al. 2005

and **10%** of those planets transit then

$$N_{\star} = \frac{1}{0.01} \times \frac{1}{0.1} = 10000 \text{ stars}$$

to find a single **transiting hot Jupiter**.



What precision do we require?

$$\text{Transit Depth} \approx \left(\frac{\text{Planet Radius}}{\text{Stellar Radius}} \right)^2$$

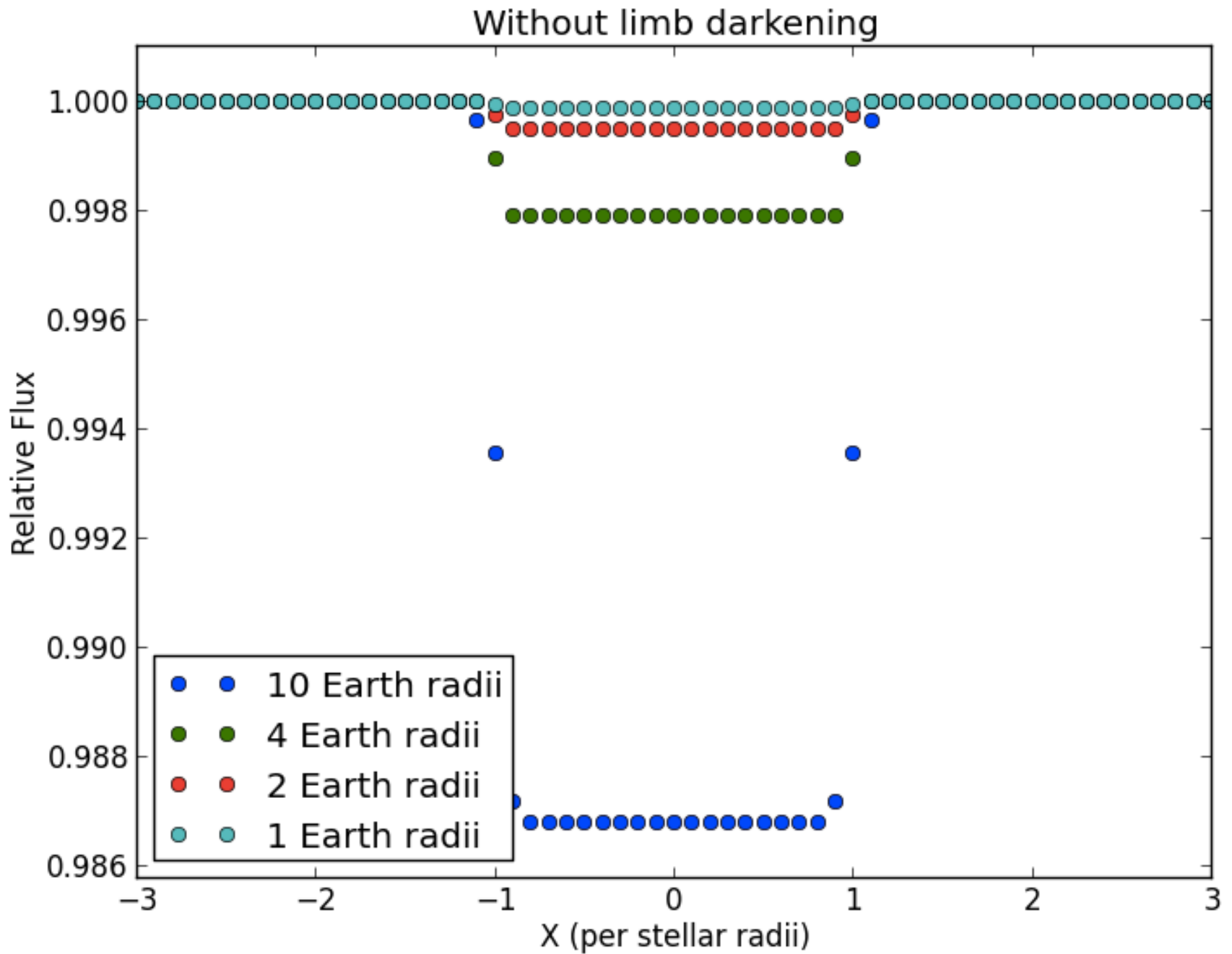


Figure by **Meg Schwab** for the Planet Hunters [blog](#)

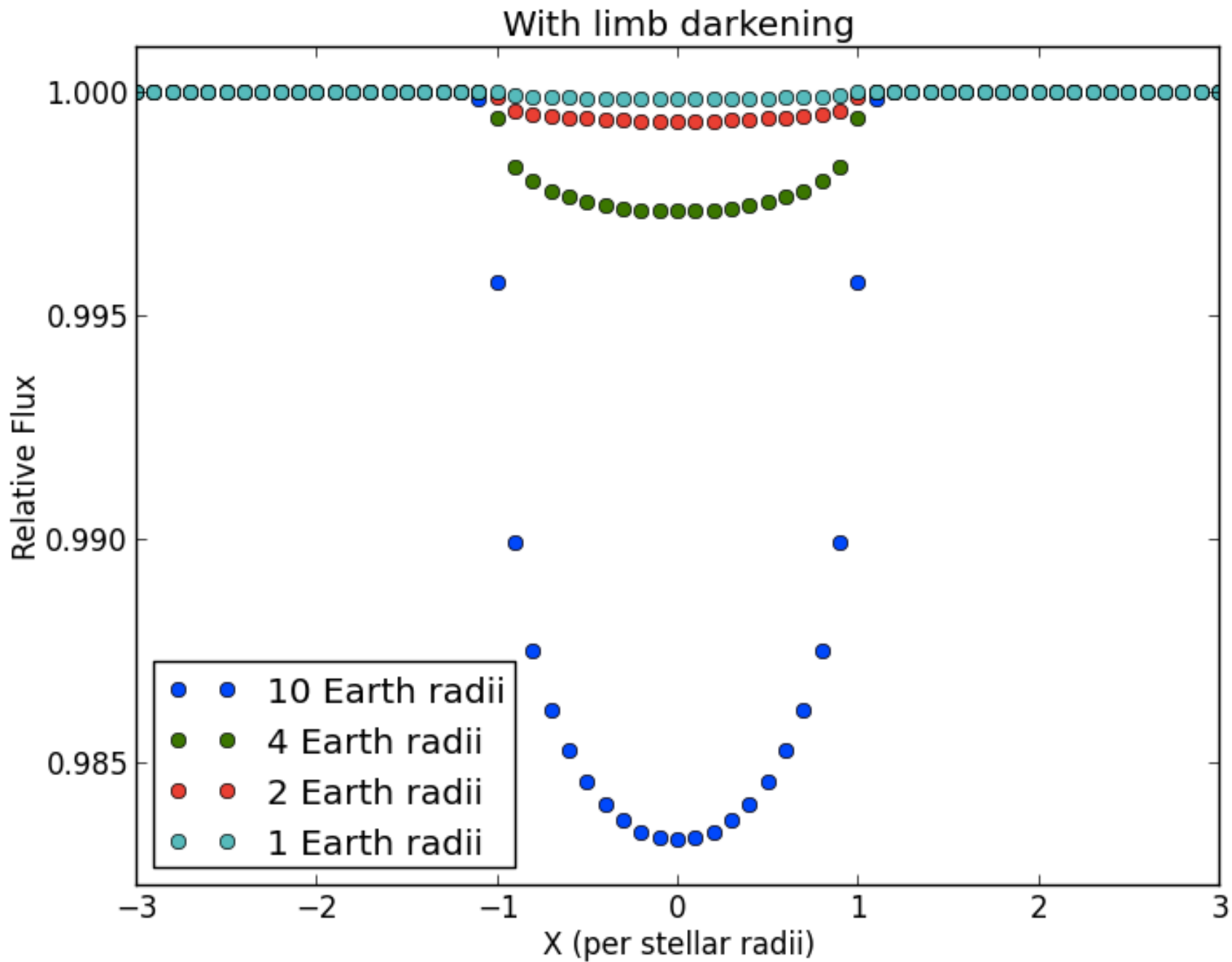
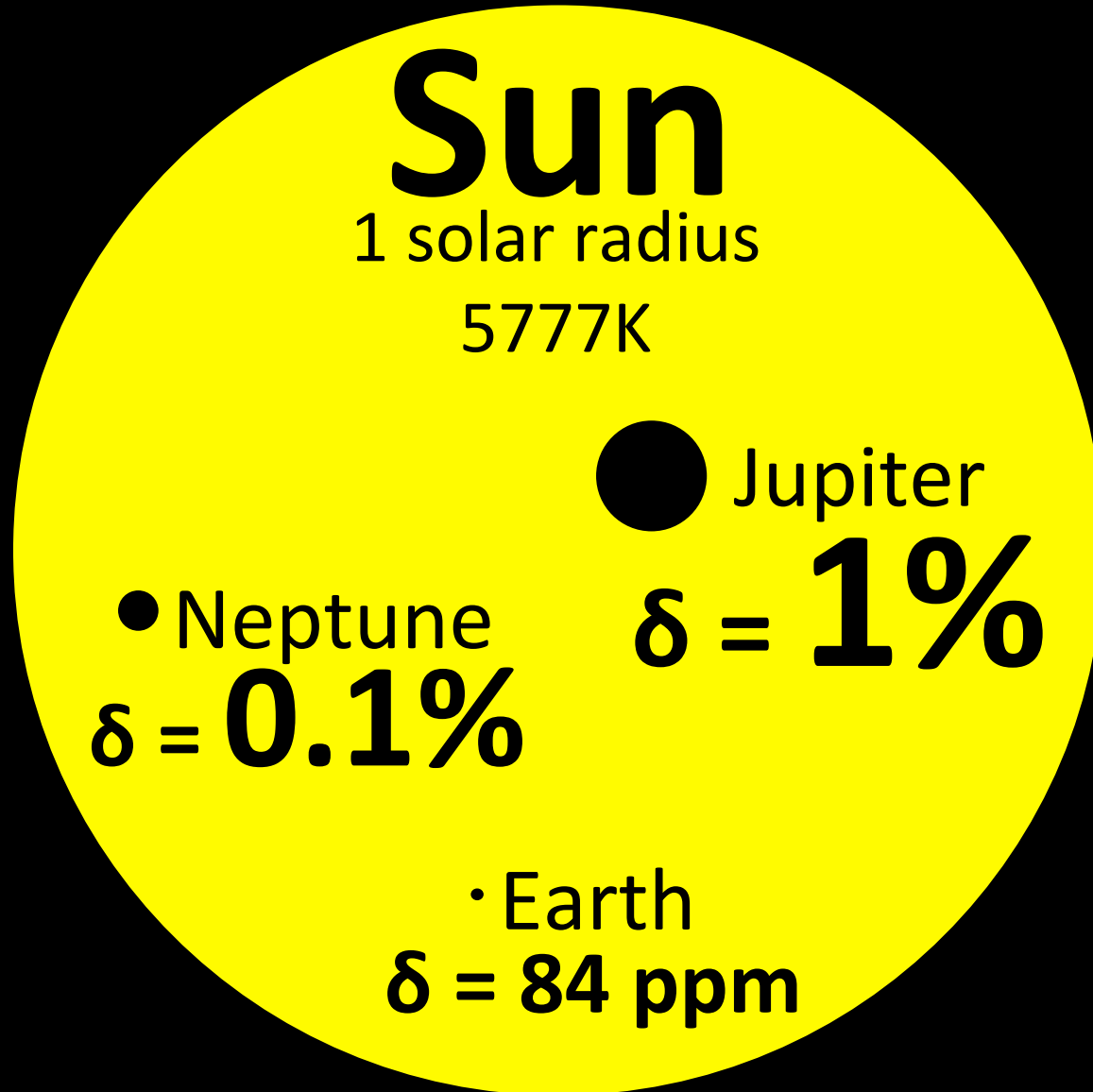


Figure by **Meg Schwab** for the Planet Hunters [blog](#)

$$\text{Transit Depth } \delta \approx \left(\frac{\text{Planet Radius}}{\text{Stellar Radius}} \right)^2$$



$$\text{Transit Depth } \delta \approx \left(\frac{\text{Planet Radius}}{\text{Stellar Radius}} \right)^2$$

Sun

1 solar radius

5777K

● Neptune $\delta = 0.1\%$

● Jupiter $\delta = 1\%$

● Earth $\delta = 84 \text{ ppm}$

"Large" M dwarf

Jupiter

● $\delta = 3\%$

0.6 solar radii

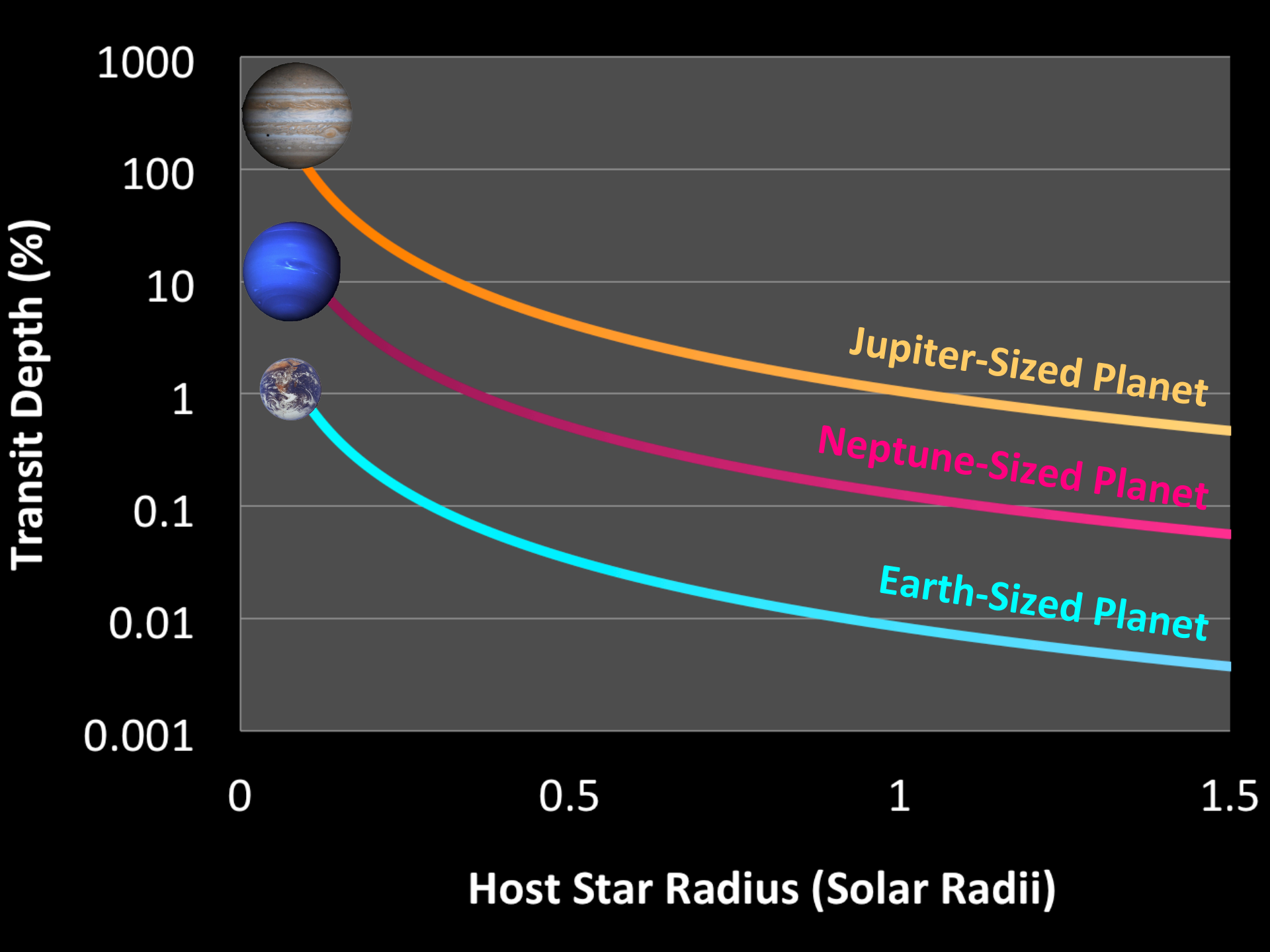
3900K

F star

Jupiter

● $\delta = 0.6\%$

1.3 solar radius, 6500K



1000

100

10

1

0.1

0.01

0.001

Transit Depth (%)

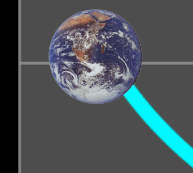
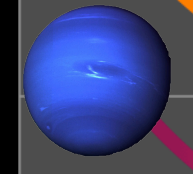
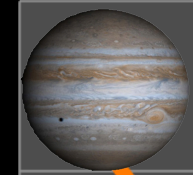
0

0.5

1

1.5

Host Star Radius (Solar Radii)



Jupiter-Sized Planet

Neptune-Sized Planet

Earth-Sized Planet

Estimating the Signal-to-Noise Ratio

$$S/N = \frac{C_{\star} \times t}{[(C_{\star} \times t) + (C_{\text{sky}} \times t \times n_{\text{pix}}) + (RN^2 + (G/2)^2 \times n_{\text{pix}}) + (D \times n_{\text{pix}} \times t)]^{0.5}}$$

$C_{\star} \times t$: e^- per second from target star (green arrow) × integration time (seconds) (blue arrow)

$C_{\text{sky}} \times t \times n_{\text{pix}}$: e^- per second per pixel from sky background (purple arrow) × number of pixels in aperture (orange arrow)

RN^2 : read noise (e^-) (yellow arrow)

$(G/2)^2$: Inverse Gain (e^-/DN) (pink arrow)

$D \times n_{\text{pix}} \times t$: Dark Current ($e^-/\text{pixel}/\text{second}$) (red arrow)

Bright Star Approximation

$$S/N = \frac{C_{\star} \times t}{\left[\left(C_{\star} \times t \right) + \left(C_{sky} \times t \times n_{pix} \right) + \left(RN^2 + \left(G/2 \right)^2 \times n_{pix} \right) + \left(D \times n_{pix} \times t \right) \right]^{0.5}}$$

$C_{\star} \times t$ is labeled as **e⁻ per second from target star** (green arrow) and **integration time (seconds)** (blue arrow).

$C_{sky} \times t \times n_{pix}$ is labeled as **e⁻ per second per pixel from sky background** (purple arrow) and **number of pixels in aperture** (orange arrow).

$RN^2 + (G/2)^2 \times n_{pix}$ is labeled as **read noise (e⁻)** (yellow arrow) and **Inverse Gain (e⁻/DN)** (pink arrow).

$D \times n_{pix} \times t$ is labeled as **Dark Current (e⁻/pixel/second)** (red arrow).

The entire denominator is raised to the power of 0.5.

Bright Star Approximation

e^- per second from target star

integration time (seconds)

$$S/N \approx (C_{\star} \times t)^{0.5}$$

<i>Precision for V=10 Star (ppm)</i>	5 sec	60 sec	600 sec
10-cm telescope	2690	780	250
1-meter telescope	270	78	25
5-meter telescope	54	16	5

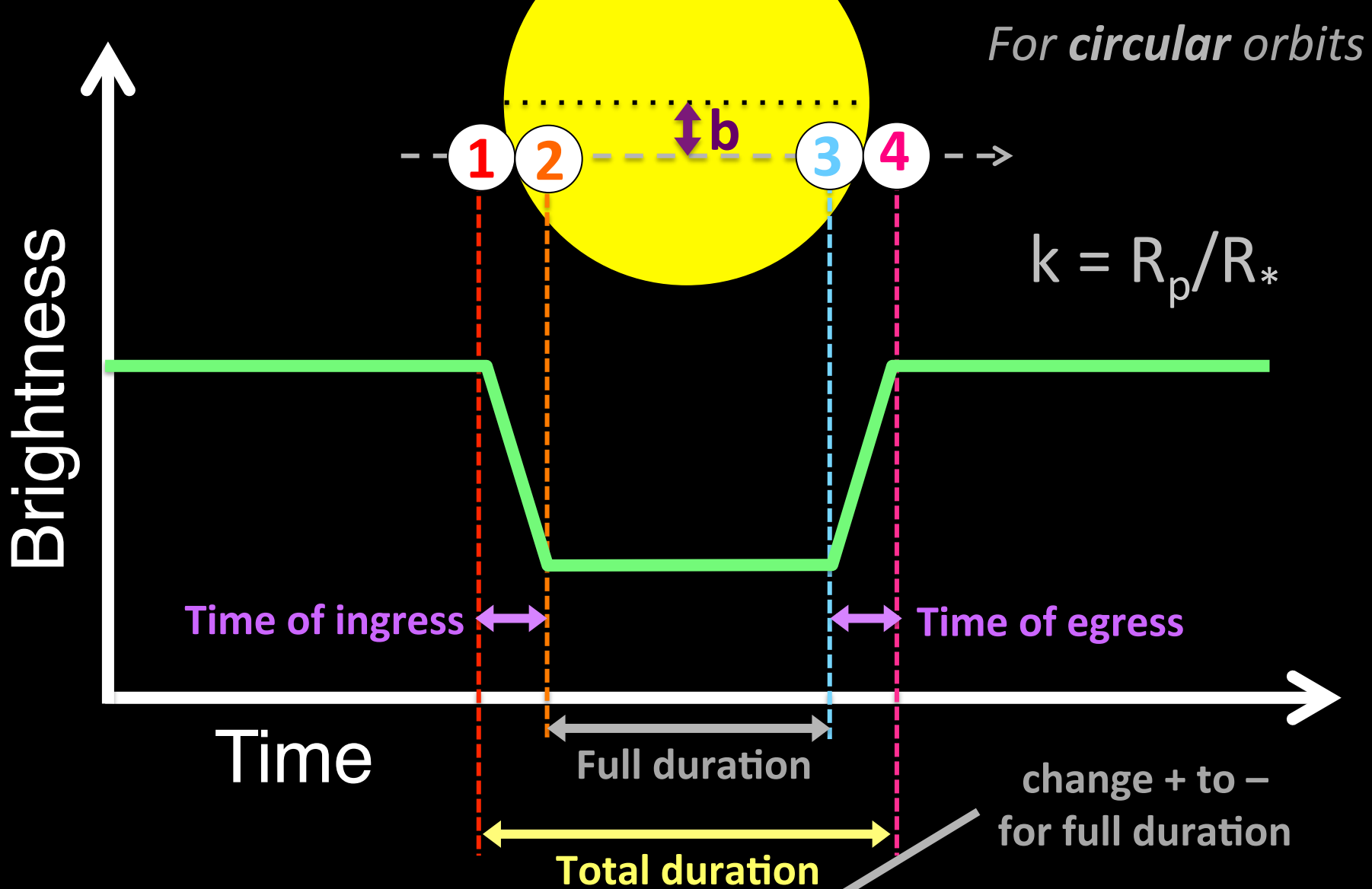
Advantages of Long-Term Monitoring

$$\text{Number of Transits Observed} \approx \frac{\text{Time Coverage}}{\text{Orbital Period}}$$

$$S/N_{\text{multiple}} \approx S/N_{\text{single}} \times (N_{\text{transits}})^{0.5}$$

$$S/N_{\text{multiple}} \approx \frac{\delta}{\sigma} \left(\frac{T}{P} \right)^{0.5}$$

What are the relevant timescales?



Total duration = $\frac{P}{\pi} \sin^{-1} \left(\frac{R_{\star}}{a} \frac{((1+k)^2 - b^2)^{0.5}}{\sin i} \right)$

Characteristic Timescale: $T_0 = \frac{R_{\star} P}{\pi a} \approx 13 \text{ hr} \left(\frac{P}{1 \text{ yr}} \frac{\rho_{\odot}}{\rho_{\star}} \right)^{1/3}$

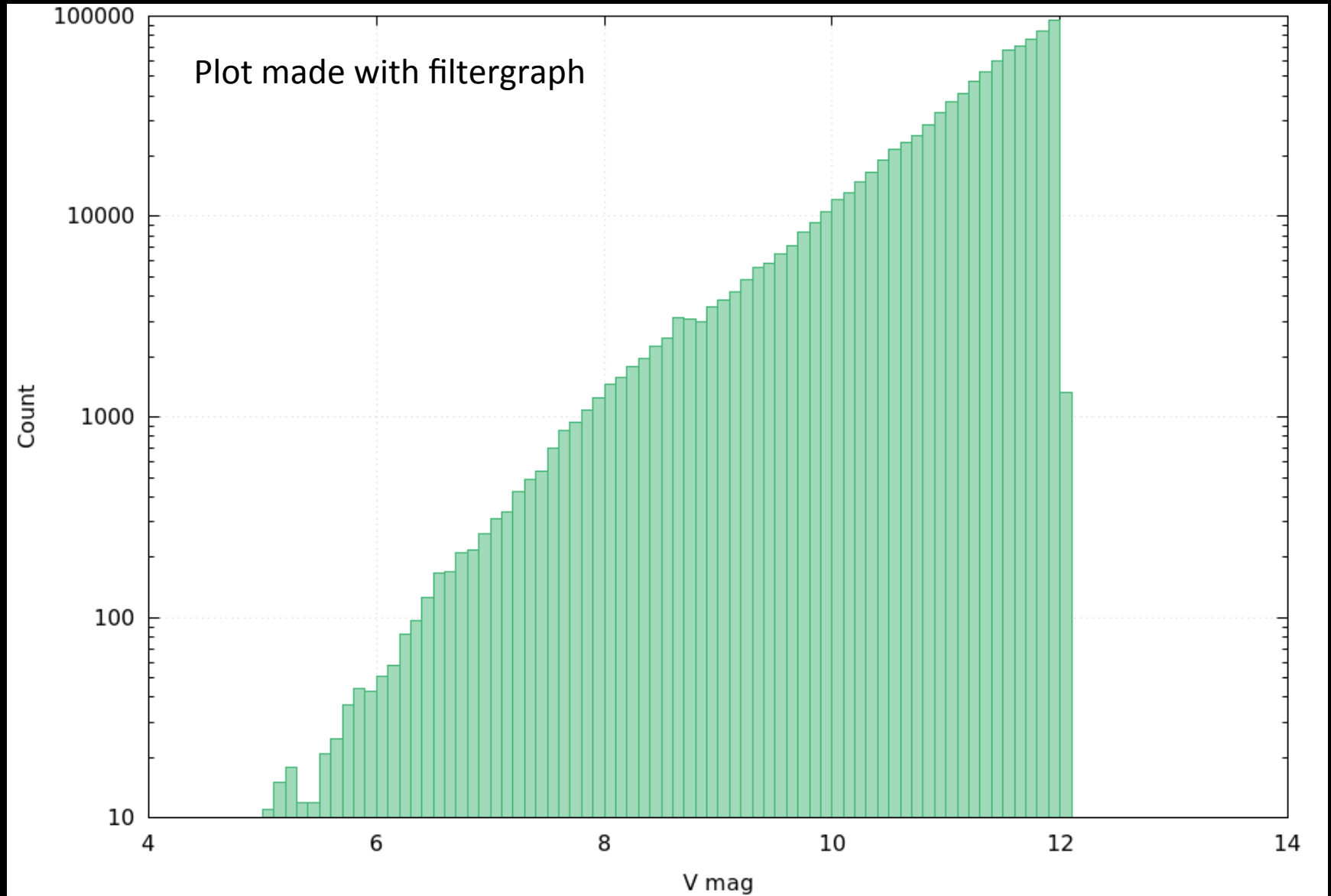
Transit Duration $\approx T_0 (1-b^2)^{0.5}$

Ingress Duration $\approx T_0 k(1-b^2)^{-0.5}$

for small planets in circular orbits with $a \gg R_{\star}$ and $b \ll 1-k$

<i>Event Duration for a Jupiter with $P = 3.5$ days</i>	Late F star	Sun	Early M dwarf
Total Transit (hr)	3	3	2
Transit Ingress (min)	16	17	20

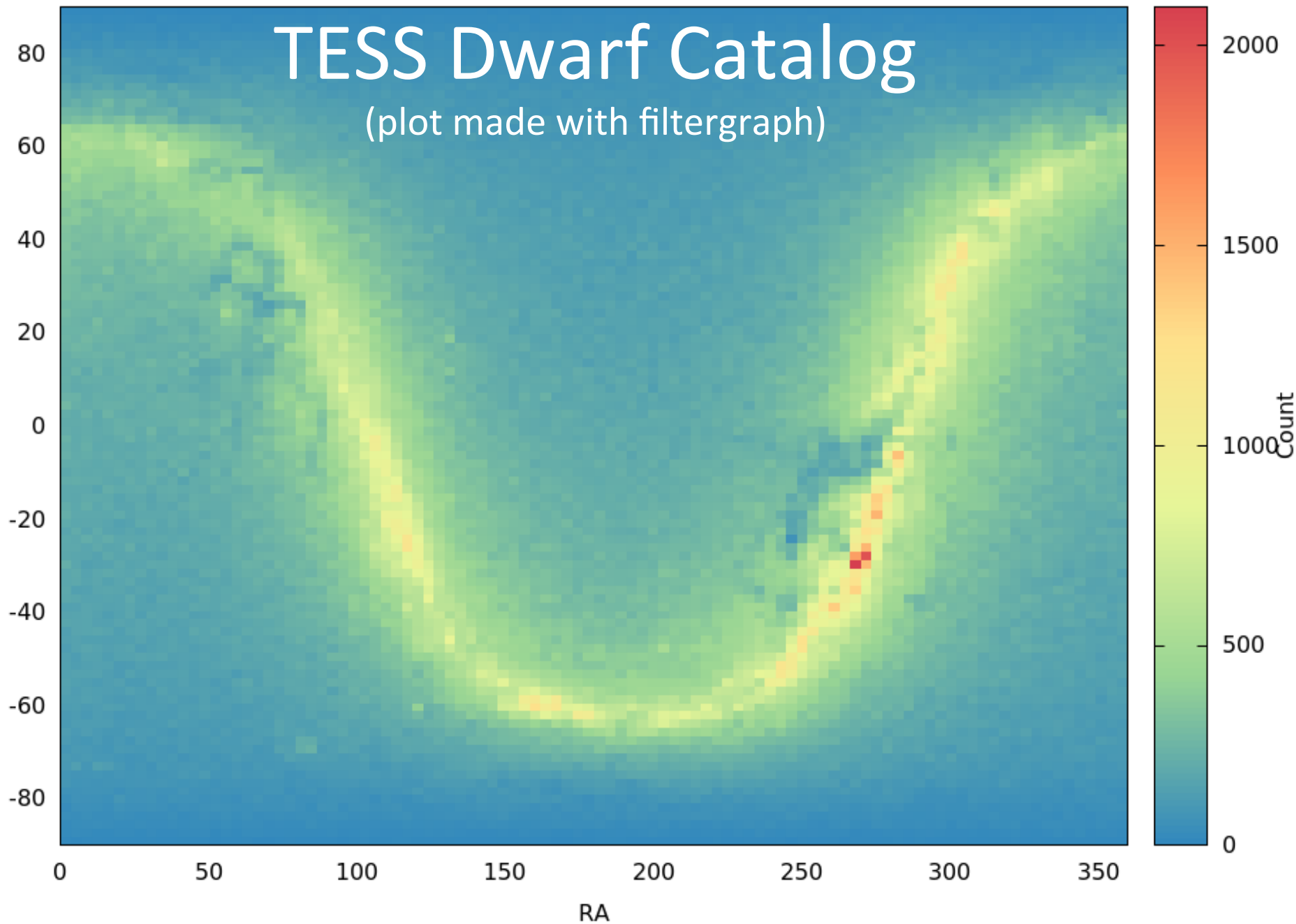
TESS Dwarf Catalog



Dec vs. RA

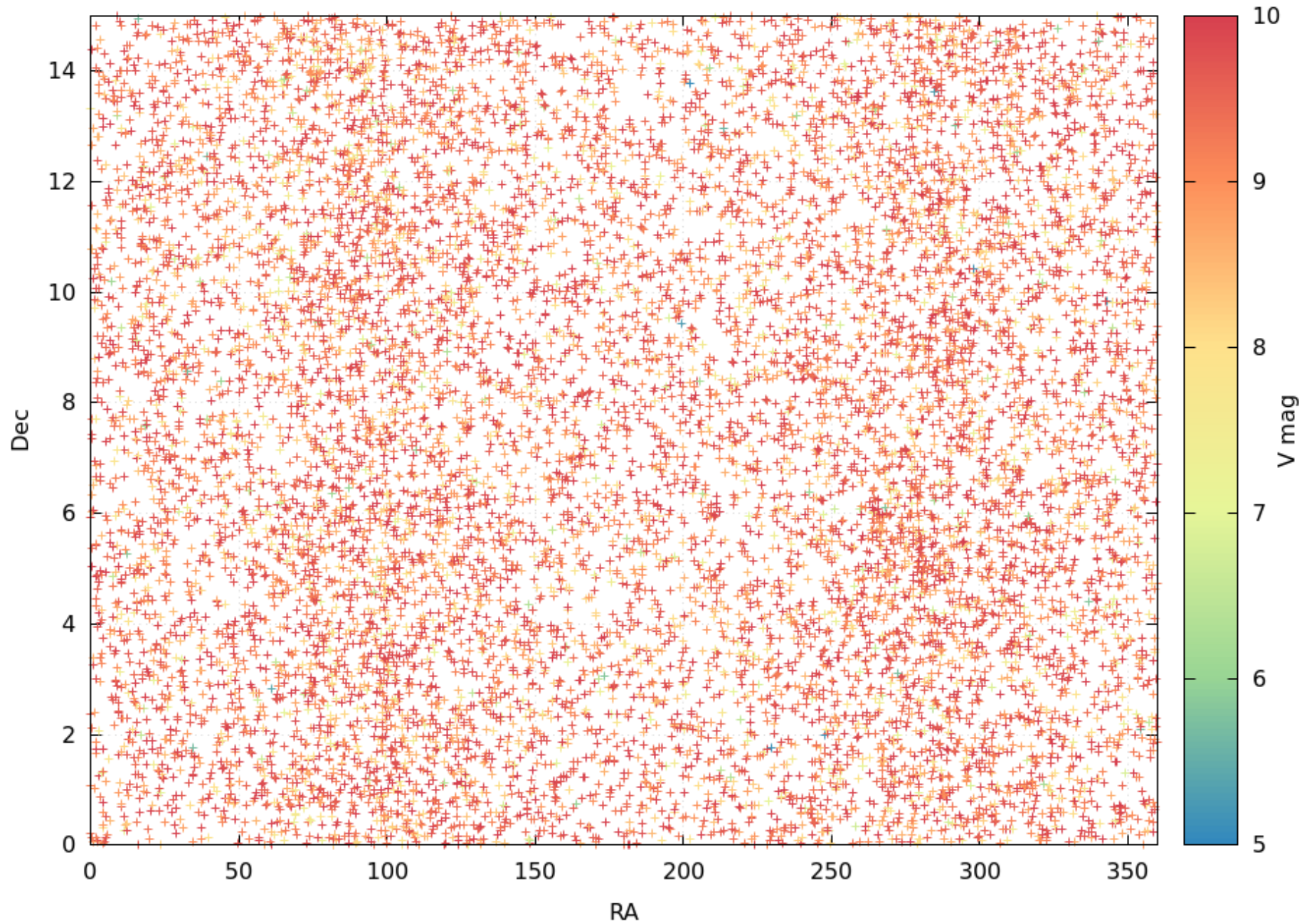
TESS Dwarf Catalog

(plot made with filtergraph)



