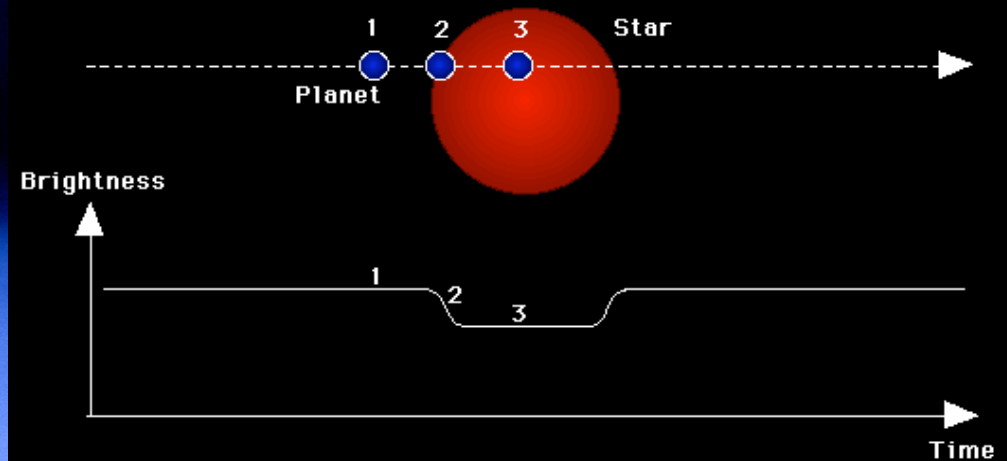
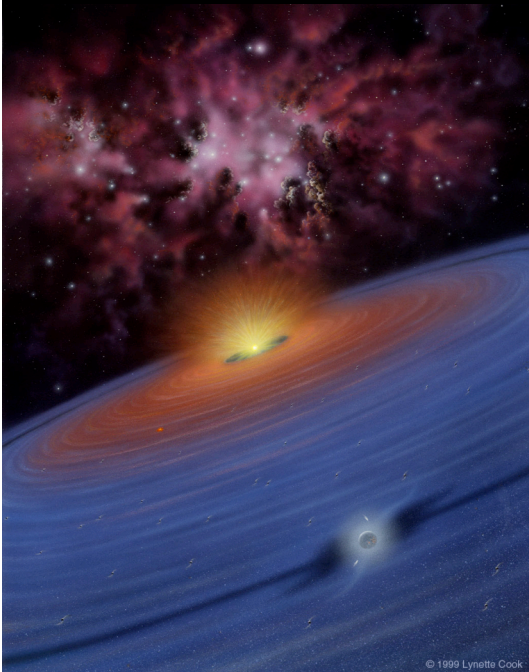


# Planetary Formation & Migration

Jack Lissauer - NASA Ames



- **Observations: Meteorites to Extrasolar Planets**

- Our Solar System

- Dynamics
    - Meteorites
    - Geology
    - Planetary composition & structure

- Other Stars

- Circumstellar disks
    - Extrasolar planets

- **Models: Solar Nebula & Planetesimals**

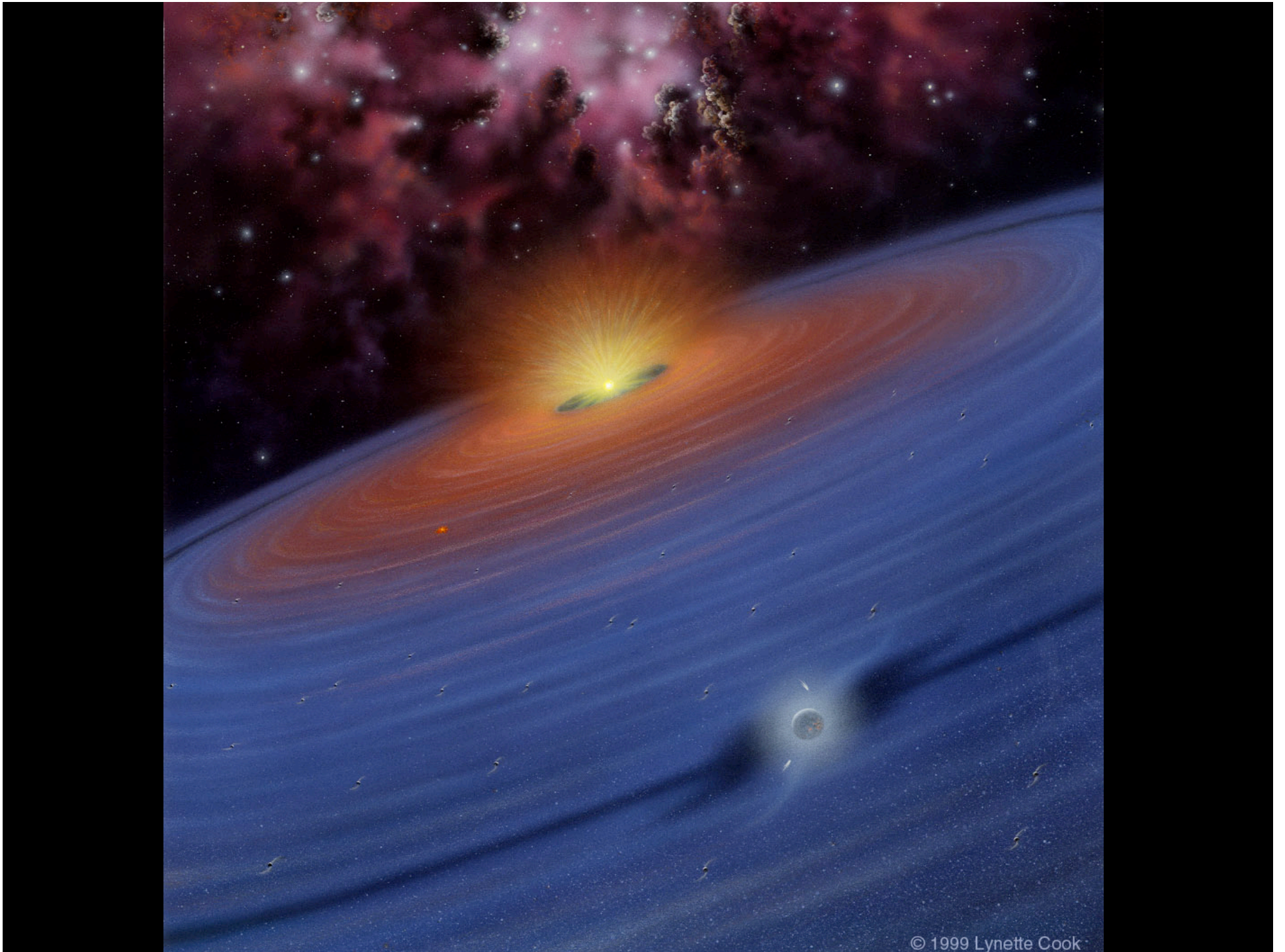
- Protoplanetary Disks

- Solid body growth

- Accumulation of giant planet gaseous envelopes

- **Planetary Migration**

- **Conclusions**



# Our Solar System

- **Dynamics**

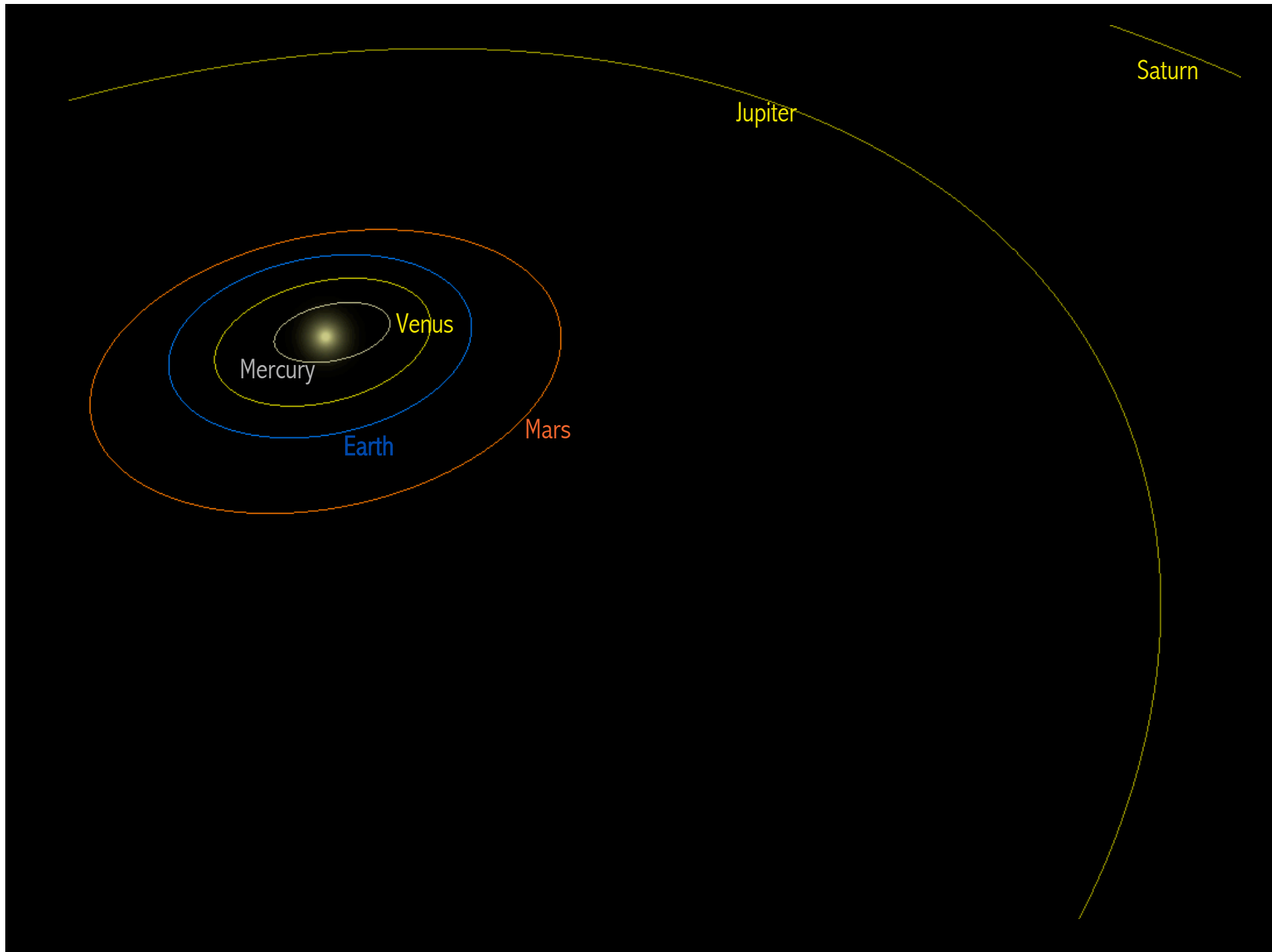
- Planetary orbits nearly circular & coplanar
- Spacing increases with distance from Sun
- All giant planets have satellite systems
- Moons far from planets; rings close to planets