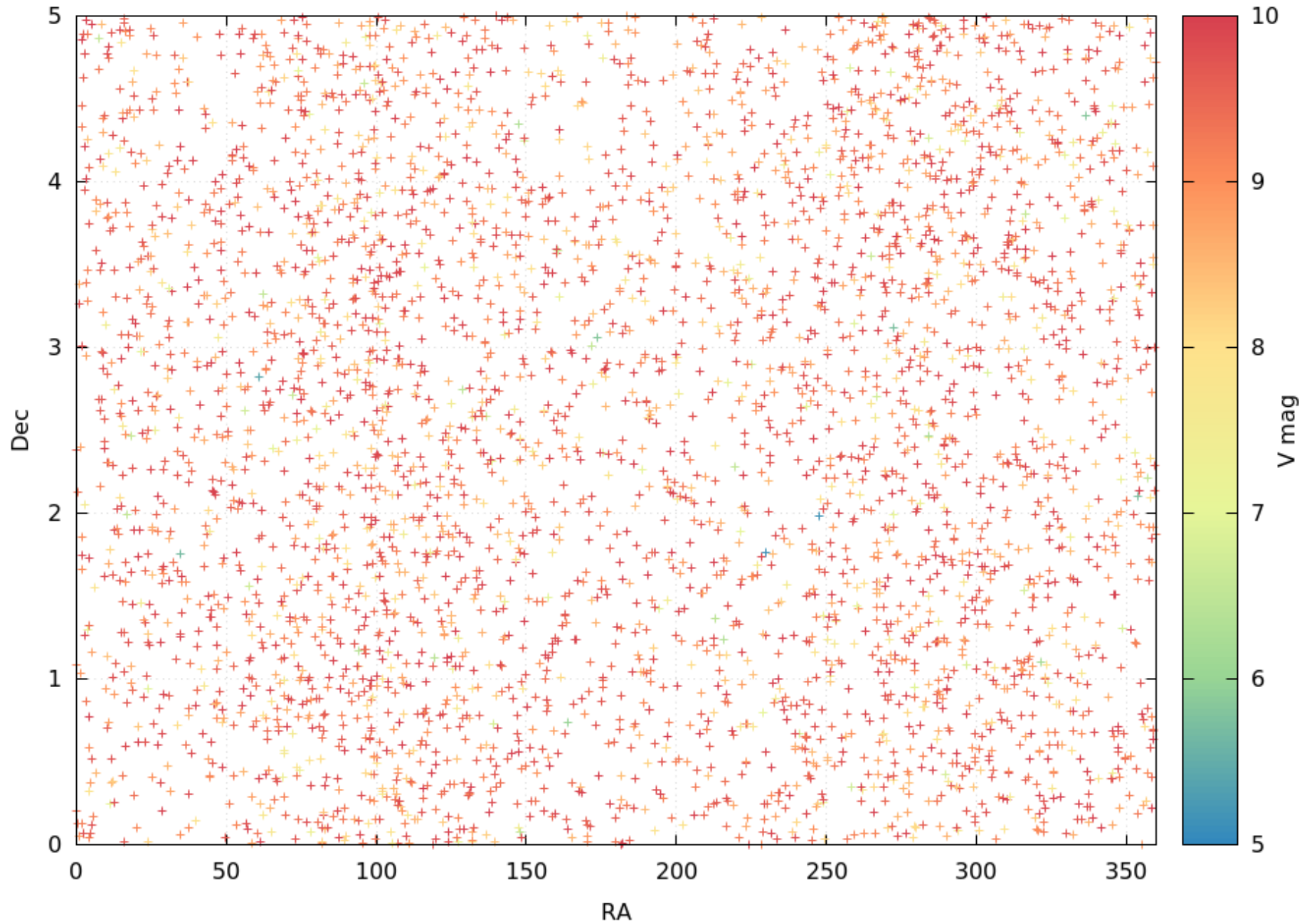
TESS Dwarf Catalog

FOV = $15^\circ \times 360^\circ$



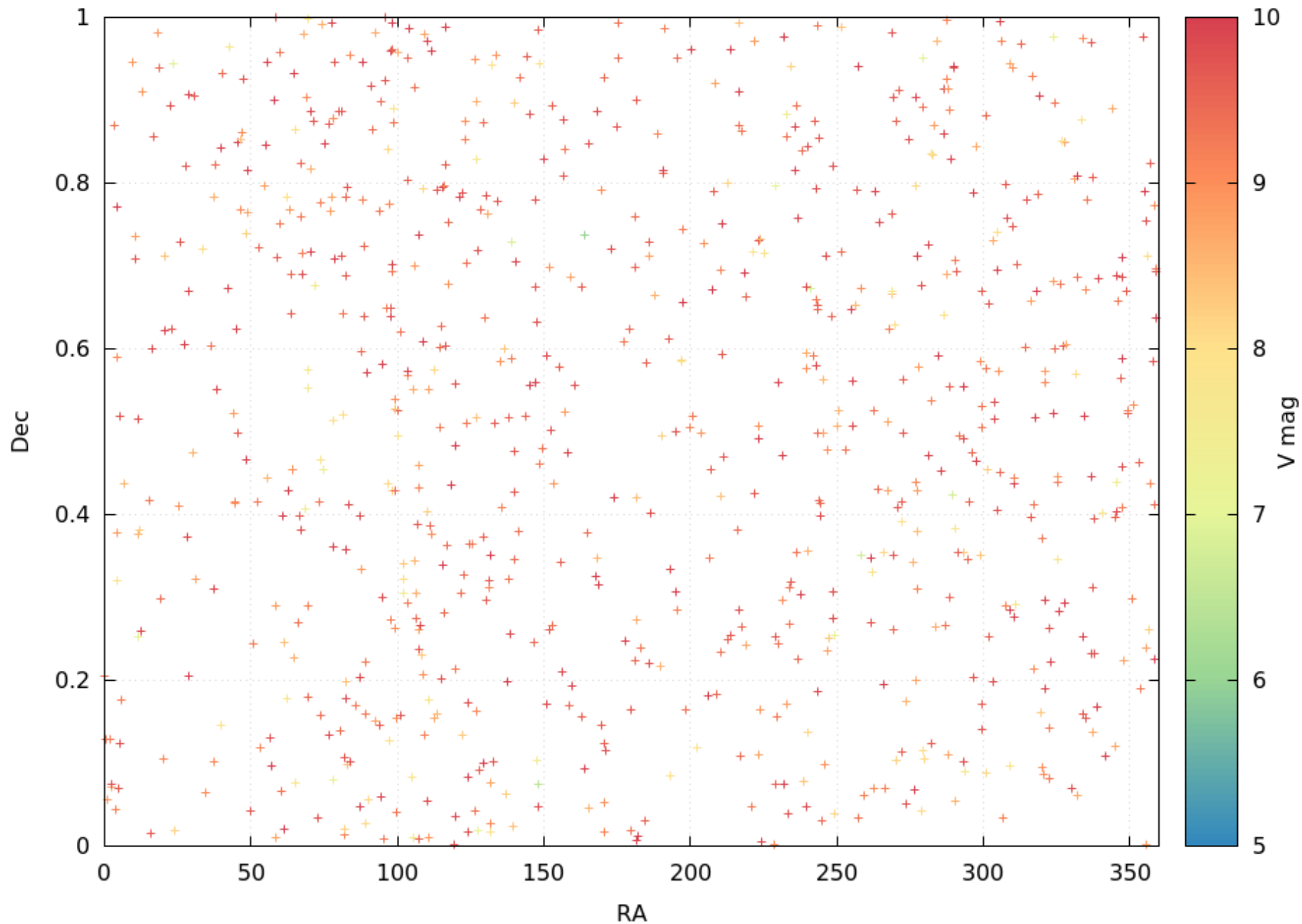
TESS Dwarf Catalog

FOV = $5^\circ \times 360^\circ$

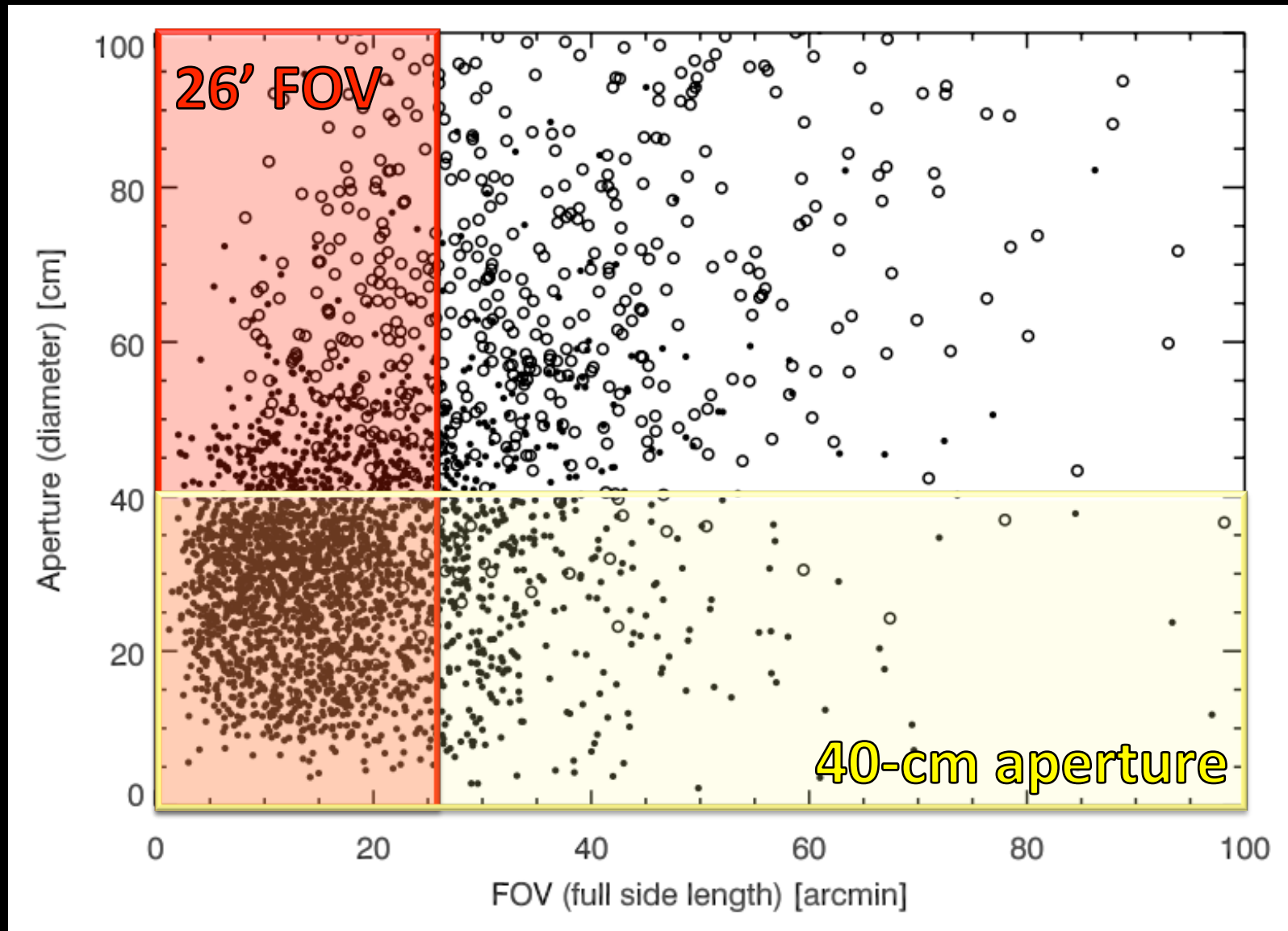


TESS Dwarf Catalog

FOV = $1^\circ \times 360^\circ$



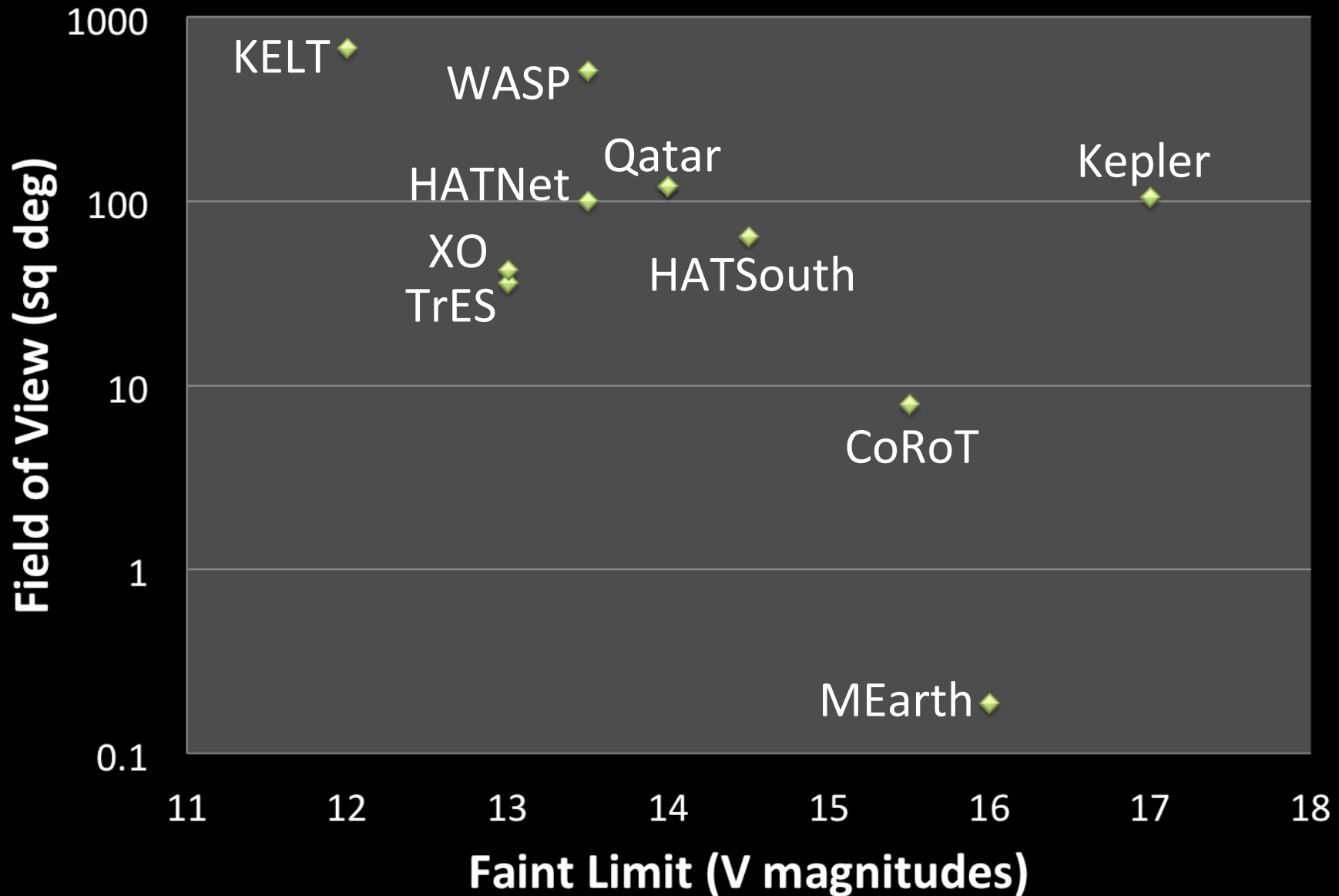
Ideal Survey Design Depends on Stellar Sample





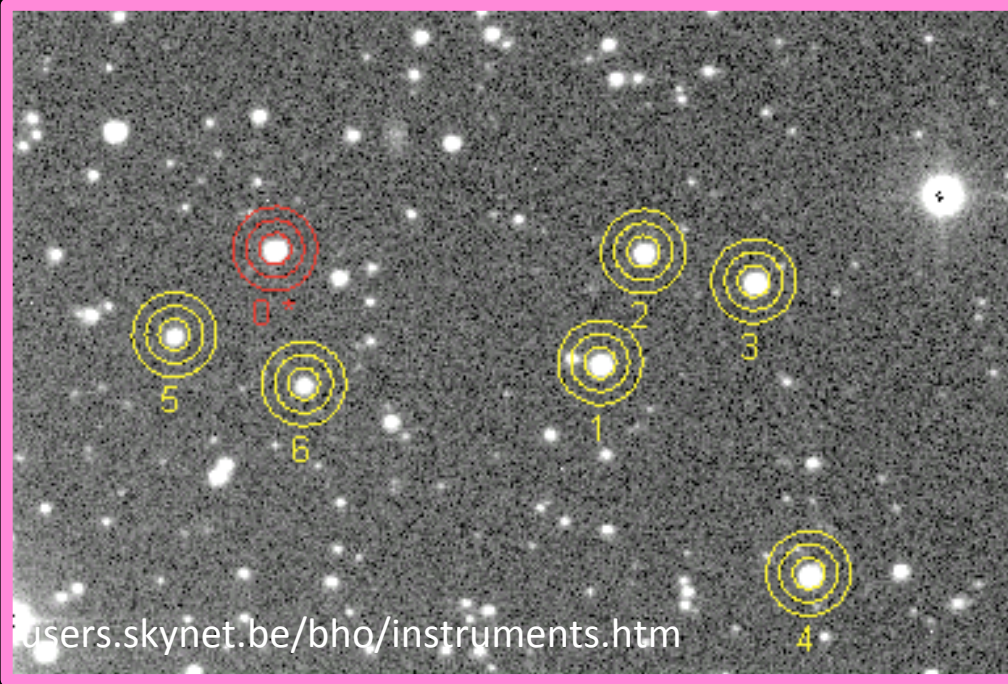
MEarth-South telescopes in action (February 2014)

Surveys with smaller FOV are often deeper

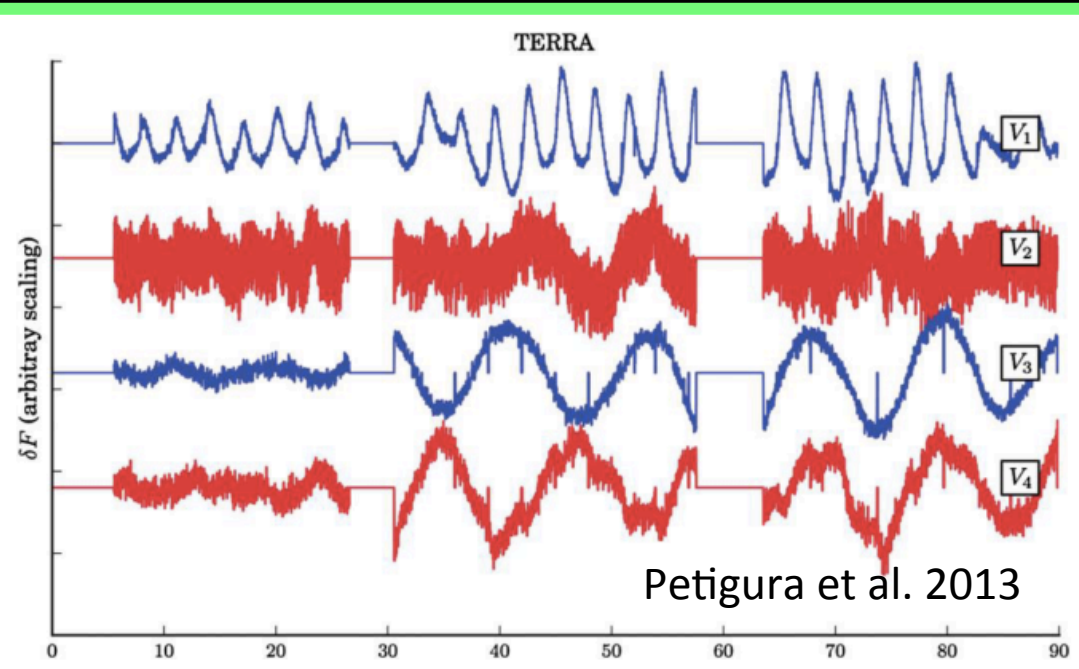


Two Possible Approaches to Calibration

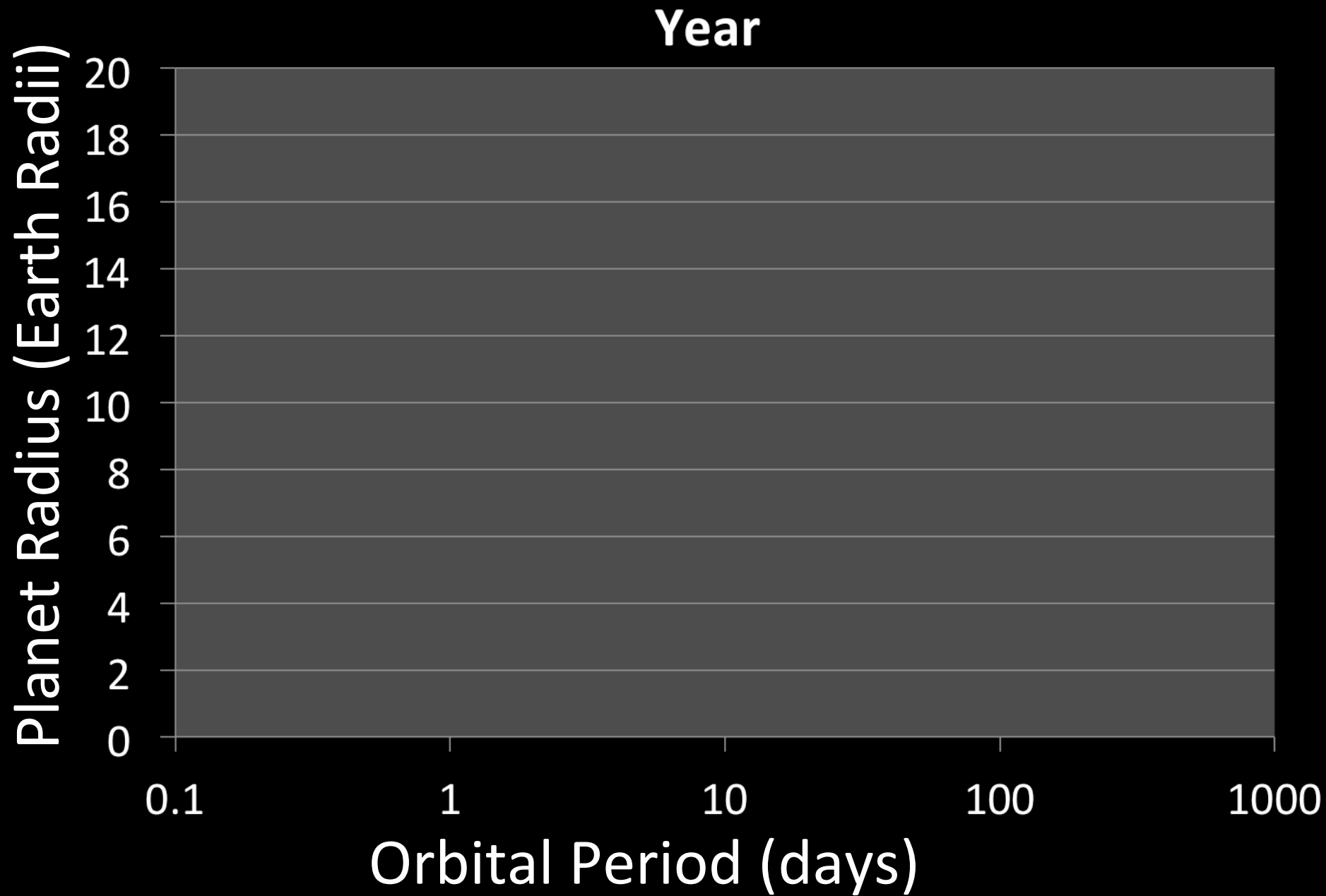
Employing designated reference stars



Using the ensemble of science targets



What have we found?



2000

Planet Radius (Earth Radii)

20
18
16
14
12
10
8
6
4
2
0

HD 209458 b



0.1

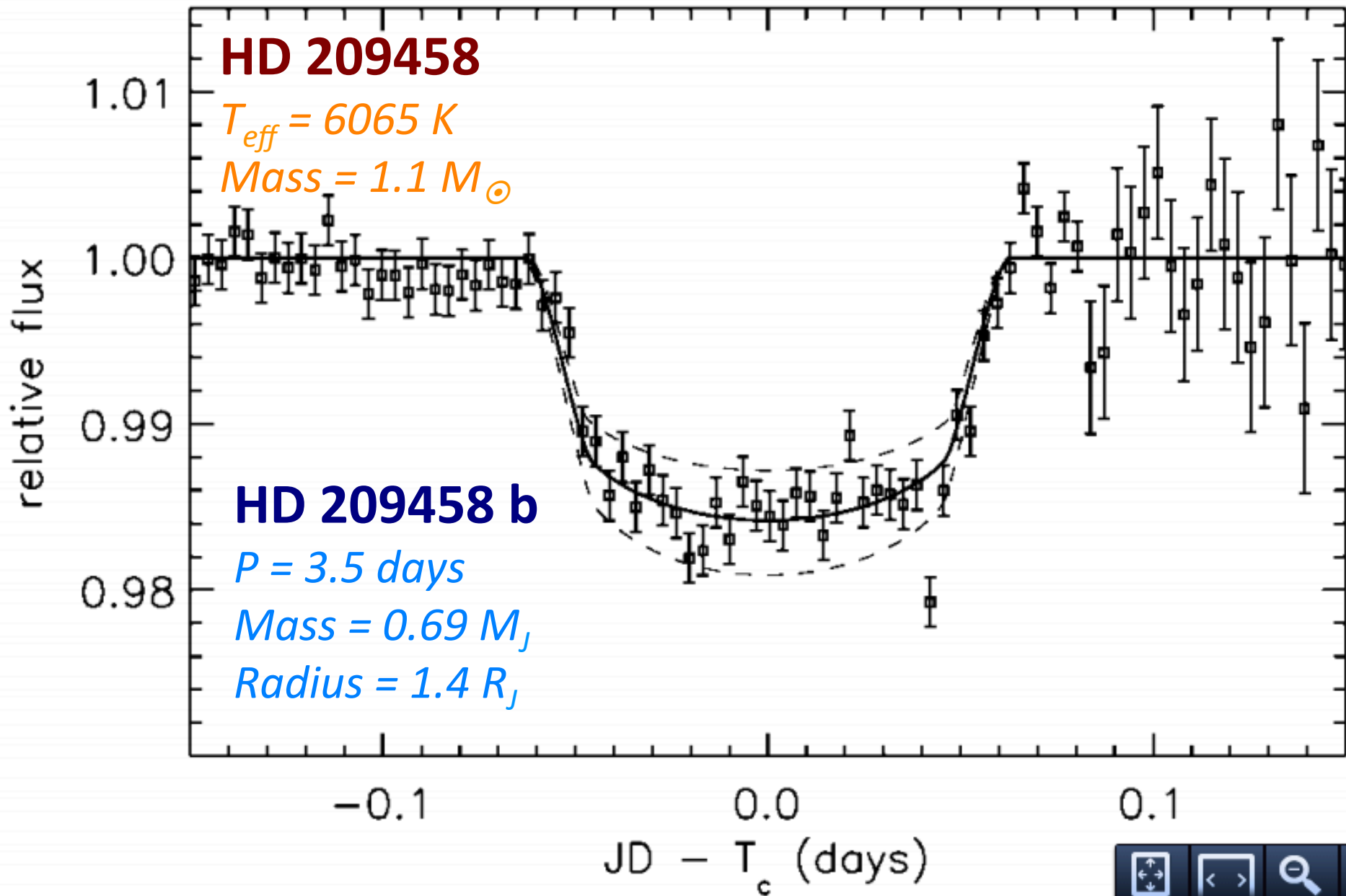
1

10

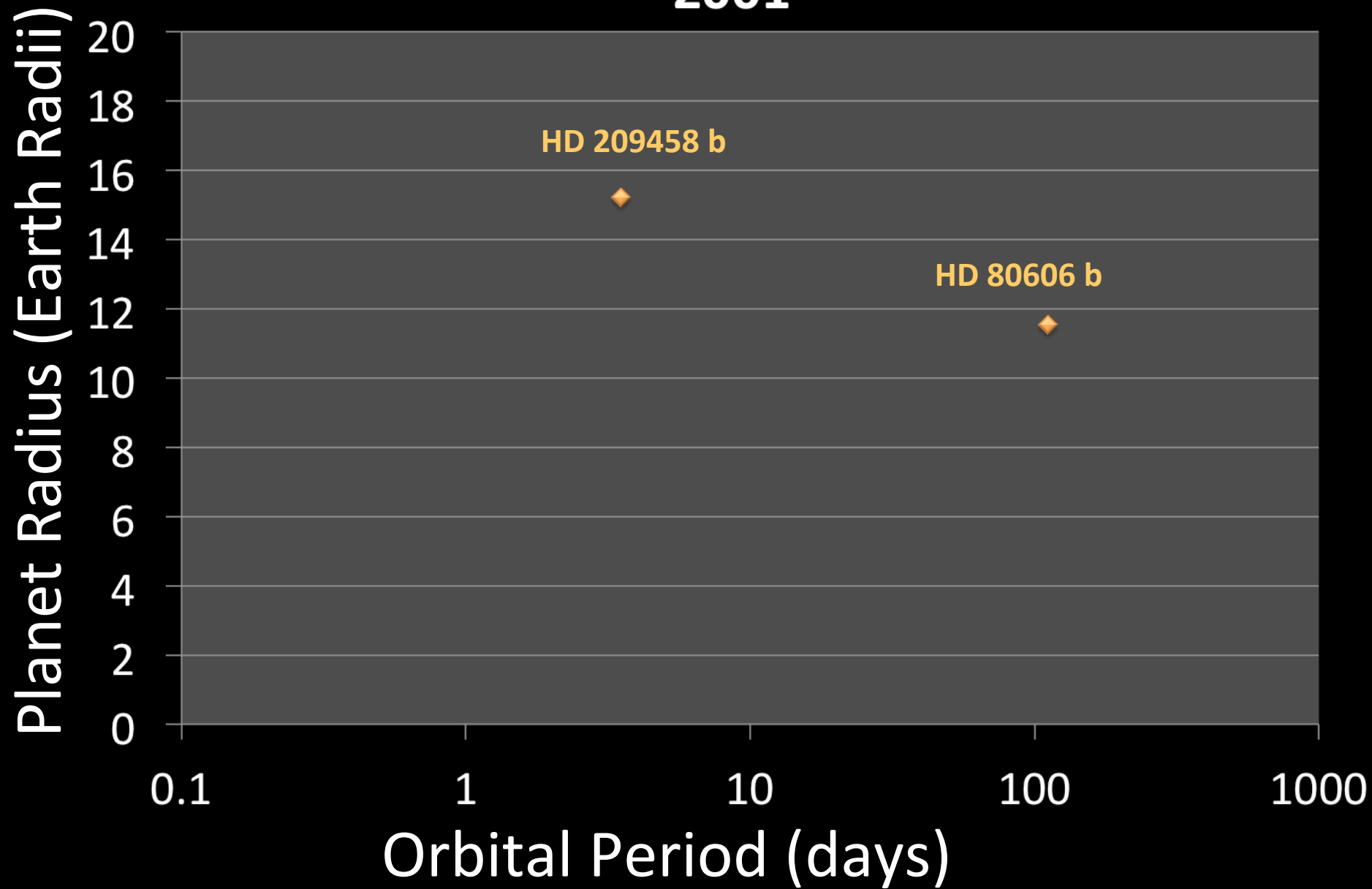
100

1000

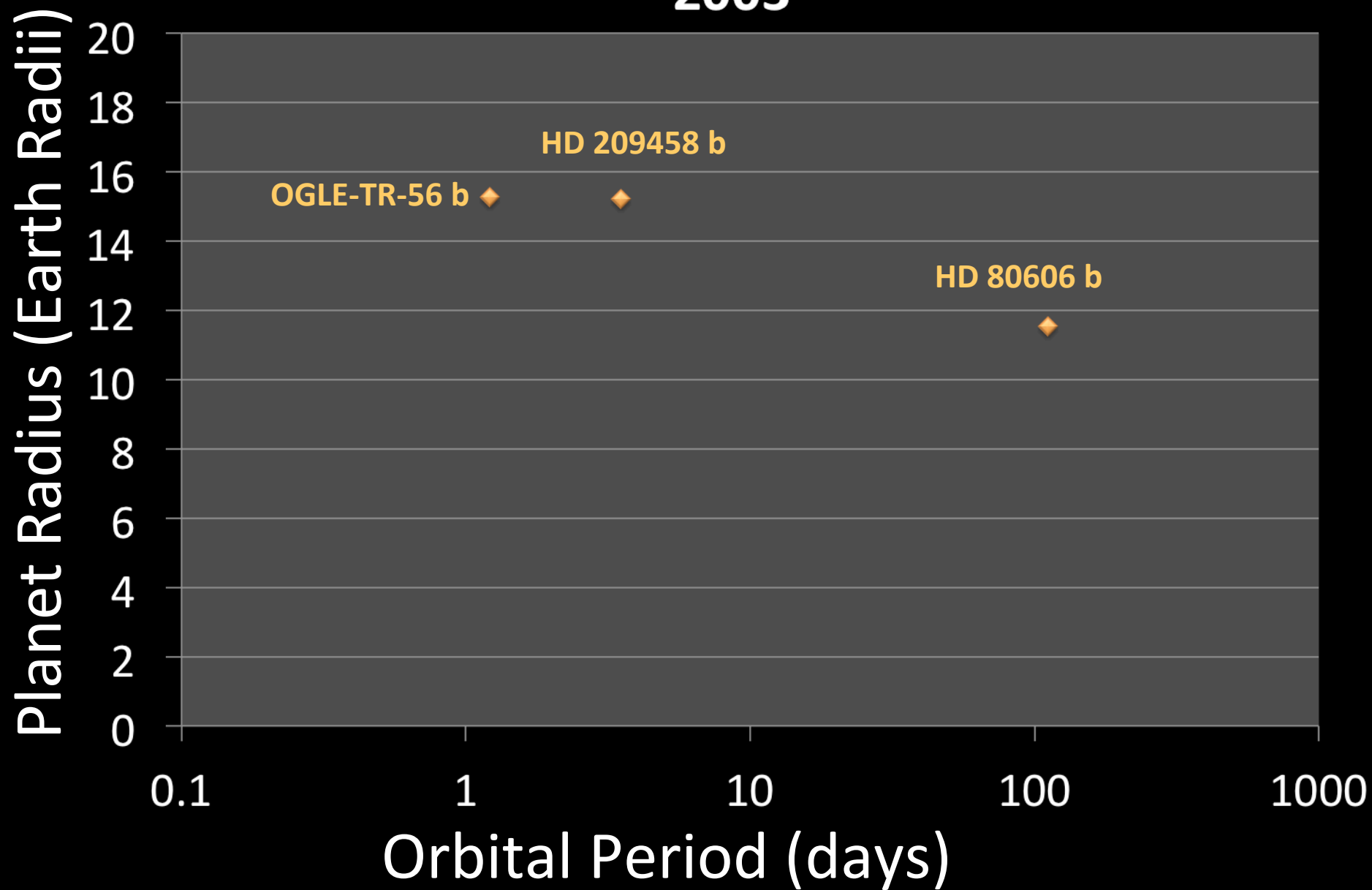
Orbital Period (days)



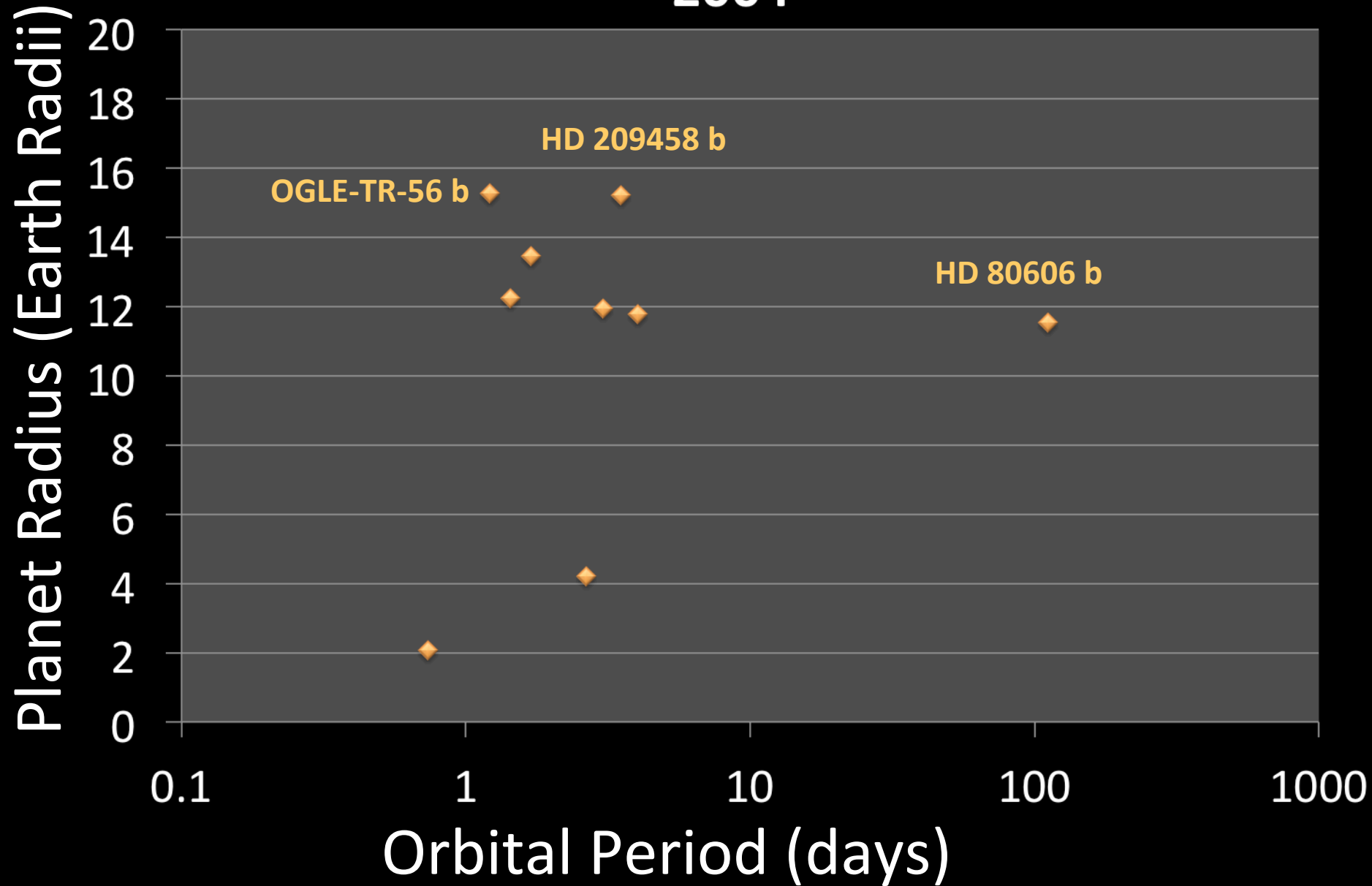
2001



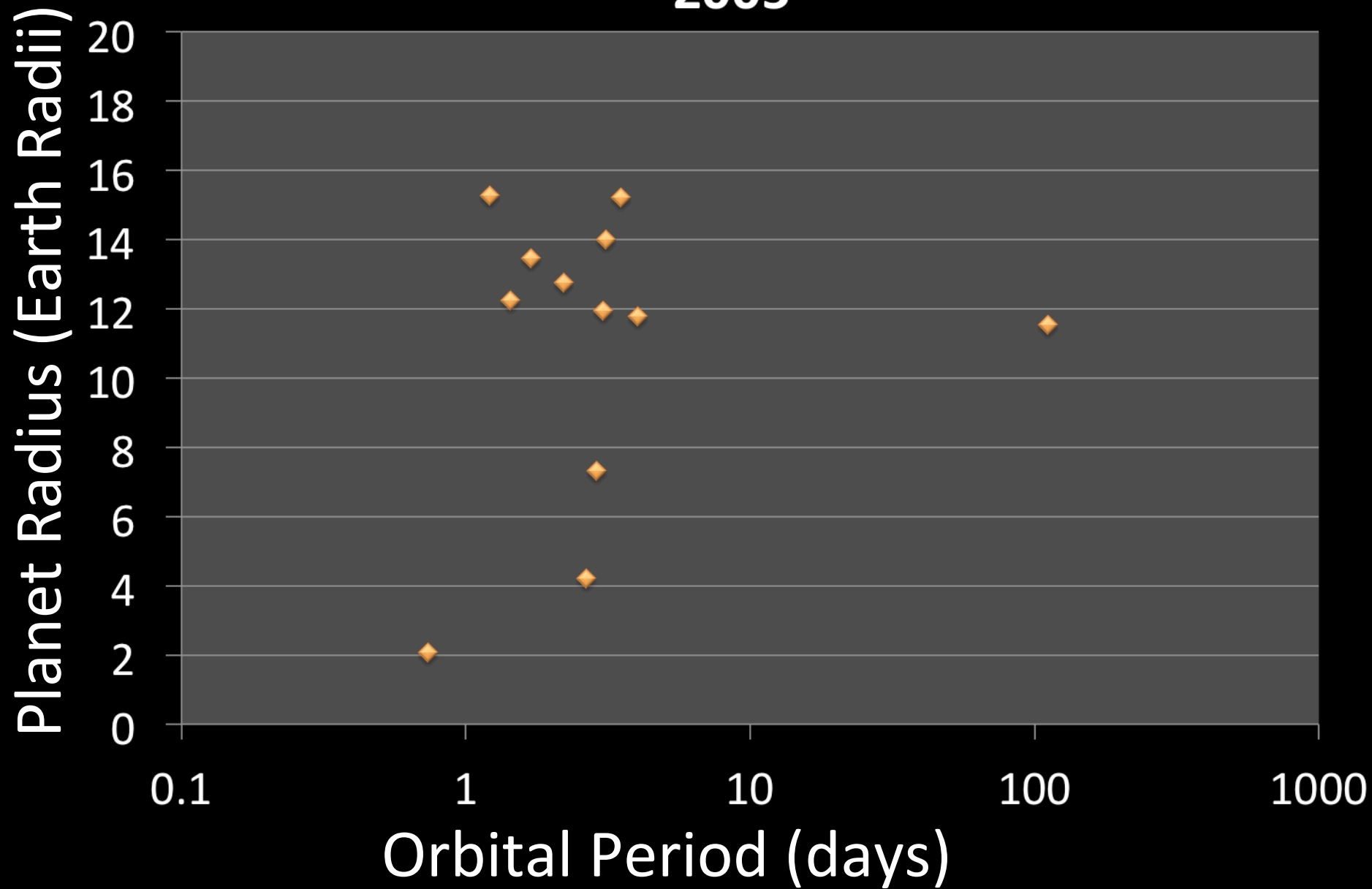
2003



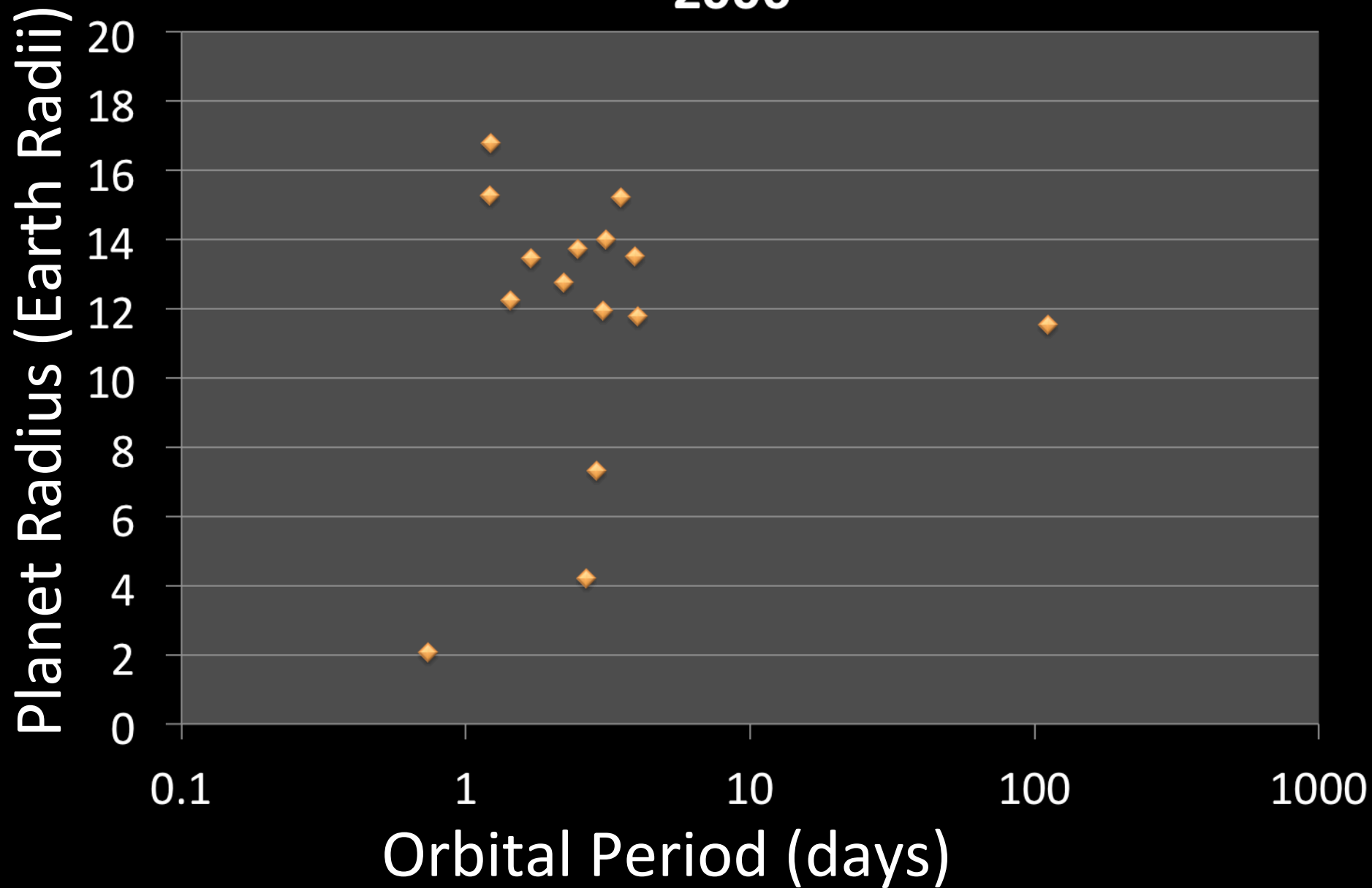
2004

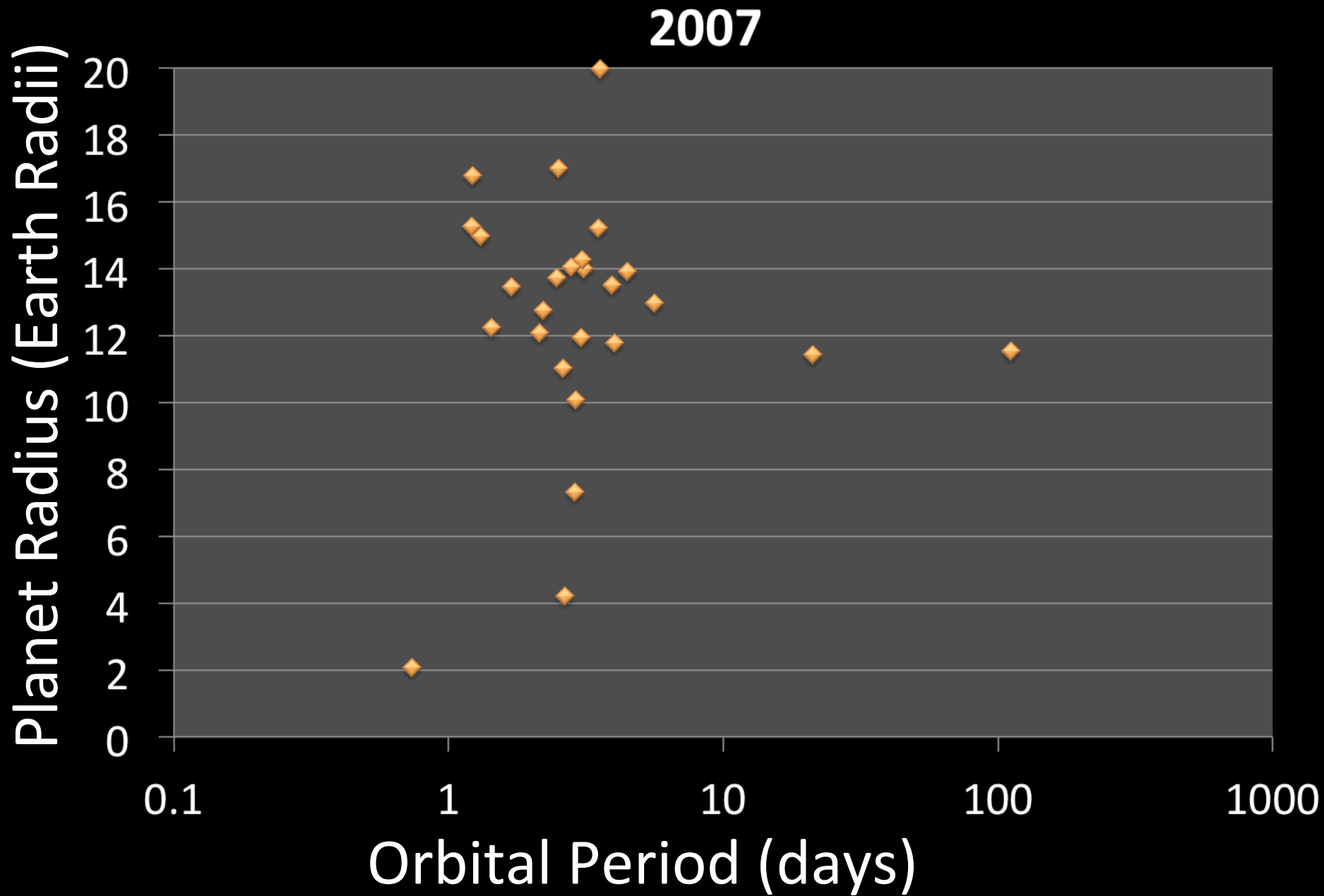


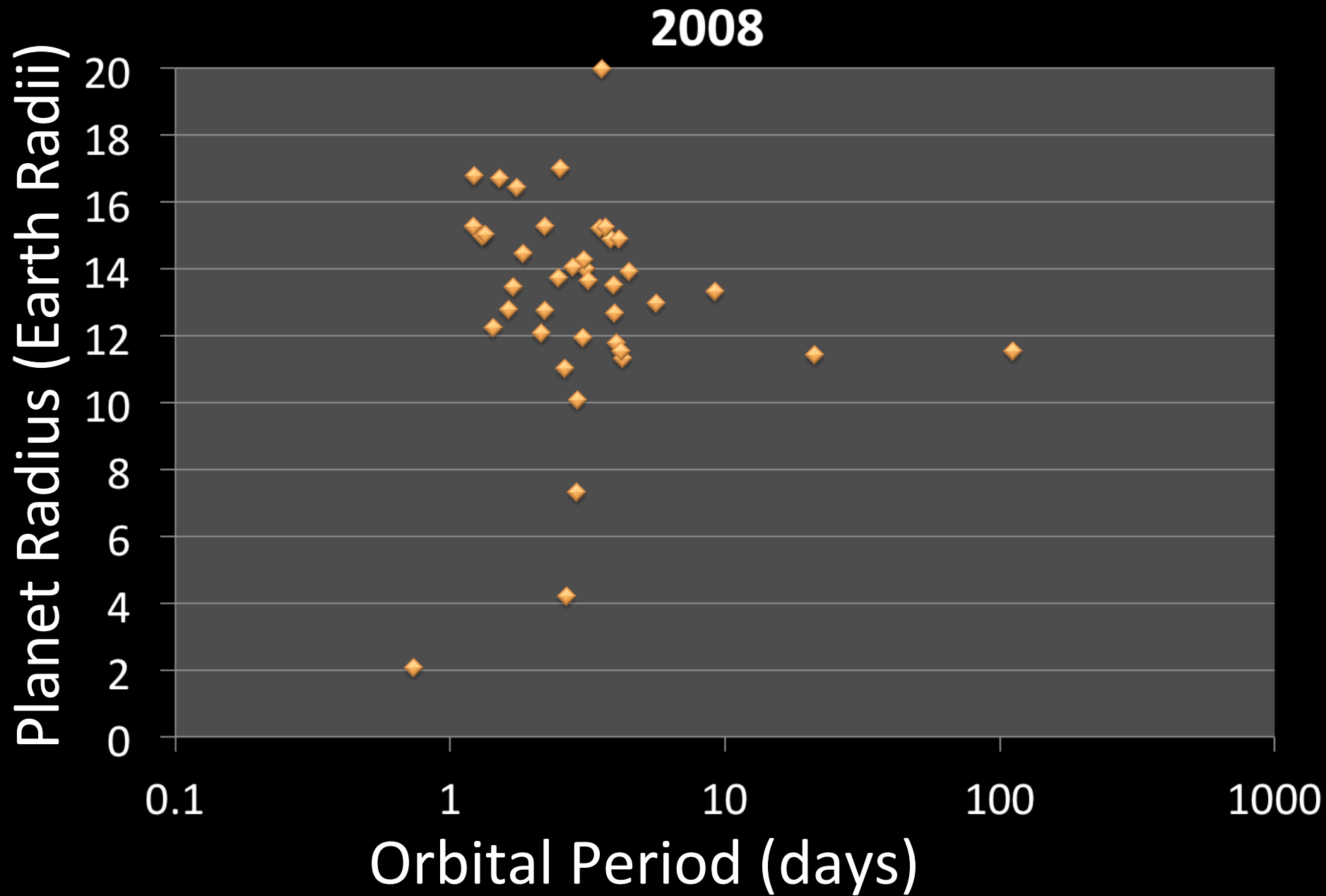
2005

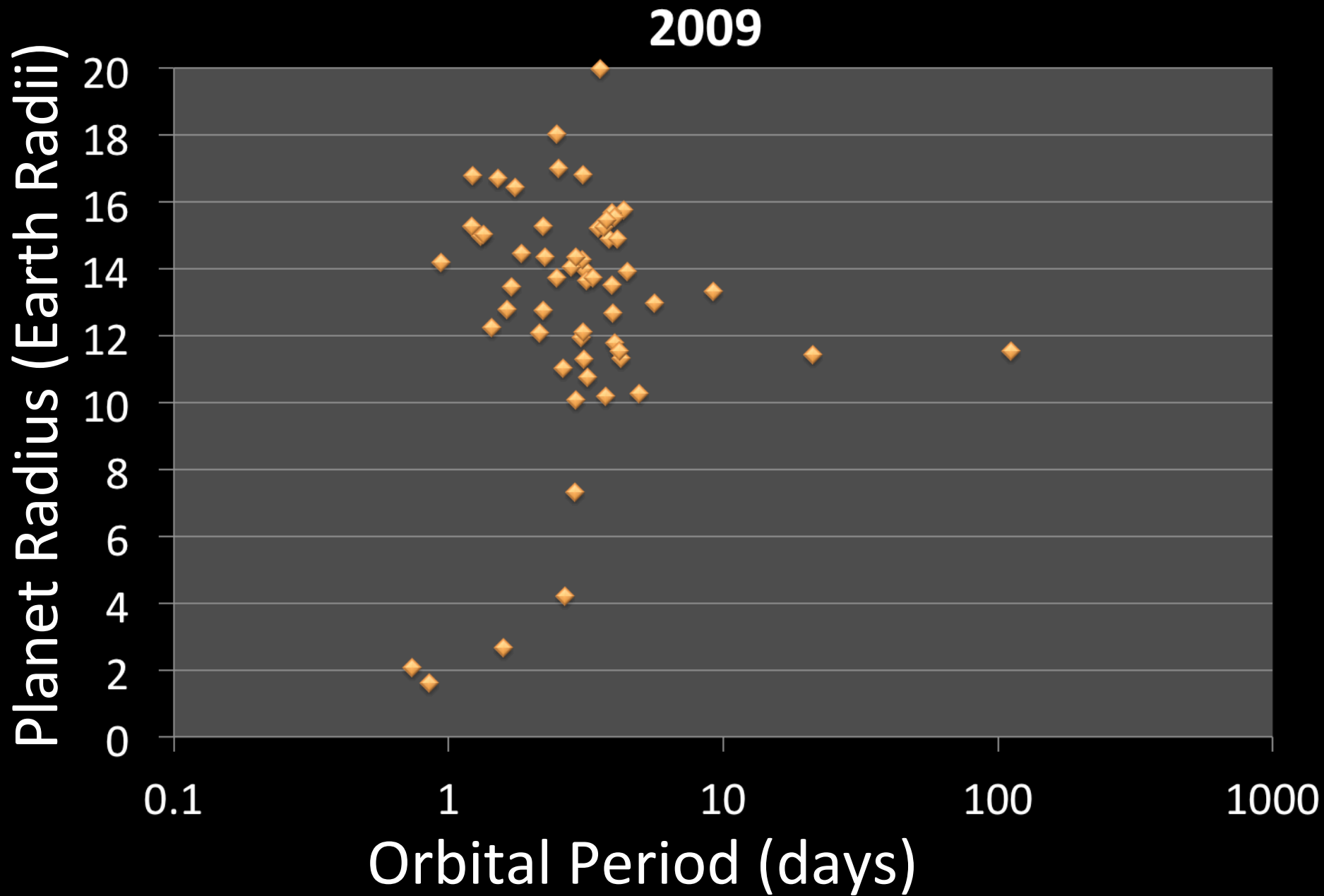


2006

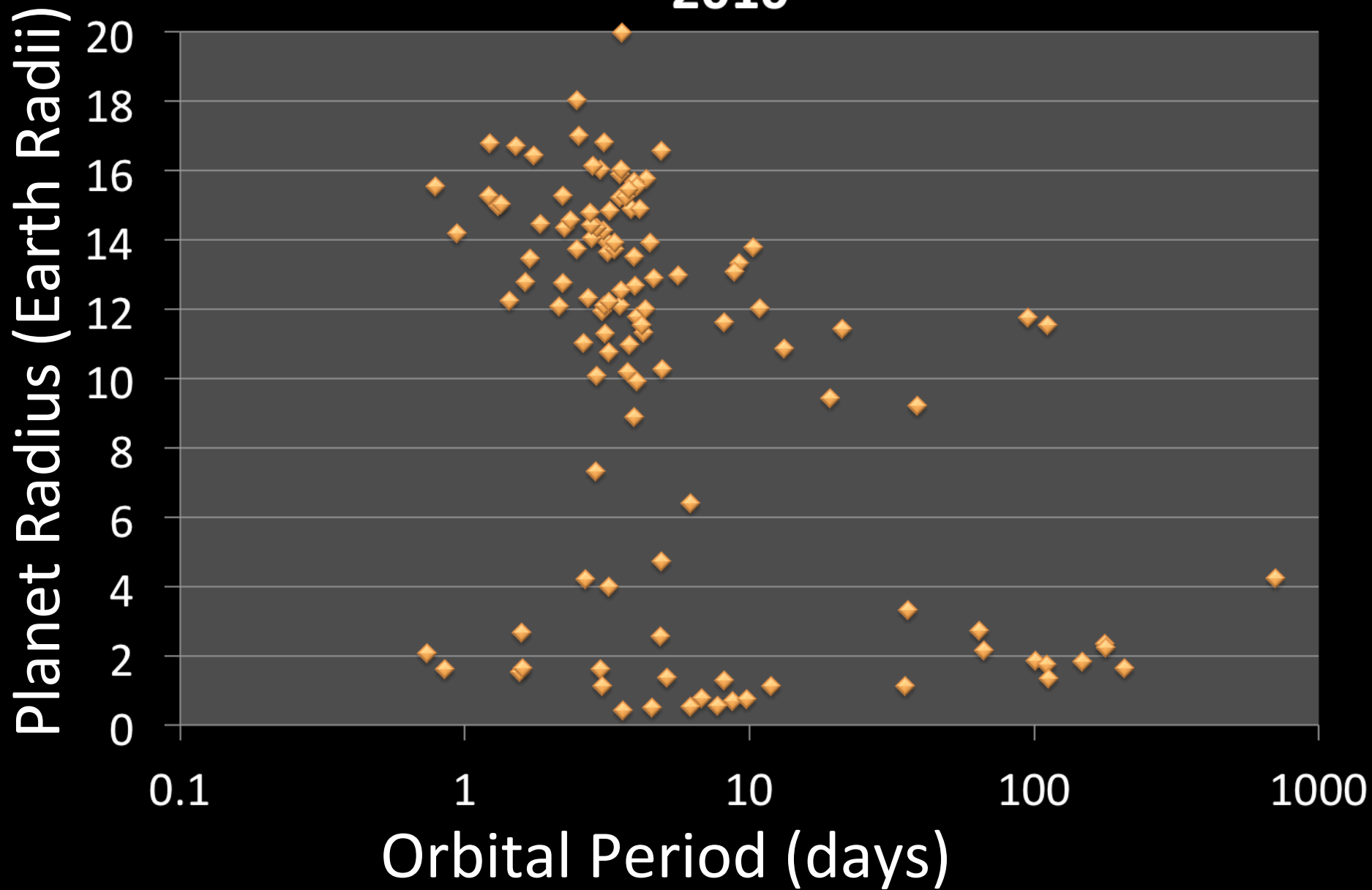








2010



2011

Planet Radius (Earth Radii)

20
18
16
14
12
10
8
6
4
2
0

0.1

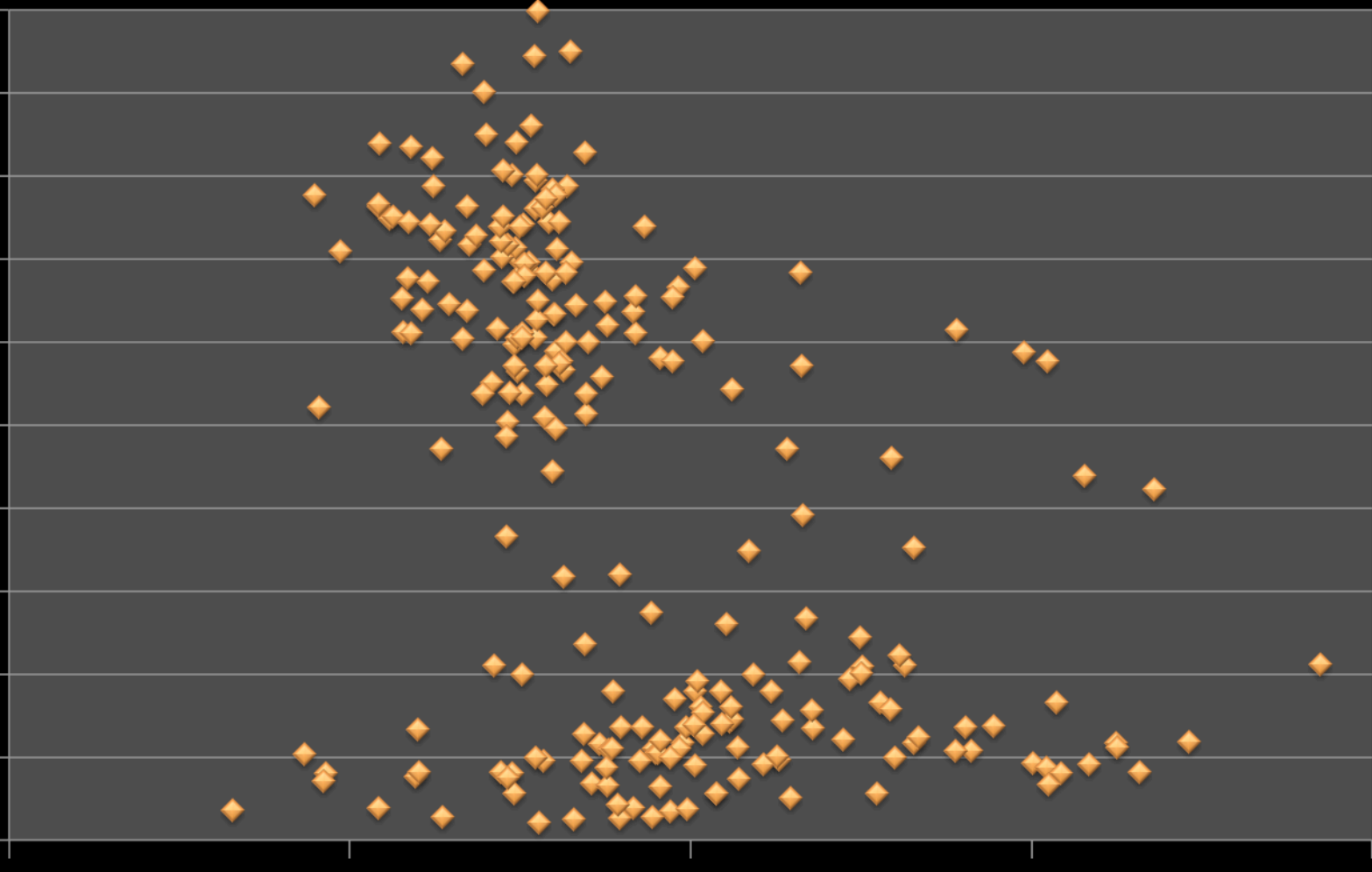
1

10

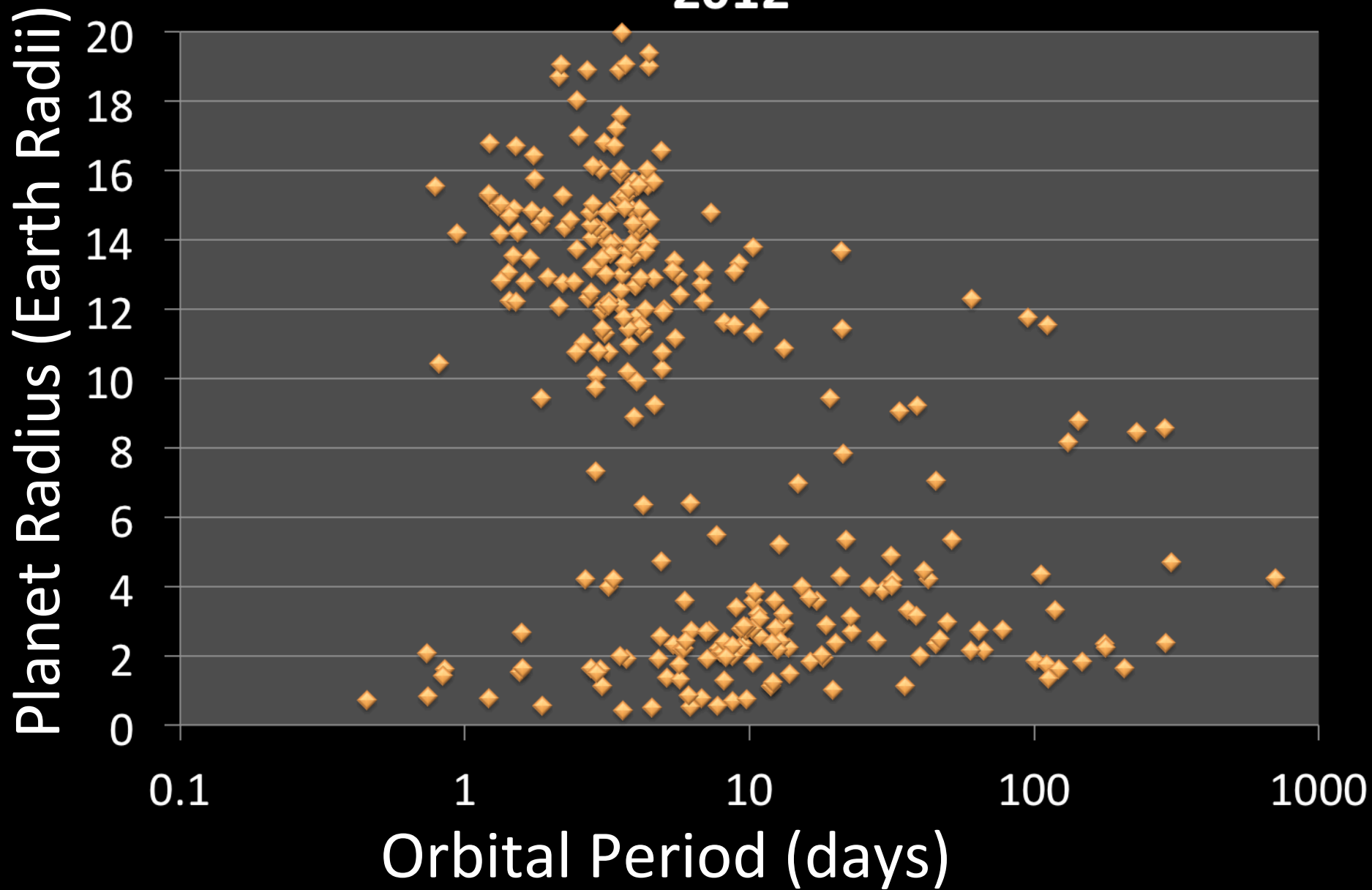
100

1000

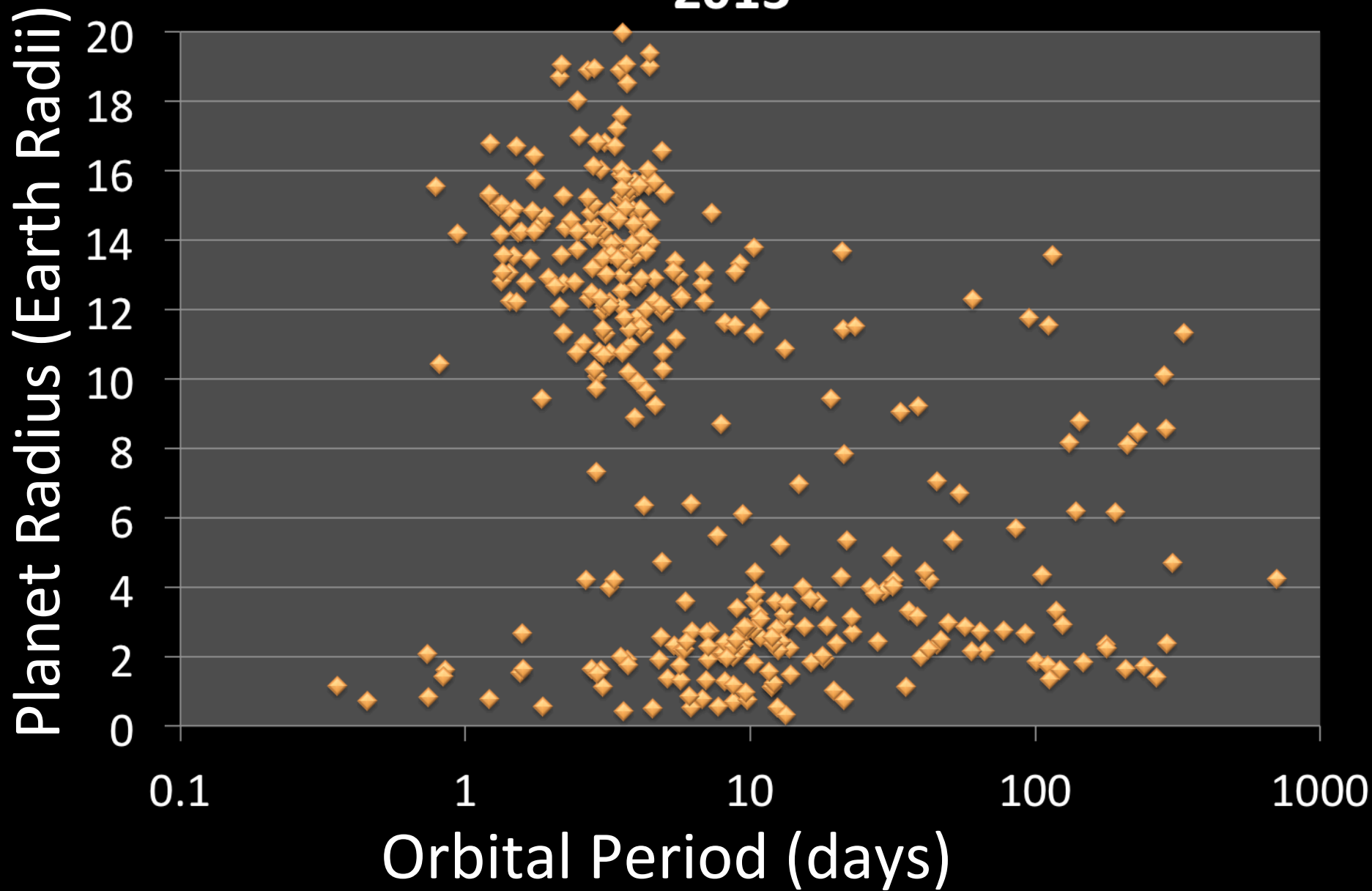
Orbital Period (days)



2012



2013



2014

Planet Radius (Earth Radii)

20
18
16
14
12
10
8
6
4
2
0

0.1

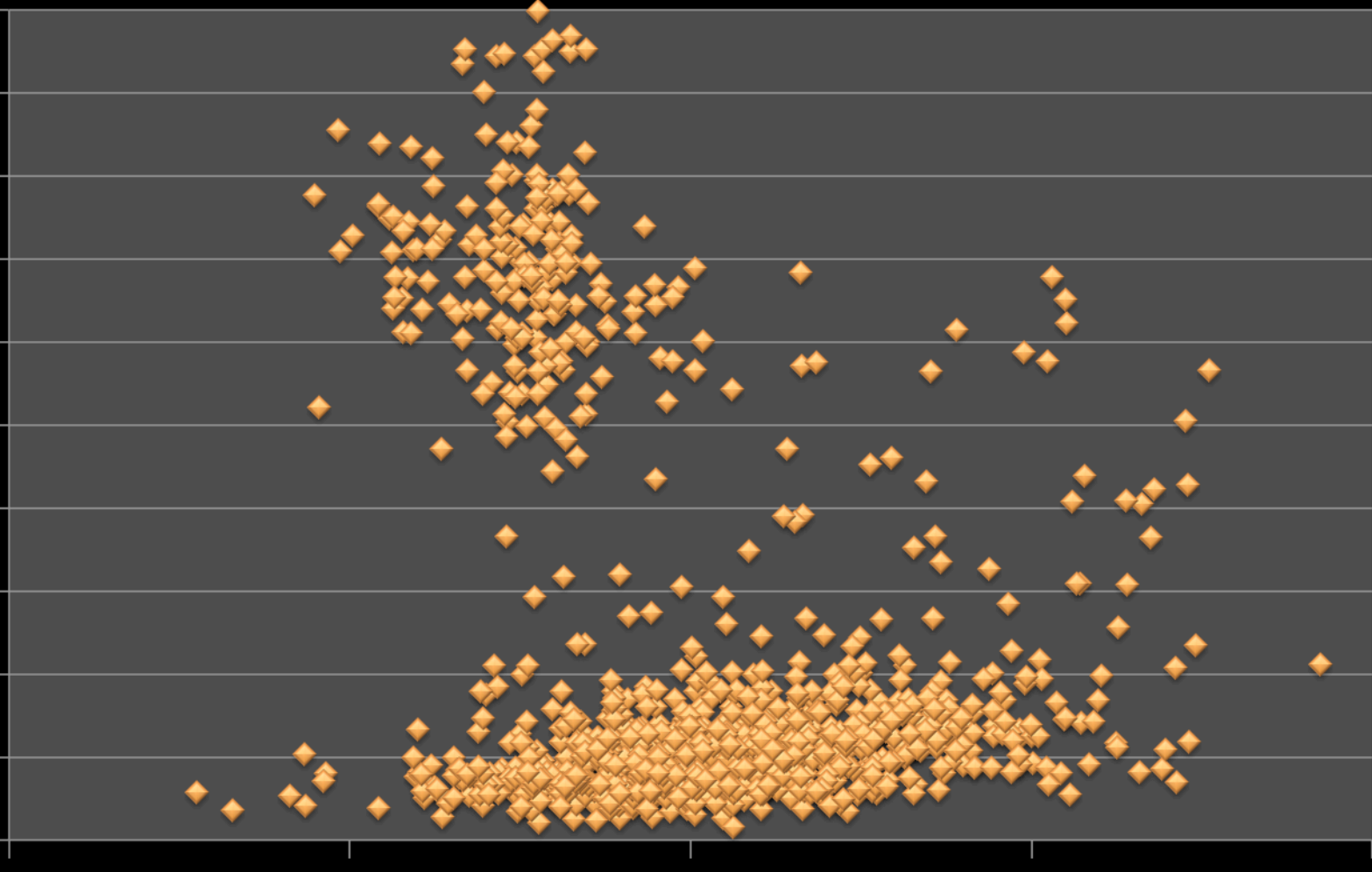
1

10

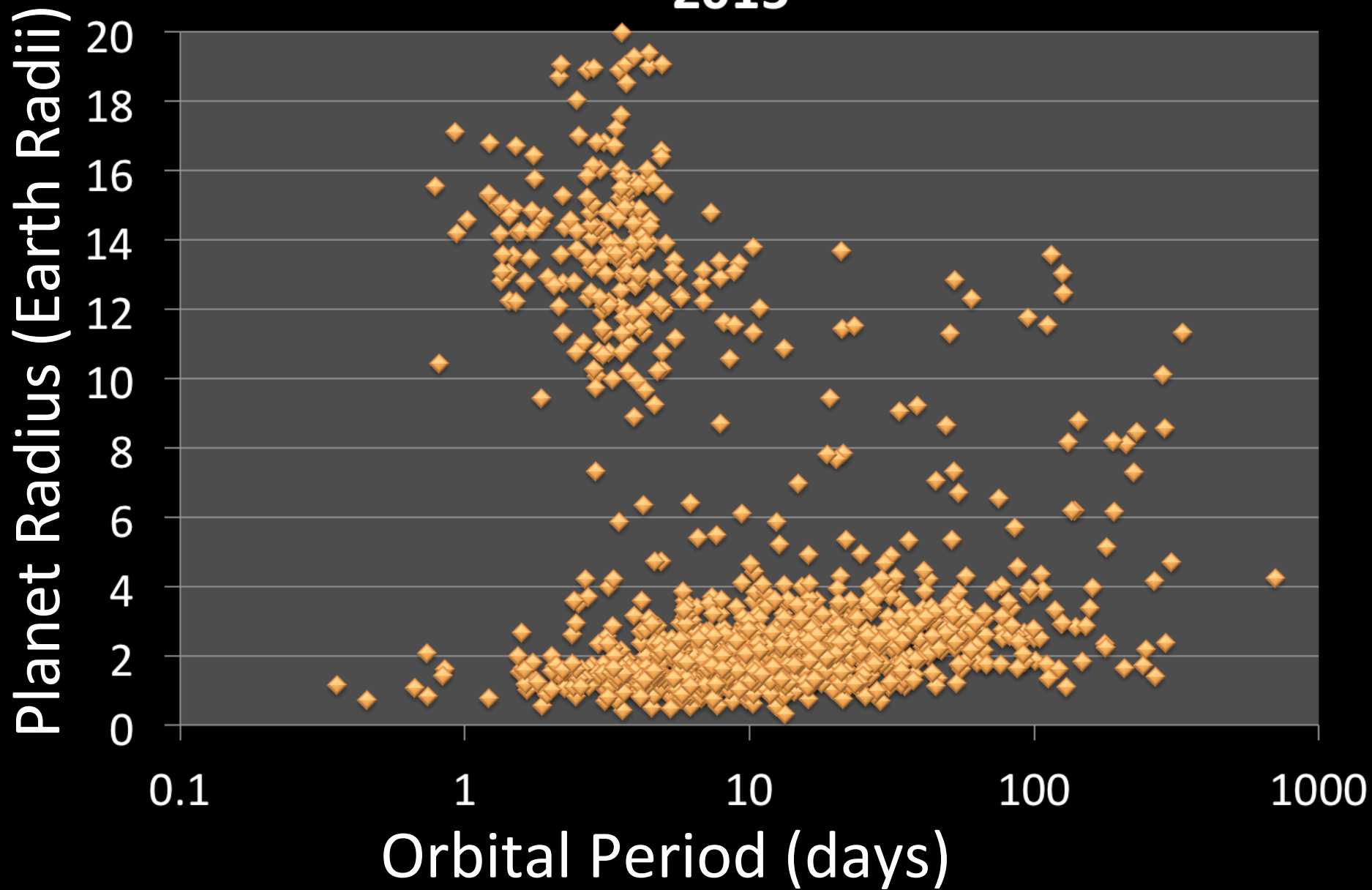
100

1000

Orbital Period (days)



2015



Back to the opening question :

How many stars do we need to survey to obtain a **4 σ detection** of an **Earth-like planet** transiting a **Sun-like star**?

Case Study: The *Kepler* Mission

Earth – Sun transit depth: 84 ppm

$$\text{Required Precision for } 4\sigma \text{ detection} = \frac{84 \text{ ppm}}{4} = 20 \text{ ppm}$$

Noise model

$$20 \text{ ppm} > \sigma = [(\sigma_{\text{shot}})^2 + (\sigma_{\text{star}})^2 + (\sigma_{\text{meas}})^2]^{0.5}$$

If $\sigma_{\text{star}} = 10 \text{ ppm}$ and $\sigma_{\text{meas}} = 10 \text{ ppm}$ then

$$\sigma_{\text{shot}} < (20^2 - (10^2 + 10^2))^{0.5} = 14 \text{ ppm}$$

Case Study: The *Kepler* Mission

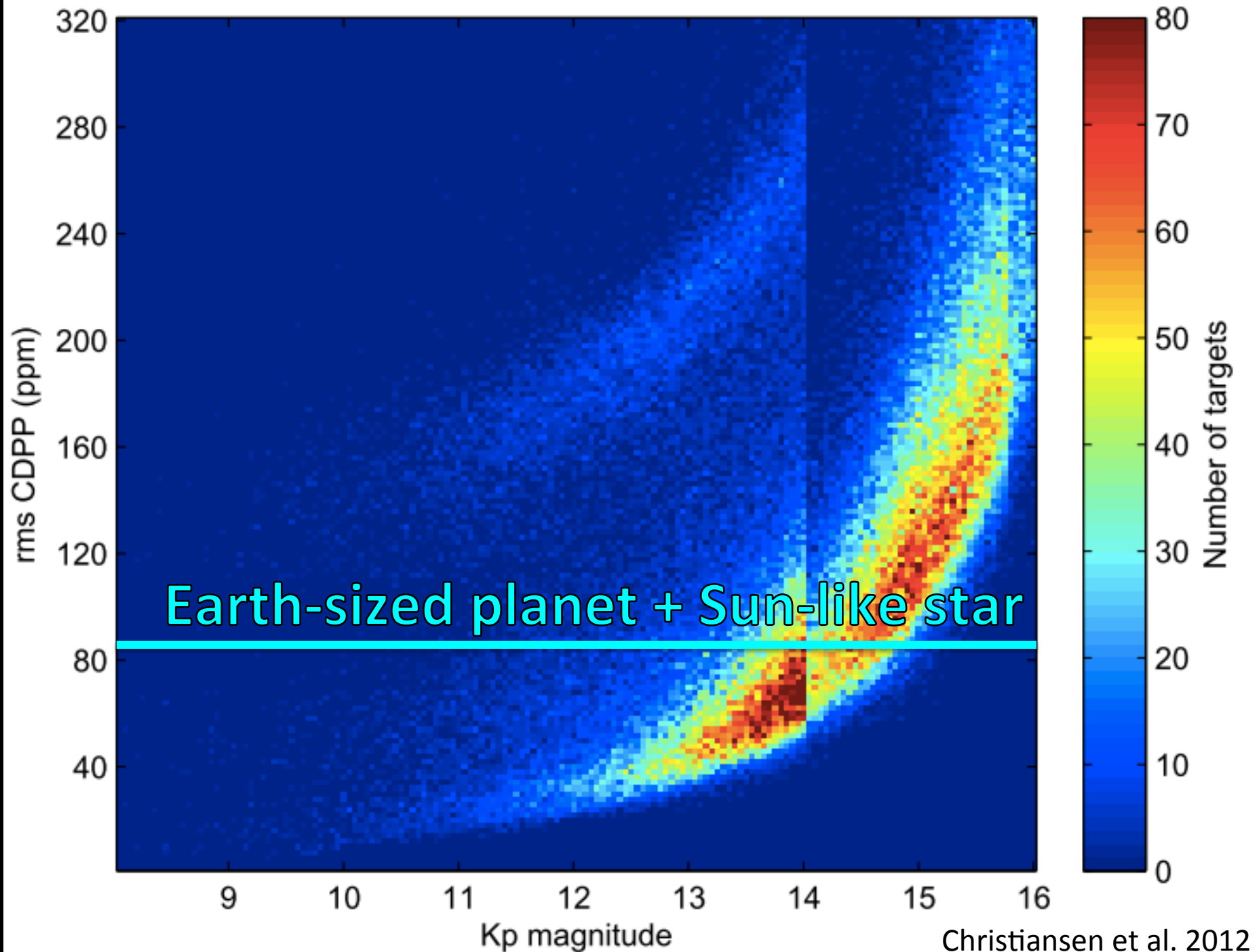
Earth – Sun transit duration: **13 hr**

Mission Requirement: Detect 84 ppm transit in 6.5 hr

see Koch et al. 2010, ApJ, 713, 80

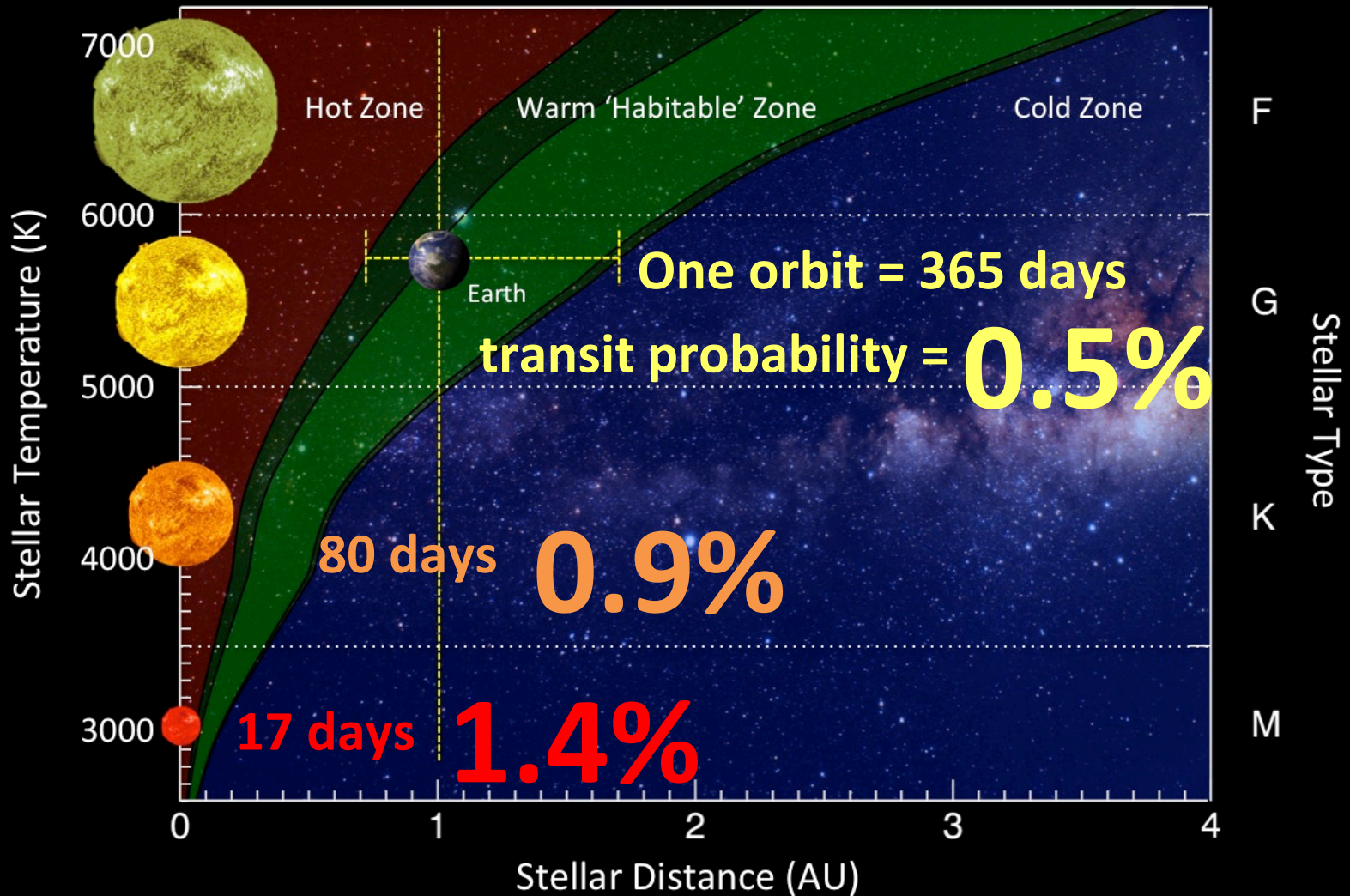
$$\text{Required Number of Photons in 6.5 hr} = \left(\frac{1}{14 \text{ ppm}} \right)^2 = 5 \times 10^9$$

Suggests we should target stars with $V \leq 12$



Earth-sized planet + Sun-like star

Transit Probabilities for Potentially Habitable Worlds



If **5%** of Sun-like V=12 stars harbor **Earth-like planets**
and **0.5%** of those planets transit then

$$N_{\star} = \frac{1}{0.5} \times \frac{1}{0.005} = 40000 \text{ stars}$$

to find a single

transiting Earth-like planet.