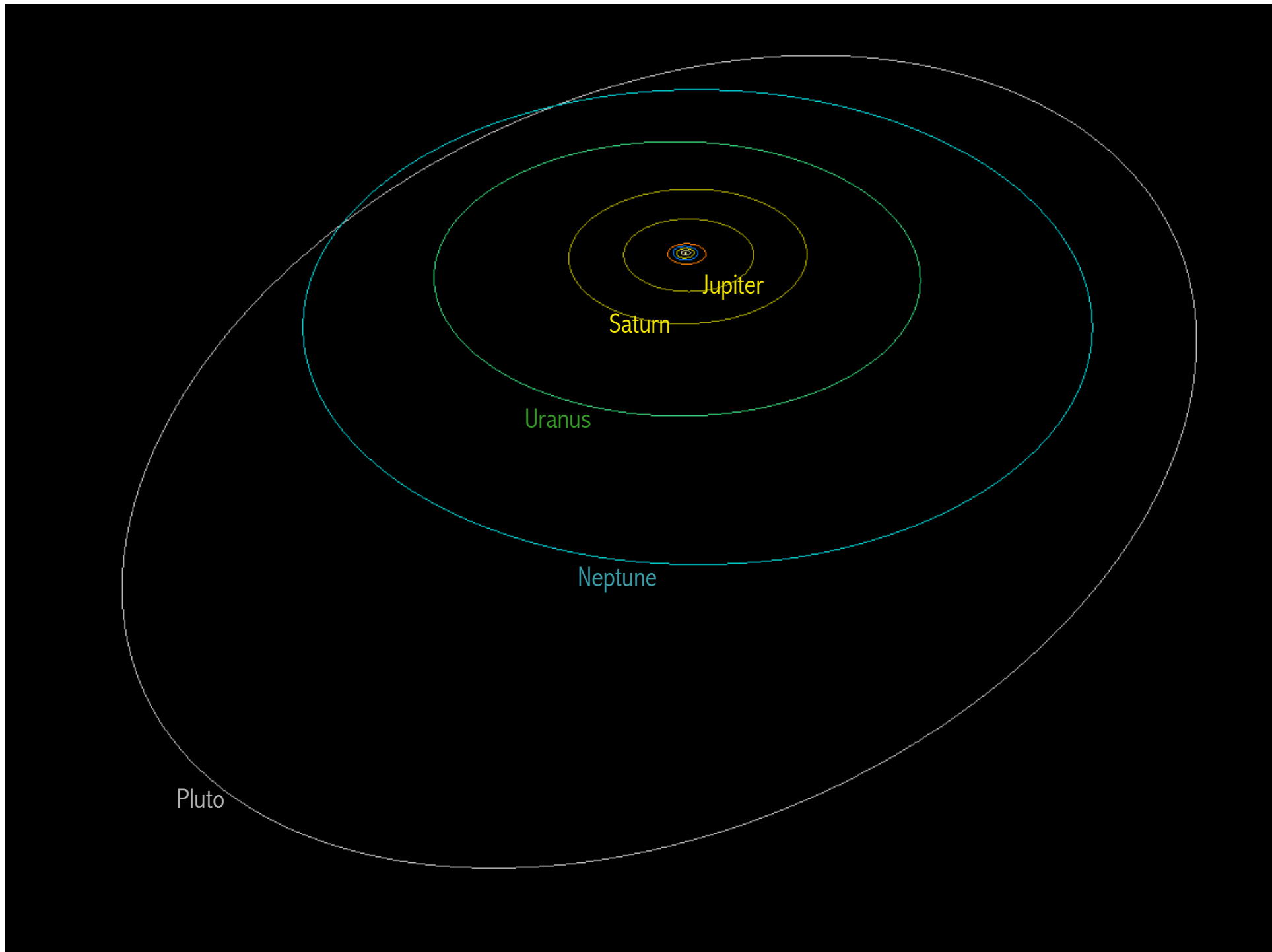
- **Compositions**

- Largest bodies most gas-rich
- Rocky bodies near Sun, icy bodies farther out
- Elemental/isotopic abundances similar (except volatiles)
- Meteorites - active heterogeneous environment

- **Planetary Geology: Cratering Record**

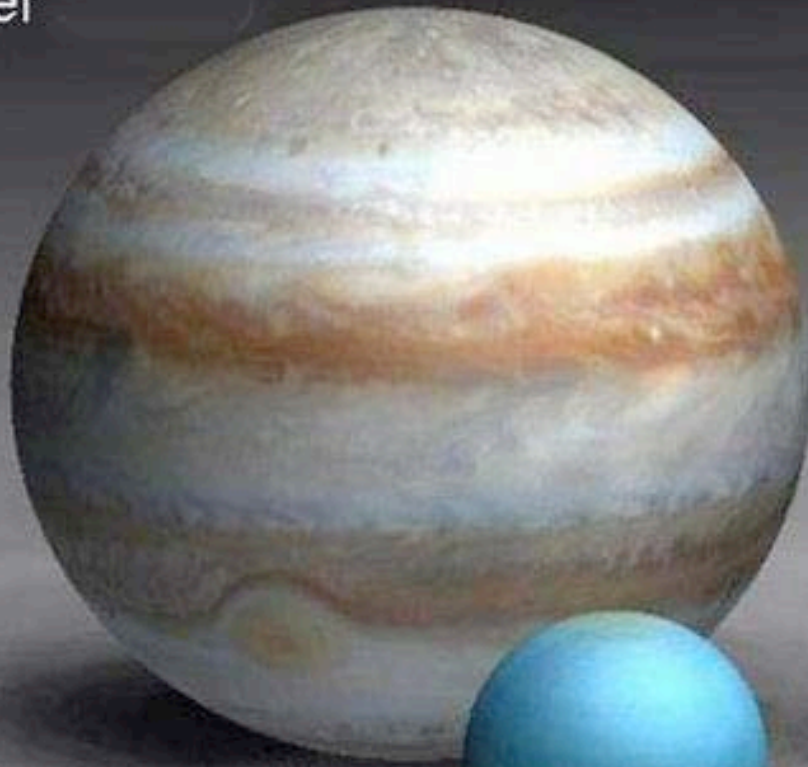
- Far more small bodies in 1<sup>st</sup> 800 Myr than today





# Our Solar System

Jupiter



Saturn



Uranus

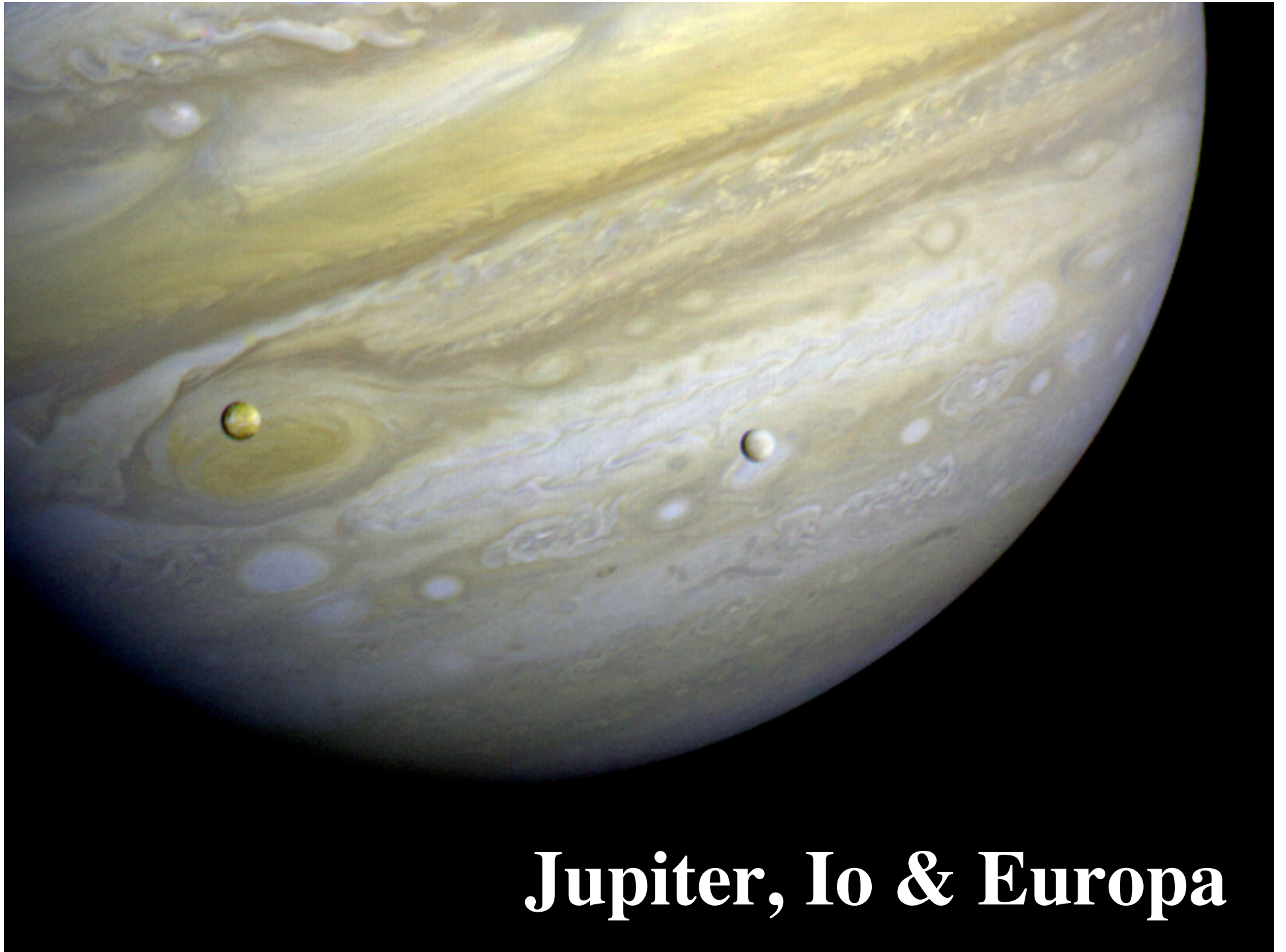


Neptune



Earth

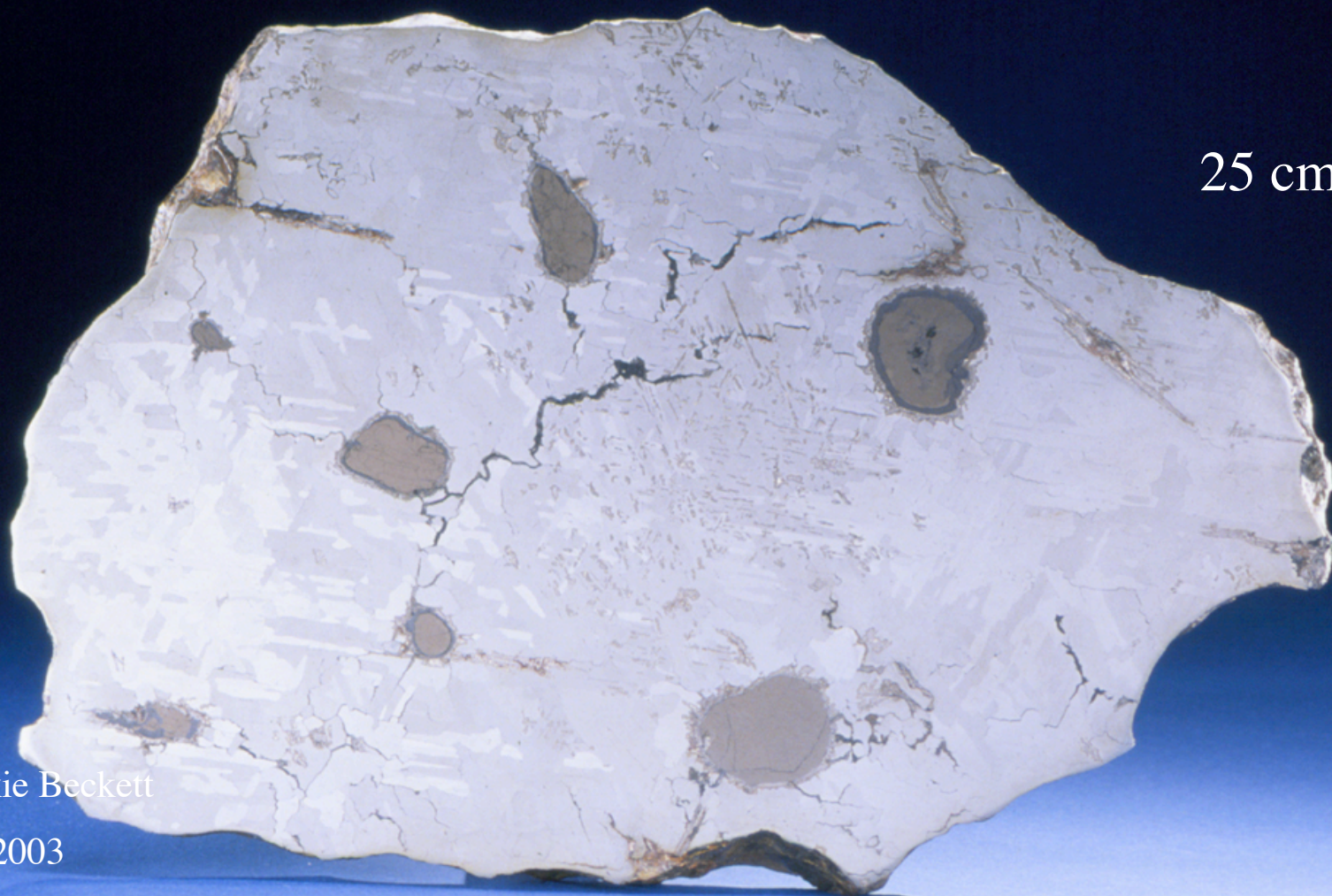




**Jupiter, Io & Europa**



Canyon Diablo (Meteor Crater, AZ) **Iron IA** Find



25 cm long

Photo: Jackie Beckett

© AMNH 2003

# Meteor Crater

Arizona, USA Smithsonian 1938



Ibitira (Brazil)