How might we estimate planet occurrence?

Completeness Case Study:
the Kepler M Dwarfs



Most Stars are M Dwarfs

The image features a black background filled with numerous circles of varying sizes and colors, representing a field of stars. The colors include bright yellow, pale yellow, white, orange, and reddish-brown. The sizes of the circles vary significantly, with some being much larger than others. The text "Most Stars are M Dwarfs" is centered in the image in a white, sans-serif font. The overall composition is a dense, multi-colored field of circles, with the text providing context for the visual representation.

Most Stars are M Dwarfs

Planet Occurrence

Equally Valid Alternative Choice:

Planet occurrence = Fraction of Stars with Planetary Systems

of **Planets** = # of **Planet Candidates** – # of **False Positives**

***Planet
Occurrence
Rate*** =

Number of Planets

**Number of Stars
“Searched”**

of **Planets** = # of **Planet Candidates** – # of **False Positives**

***Planet
Occurrence
Rate*** =

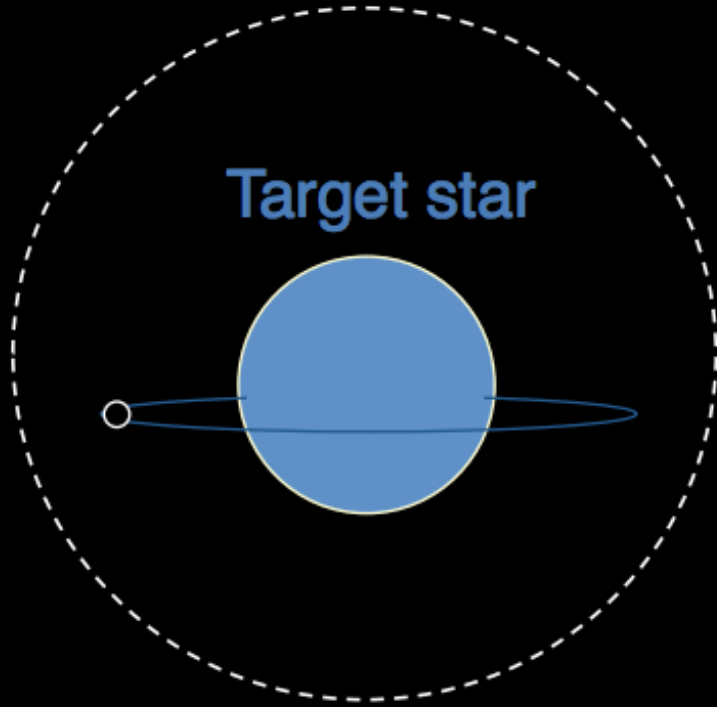
Number of Planets

**Number of Stars
“Searched”**

Transit detectability depends on **stellar** and **planetary** properties

Which astrophysical phenomena can produce transit-like brightness dips?

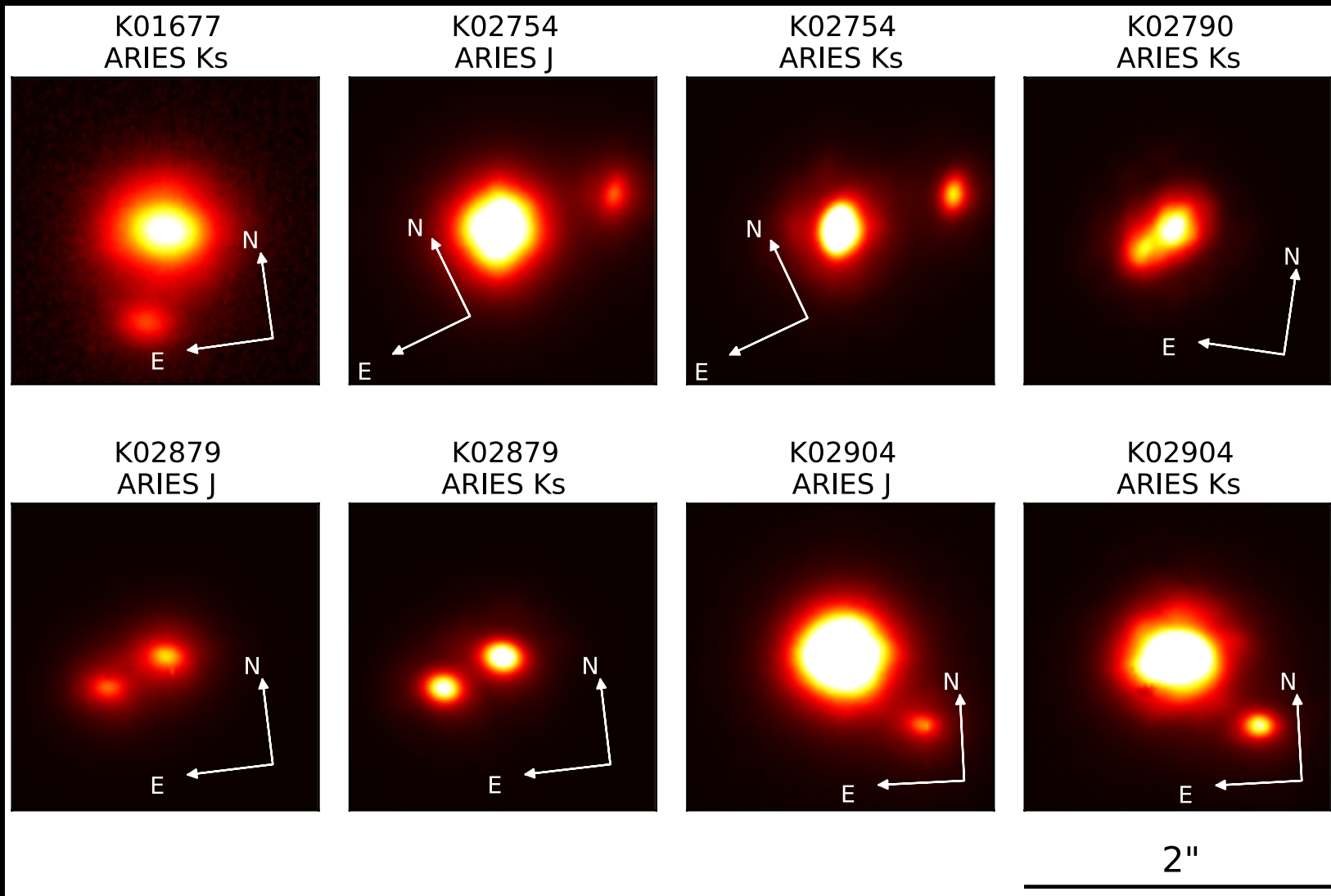
1. Transiting planet



High-Contrast Imaging

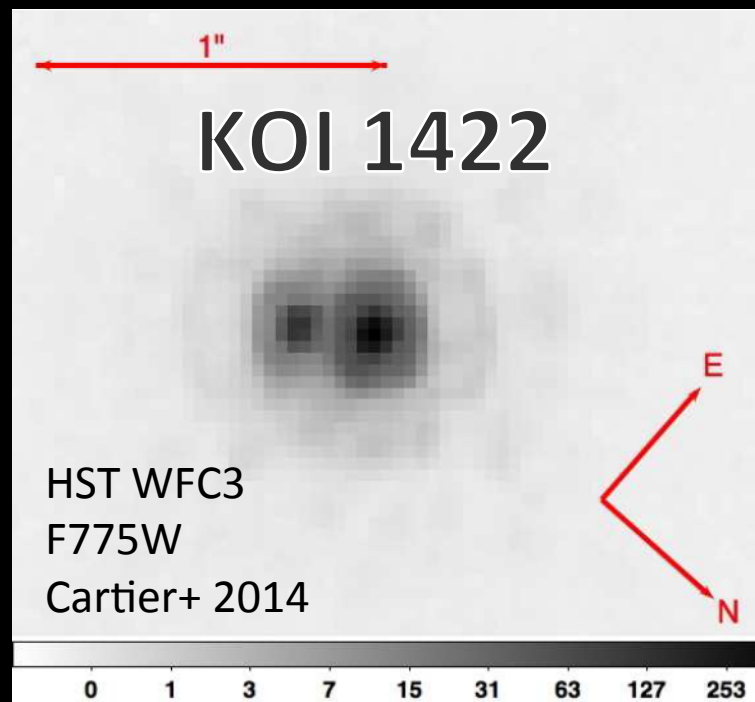
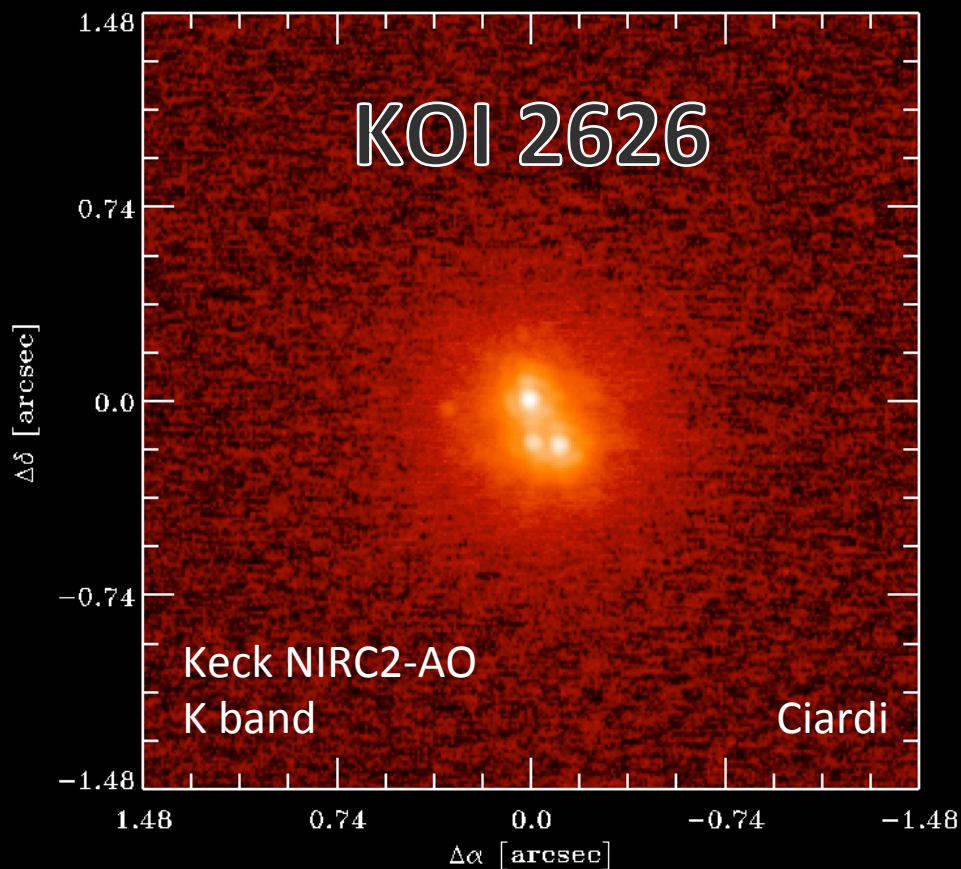
One of several ways to identify astrophysical false positives

Kepler targets with companions within 1"



Why High-Resolution Imaging Matters:

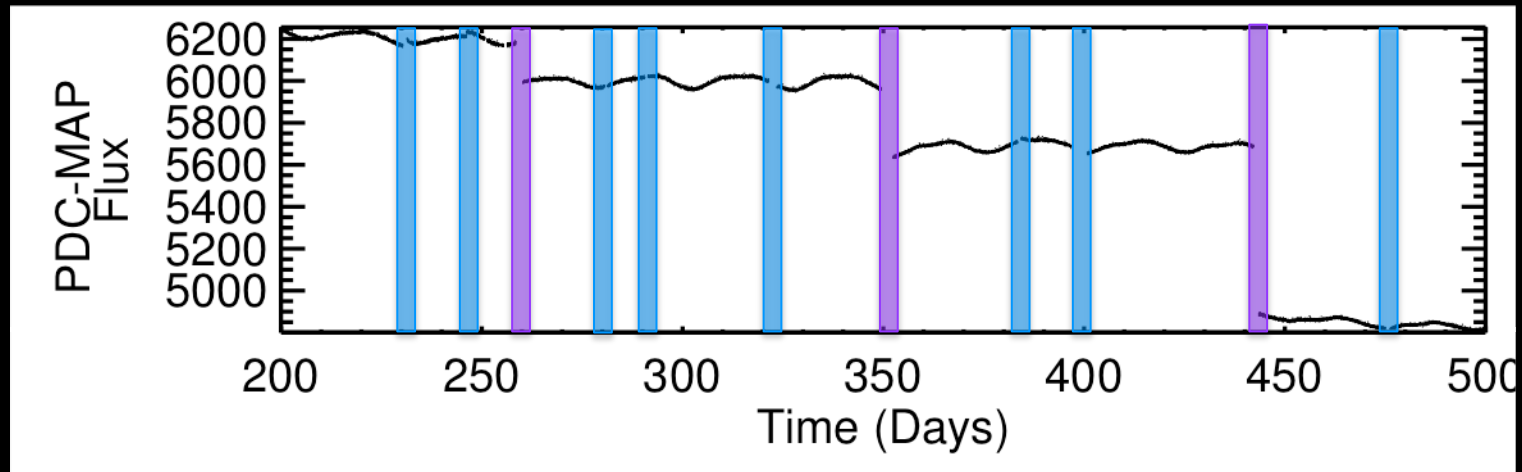
Thwarting the Aspirations of Potentially Habitable M Dwarf Planets



CFOP

Kepler Community Follow-up Observing Program

Searching for Planets Orbiting *Kepler* M Dwarfs

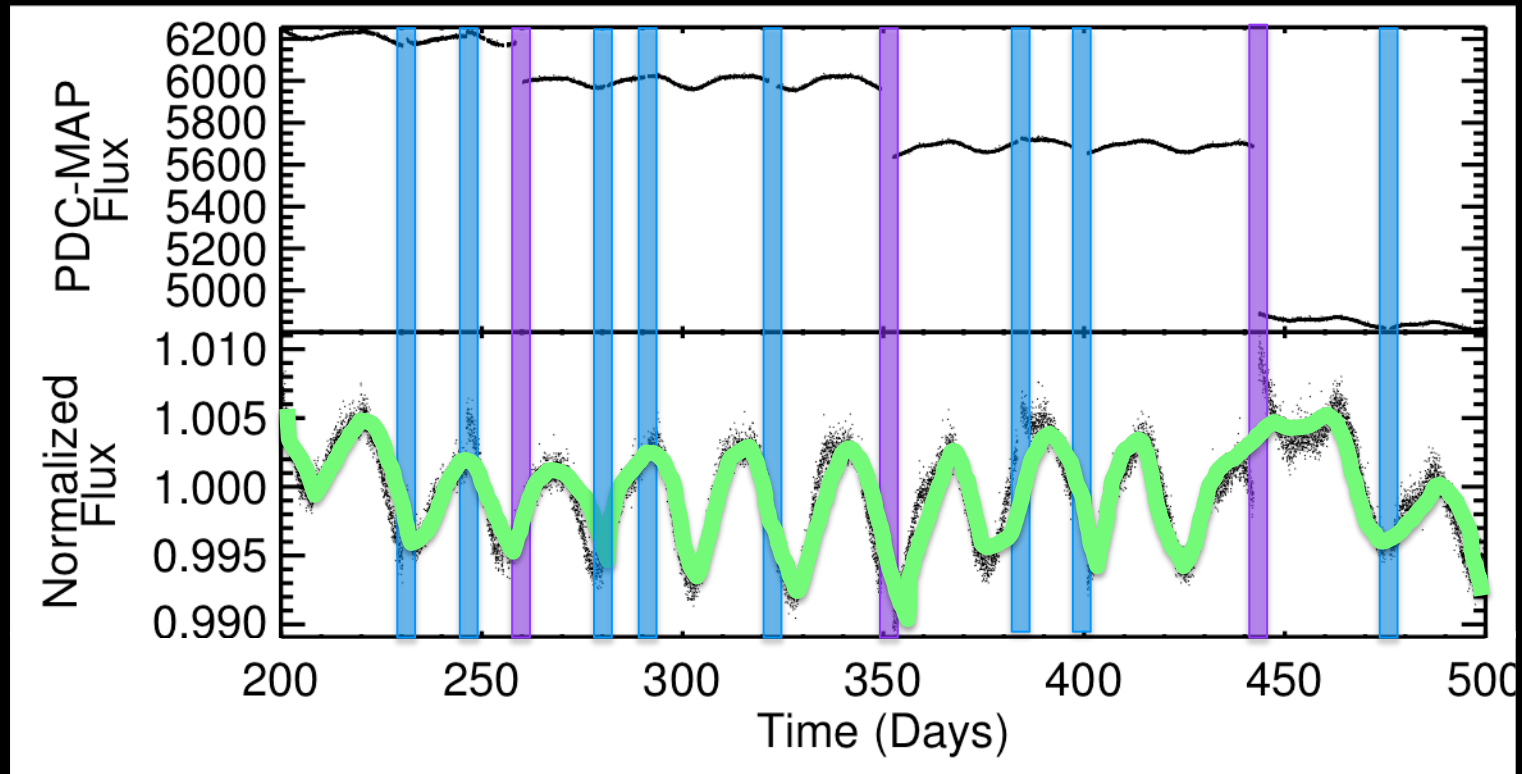


Quarterly Spacecraft Rolls

Data Gaps

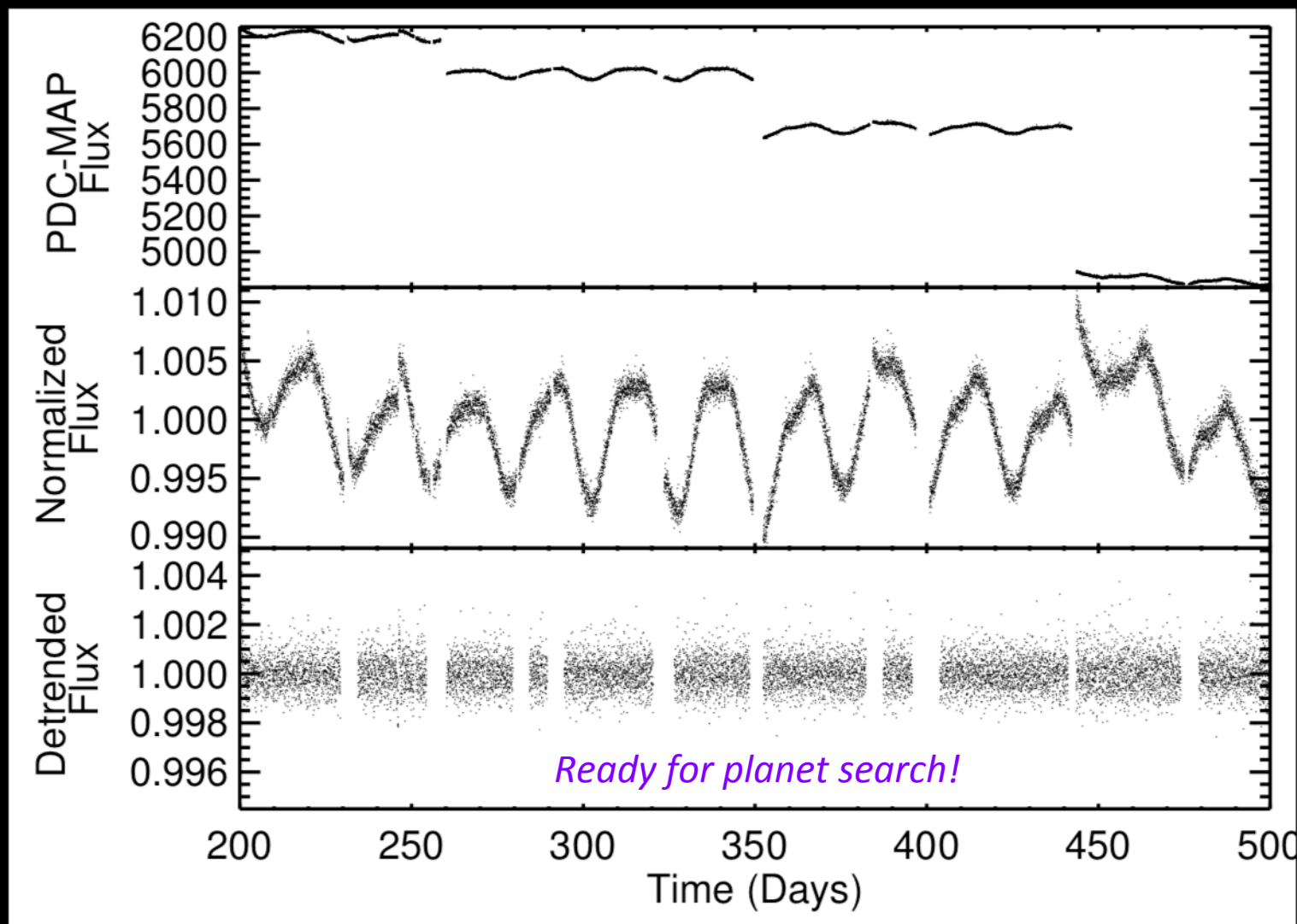
Normalize to remove quarterly offsets

Searching for Planets Orbiting *Kepler* M Dwarfs

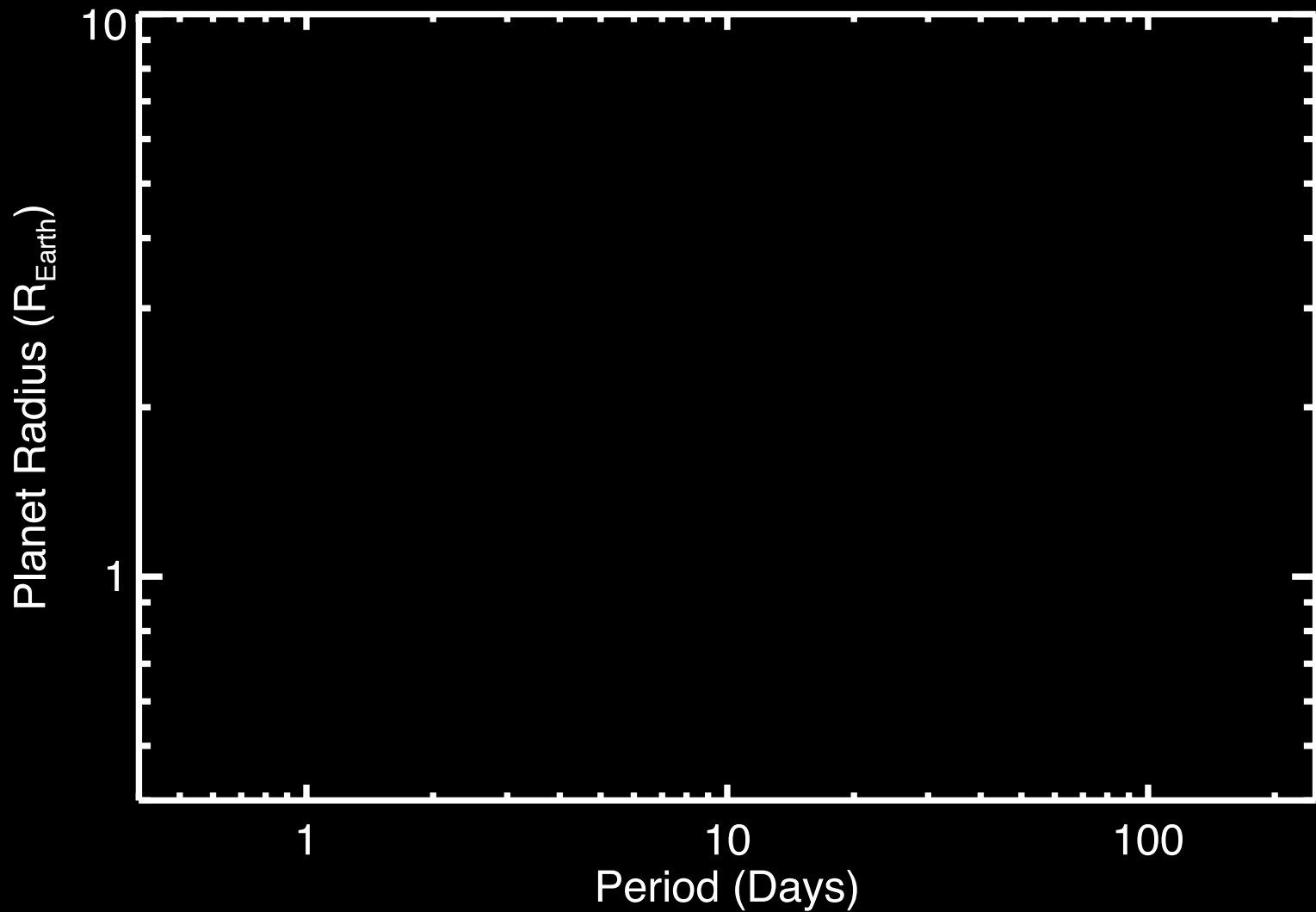


Use median filtering and sigma clipping to remove spots and lingering pointing offsets.

Searching for Planets Orbiting *Kepler* M Dwarfs

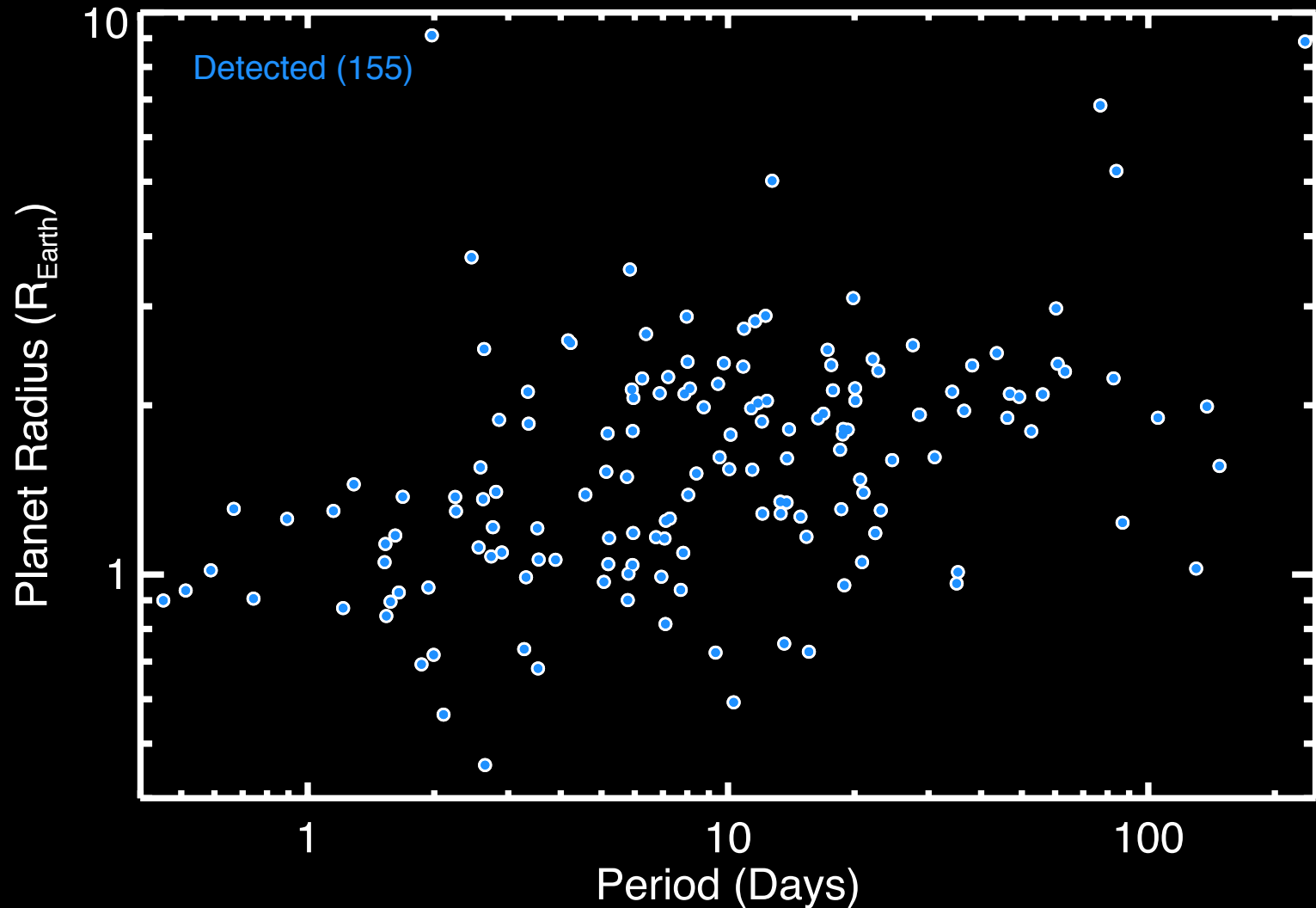


Planet Search Results:



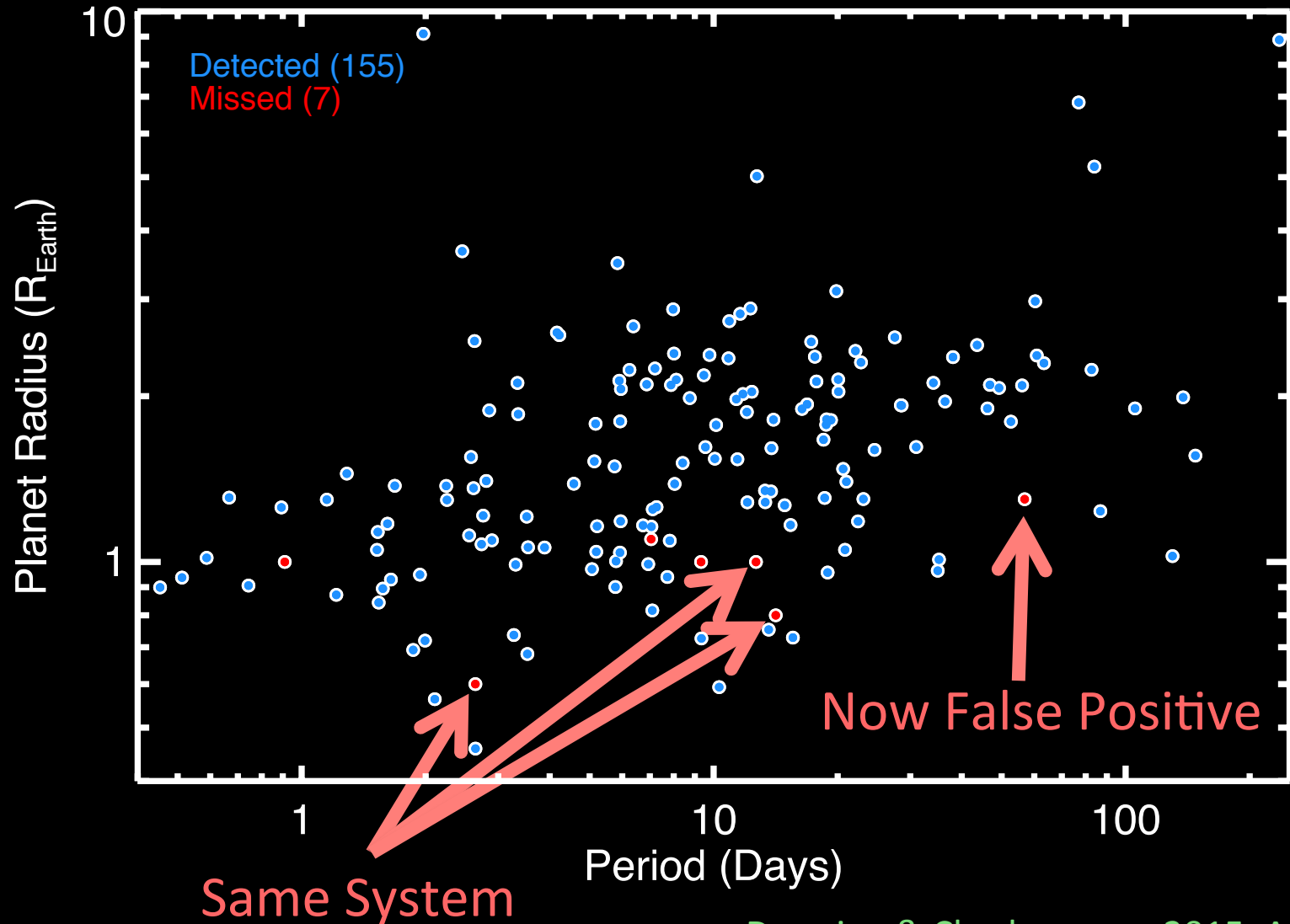
Planet Search Results:

We Detect Most Previously Known Planets



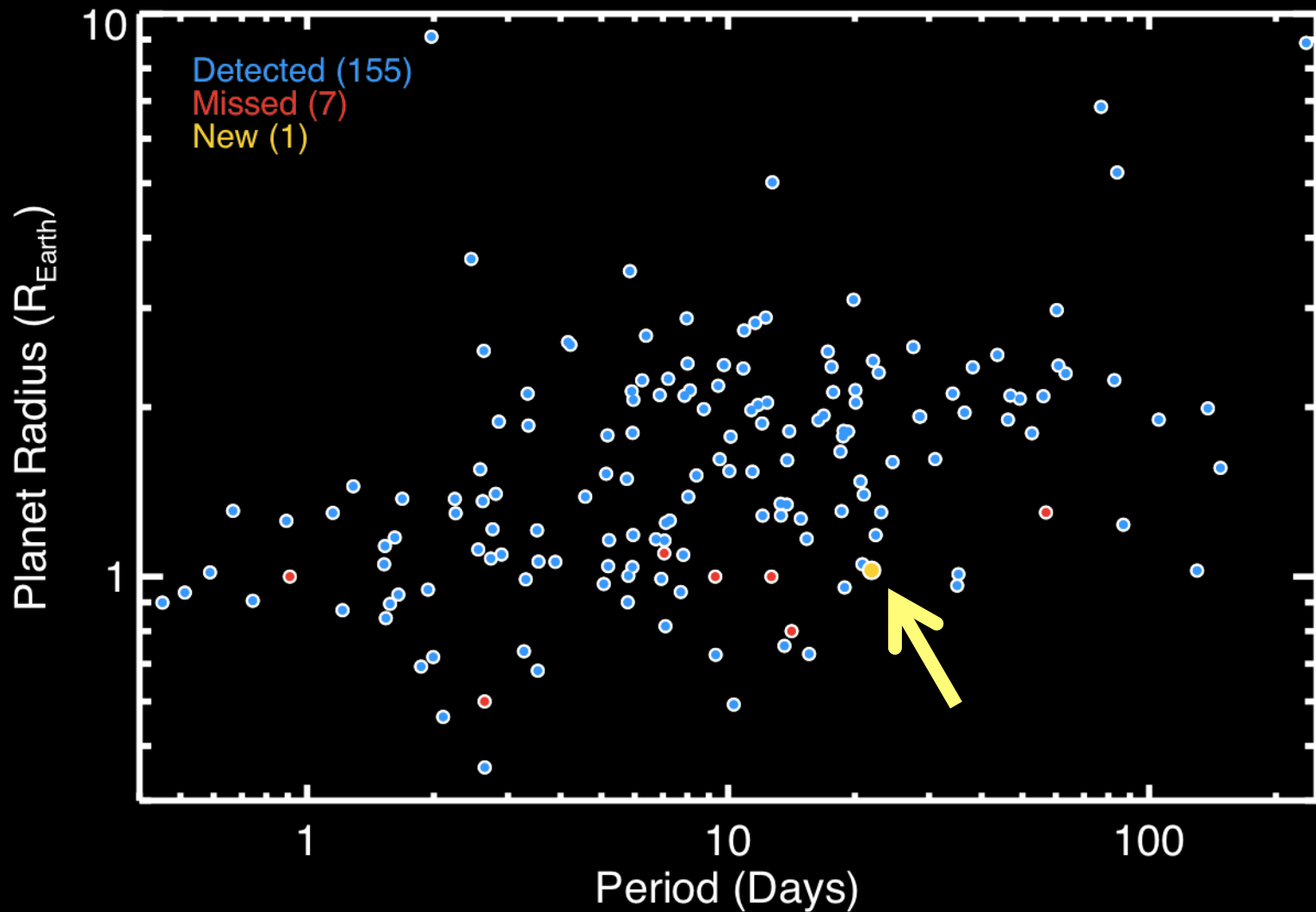
Planet Search Results:

We Miss a Few Tricky Planets *(Some may be false positives)*



Planet Search Results:

We Found One New Planet Candidate



*Planet
Occurrence
Rate* =

Number of Planets

Number of Stars
"Searched"

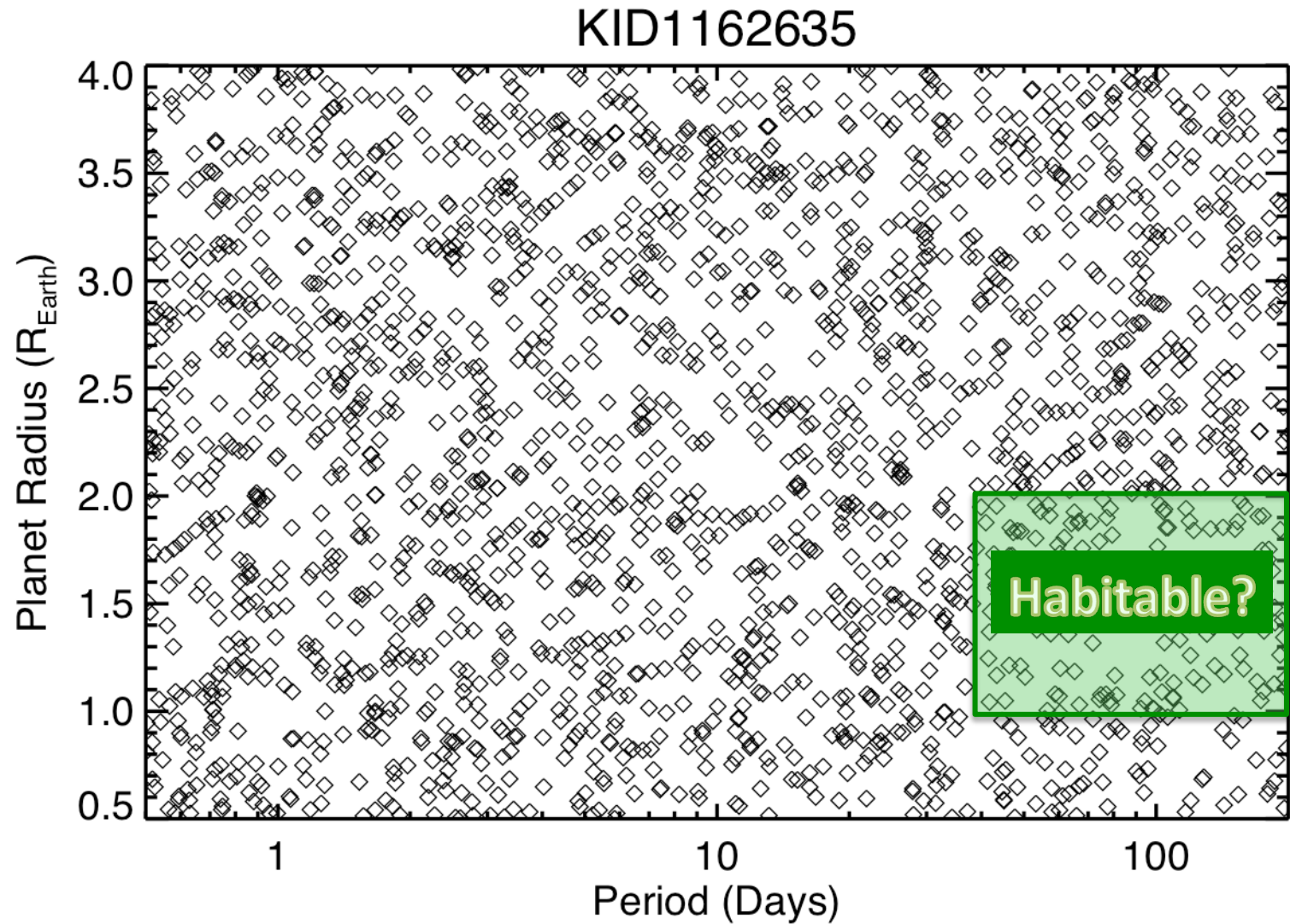
***Planet
Occurrence =
Rate***

156 Planets

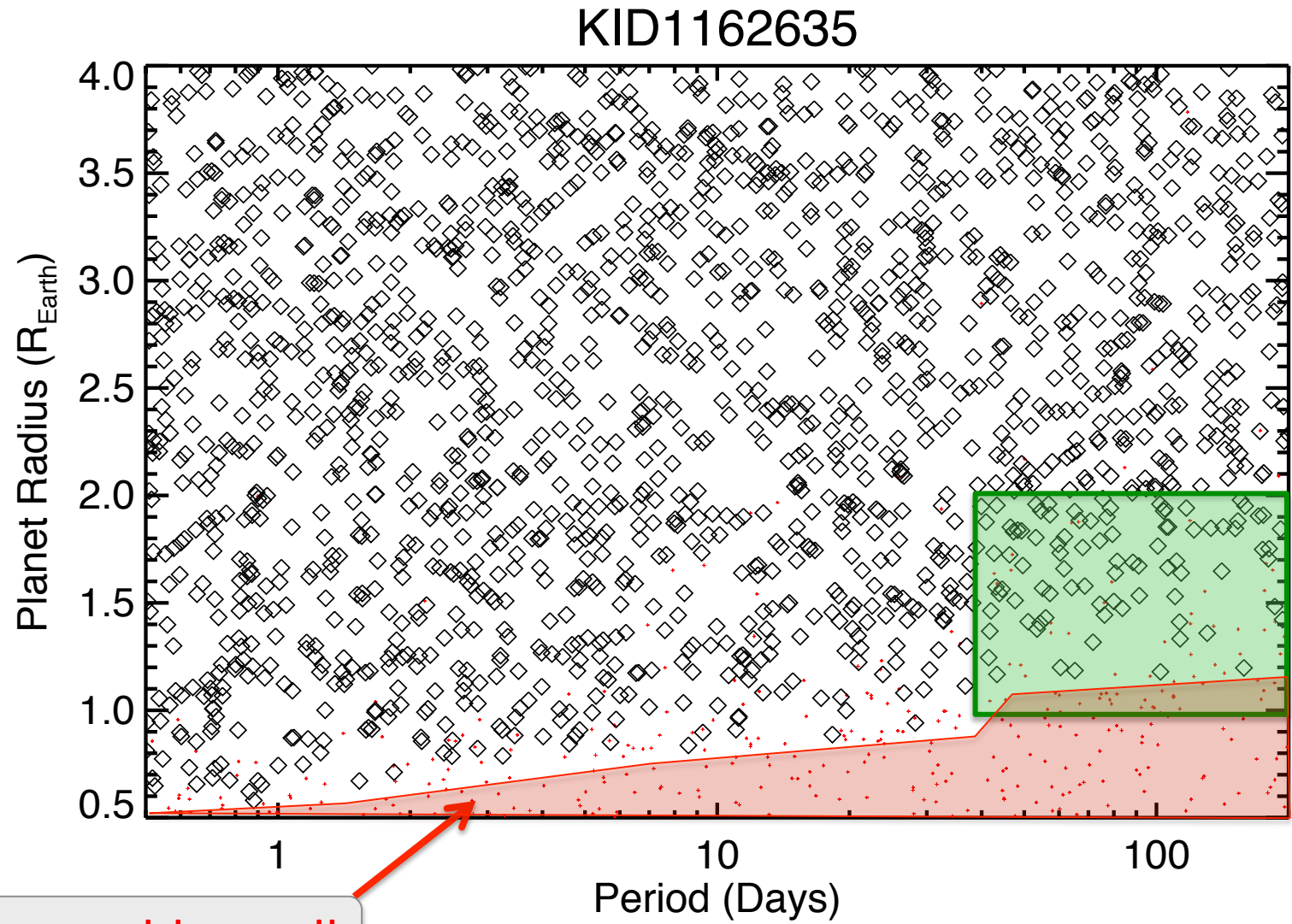
**Number of Stars
“Searched”**

*How many stars did we search at each
planet radius & orbital period?*

Estimating Pipeline Sensitivity

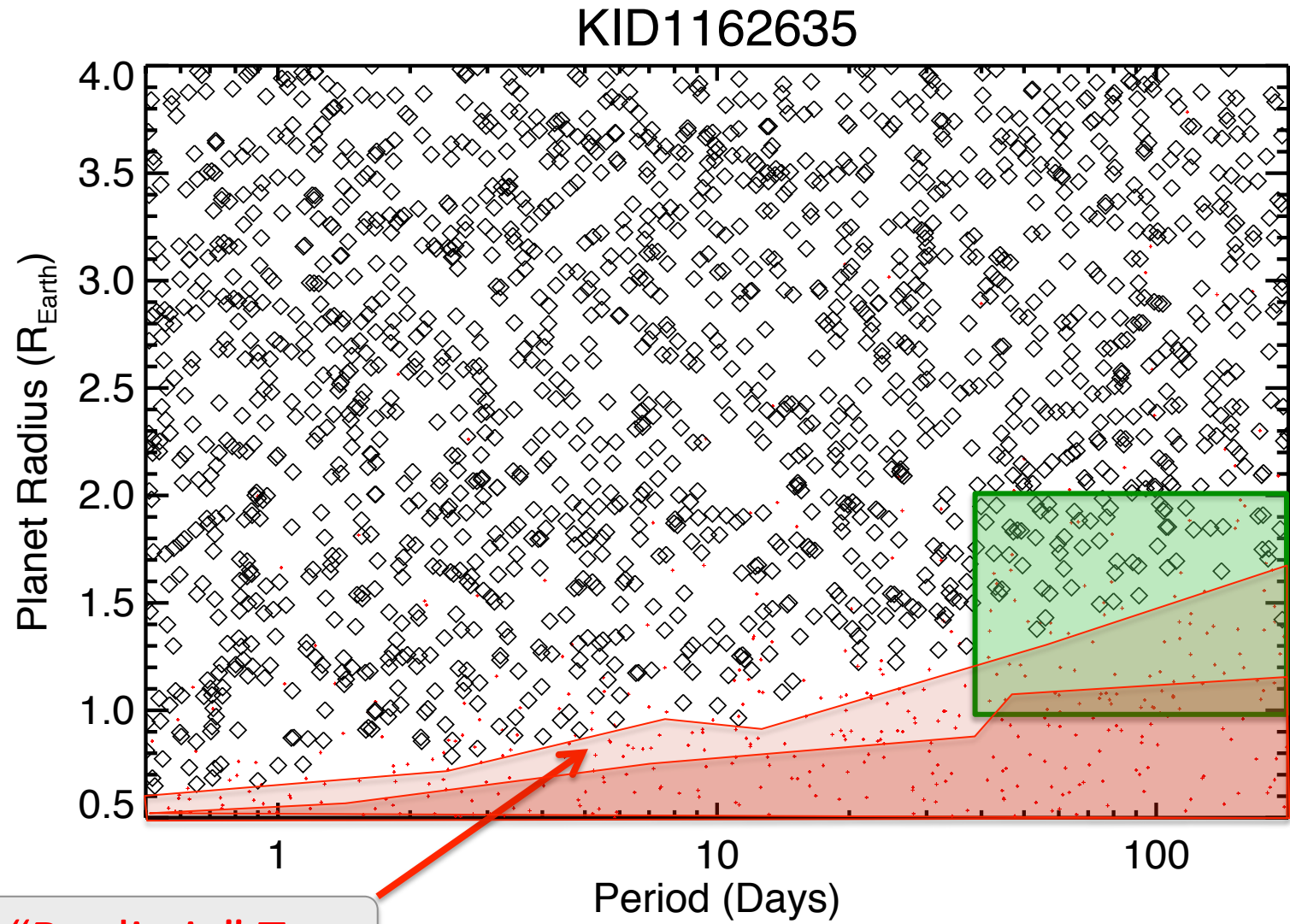


Estimating Pipeline Sensitivity



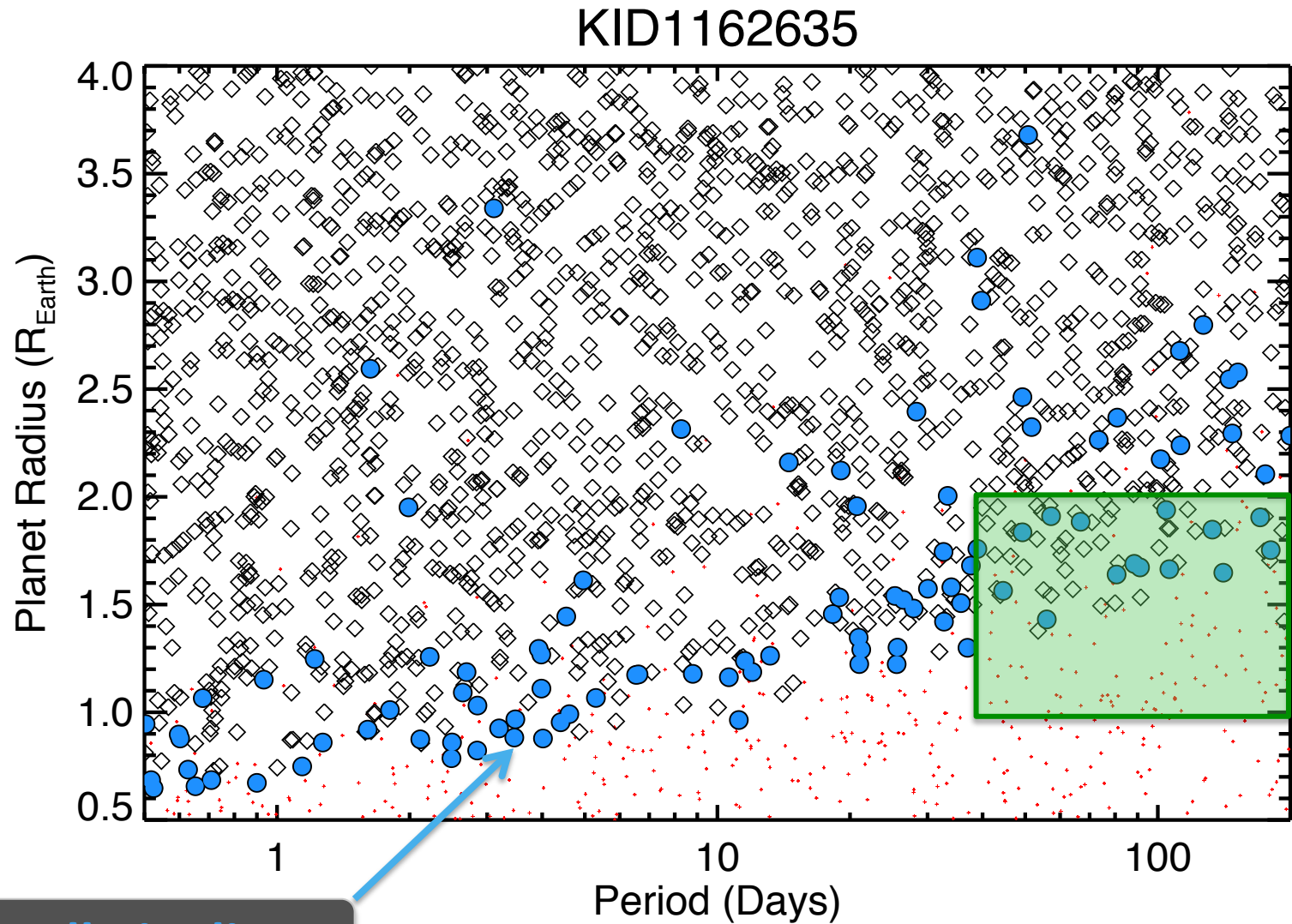
Not detectable at all

Estimating Pipeline Sensitivity



Failed "Realistic" Test

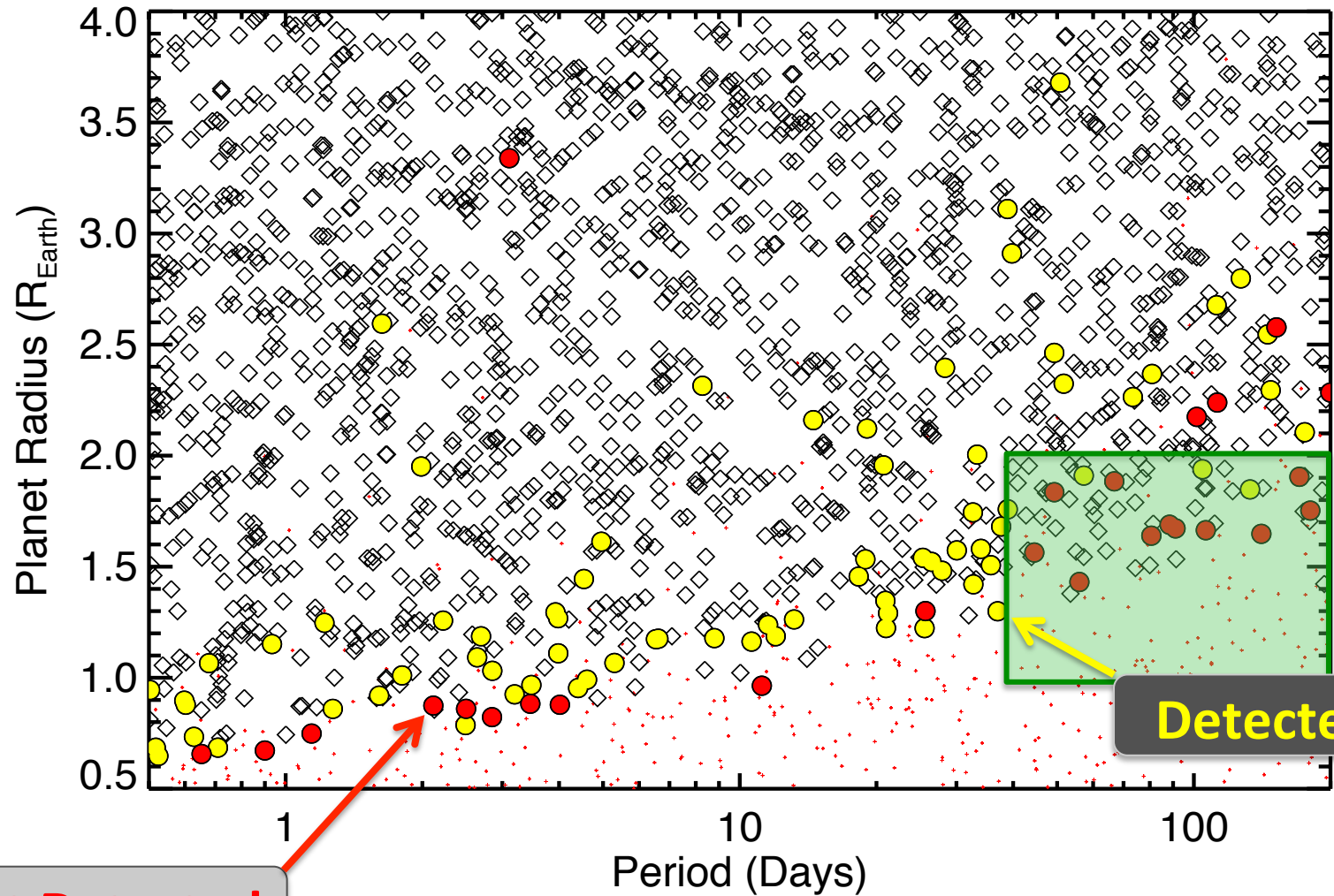
Estimating Pipeline Sensitivity



Ran Full Pipeline

Estimating Pipeline Sensitivity

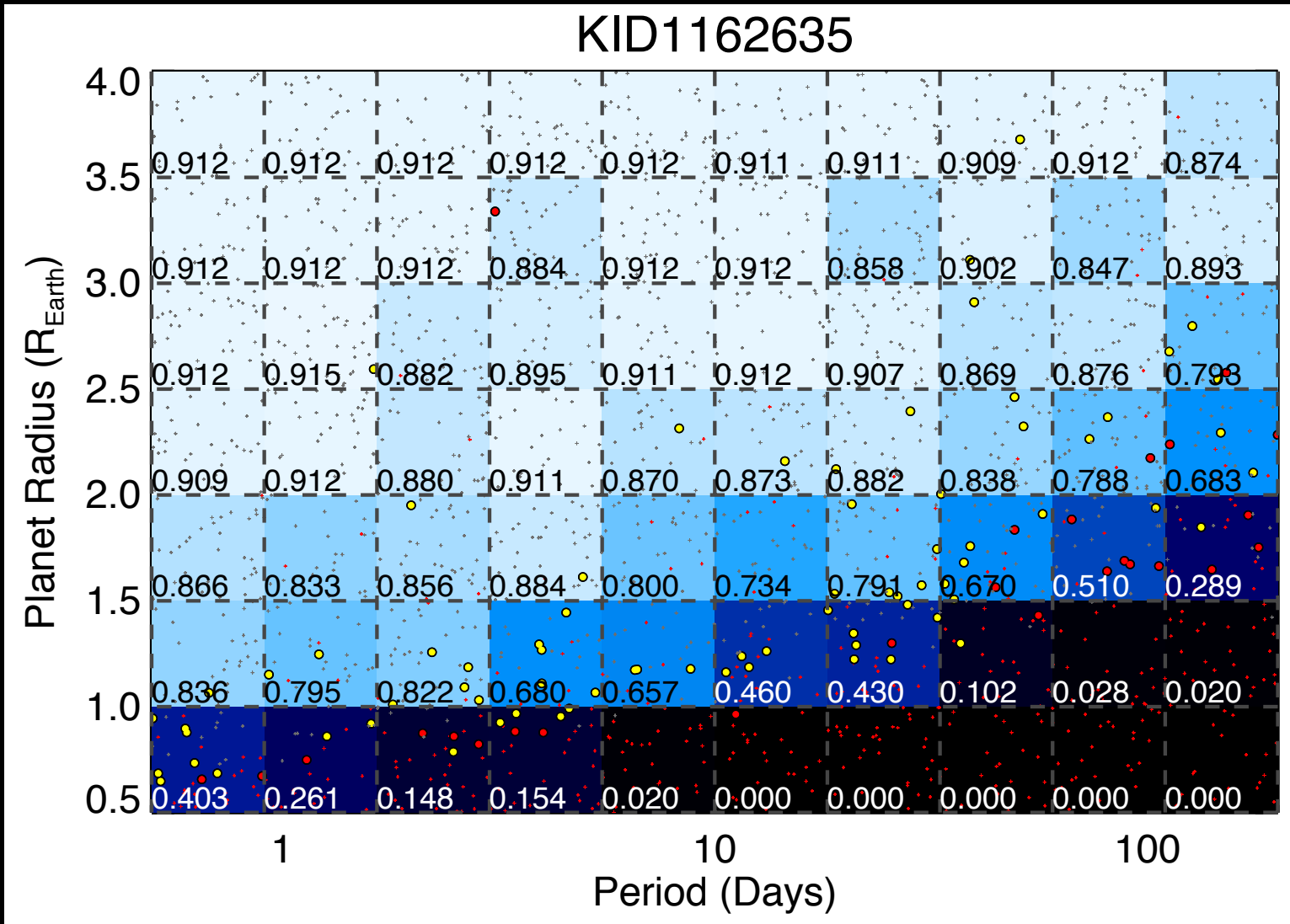
KID1162635



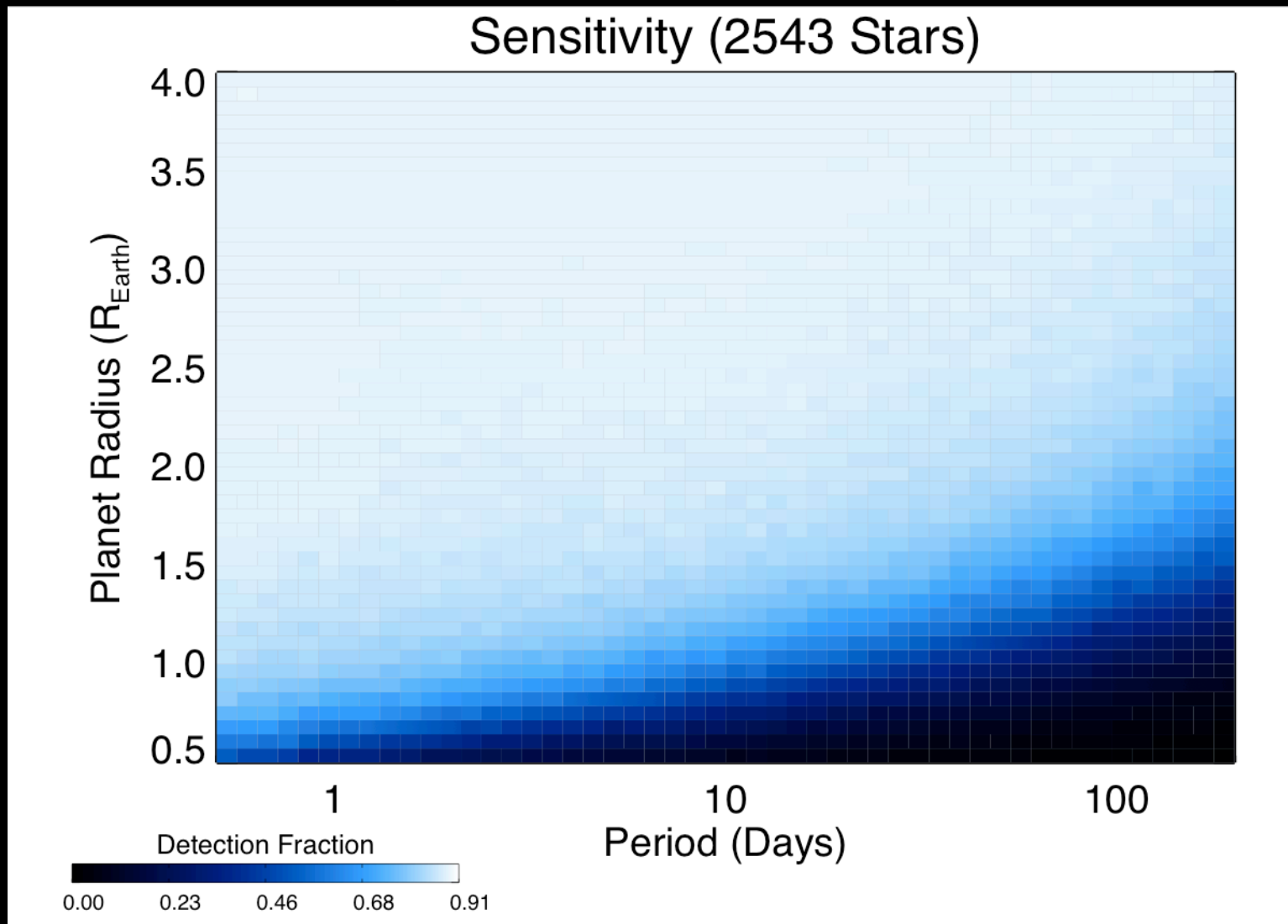
Not Detected

Detected

We Produced Star-by-Star Sensitivity Maps

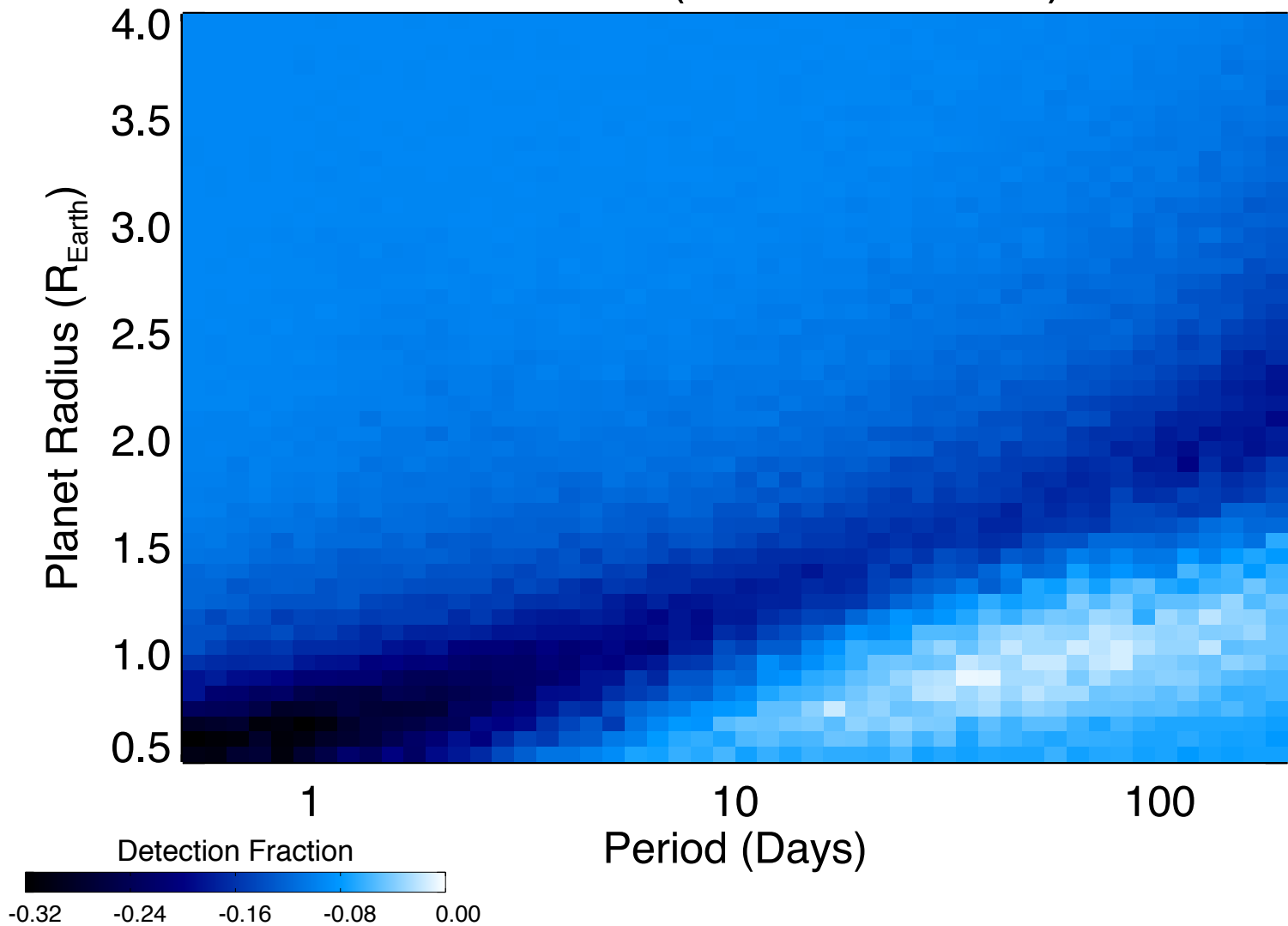


Sensitivity to Earth-size Planets Depends Strongly on Orbital Period

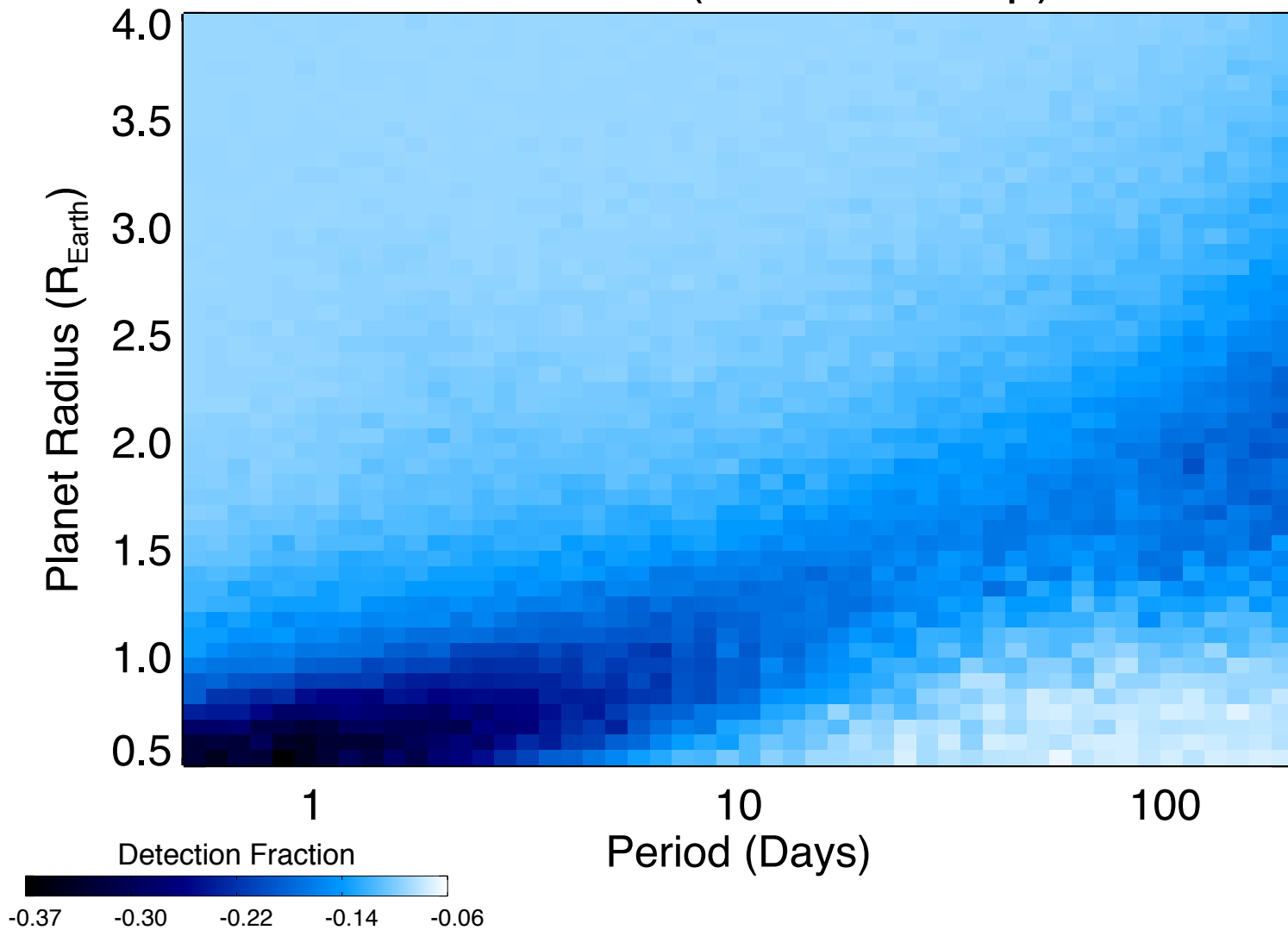


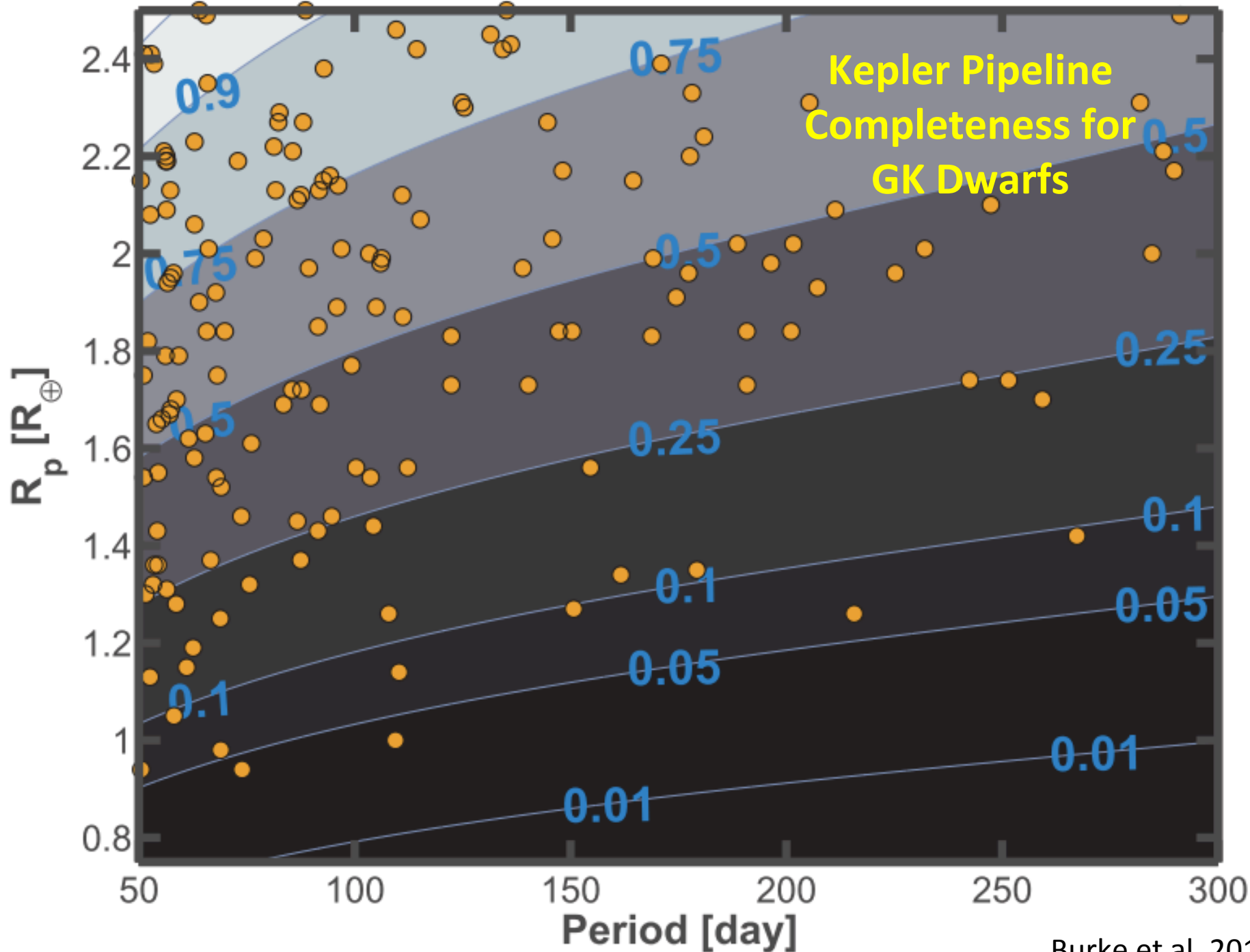
How does our search completeness compare to theoretical predictions?