**Eucrite (4 Vesta, vesicular basalt from crust)**

Fall 1957 Jun 30



Photo: Jackie Beckett

© AMNH 2003

Modoc (KS) L6 Ordinary Chondrite Fall 1905 Sep 2



Photo: Jackie Beckett

© AMNH 2003

Peekskill (NY) H6 Ordinary Chondrite Fall 1992 Oct 9



Photo: Jackie Beckett

© AMNH 2003

12 cm

Peekskill (NY)

Falling on 1992 Oct 9



Orbit

$$a = 1.49 \text{ AU}$$

$$e = 0.41$$

$$i = 4.9^\circ$$

Photo: S. Eichmiller,  
Altoona PA

# Peekskill (NY) Meteorite + car it impacted

& Ray Meyer, meteorite dealer



Murchison (Australia) CM2 Carbonaceous Chondrite Fall 1969 Sep 28



Photo: Jackie Beckett

© AMNH 2003



Allende (Mexico) **CV3 Carbonaceous Chondrite** Fall 1969 Feb 8



Photo: Jackie Beckett

© AMNH 2003

15 cm

# Allende CV3 Carbonaceous Chondrite Meteorite

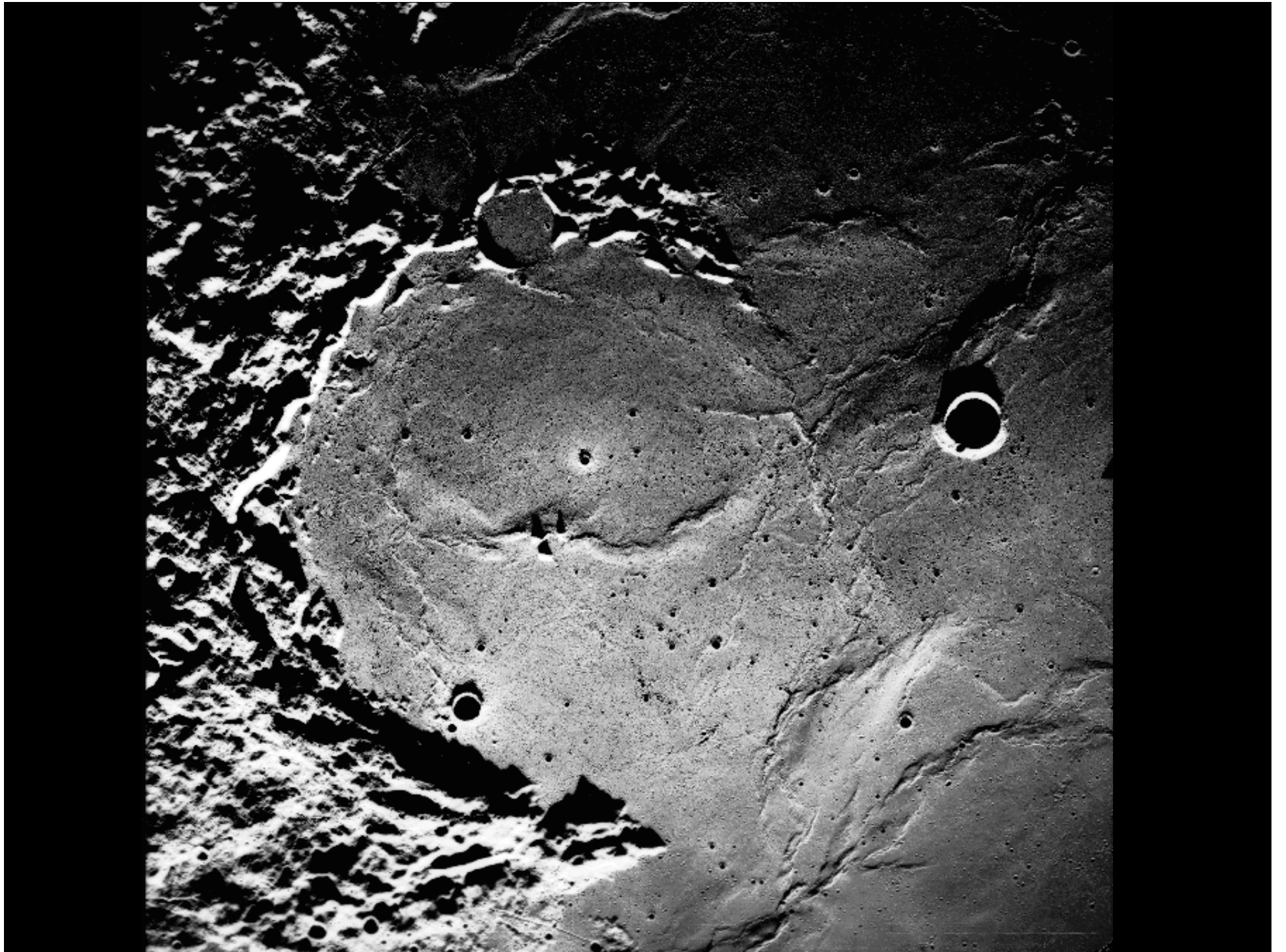
Close-up view.  
This piece is  
39 mm long.  
Note CAIs &  
chondrules.



# Constraints from Meteorites

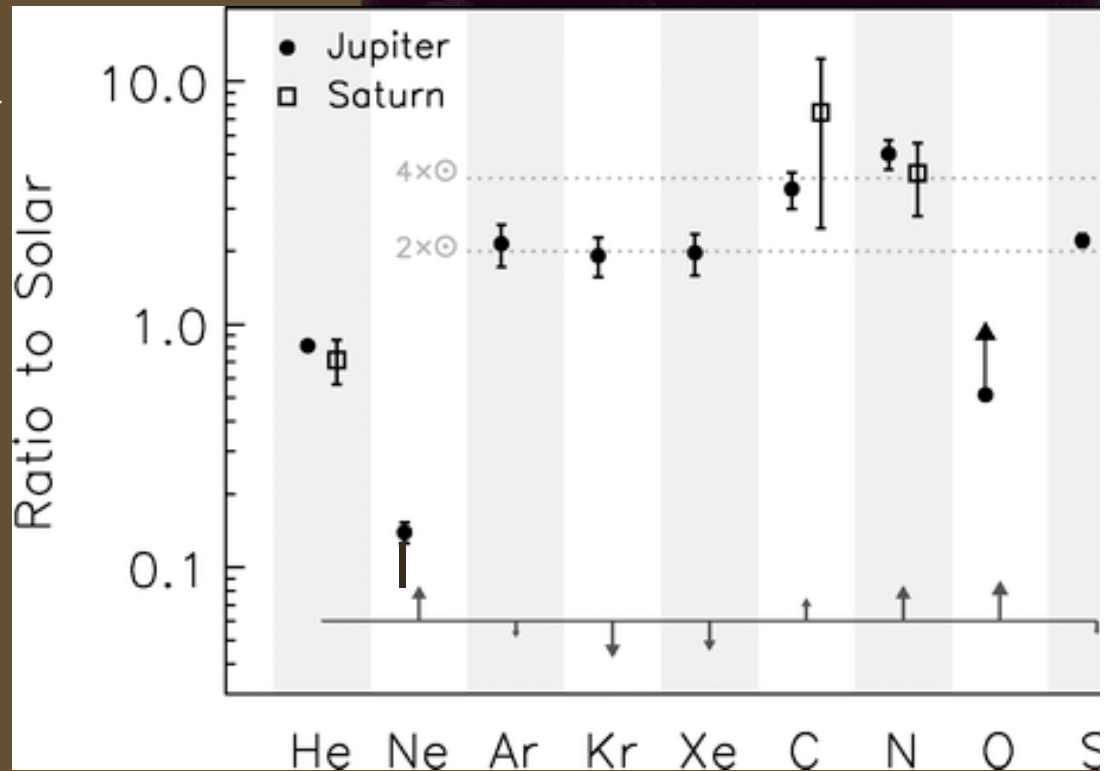
- Solar System formed  $4,567 \pm 1$  Myr ago
- Accretion occurred rapidly
  - Ages of primitive meteorites span  $< 5$  Myr
  - Some differentiated meteorites  $< 1$  Myr younger than oldest primitive meteorites
- Material well-mixed, but not perfectly
- Some pre-solar grains & molecules survived
- Active processing - chondrules & CAI's





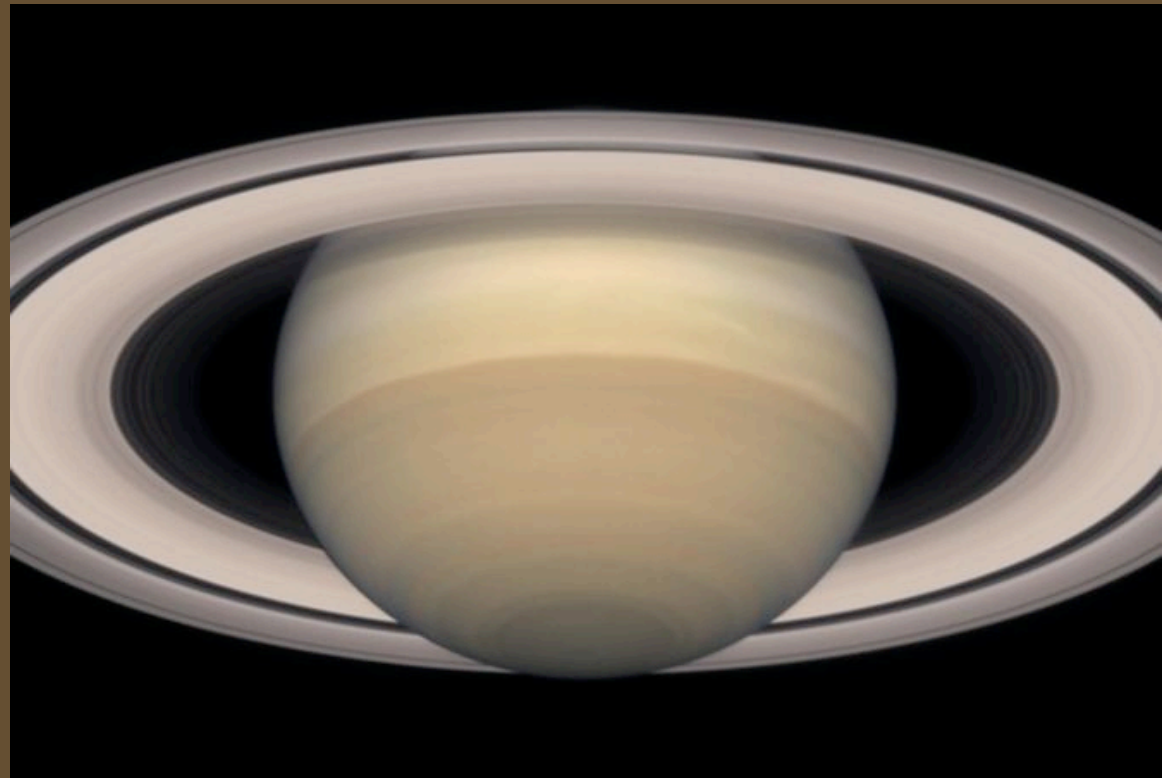
# Jupiter

- Metallic hydrogen interior to 0.85 Jupiter radii.
- Factor of 3 enrichment of heavy elements in atmosphere
- Factor of 3 - 10 bulk enrichment in high-Z elements
- Presence of core not certain, but up to  $\sim 10 M_{\text{Earth}}$