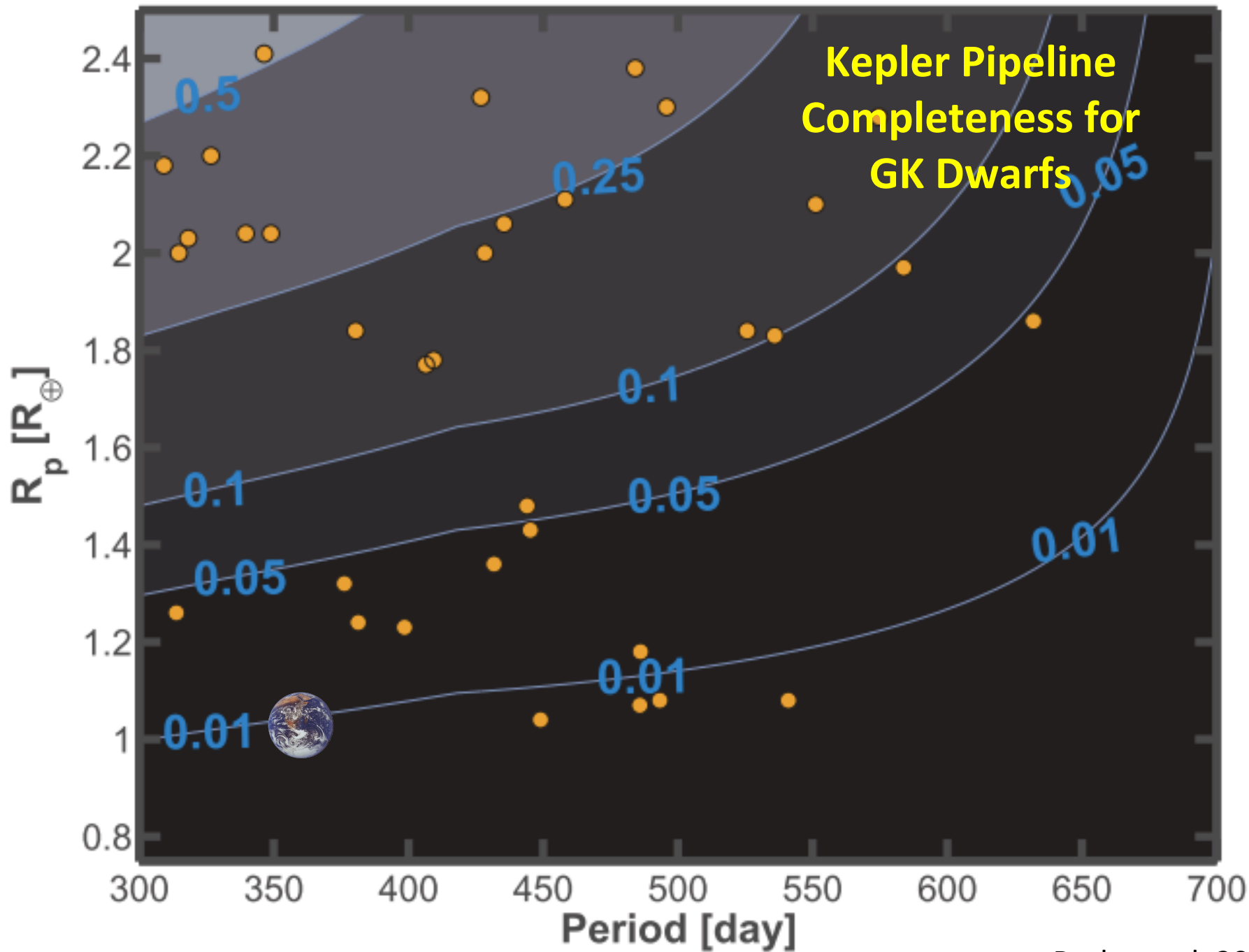
Difference (DC15 - SNR12)



Difference (DC15 - Ramp)







**Kepler Pipeline
Completeness for
GK Dwarfs**

0.05

0.25

0.1

0.1

0.05

0.05

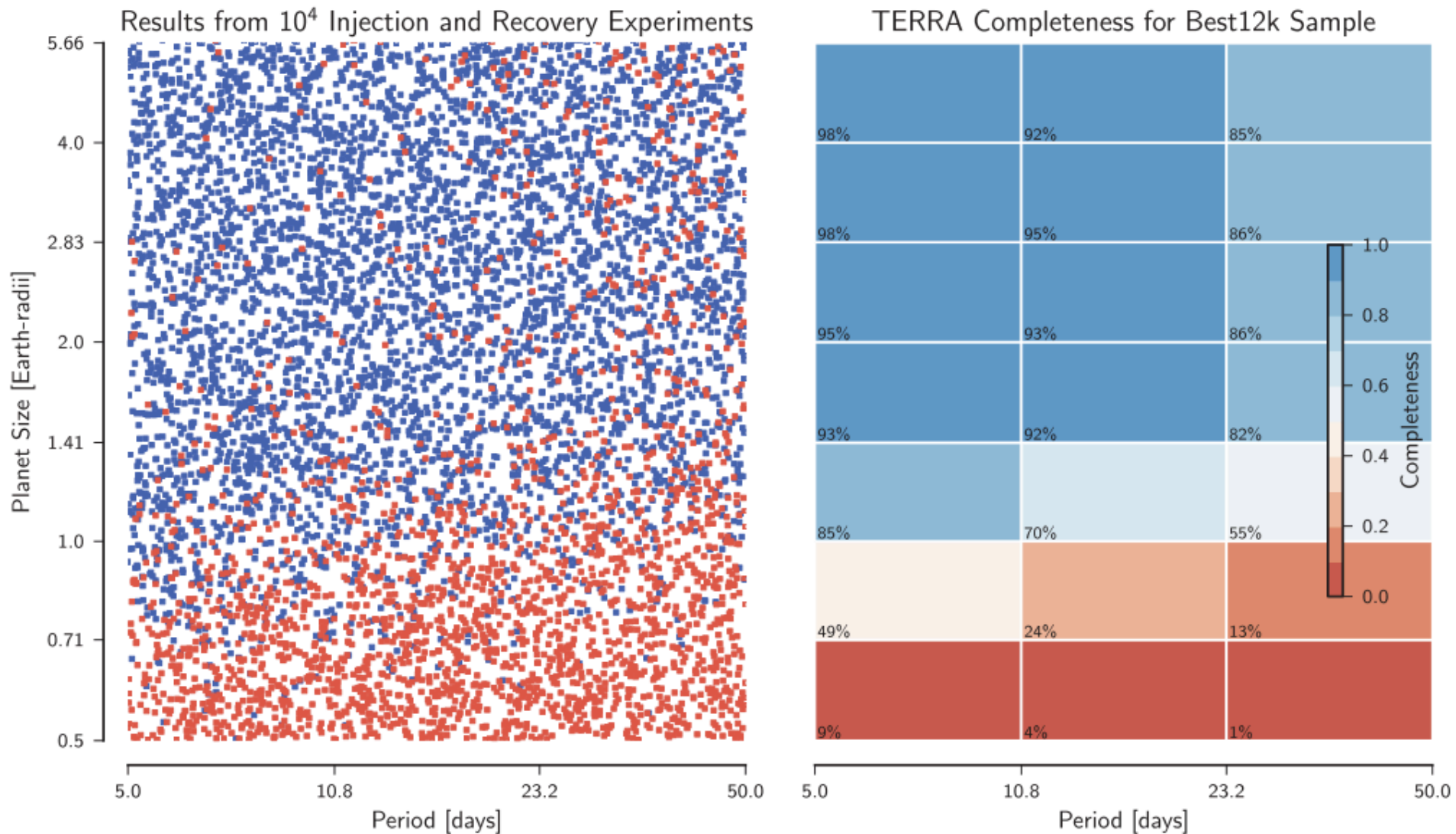
0.01

0.01

0.01

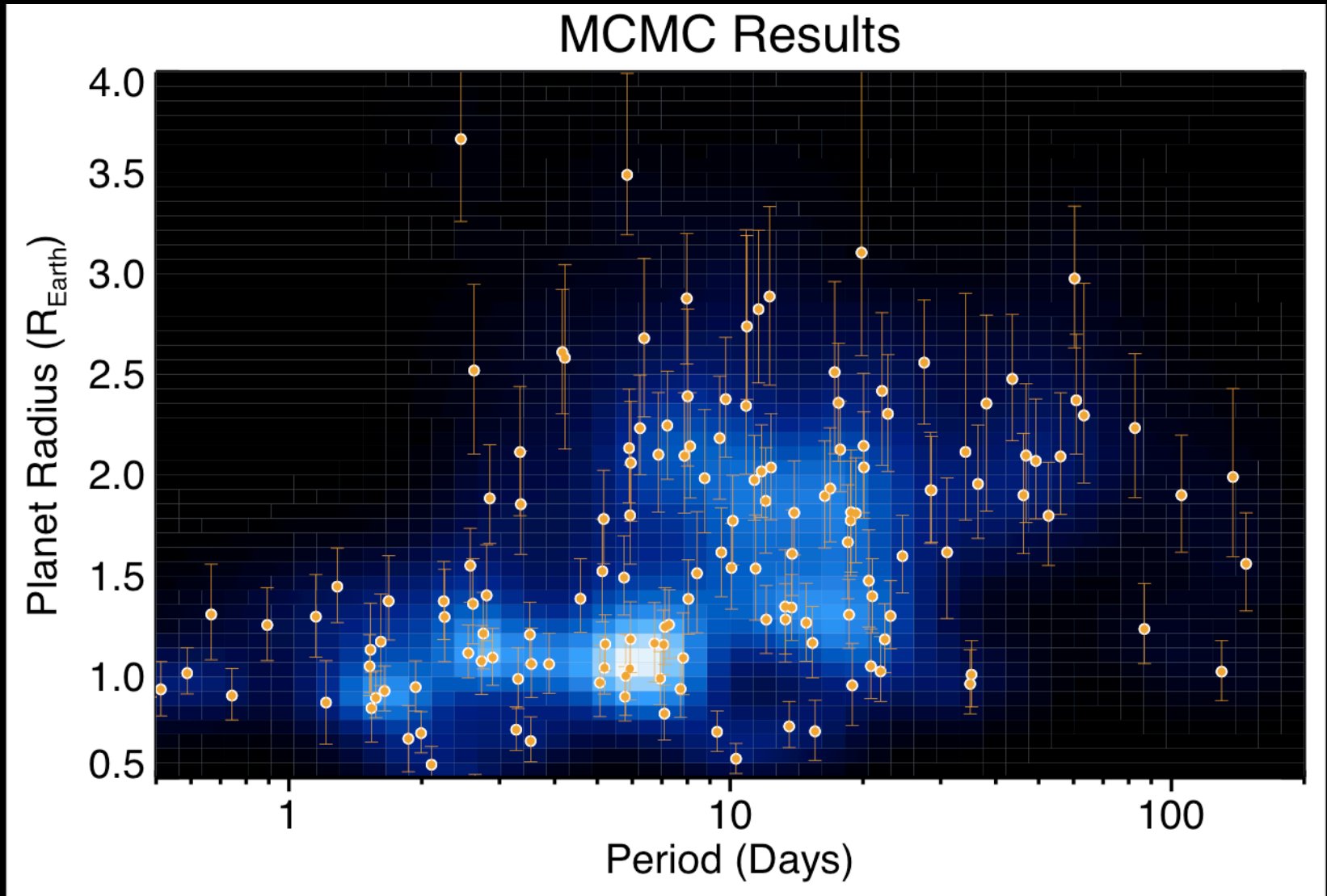


Another view of Completeness for Kepler's FGK Target Stars

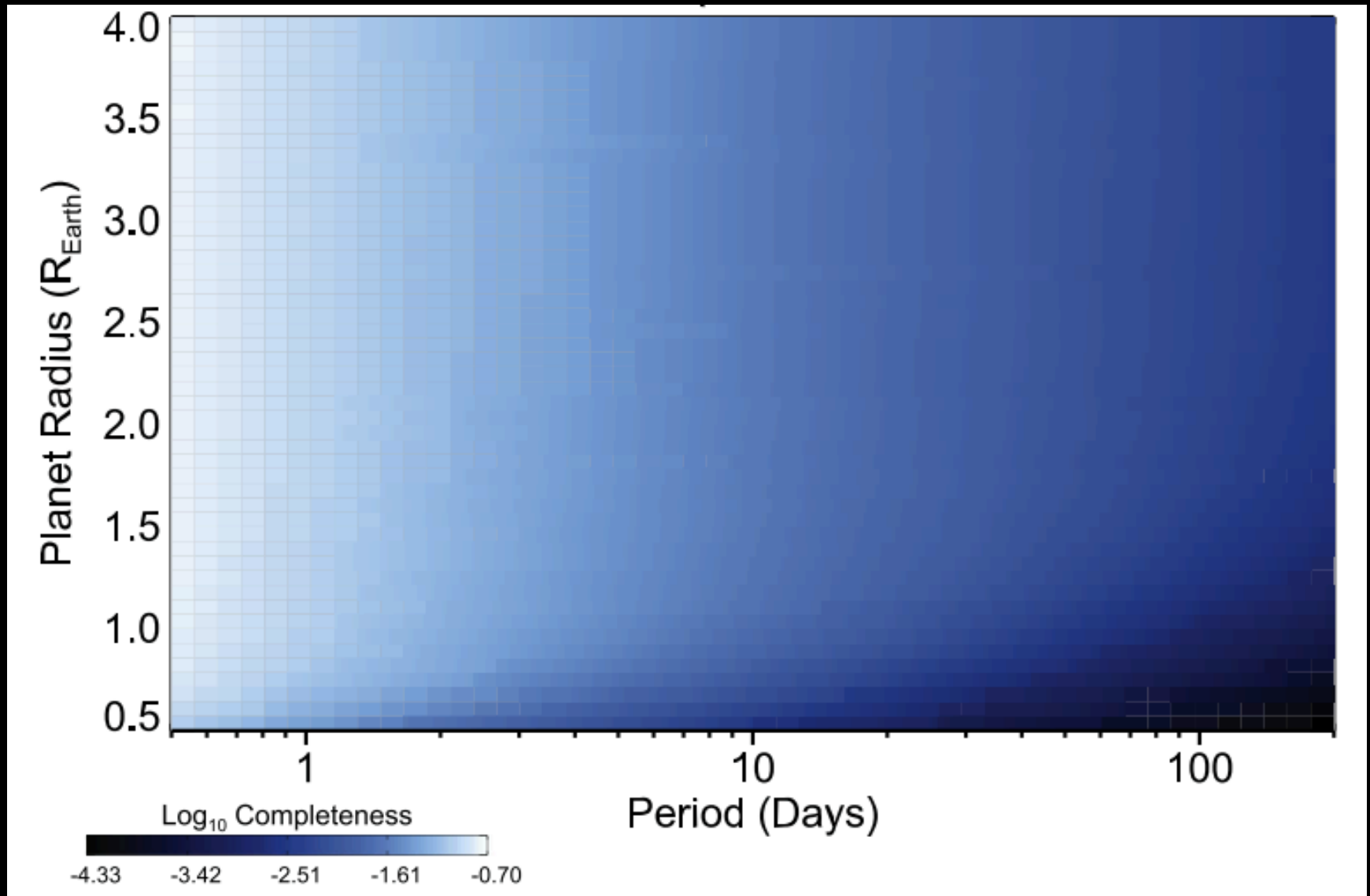


How common are planetary systems
orbiting M dwarfs?

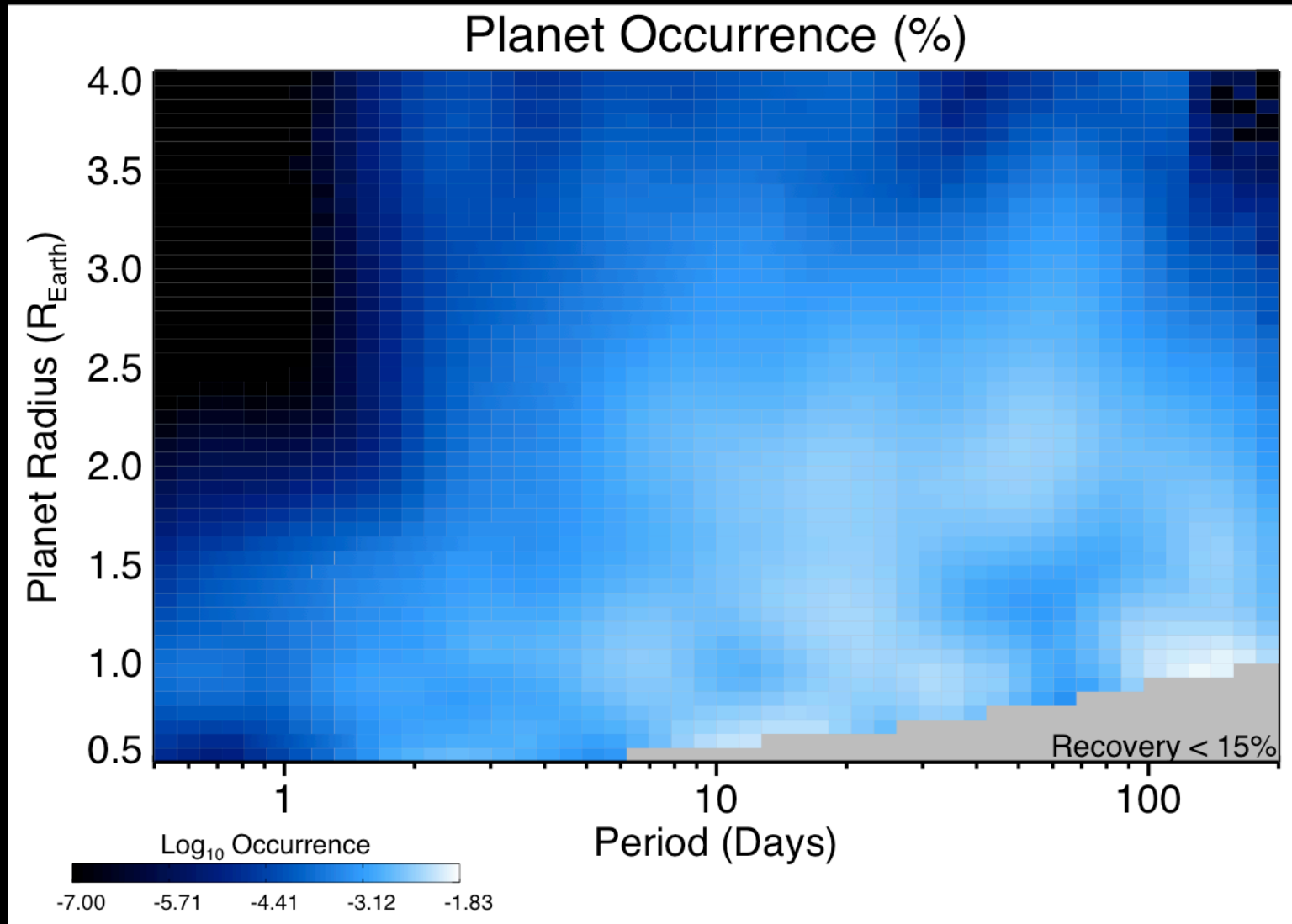
Smoothed Population of Planet Candidates



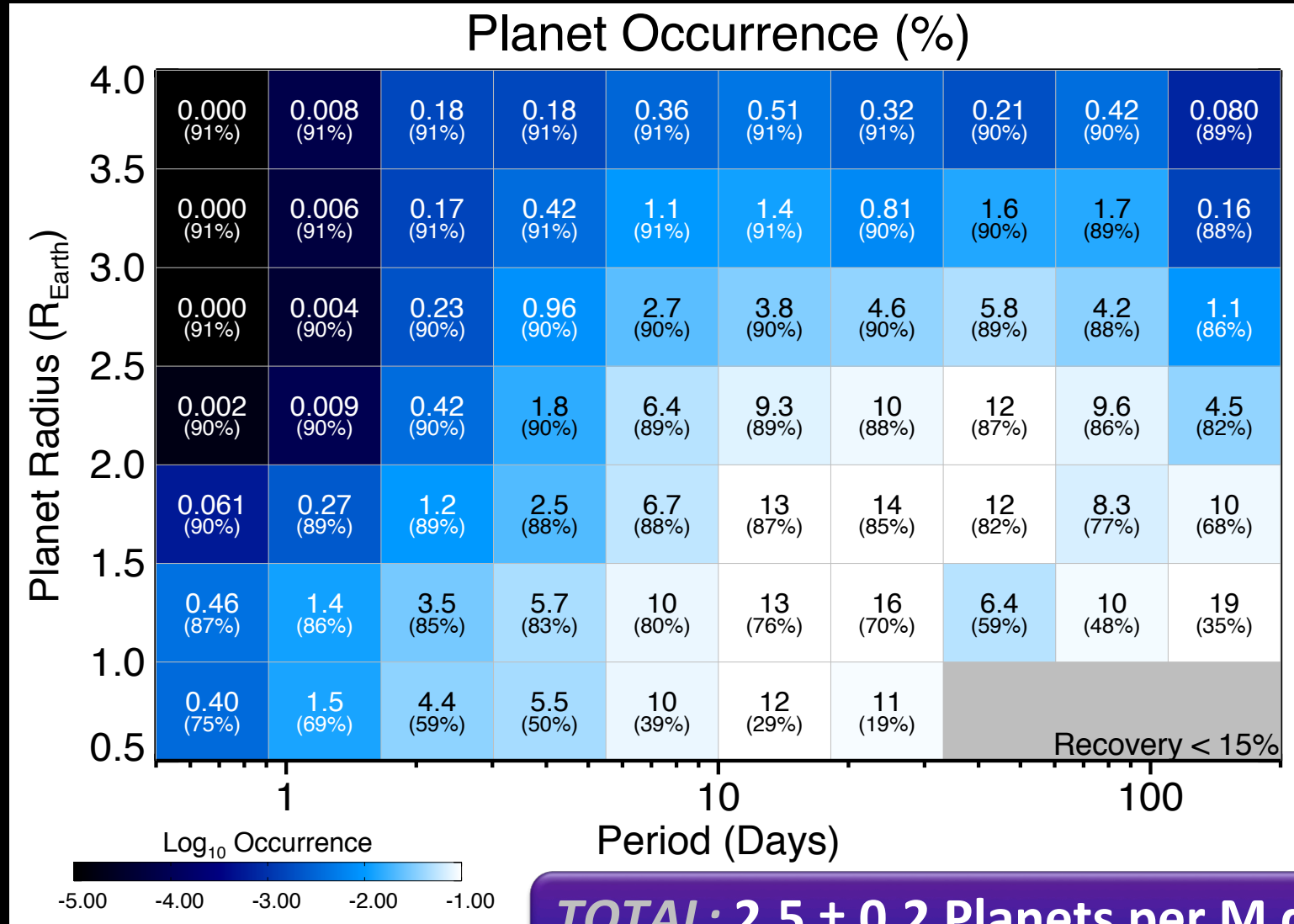
Full Search Completeness (Includes Sensitivity & Transit Probability)



Smaller Planets Are More Prevalent

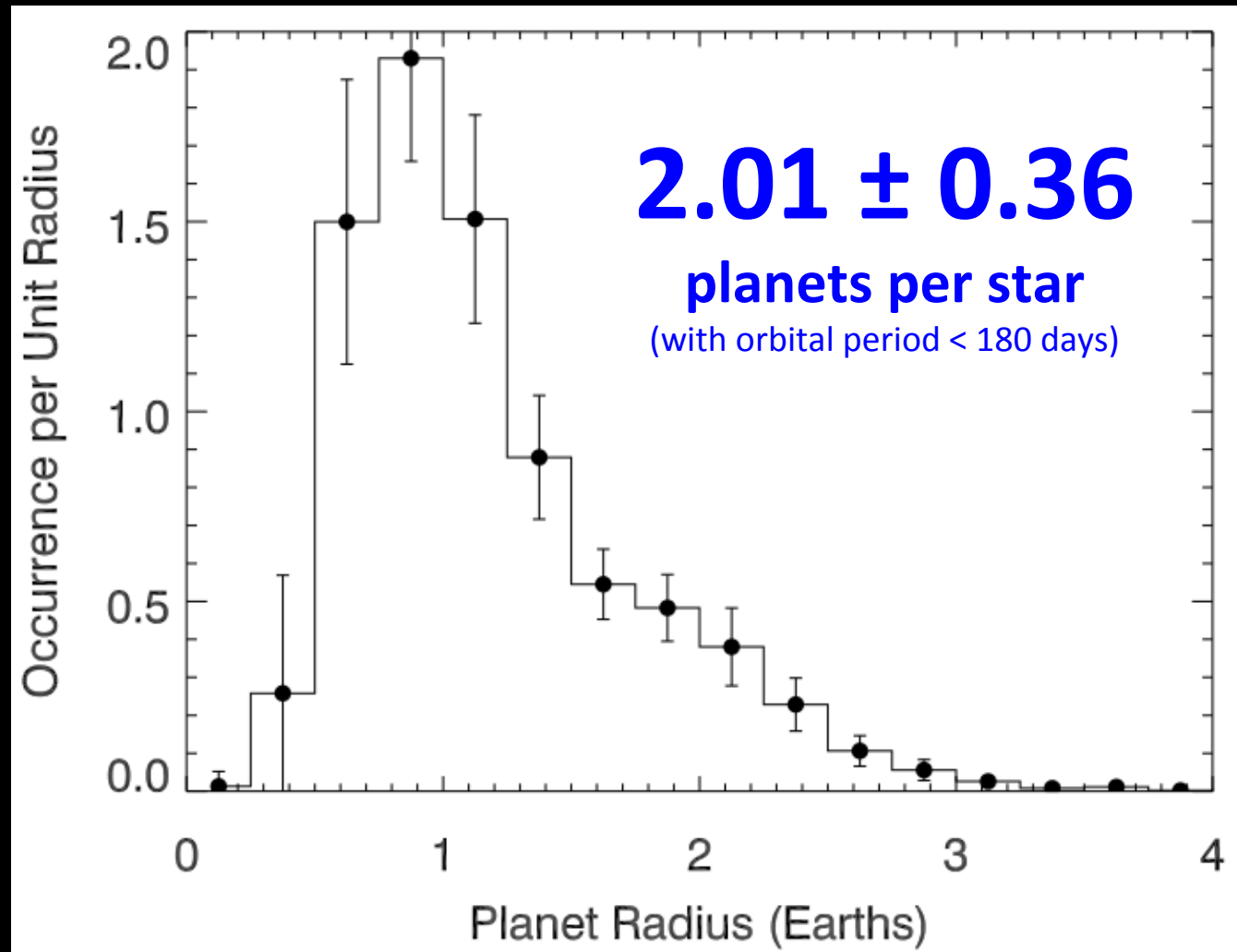


Small, Long-Period Planets are Common

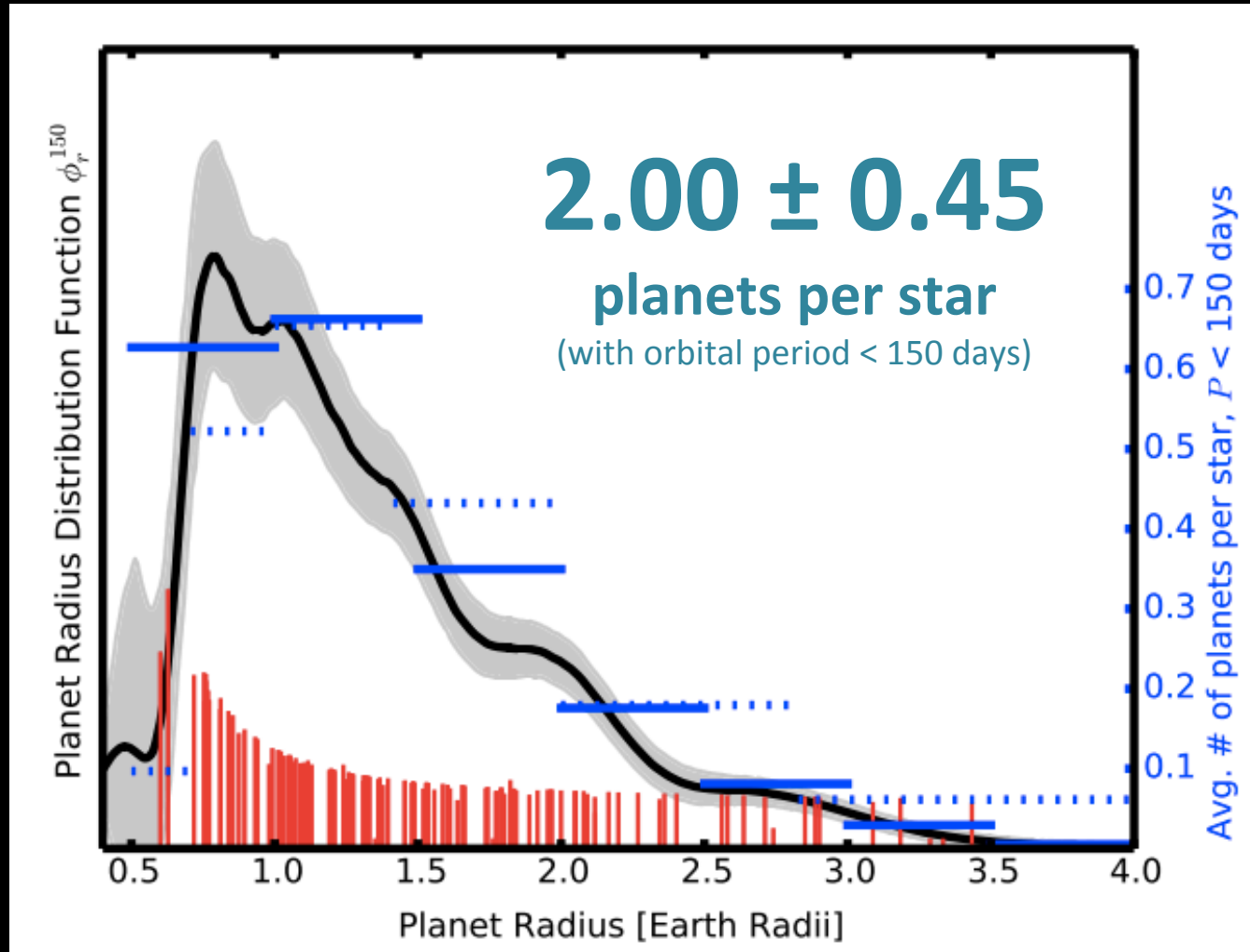


TOTAL: 2.5 ± 0.2 Planets per M dwarf
with $P < 200$ days, $R_p = 1-4 R_{\text{Earth}}$

Further Evidence for the Commonality of M Dwarf Planetary Systems



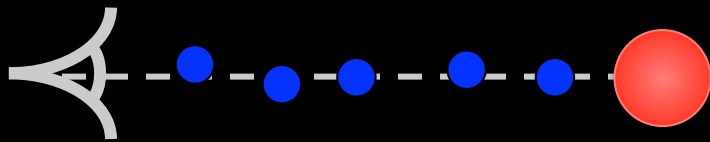
Further Evidence for the Commonality of M Dwarf Planetary Systems



How flat are exoplanetary systems?

Evidence for Two Populations of M Dwarf Planetary Systems

45% of systems



roughly **5 planets** with
coplanar orbits

55% of systems



only 1 planet

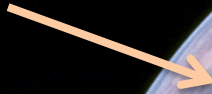
or



multiple planets with
high mutual inclinations

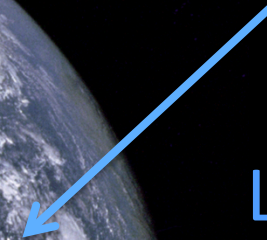
Are any of these planets habitable?

Rocky Surface



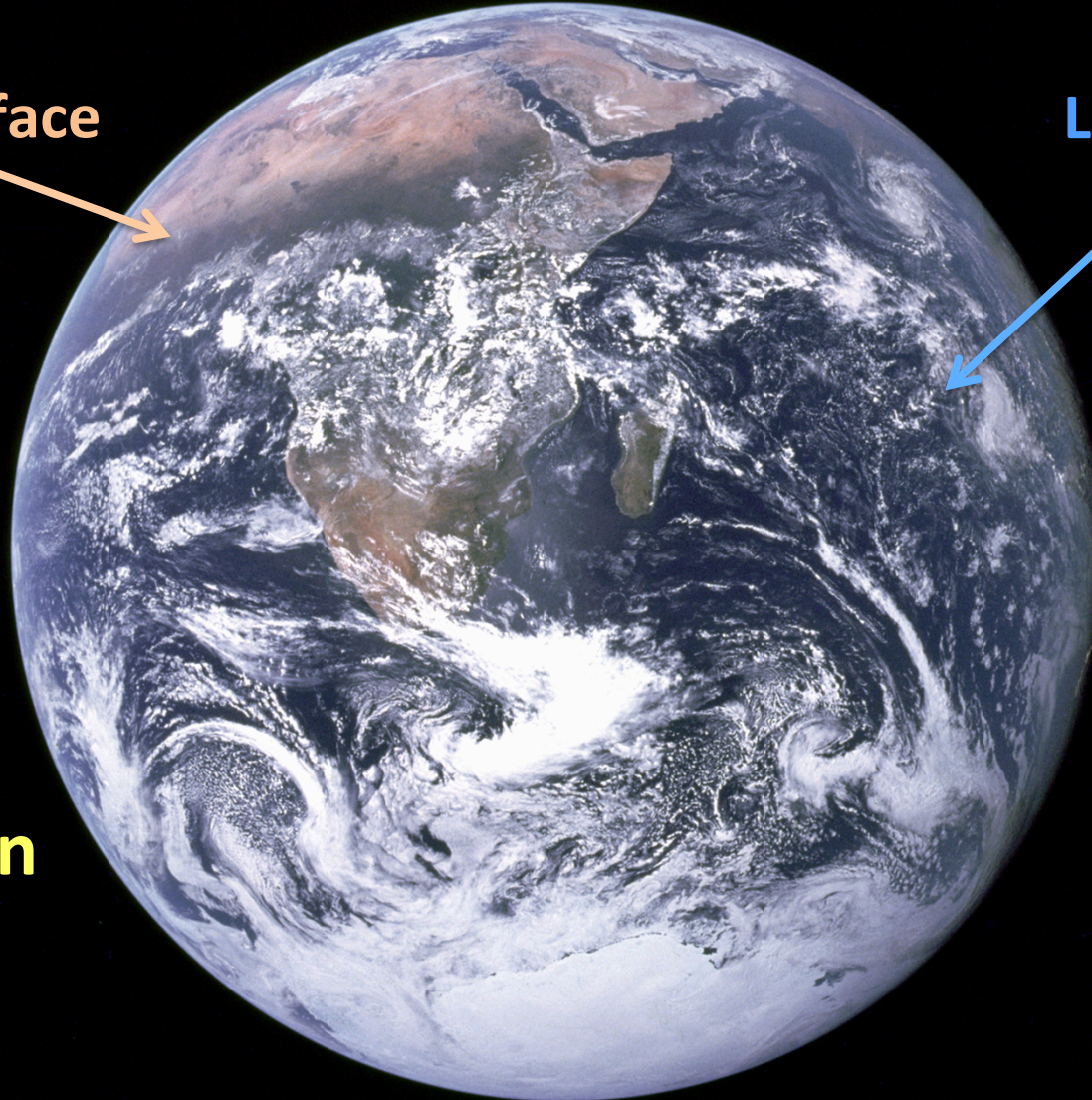
Is there an upper limit on the size of a rocky planet?

Liquid Water



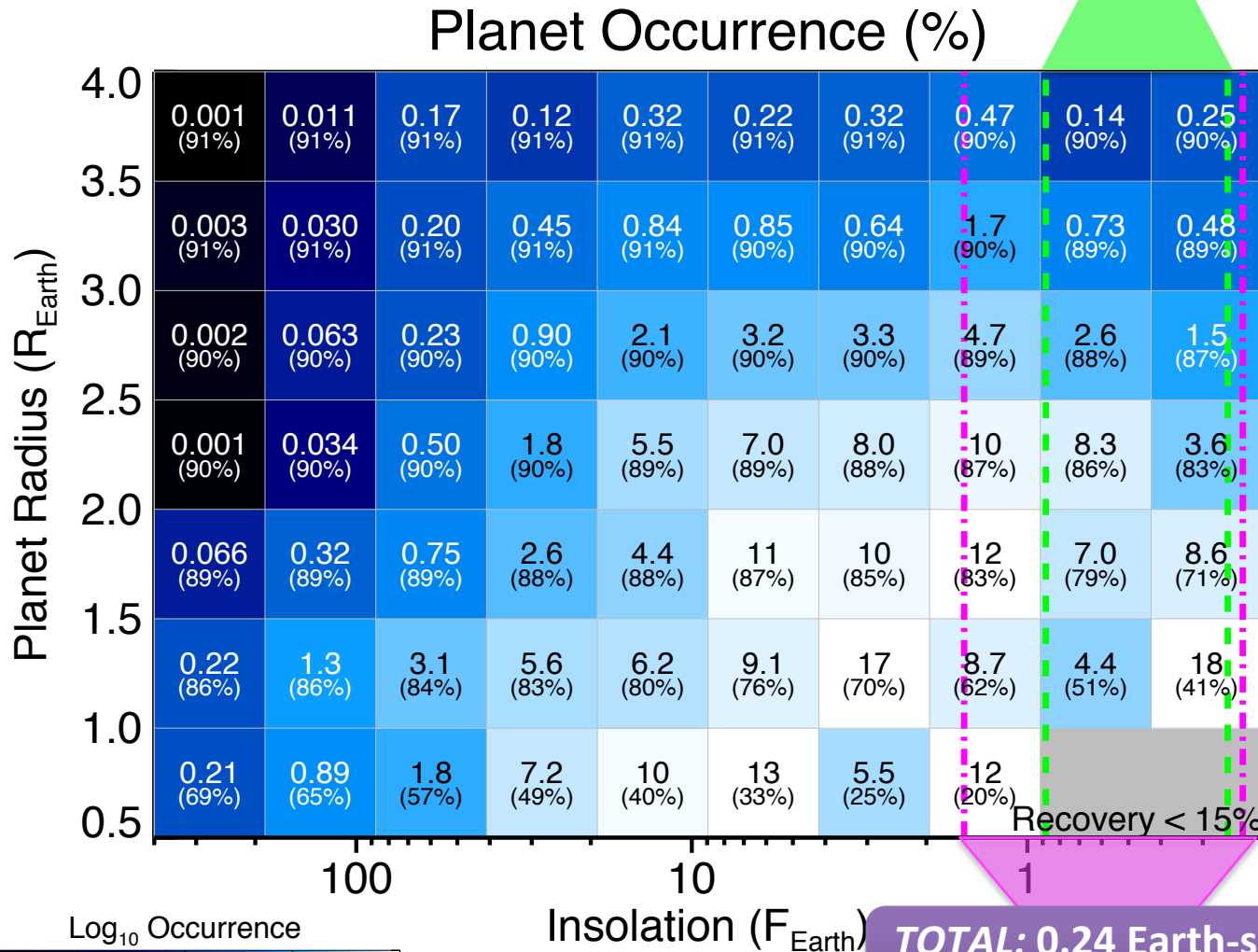
Look for planets with temperate climates

Look for planets smaller than 1.7 Earth Radii



Smaller, Cooler Planets Are More Common

Narrow Habitable Zone





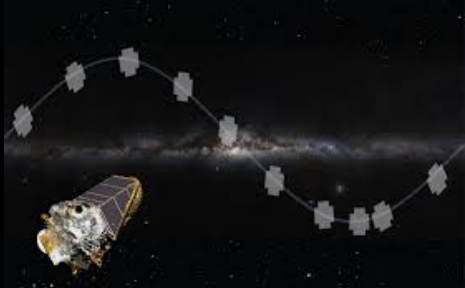
Nearest HZ Earth 2.6 pc
Transiting HZ Earth 11 pc

M Dwarf Planetary Systems are Common

- *Per star:*
 - **0.56** (+0.06/-0.05) **Earth-size planets** with **P<50 days**
 - **0.46** (+0.07/-0.05) **super-Earths** with **P<50 days**
 - **2.5** (± 0.2) **small (1-4 R_E) planets** with **P<200 days**
- *Empirical Venus/Mars Habitable Zone:*
 - **0.24** (+0.18/-0.08) **Earth-size planets**
 - **0.21** (+0.11/-0.06) **super-Earths**
 - **11 pc** to nearest **transiting Earth-like planet**



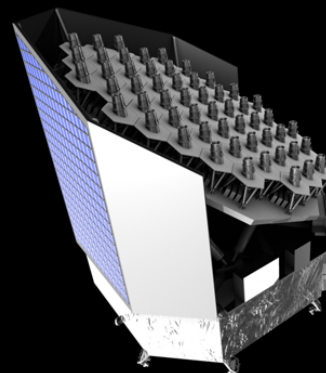
What's
Next?



K2



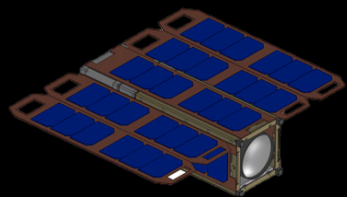
TESS



PLATO



CHEOPS

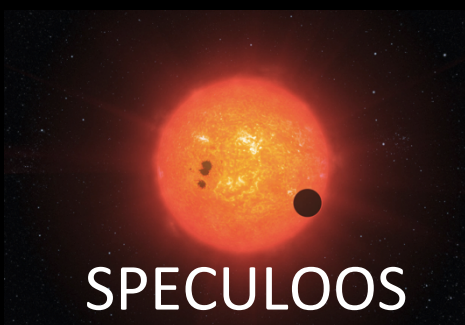


ExoplanetSat

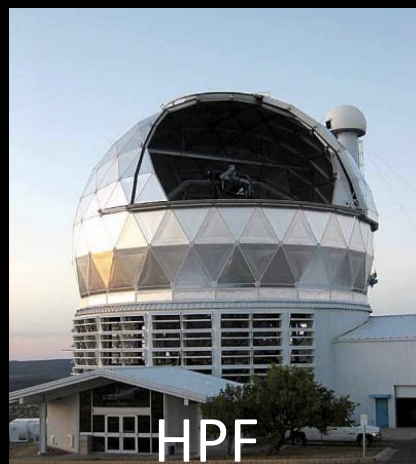
*Current & Future Missions
Targeting Planets
Orbiting Small Stars*



CARMENES



SPECULOOS



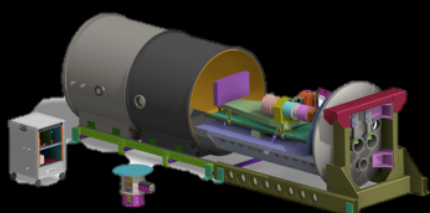
HPF



MEarth &
MEarth-South

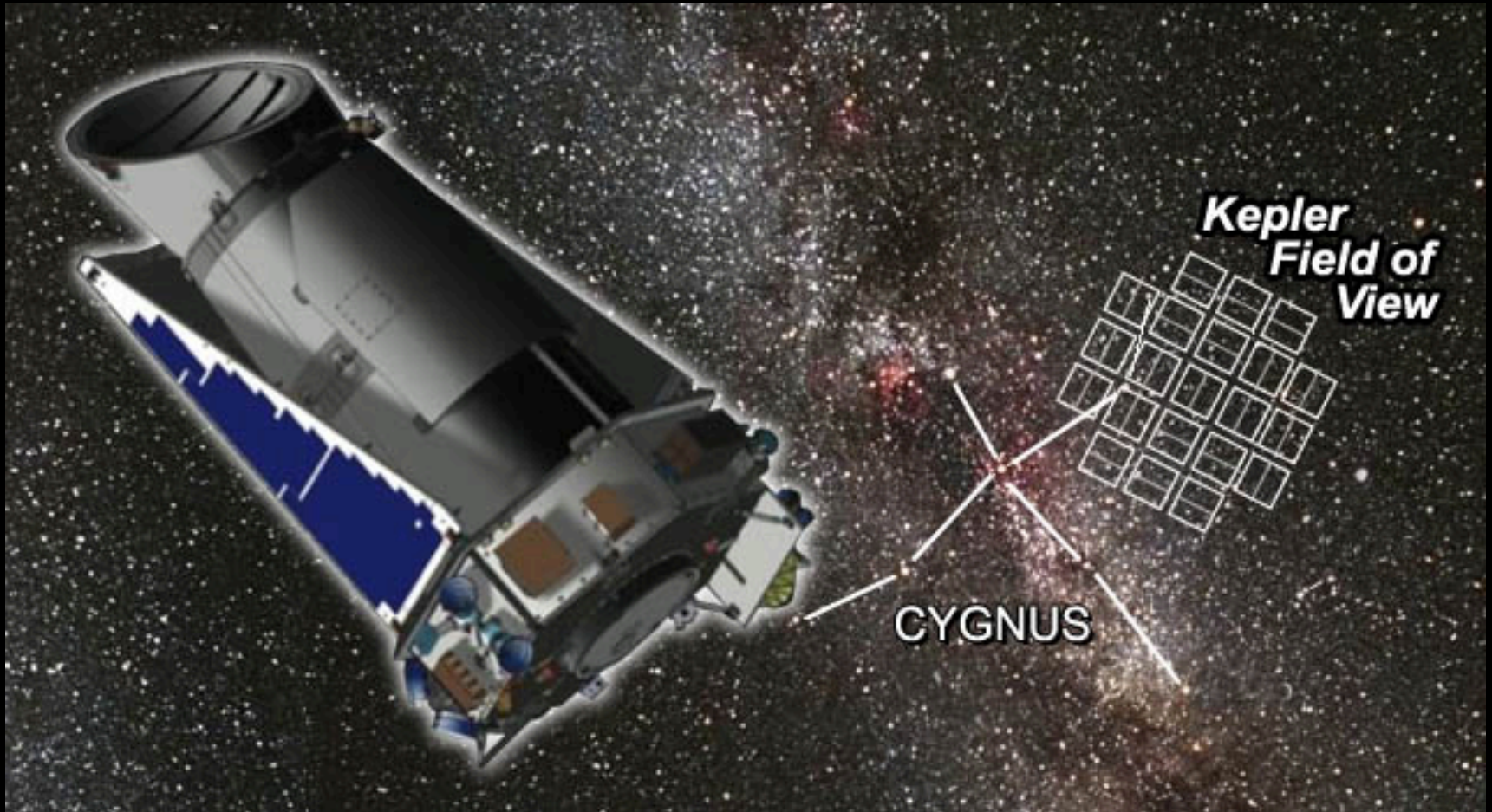


ExTrA

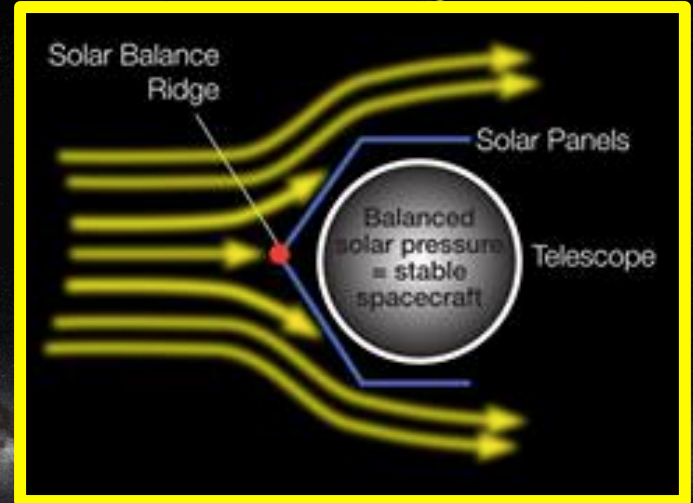
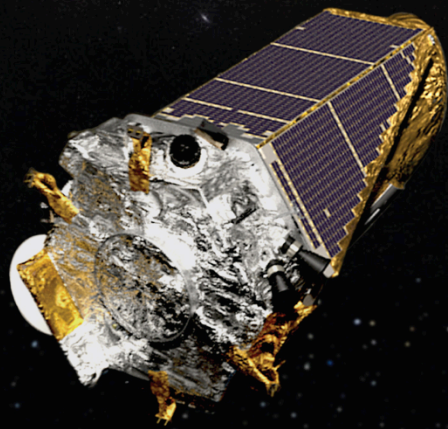


SPIRou

Kepler Stared at One Field



K2 Will Look at Multiple Fields



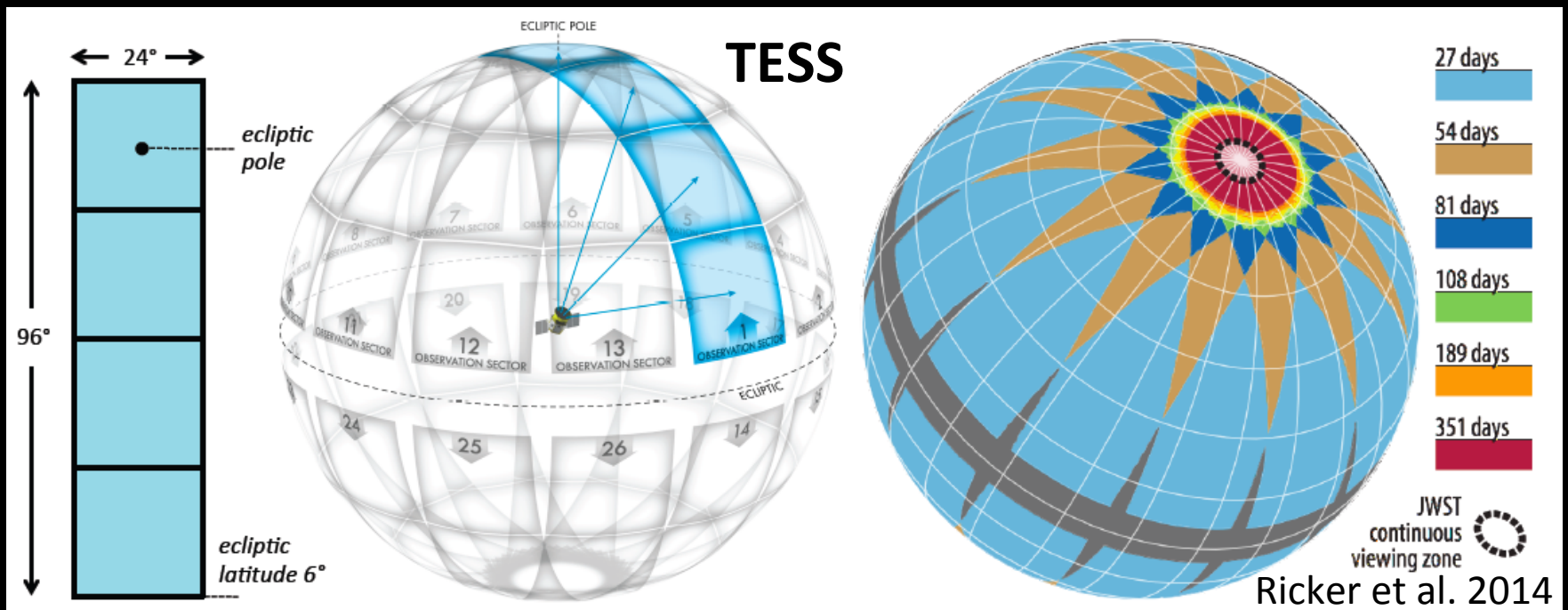
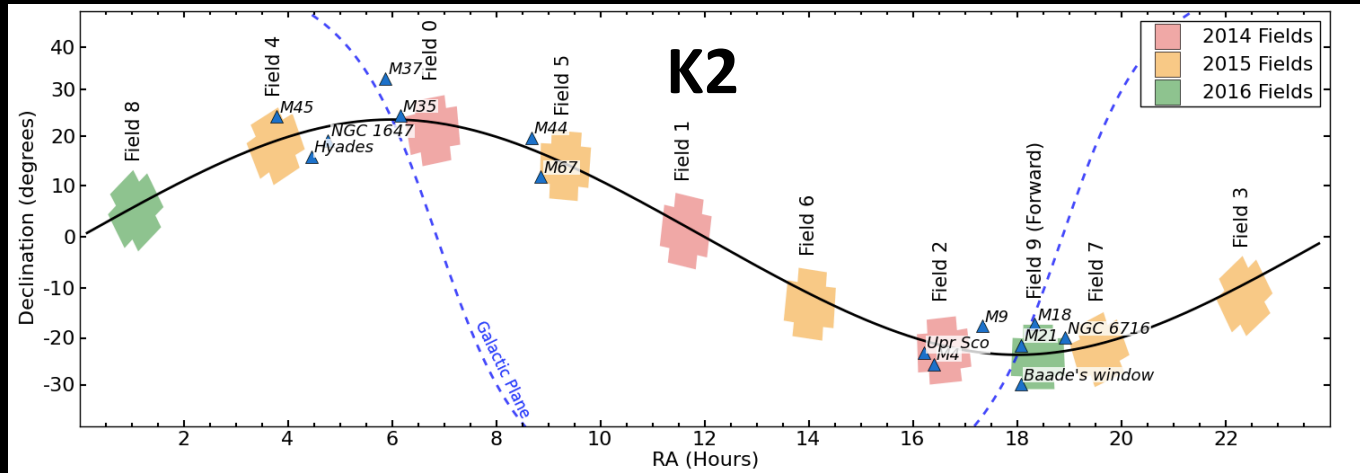
The Transiting Exoplanet Survey Satellite

- Launch in 2017
- Two-year survey
- 90% of sky

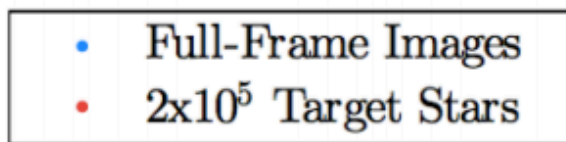
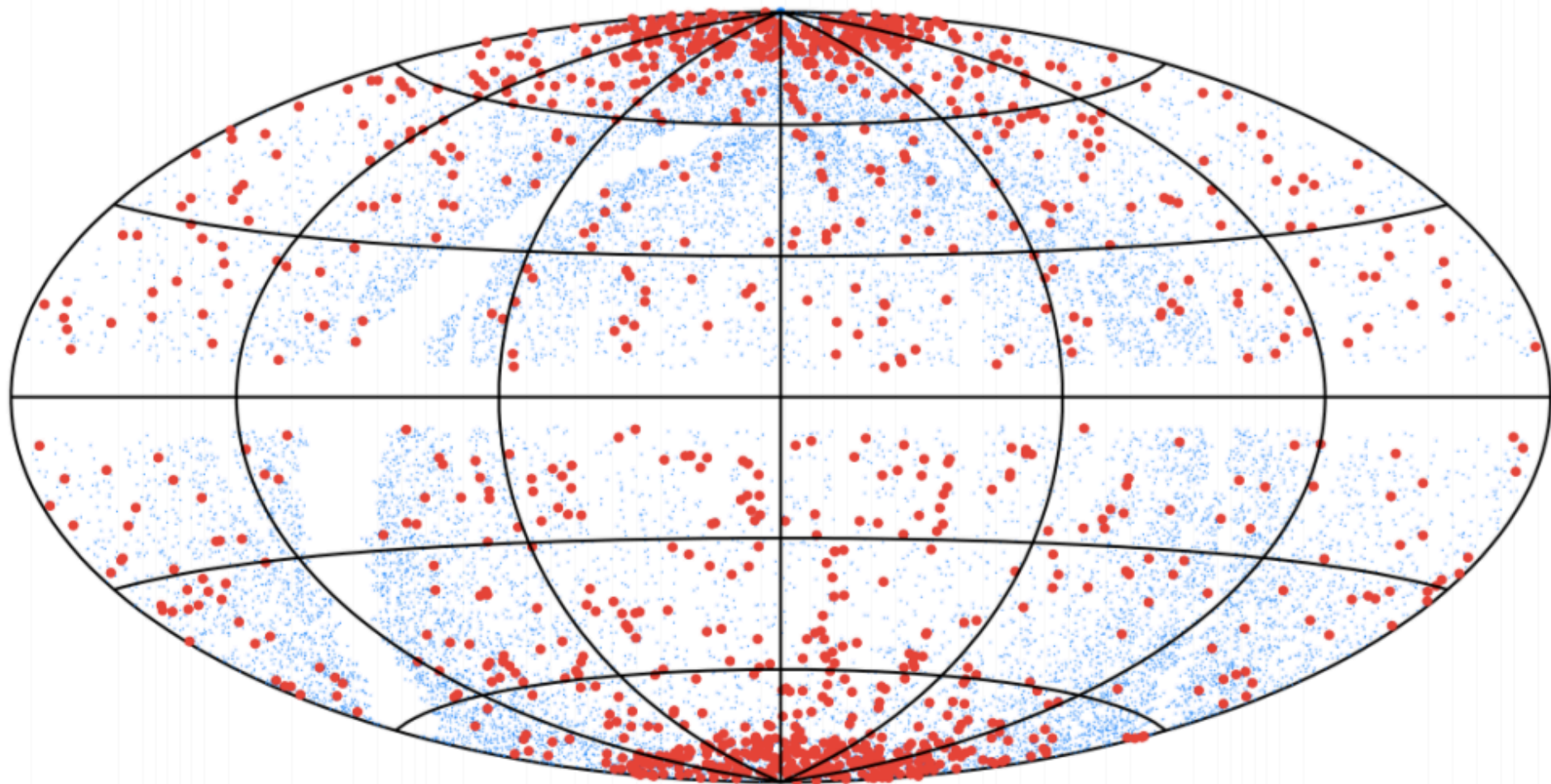
**Hundreds of
Earth-size planets
& super Earths!**



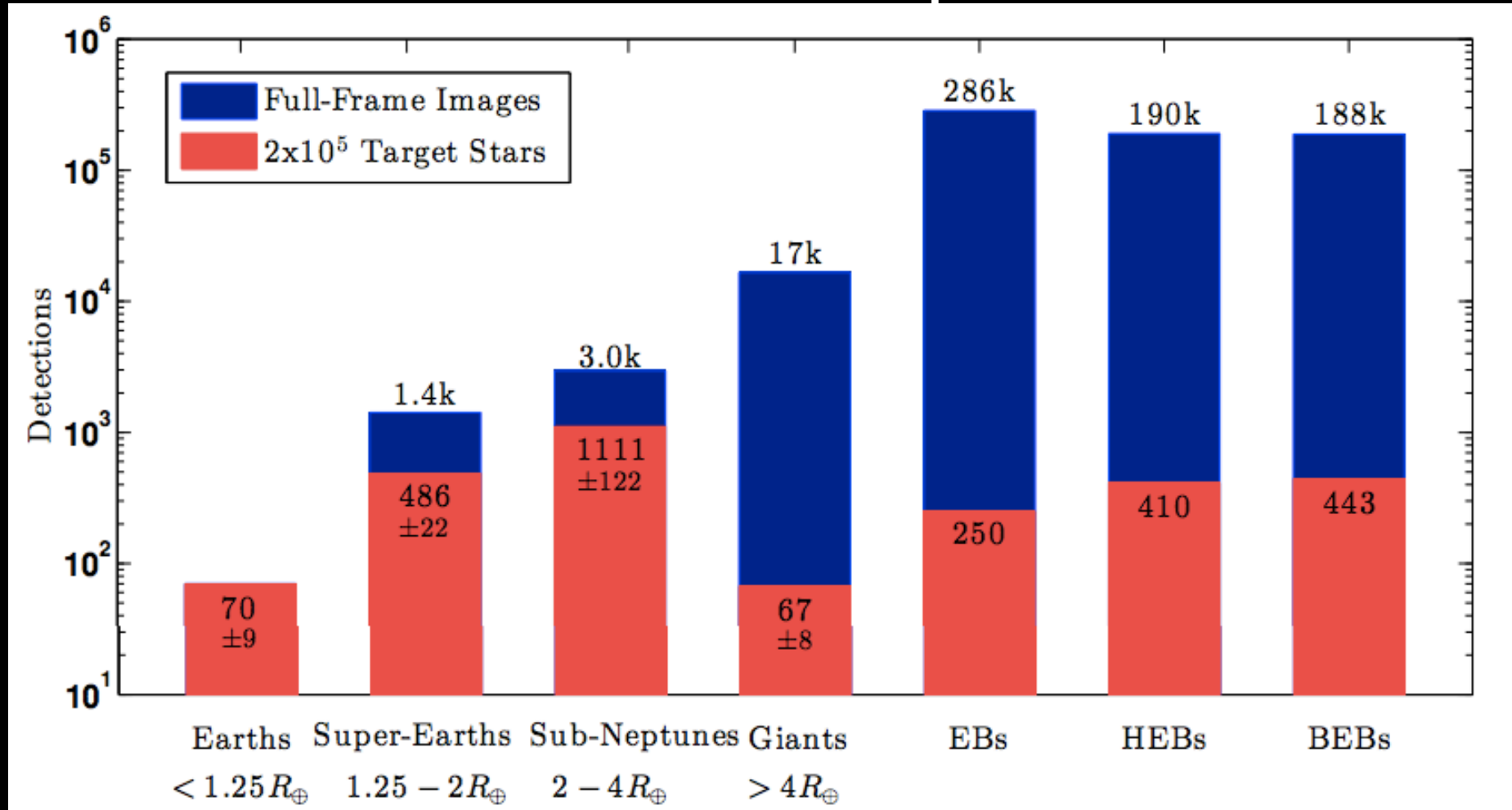
K2 & TESS Have Complementary Sky Coverage



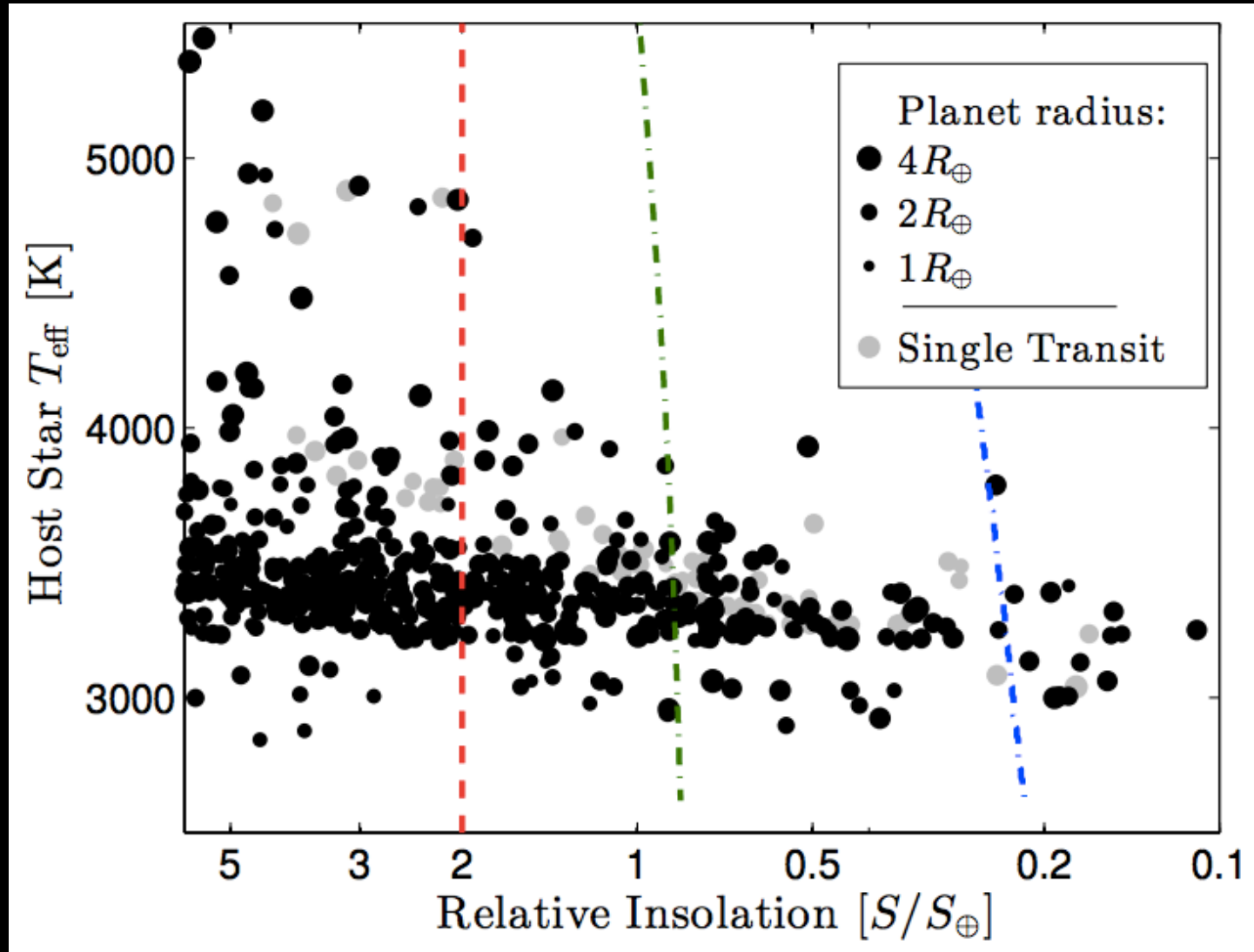
Simulation of TESS Planet Detections



TESS Will Find Dozens of Earth-size Planets and Hundreds of Super-Earths

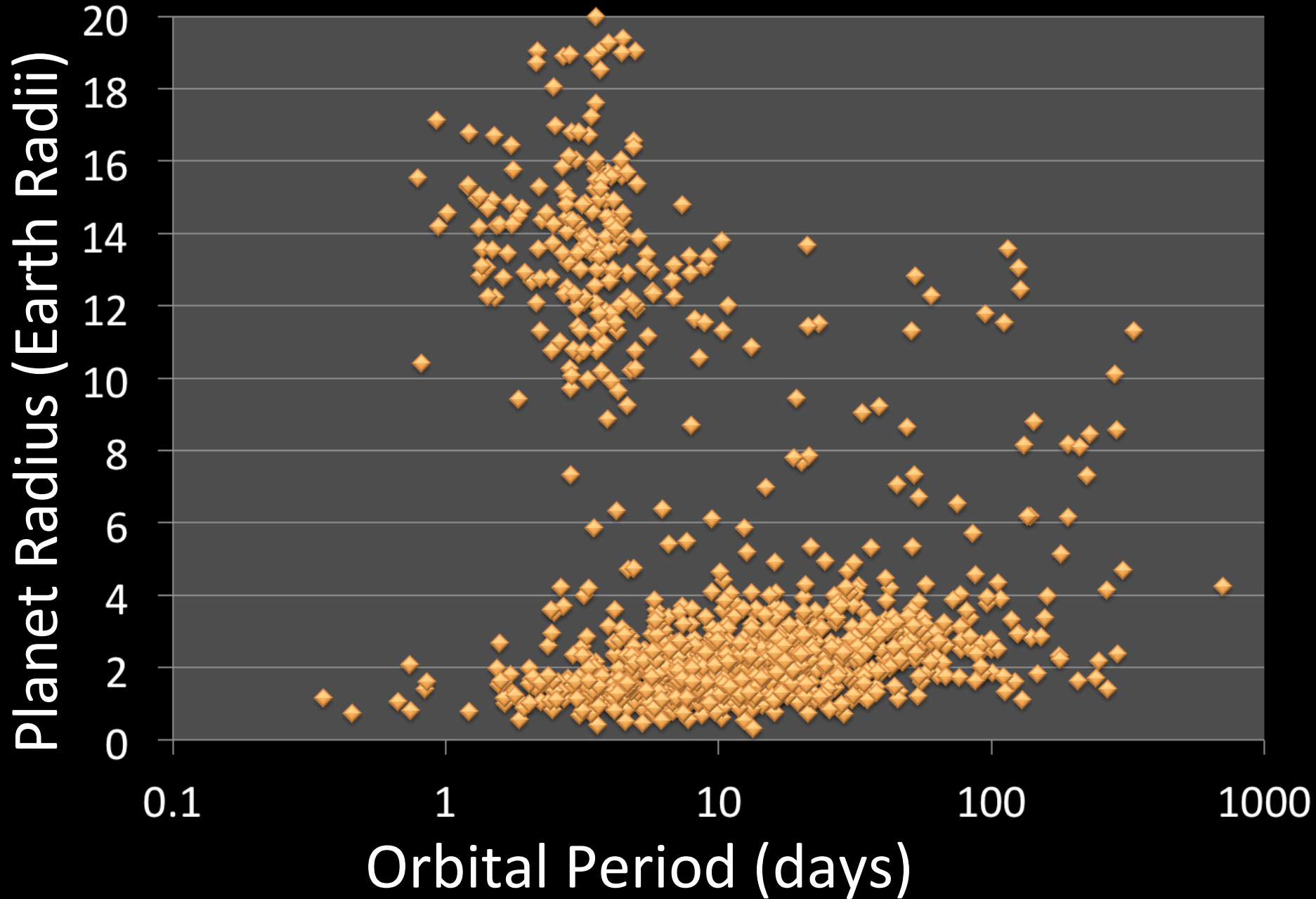


TESS Will Find Some Potentially Habitable Planets Orbiting Cool Stars

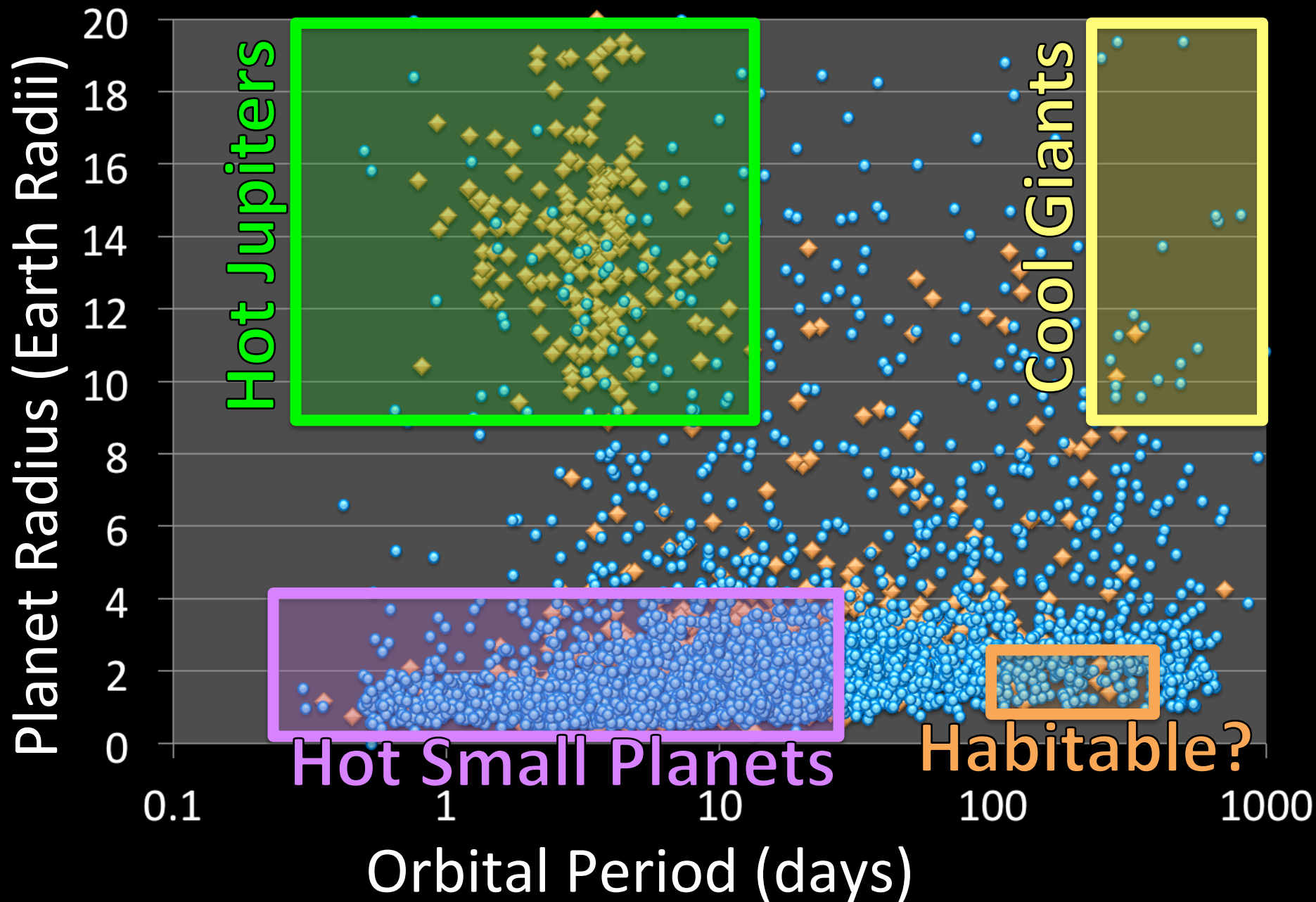


PUTTING EVERYTHING TOGETHER

Confirmed Transiting Planets



Confirmed Transiting Planets & Kepler Candidates



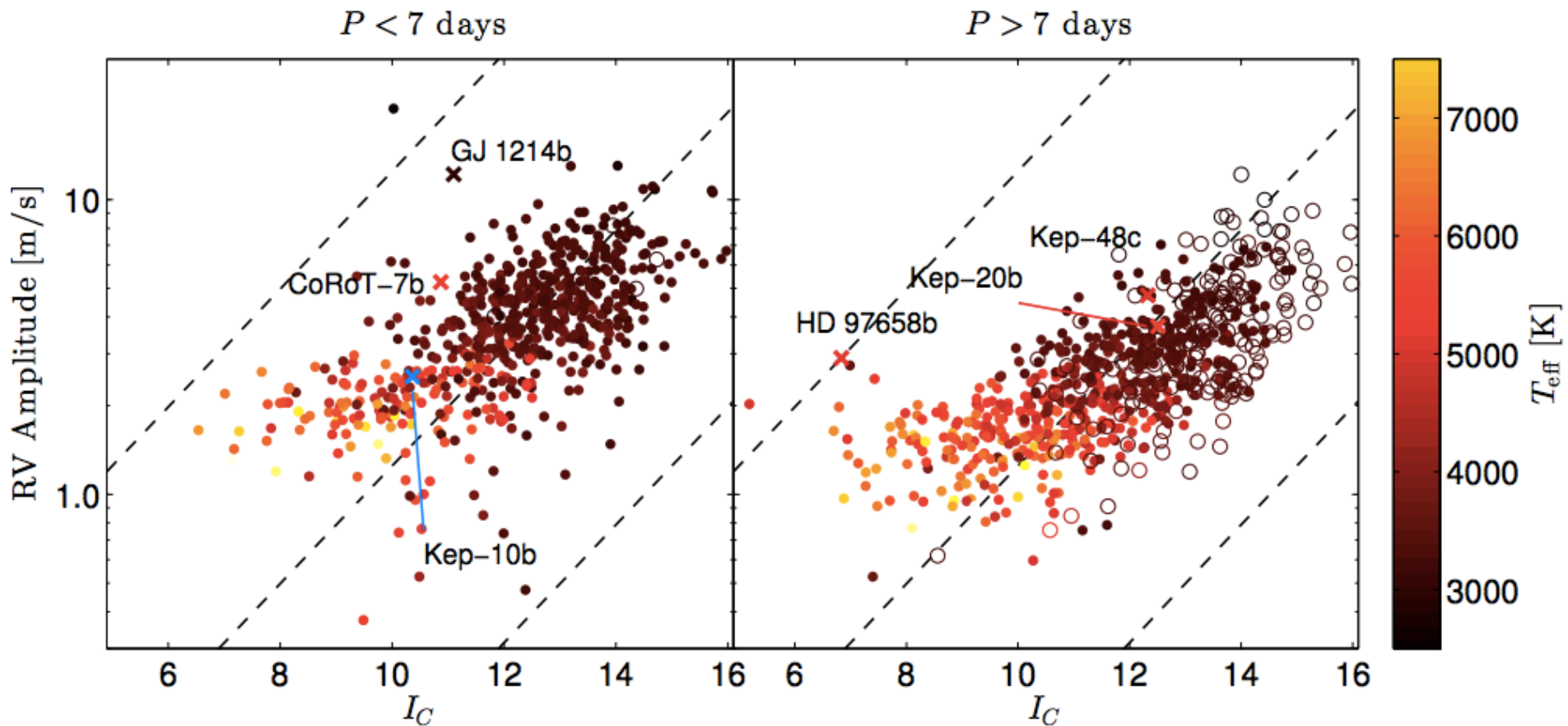
What we've learned so far

- Exoplanetary **systems** are **diverse**
- **Many stars harbor close-in planets** with high transit probabilities
- Transiting planets offer unique laboratories to investigate **atmospheric compositions** and **bulk densities**

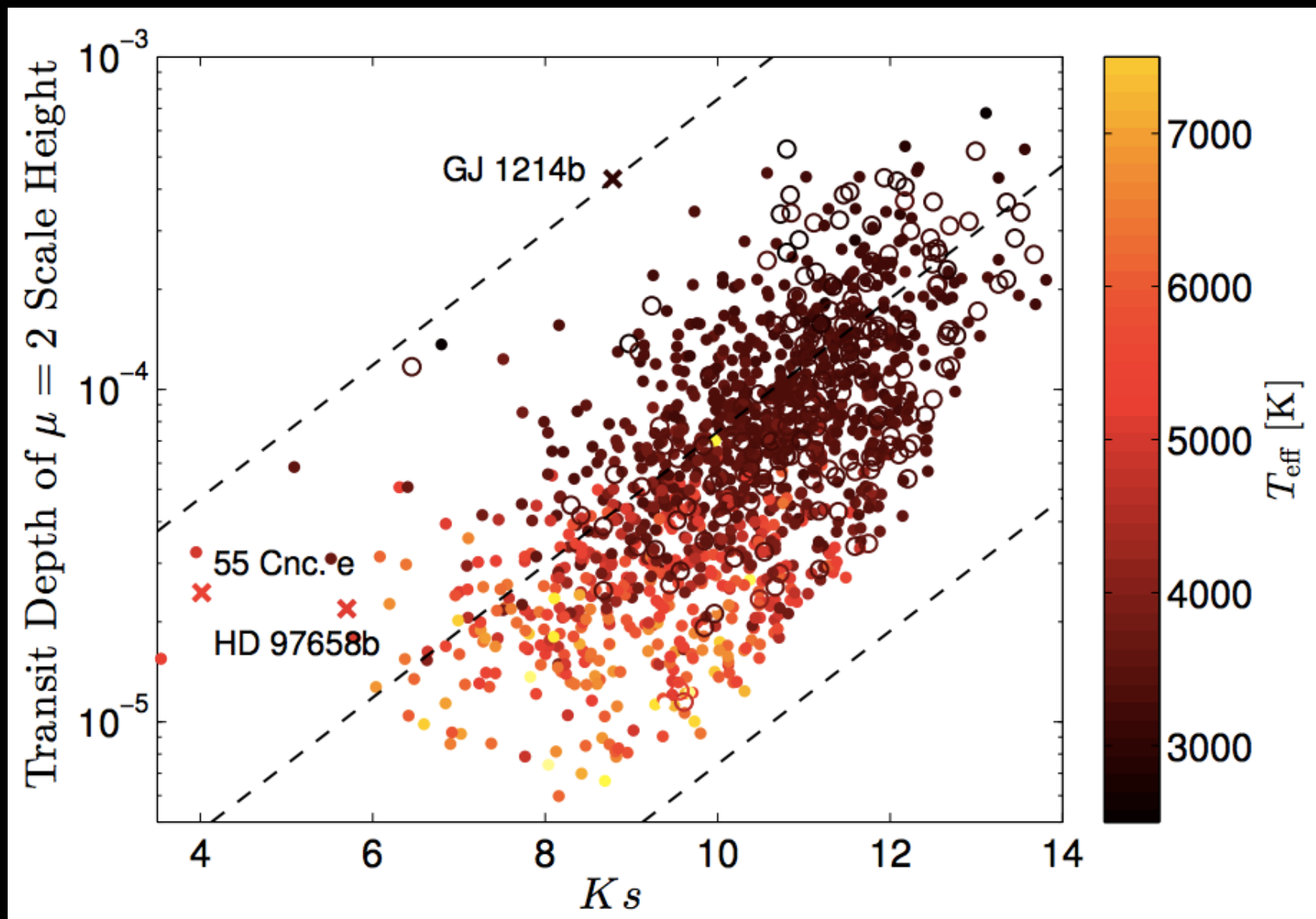
The future is **bright.**

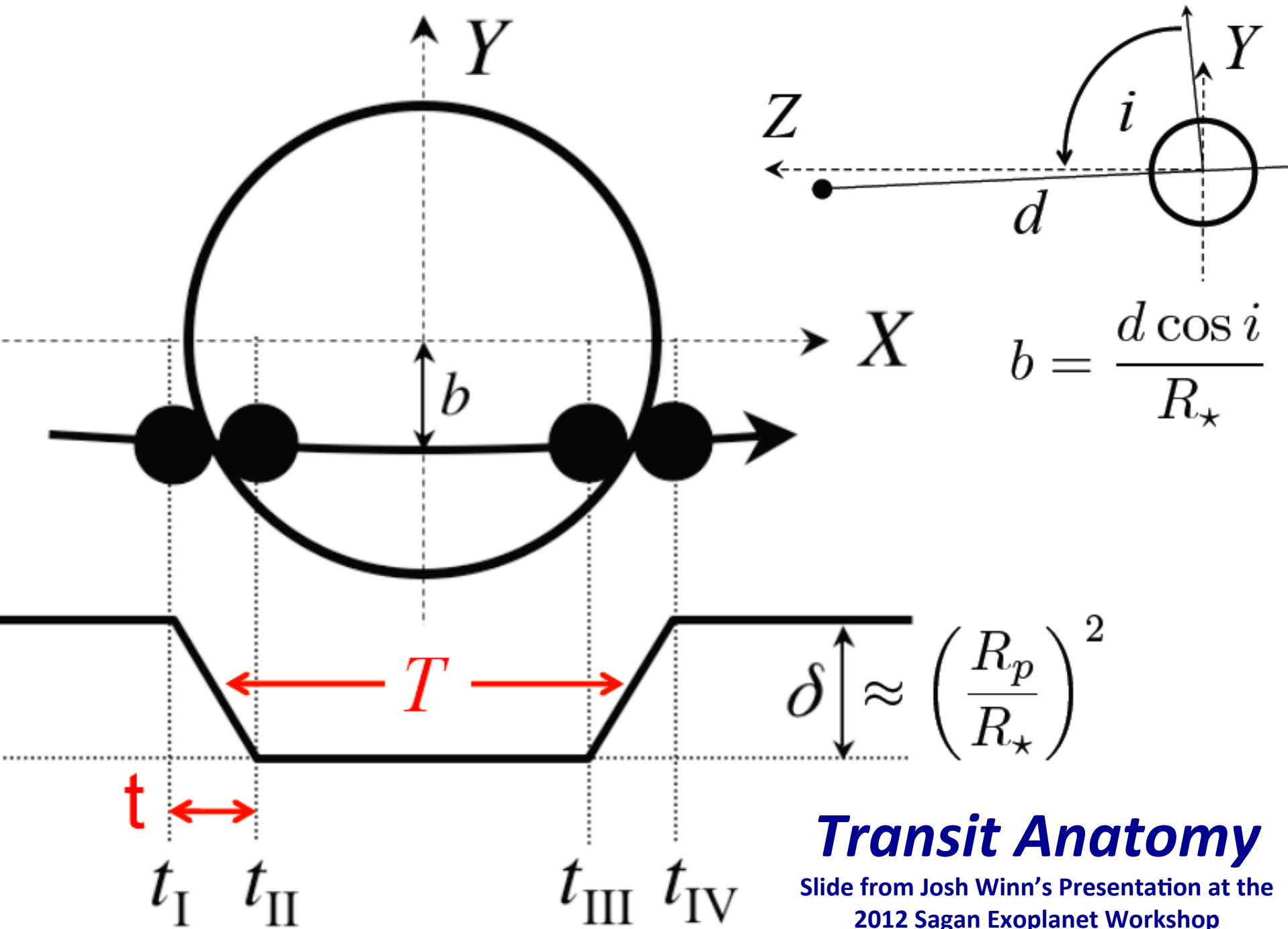
Additional Slides

TESS Detections will be Well-Suited for Mass Measurement



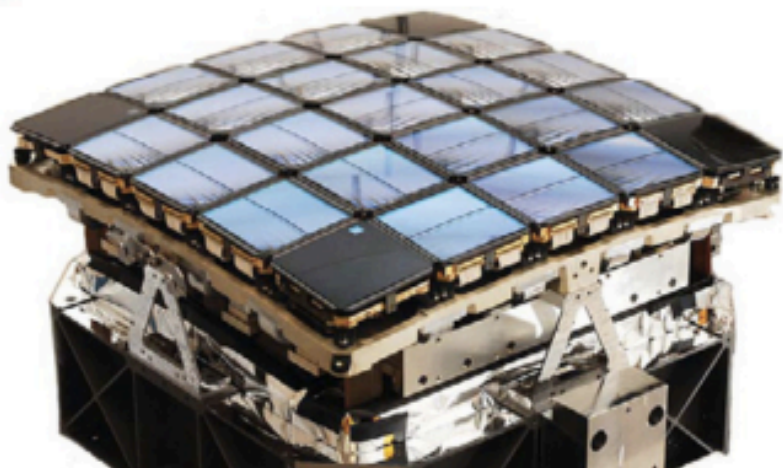
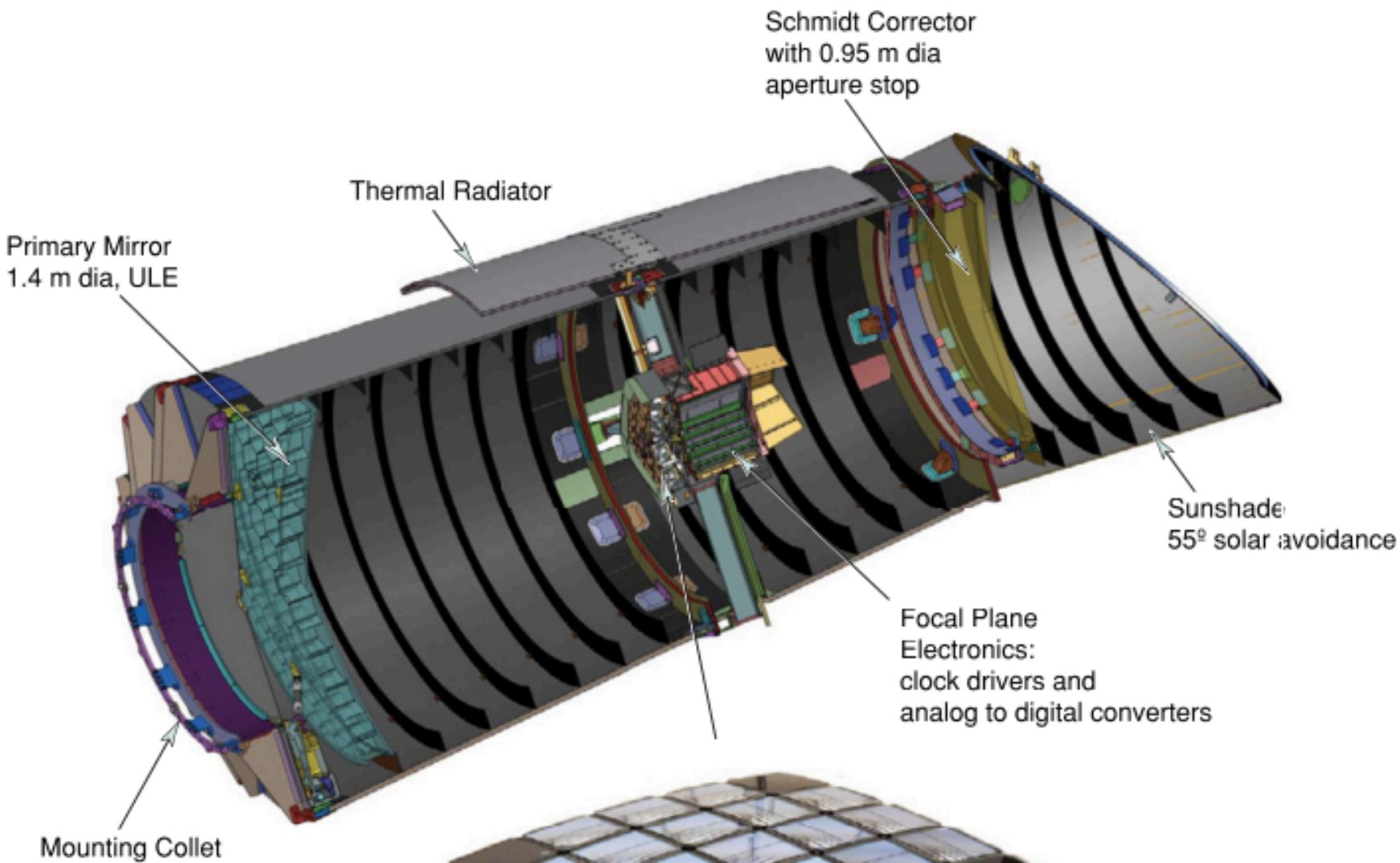
Potential for Studying the Atmospheres of TESS Planets