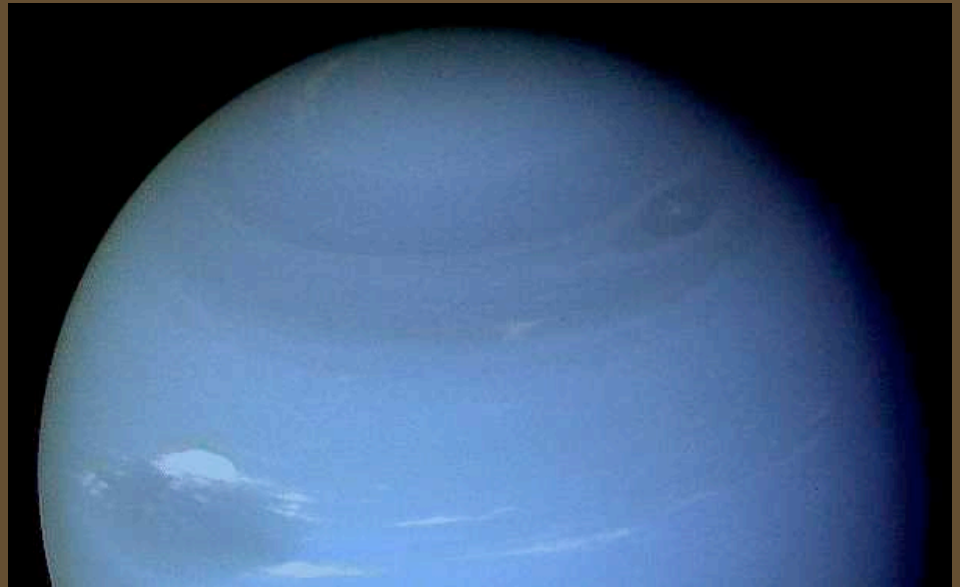
# *Saturn*

- Very similar to Jupiter except less metallic H
- Heavy element enrichment
- Presence of core almost certain;  
 $\sim 10 M_{\text{Earth}}$



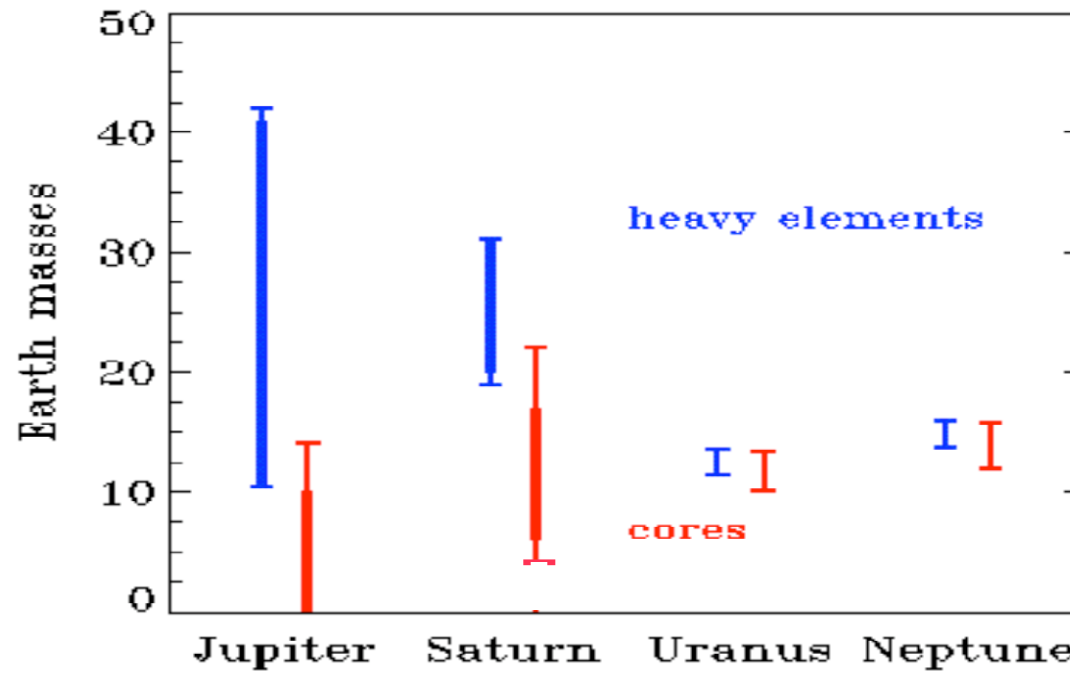
# *Uranus & Neptune*

- 10 - 15  $M_{\text{Earth}}$  of ice and rock; a few  $M_{\text{Earth}}$  of gas.



*Neptune*



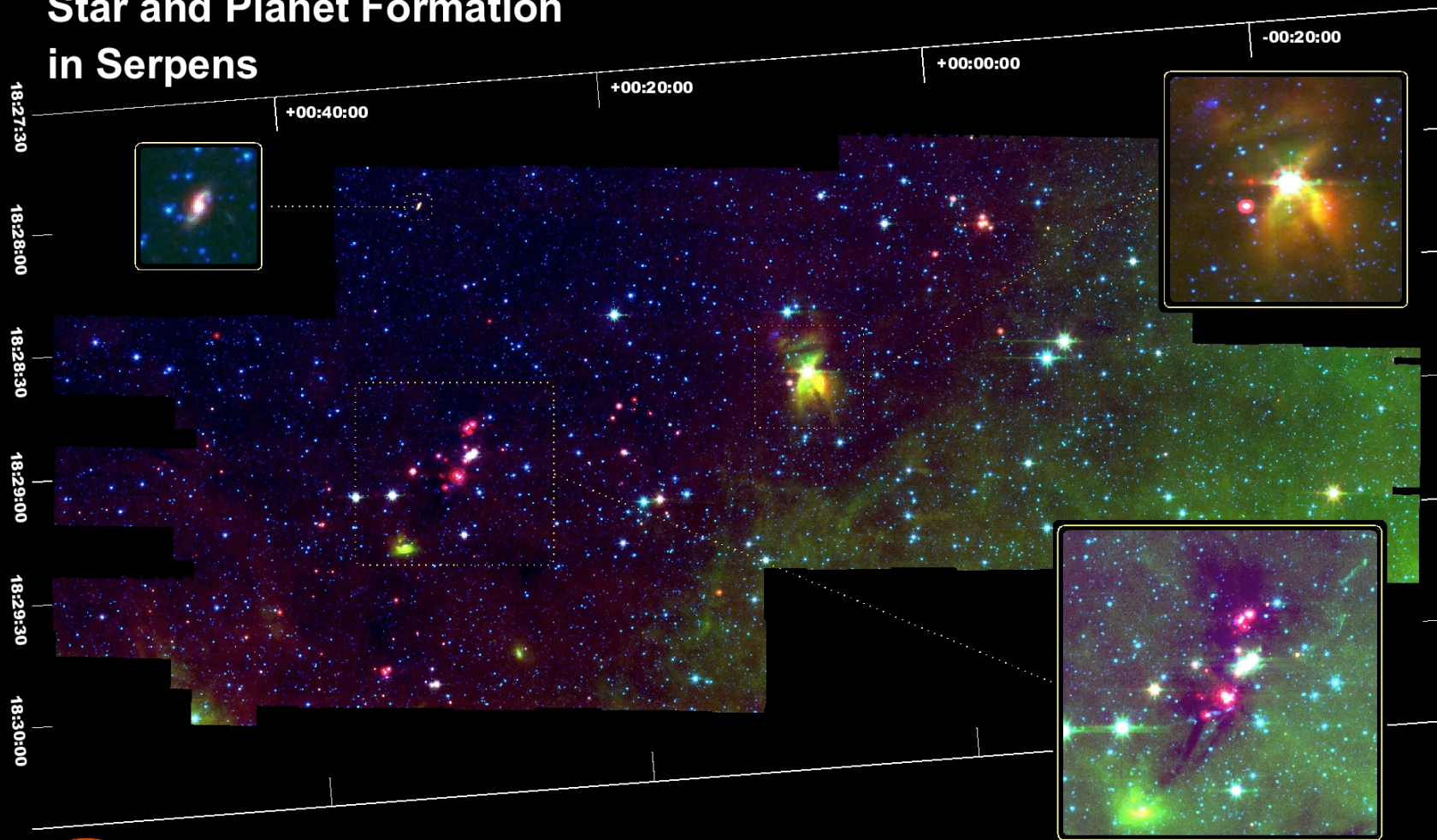


(Modified from Guillot)

- **Core & total heavy element** abundances in the four major planets and estimated uncertainties
- A major source of uncertainty is in the equations of state.

# Infrared Observations of Star Forming Region

## Star and Planet Formation in Serpens



“Cores to Disks”  
Legacy Team

Spitzer Space Telescope • IRAC • MIPS

24.0, 8.0, 4.5  $\mu\text{m}$  composite image

# Circumstellar Disks

- **Young Stars**

- Evidence: IR excesses, rotation curves, proplyd images
- Radii tens to hundreds of AU (even larger for massive stars)
- Typical mass  $\sim 0.01 - 0.1 M_{\text{Sun}}$
- Lifetime (dust)  $< 10$  Myr
- Some show evidence for gaps, inner holes

- **Main Sequence Stars**

- Second generation debris disks - unseen parent bodies
- Low mass, gas poor
- More prominent around younger stars
- Some show evidence for gaps, inner holes