Transit Anatomy

Slide from Josh Winn's Presentation at the
2012 Sagan Exoplanet Workshop



Focal Plane:
42 CCDs,
>100 sq deg FOV
4 Fine Guidance Sensors

Table 1
Kepler Mission Characteristics

Component	Value	Comment
Optics	Brashear & Tinsley	Manufacturers
Schmidt corrector	0.99 m dia./0.95 m dia. stop	Corning Fused silica
Primary mirror	F1 1.40 m dia., silver coated	Corning ULE®
Central obscuration	23.03%	Due to focal plane and spider
FFLs	2.5 square	Sapphire
PRF	3.14–7.54 pixels, 95% encircled energy diameter	Depends on FOV location
Sunshade	55° sun avoidance	From center of FOV
CCDs	e2v Technologies	Manufacturer
Format	1024 rows × 2200 columns	Two outputs per CCD
Pixel size	27 μm square	Four phases
Plate scale	3.98 arcsec pixel ⁻¹	
Full well	1.05 × 10 ⁶ electrons, typical	Set by parallel clock voltage
Dynamic range	7 ≤ <i>Kp</i> ≤ 17	Meets photometric precision
Operating temperature	−85 °C	10 mK stability
Controller	Ball Aerospace	Design and manufacturer
Channels	84	Multiplexed into 20 ADCs on five electronic board pairs
CCD integration time	6.02 s	Selectable 2.5–8 s
CCD readout time	0.52 s	Fixed
LC period	1765.80 s	270 integrations + reads
SC period	58.86 s	9 integrations + reads
Maximum LC targets	170,000	Average 32 pixels/target
Maximum SC targets	512	Average 85 pixels/target
Timing accuracy	50 ms	For asteroseismology and transit timing
System	Ball Aerospace	Design, integration, and test
Spectral response	423–897 nm	5% points
FOV	105 deg ² <10% vignetted	115 deg ² of non-contiguous active silicon
Pointing jitter	3 mas per 15 minutes	1σ per axis
CDPP (total noise)	20 ppm in 6.5 hr for >90% of FOV	<i>V</i> = 12 solar-like star including 10 ppm for stellar variability
Data downlink period	31-day average	<1 day observing gap
Mission length	3.5 years	Baseline. May be extended
Orbital period	372 days by year 3.5	Earth-trailing heliocentric

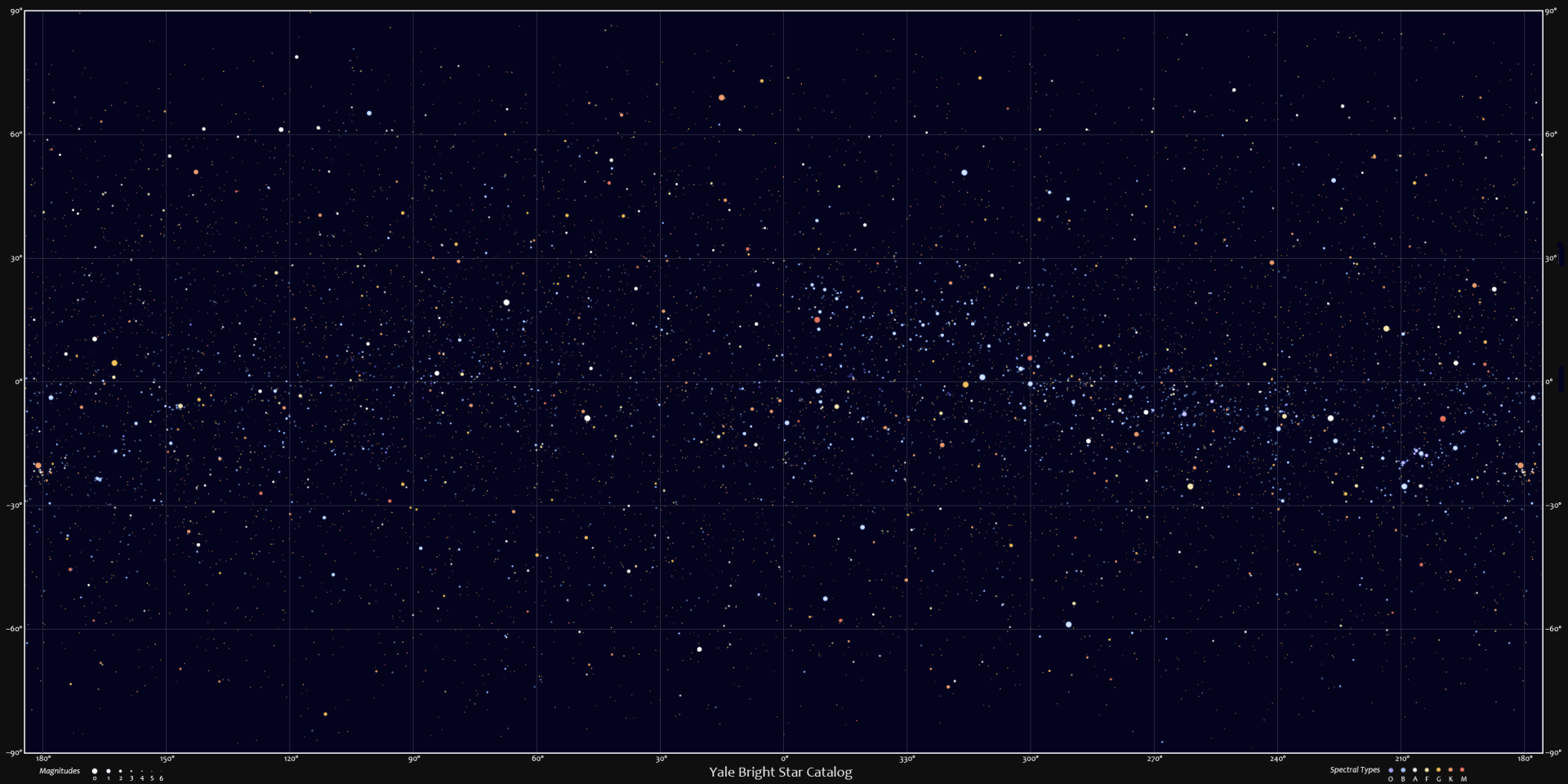
Table 1
Detector Properties Summary

Parameter	Where Measured	Minimum	Maximum	Median
Read noise ($e^- \text{ read}^{-1}$)	Flight	81	307 (140) ^a	95
Gain ($e^- \text{ DN}^{-1}$)	Ground	94	120	112
Saturation (Kepler Mag) ^b	Flight	11.6	10.3	11.3
PRNU ^c (%)	Ground	0.82	1.20	0.96
LDE undershoot (%)	Flight	0.08	1.92	0.34

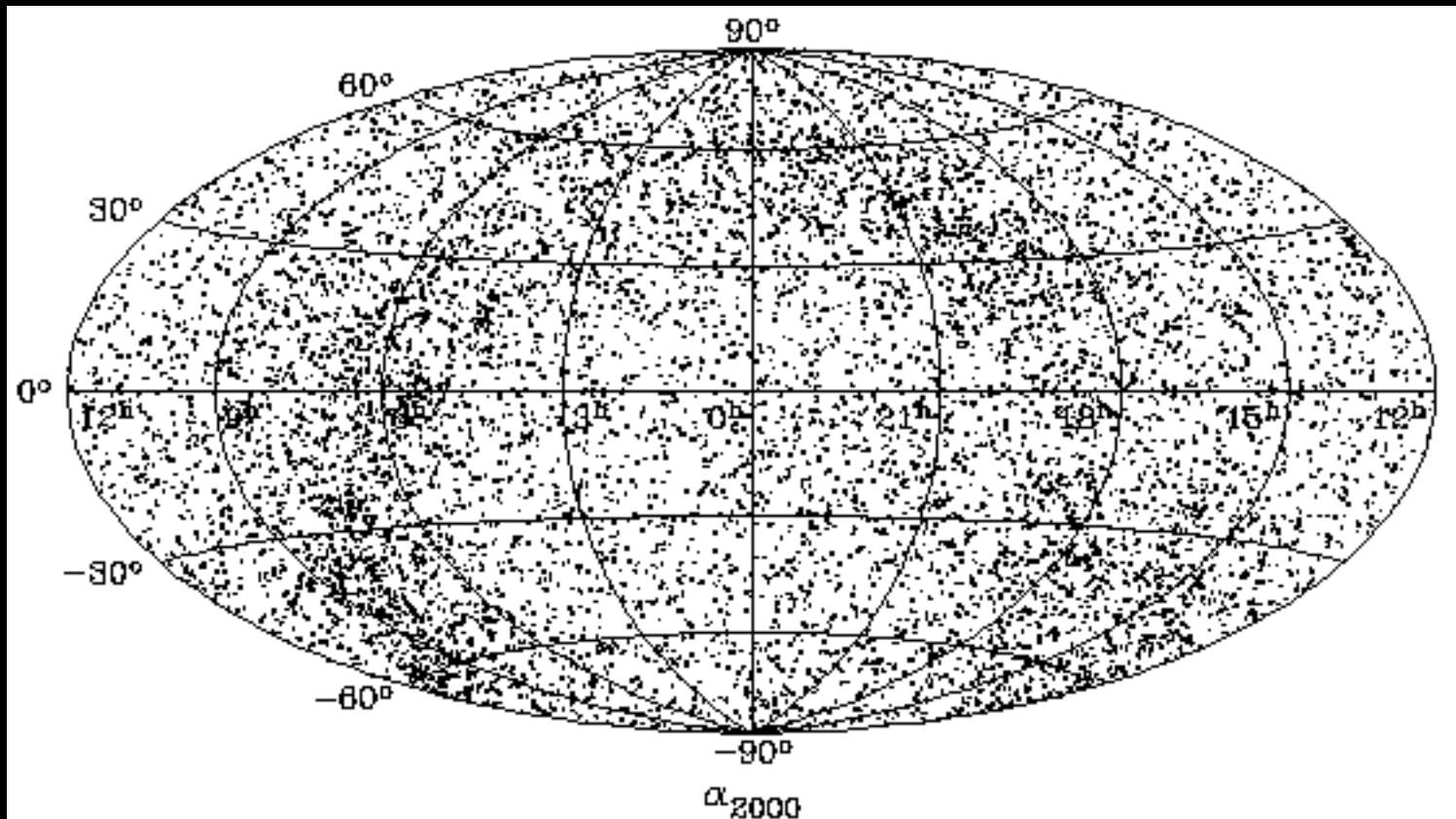
1 THE ASTROPHYSICAL JOURNAL LETTERS, 713:L92–L96, 2010 April 20

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Yale Bright Star Catalog

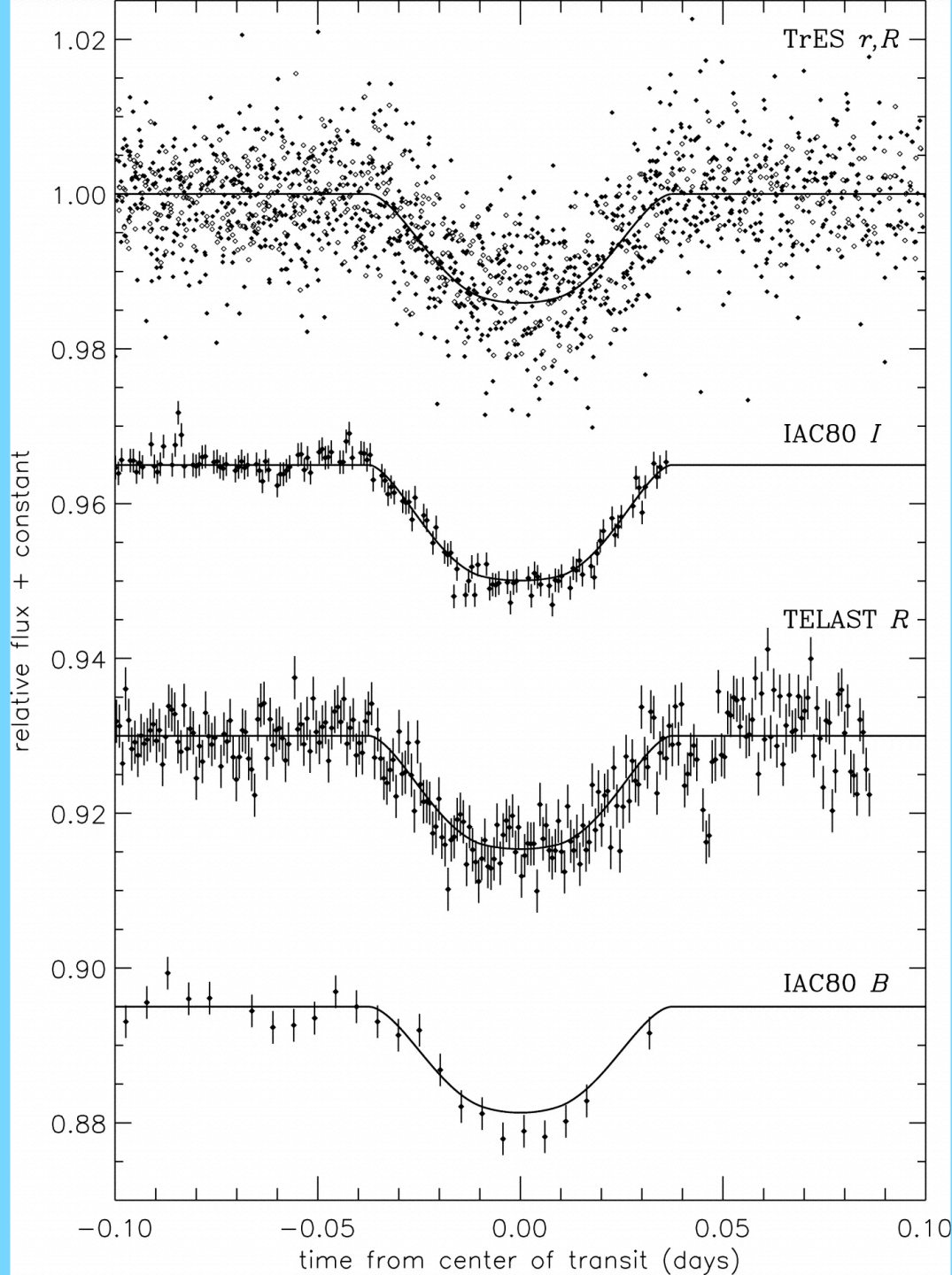


Yale Bright Star Catalog

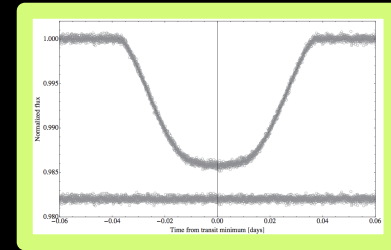


Light Curves from Discovery Paper

O'Donovan et al. 2006, ApJ, 651, 61



TrES-2b



the Star

$V = 11.4$

Mass = $0.98 R_{\odot}$

Radius = $1.0 M_{\odot}$

$T_{\text{eff}} = 5850 \text{ K}$

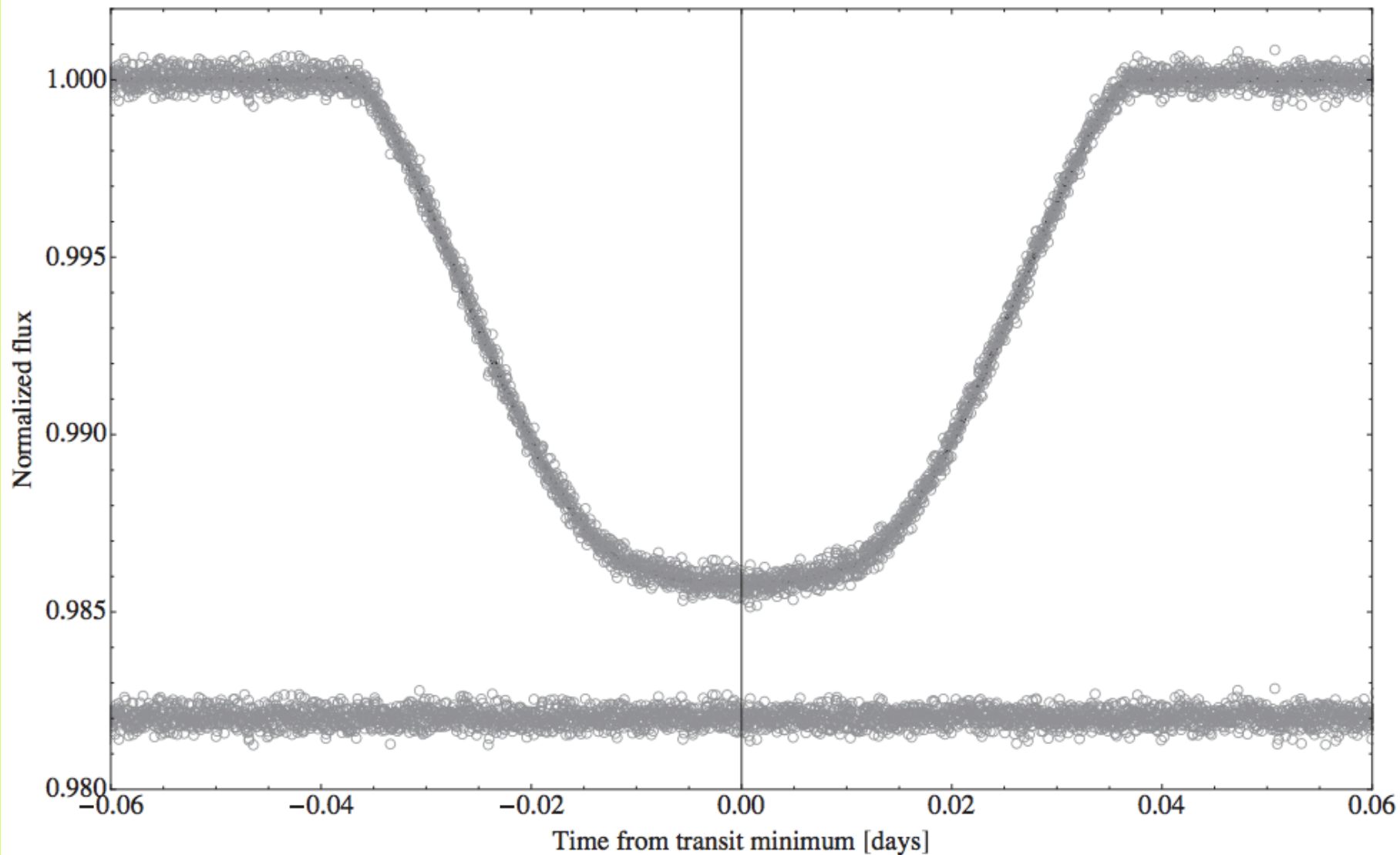
the Planet

Radius = $1.2 R_{\text{J}}$

Mass = $1.2 M_{\text{J}}$

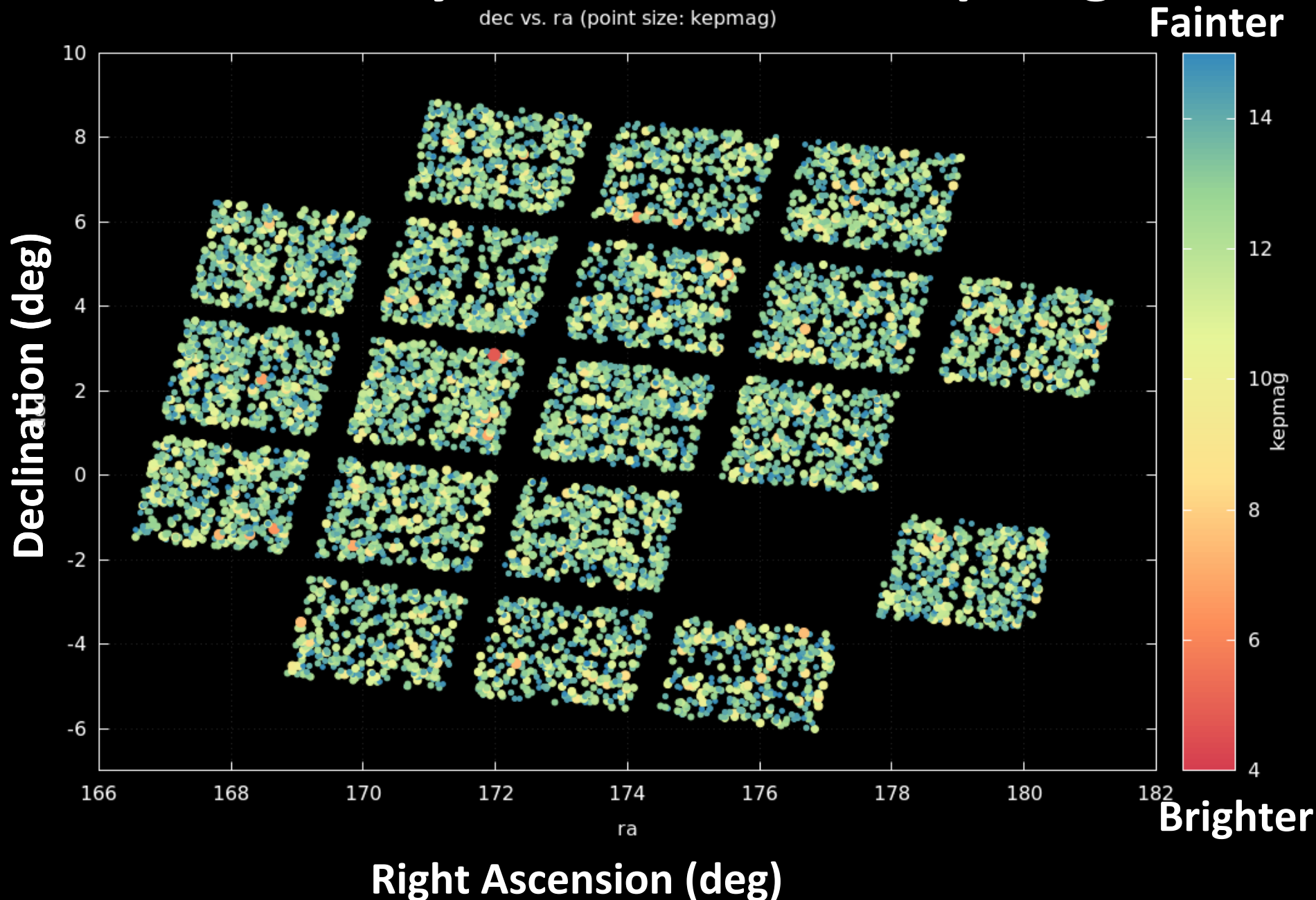
$P = 2.5 \text{ days}$

Kepler Light Curve (Short Cadence Data)

Kepler Light Curve (Short Cadence Data)

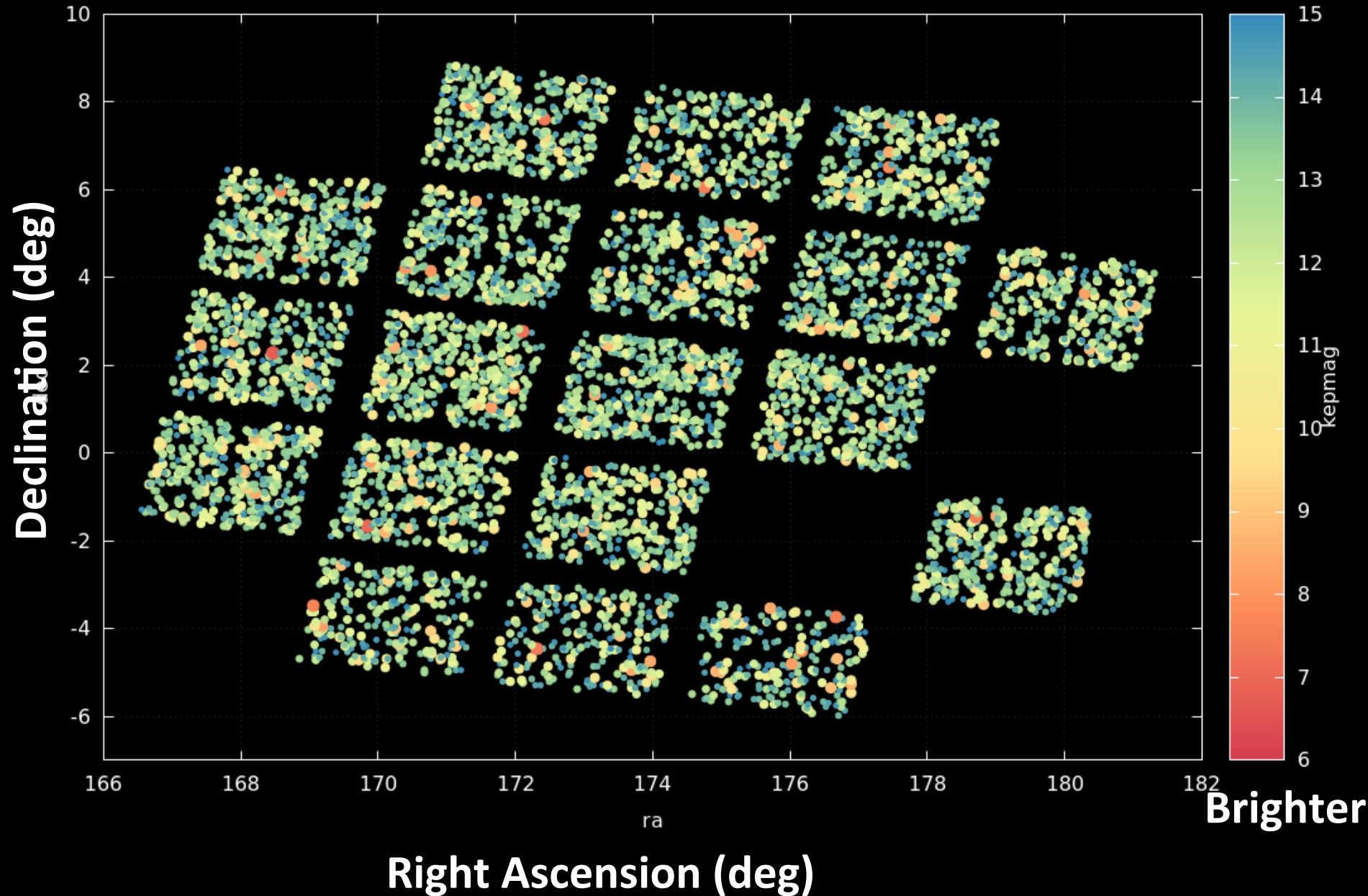
A Patch of Sky: All Stars with Kepmag < 15

dec vs. ra (point size: kepmag)

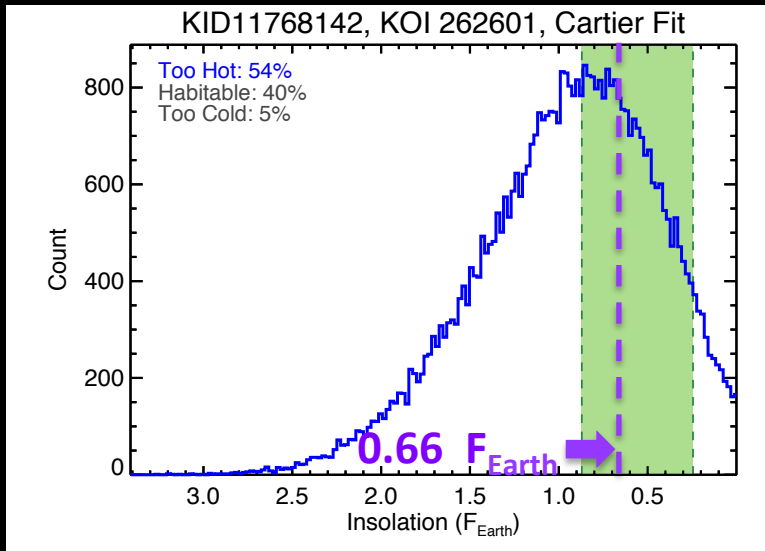


A Patch of Sky: Dwarf Stars with $K_{\text{ep}} \text{mag} < 15$

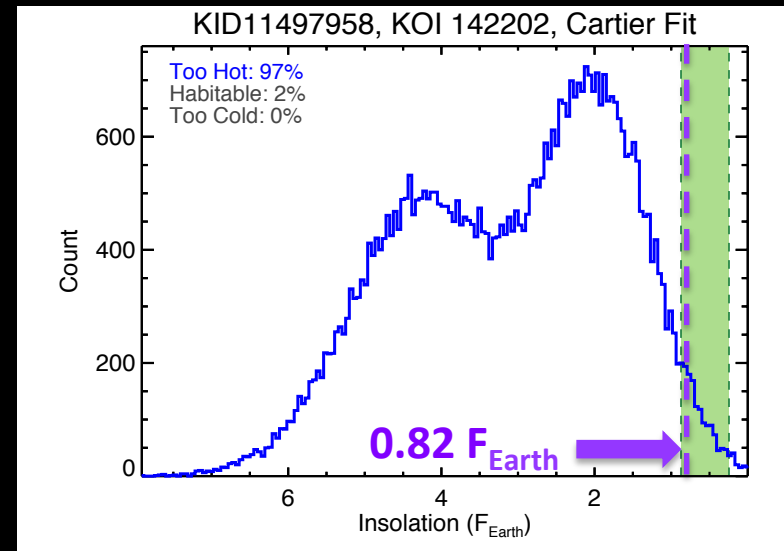
dec vs. ra (point size: $k_{\text{ep}} \text{mag}$)



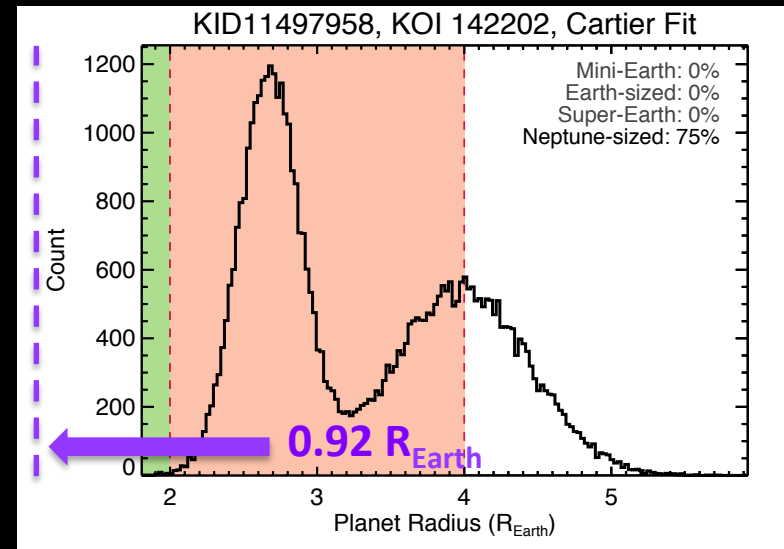
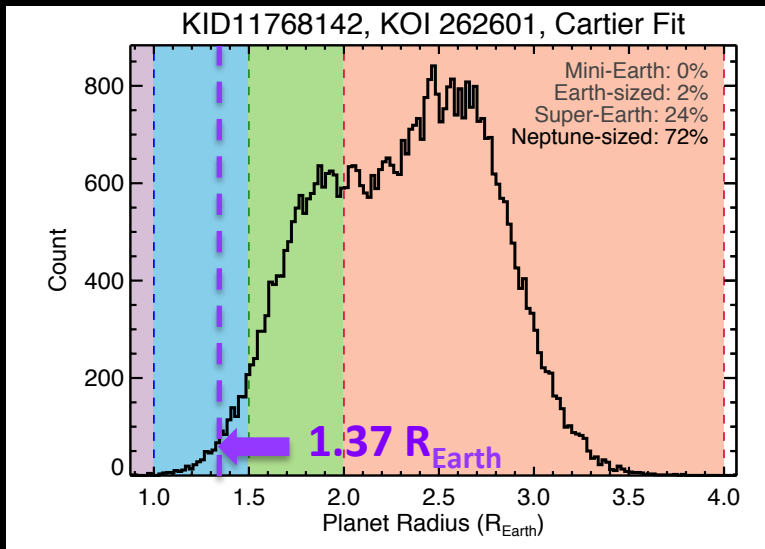
Revised Properties After Imaging



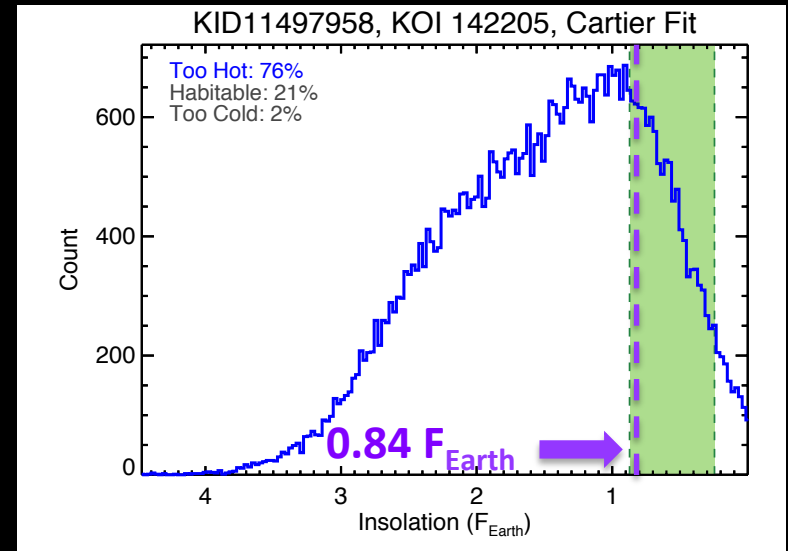
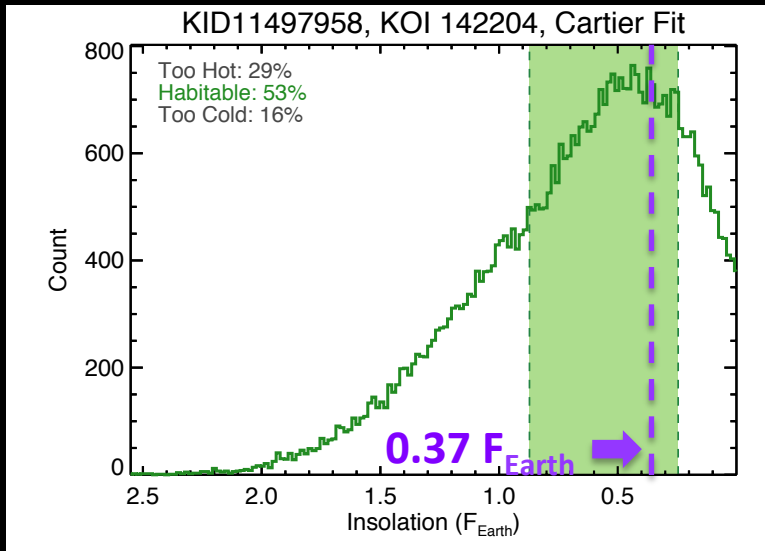
KOI 2626.01



KOI 1422.02

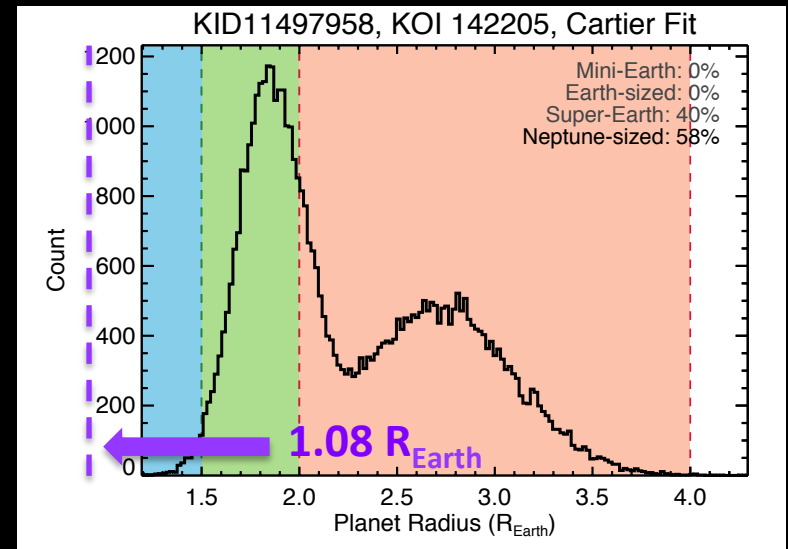
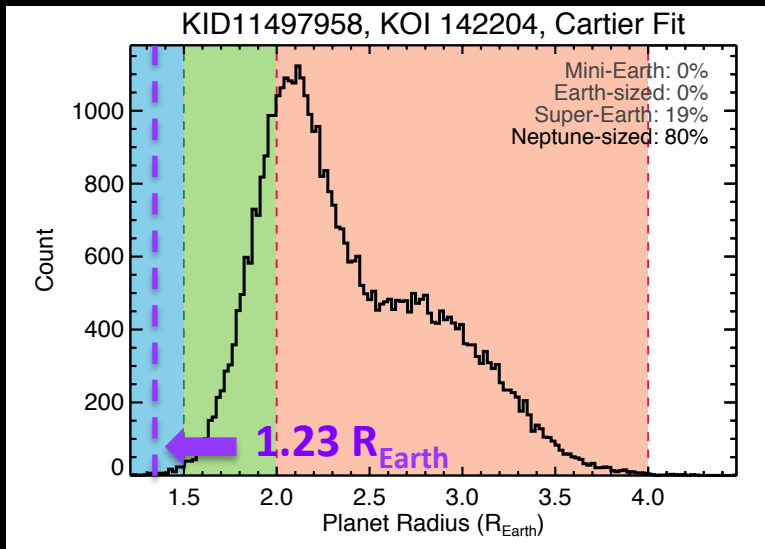


Revised Properties After Imaging



KOI 1422.04

KOI 1422.05



Most TESS Planets will have Short Periods