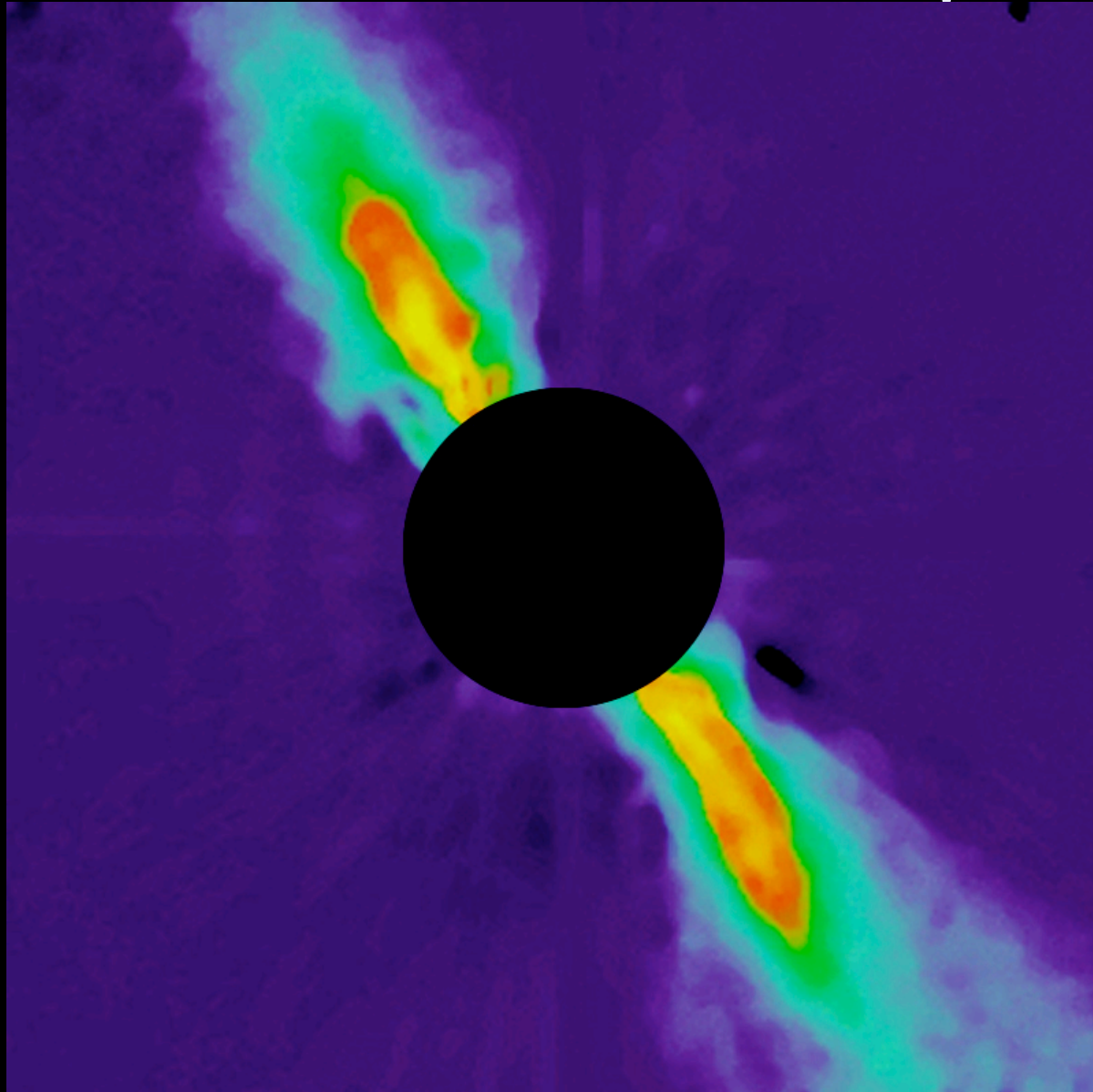
# Proplyds in Orion



# Young Proto-planetary Disk viewed edge-on

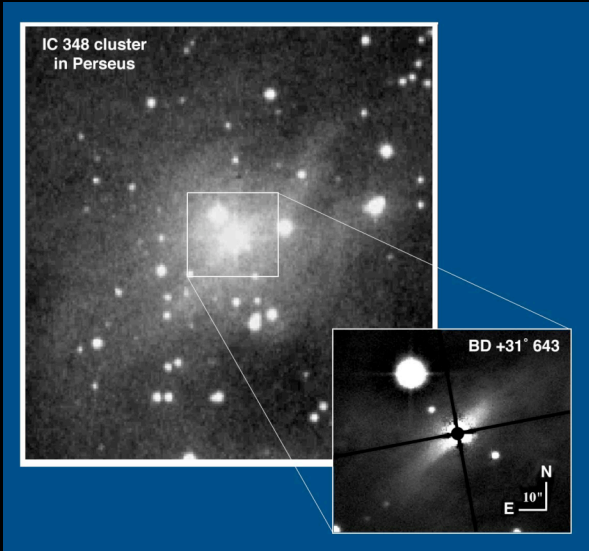


# $\beta$ Pictoris Circumstellar Dust Disk at $1.2 \mu\text{m}$



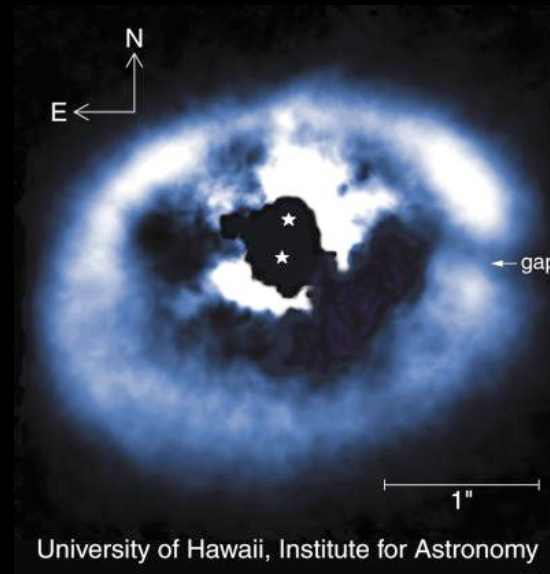
Mouillet et al. 1997,  
*MNRAS* **292**, 896.

# Dust Disks Around Young Binaries



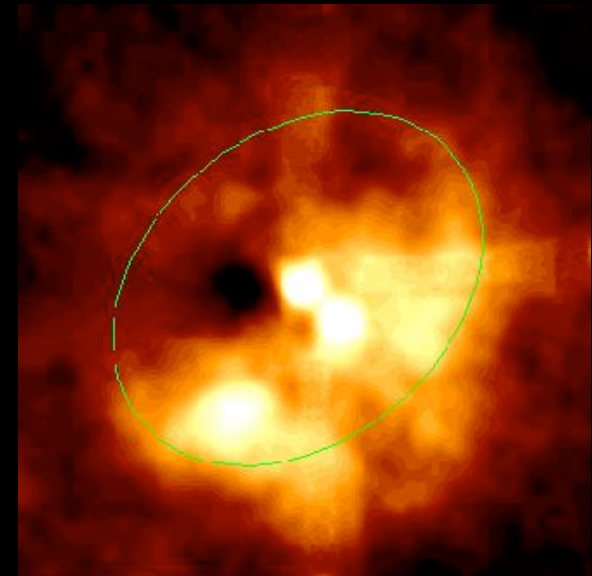
← BD +31 643

$a_B$  (stellar semimajor axis)  $\sim 40$  AU



UY Aur

↓  $a_B \sim 130$  AU



GG Tauri →

$a_B \sim 35$  AU

$180 \text{ AU} < r_{\text{disk}} < 260 \text{ AU}$

**$a_B < 1 \text{ AU}$**

GW Ori (disk mass  $m_D \sim 0.3 M_{\text{sun}}$ )

DQ  $\tau$  ( $m_D = 0.02 M_{\text{sun}}$ )

UZ  $\tau$  E ( $m_D = 0.06 M_{\text{sun}}$ )

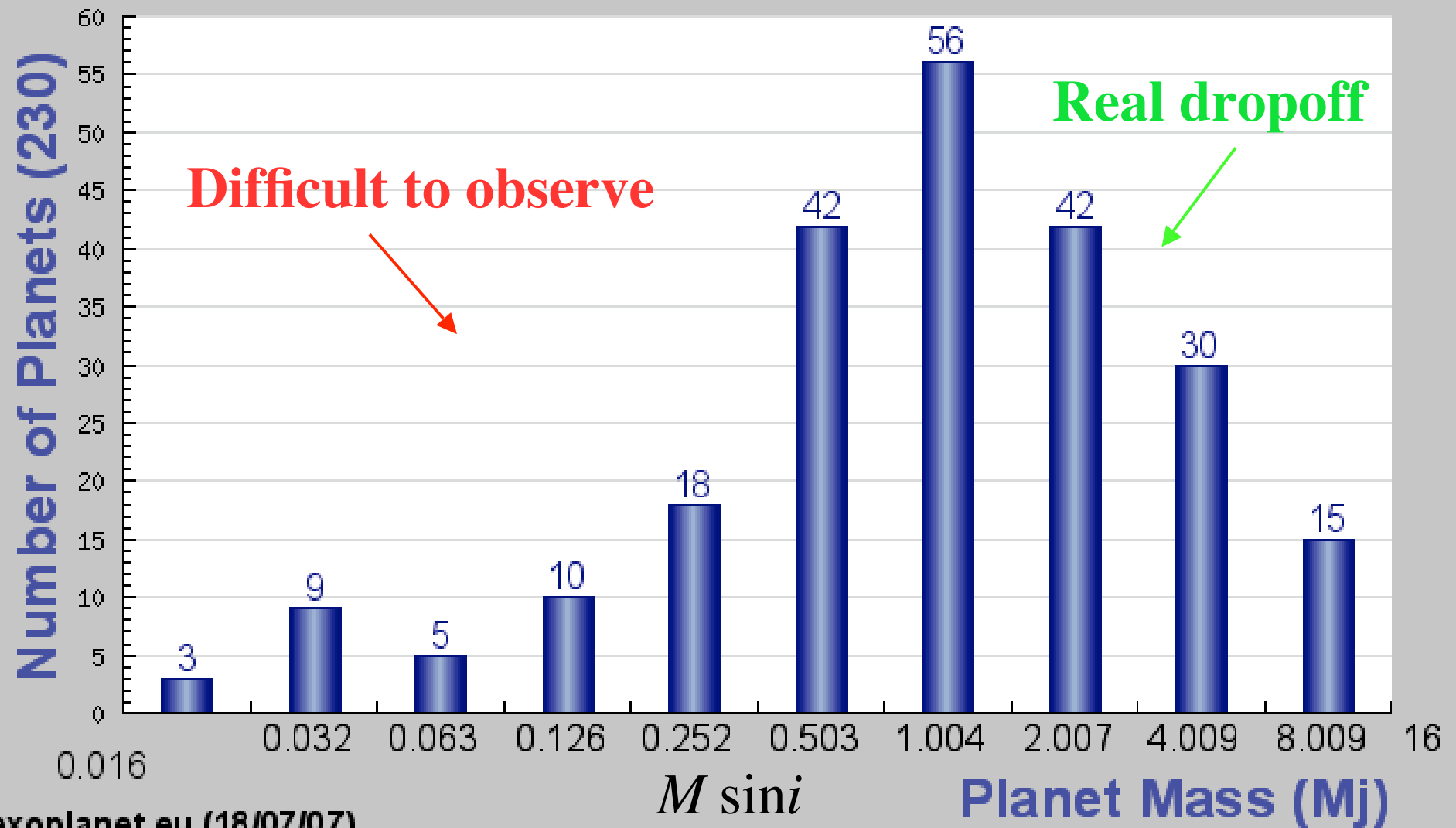
# Extrasolar Planets: Key Findings

- **~ 1% of sunlike stars have planets more massive than Saturn within 0.1 AU**
  - Most if not all are gas giants
  - Models suggest these planets migrated inwards
- **~ 7% of sunlike stars have planets more massive than Jupiter within 2 AU**
  - Some of these planets have very eccentric orbits
- **At least a few % of sunlike stars have Jupiter-like ( $0.5 - 2 M_J$ ,  $4 \text{ AU} < a < 10 \text{ AU}$ ) companions, but  $> 20\%$  do not**
- **Planets significantly more massive than Jupiter are uncommon**
- **More (giant) planets around stars with more metals**
  - Giant planets more common near more massive stars

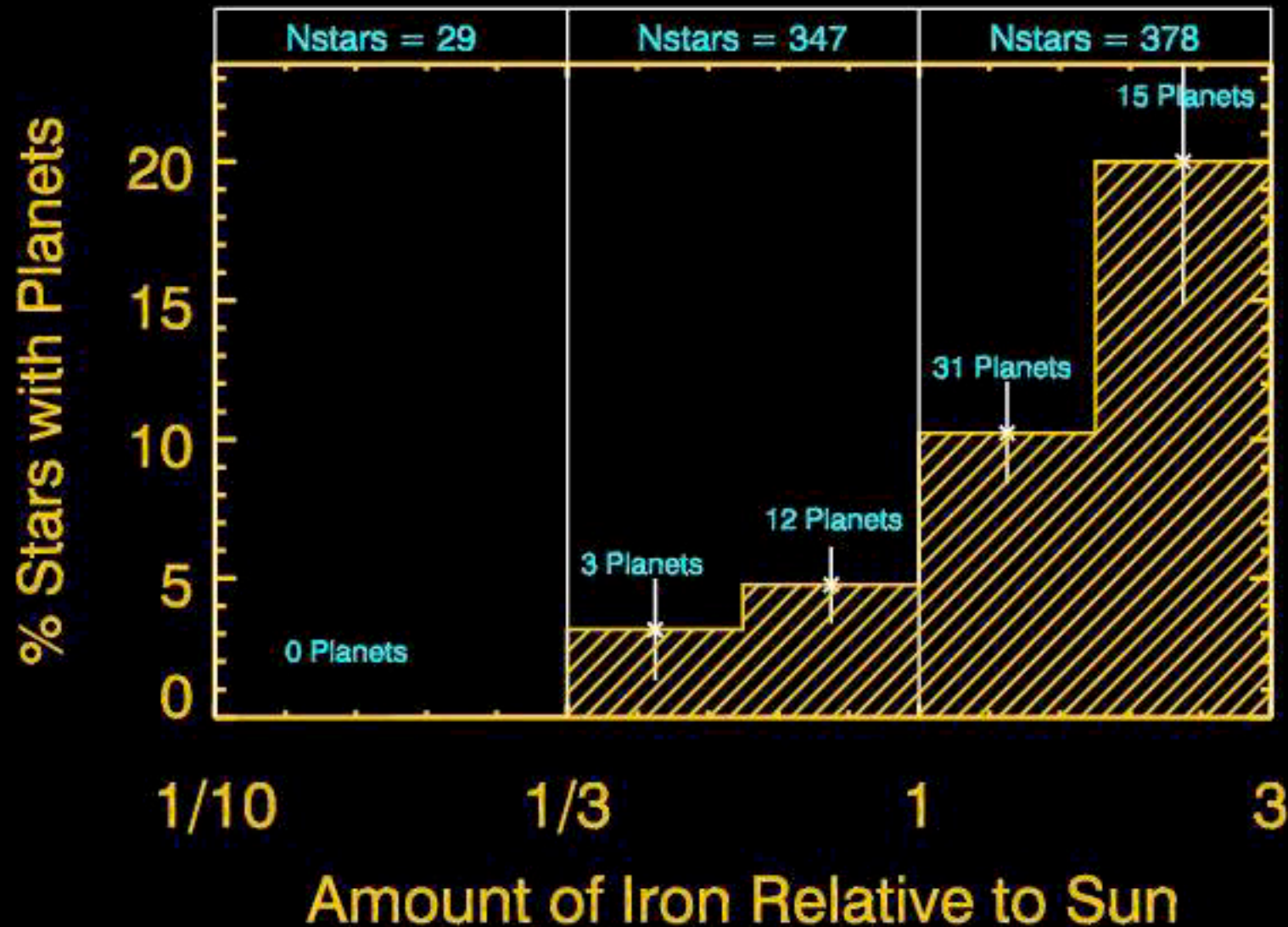


# Mass Distribution of Planets Detected by Doppler Method

Number of planets by mass



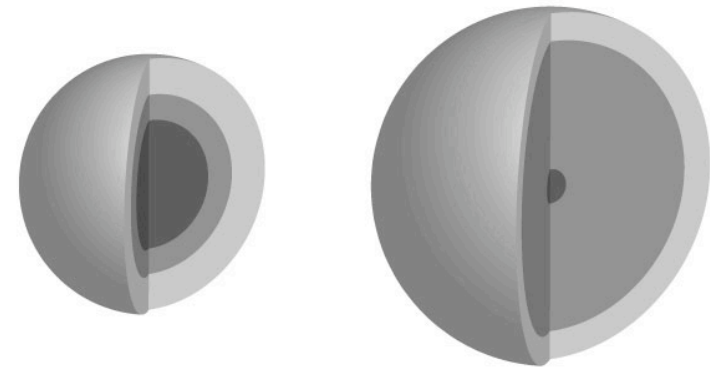
# Planet Occurrence Depends on Iron in Stars



Includes all planets found by California/Carnegie team (7/03).

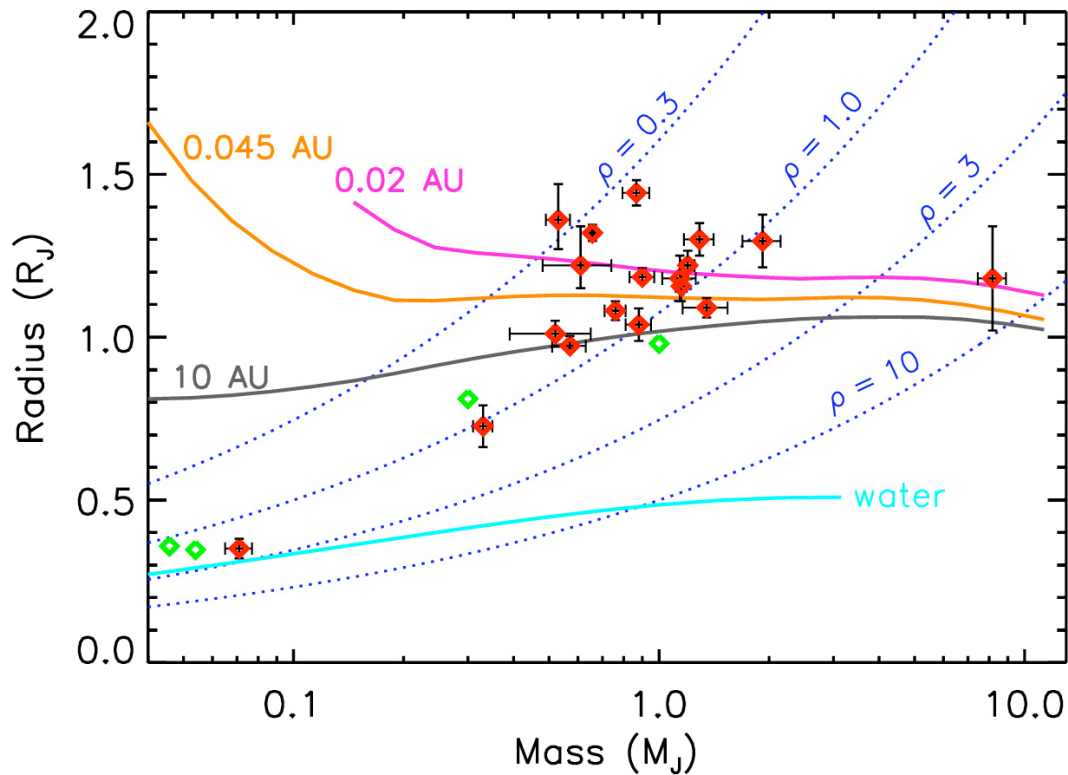
# Giant Planets: Radius vs. Mass

All Solar System planets denser than solar composition (>98% H + He), as is HD 149026 b



HD 149026 b

Jupiter



- hydrogen and helium gas
- liquid metallic hydrogen
- heavy element core

# Solar Nebula Theory

(Kant 1755, LaPlace 1796)

## *The Planets Formed in a Disk in Orbit About the Sun*

Explains near coplanarity and circularity of planetary orbits

Disks are believed to form around most young stars

Theory: Collapse of rotating molecular cloud cores

Observations: Proplyds,  $\beta$  Pic, IR spectra of young stars

Predicts planets to be common, at least about single stars

# Star Formation

Shrink size by  $10^7$ ; increase density by  $\times 10^{21}$  !

*Where planets also form*

- **Giant Molecular Cloud Core**

Raw material for star birth

- **Gravitational Collapse & Fragmentation**

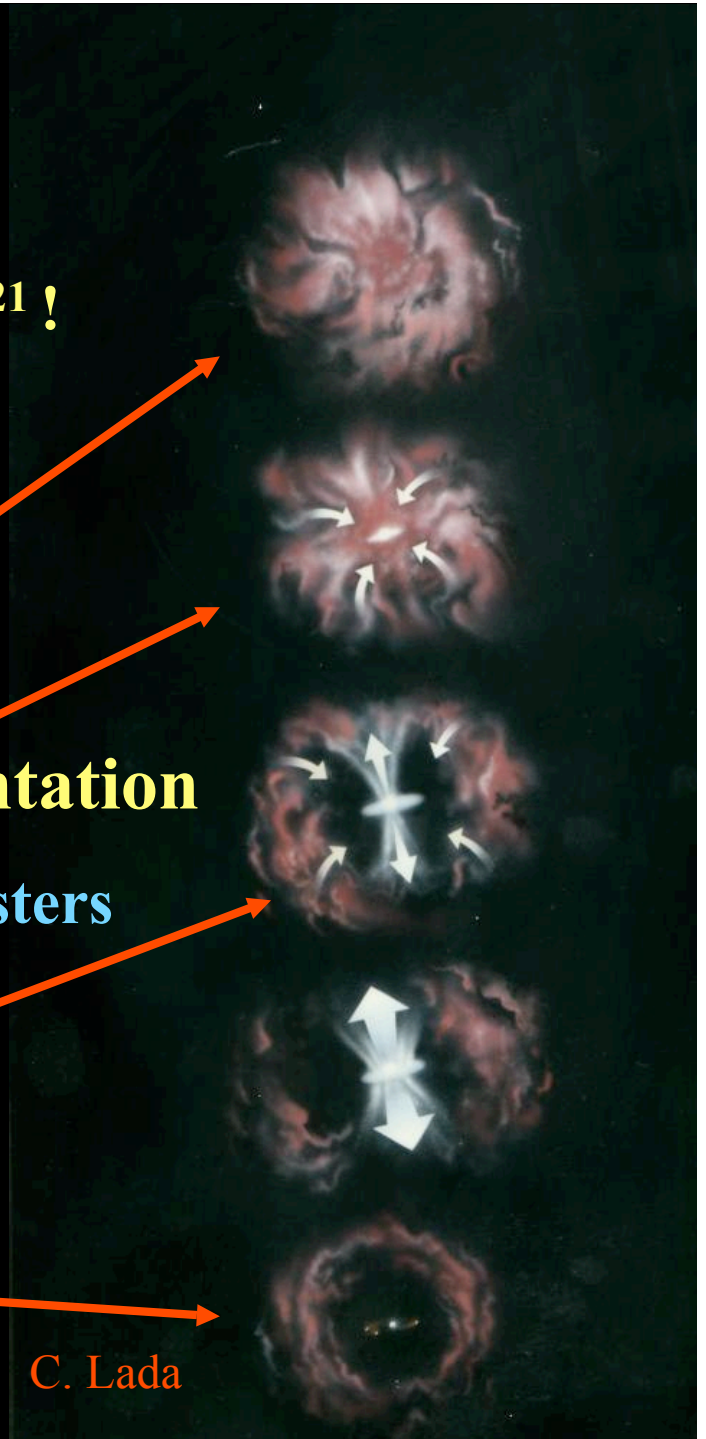
Proto-stars, proto-binaries, proto-clusters

- **Rotation & Magnetic Fields**

Accretion disks, jets, & outflows

- **Planets**

C. Lada



# Protoplanetary Disk Formation & Evolution

Material falls into gravitational well - it gets heated

Some heat radiated

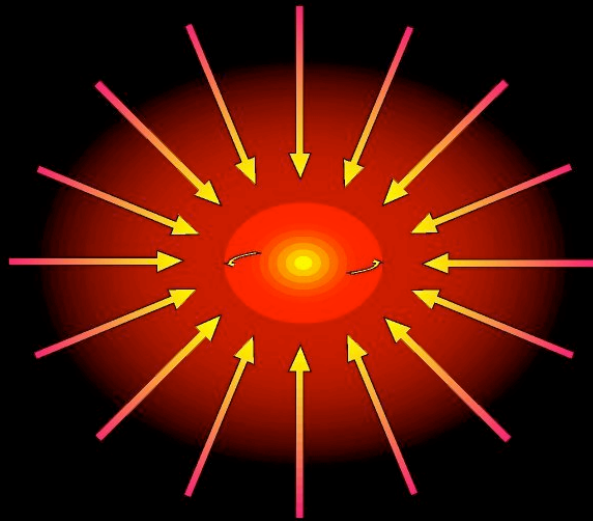
Material near star gets hottest - melting/vaporization

**Disks spread: viscosity, gravitational & magnetic forces**

**Disk profile flattens**

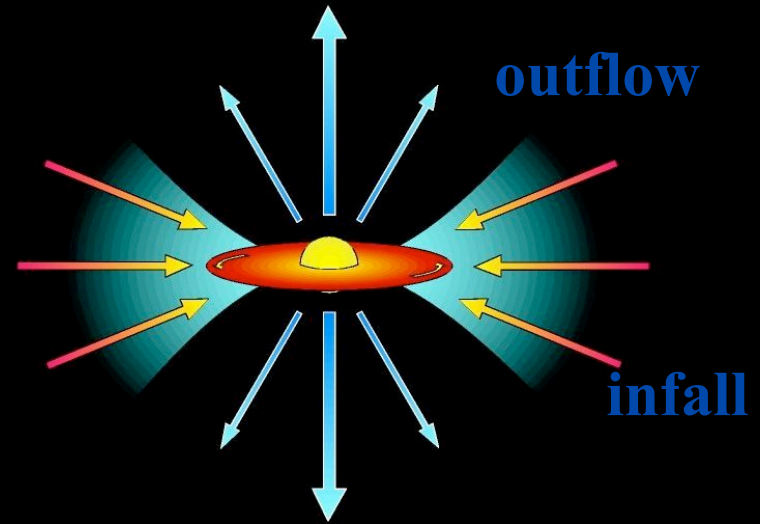
**Star accretes from disk**

# Scenario for star- and planet formation



**Cloud collapse**

Factor 1000  
smaller

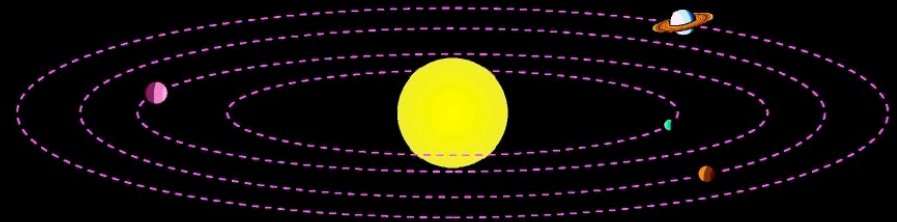


**Protostar with disk**  $t=10^5$  yr

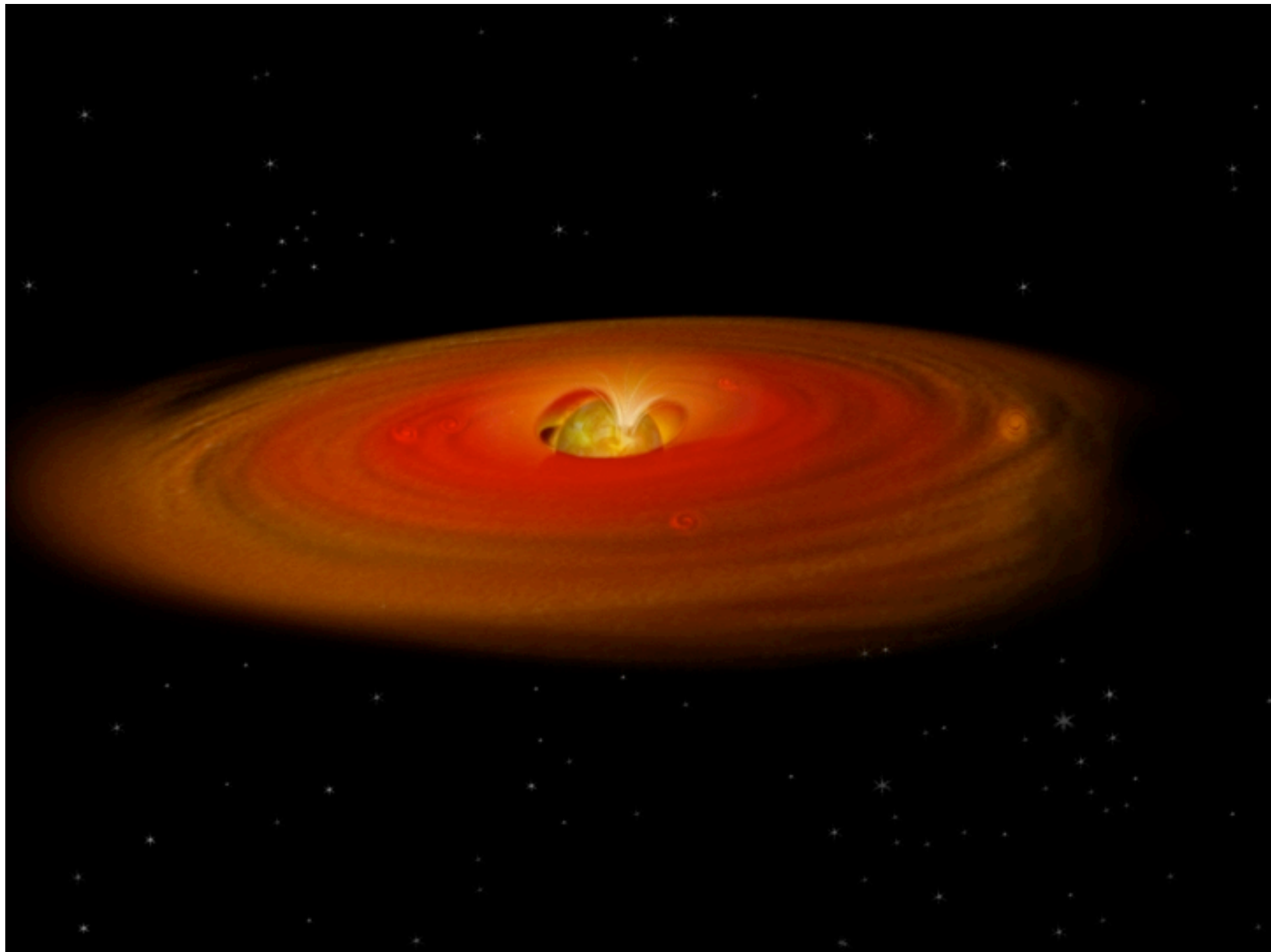


**Formation planets**

$t=10^6-10^7$  yr



**Planetary system**  $t>10^8$  yr





# Condensation Sequence

As a gaseous mixture cools, grains condense

Refractory compounds:  $\text{TiO}$ ,  $\text{Al}_2\text{O}_3$

Silicates (e.g.,  $\text{MgSiO}_3$ ) & iron

Water ice

Other ices

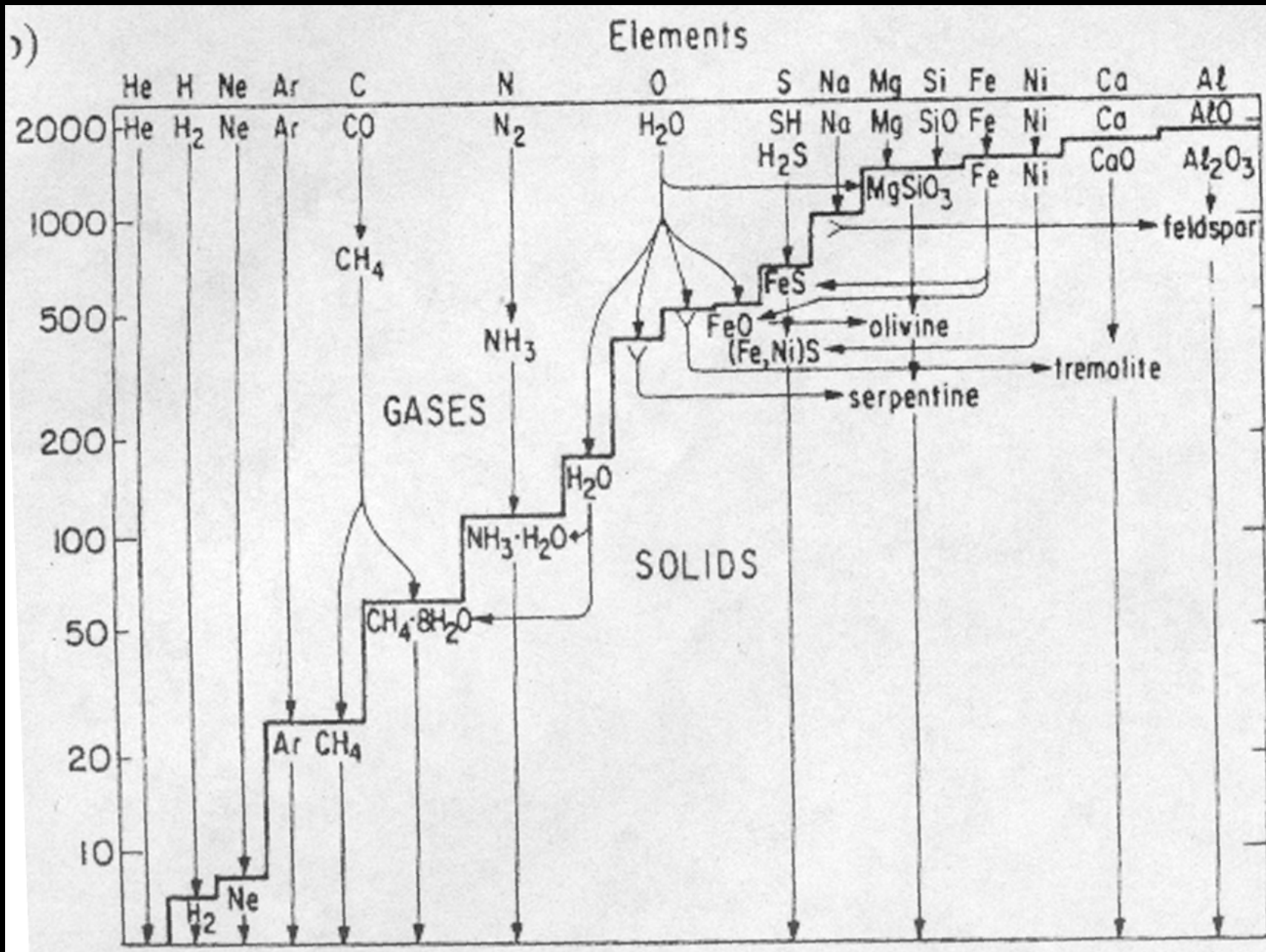
$\text{H}_2$ , noble gases don't condense

## Equilibrium vs. kinetic inhibition

$\text{N}_2$ ,  $\text{CO}$  stable at high  $T$ ;  $\text{NH}_3$ ,  $\text{CH}_4$  at low  $T$

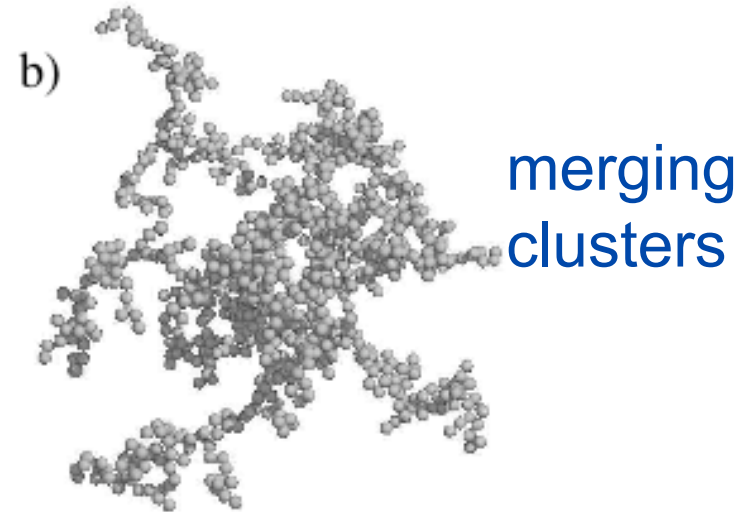
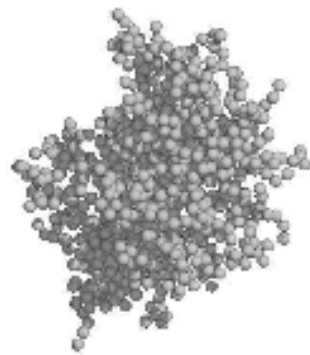
Equilibrium achieved rapidly at high  $T$ ,  $\rho$ ; slowly at low  $T$ ,  $\rho$

# Equilibrium Condensation

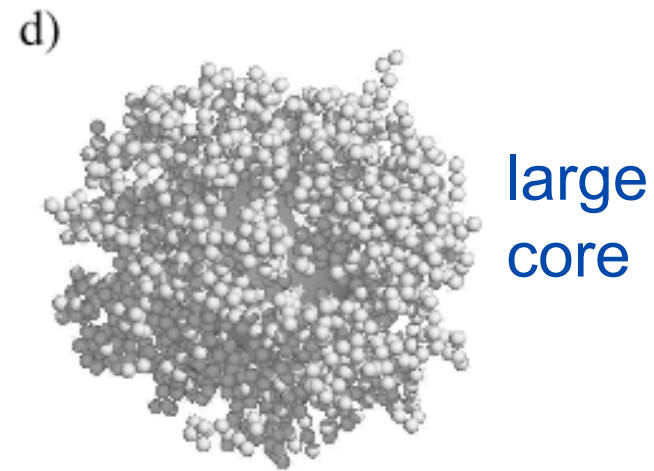
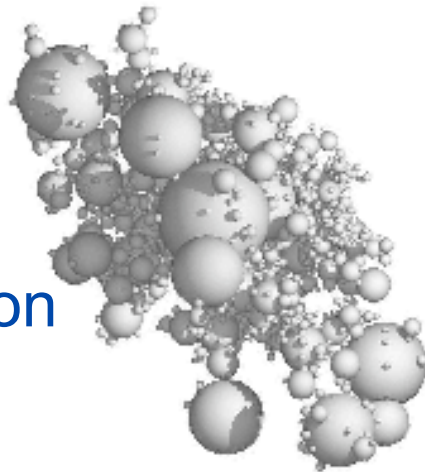


# Small Particle Coagulation

a)  
single  
identical  
particles



c)  
particle  
size  
distribution



# Solar Nebula/Protoplanetary Disk

- **Minimum mass solar nebula**
  - Planets masses, augmented to solar composition
  - $\sim 0.02 M_{\odot}$
- **Infall**
  - Shock front
- **Disk dynamics**
  - Magnetic torques
  - Gravitational torques
  - Viscous torques
- **Disk chemistry**
  - Equilibrium condensation
  - Kinetic inhibition
- **Clearing**

# Planetesimal Hypothesis

(Chamberlain 1895, Safronov 1969)

*Planets Grow via Binary Accretion of Solid Bodies*

*Massive Giant Planets Gravitationally Trap*

*$H_2 + He$  Atmospheres*

Planetesimals and condensation sequence explain planetary composition vs. mass

General; for planets, asteroids, comets, moons

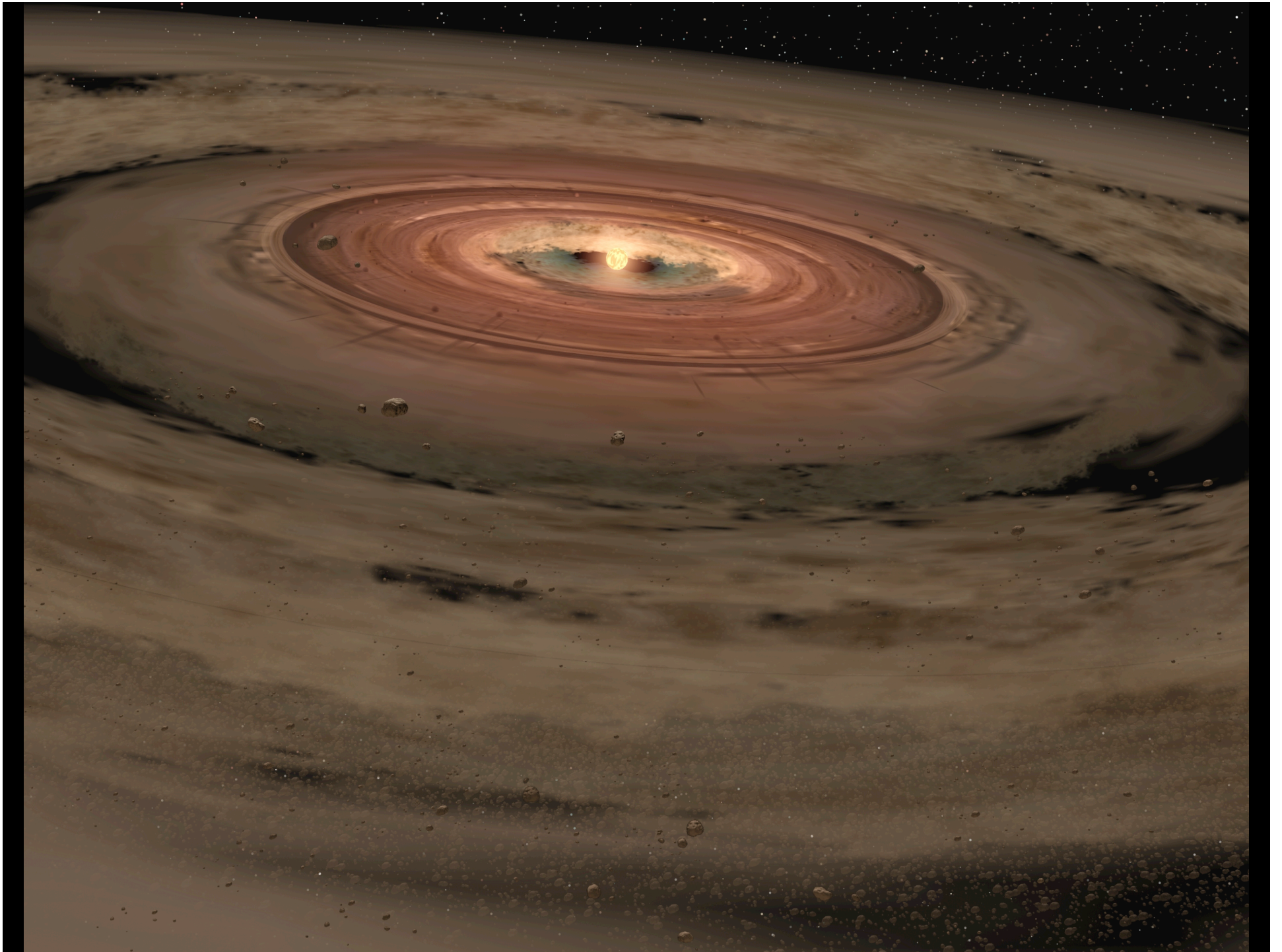
Can account for Solar System; predicts diversity

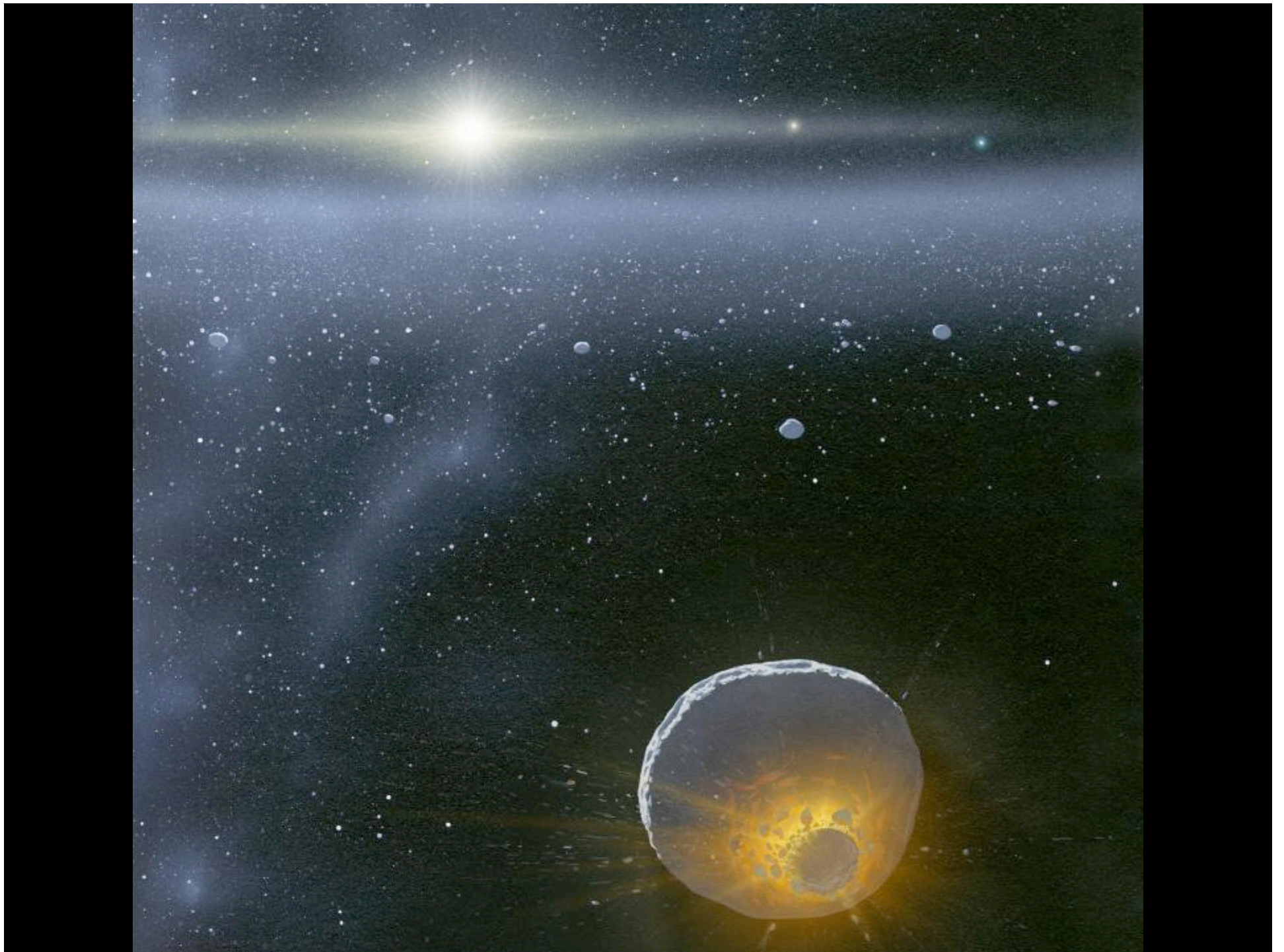
# Dust -> Terrestrial Planets

$\mu\text{m}$  -  $\text{cm}$ : Dust settles towards midplane of disk; sticks, grows. Chondrule & CAI formation??

$\text{cm}$  -  $\text{km}$ : Two possibilities:  
continued sticking or gravitational instabilities

$\text{km}$  -  $10,000 \text{ km}$ : Binary collisions -  
runaway growth; isolation; giant impacts

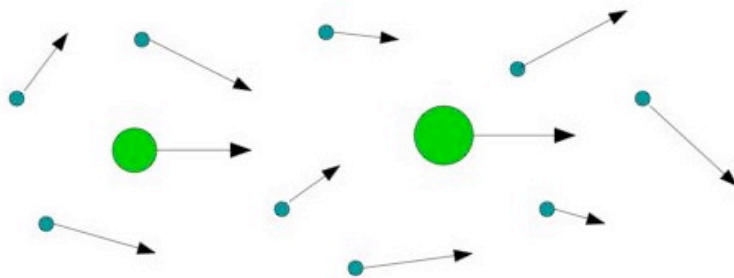






# Runaway Growth

Dynamical Friction



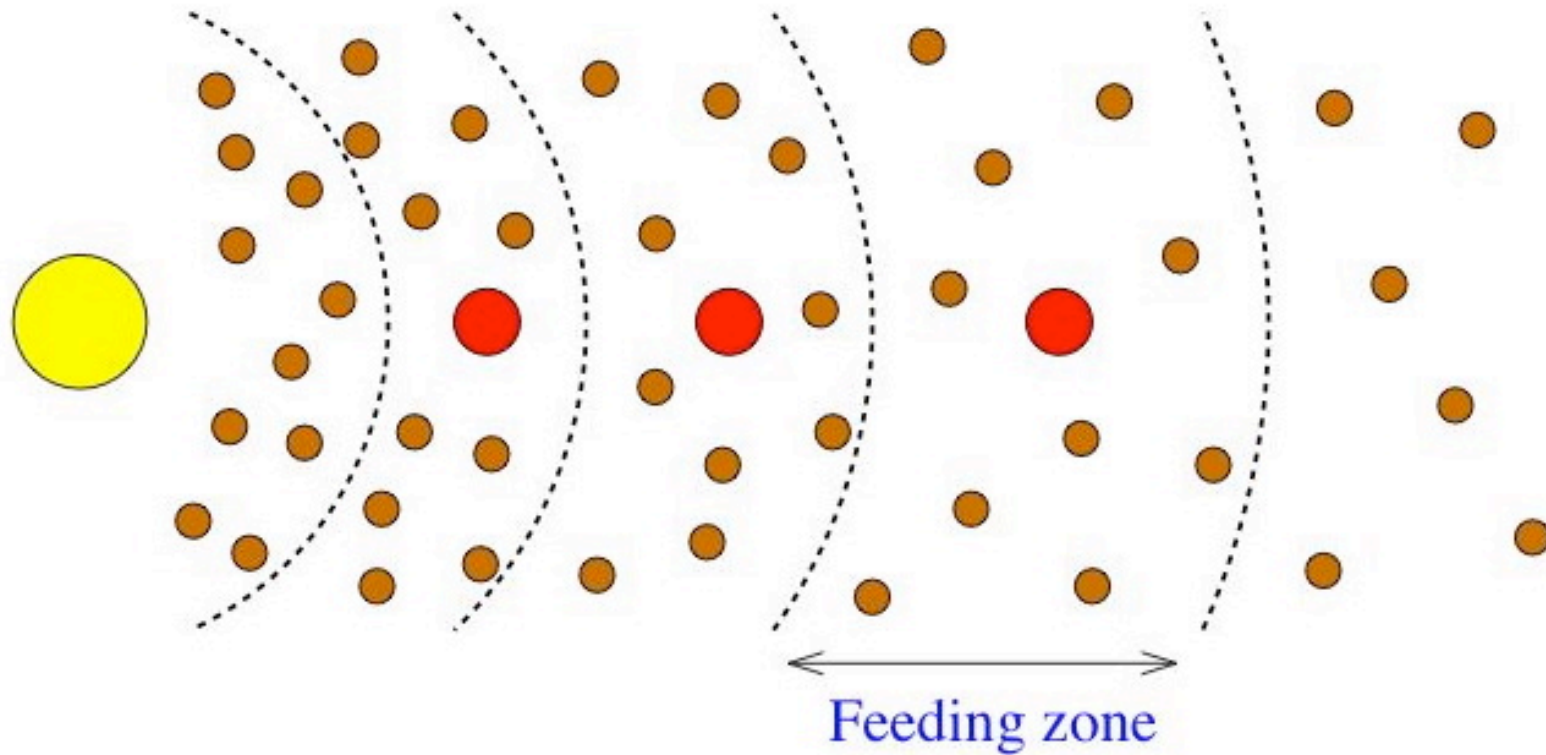
+ Gravitational Focussing



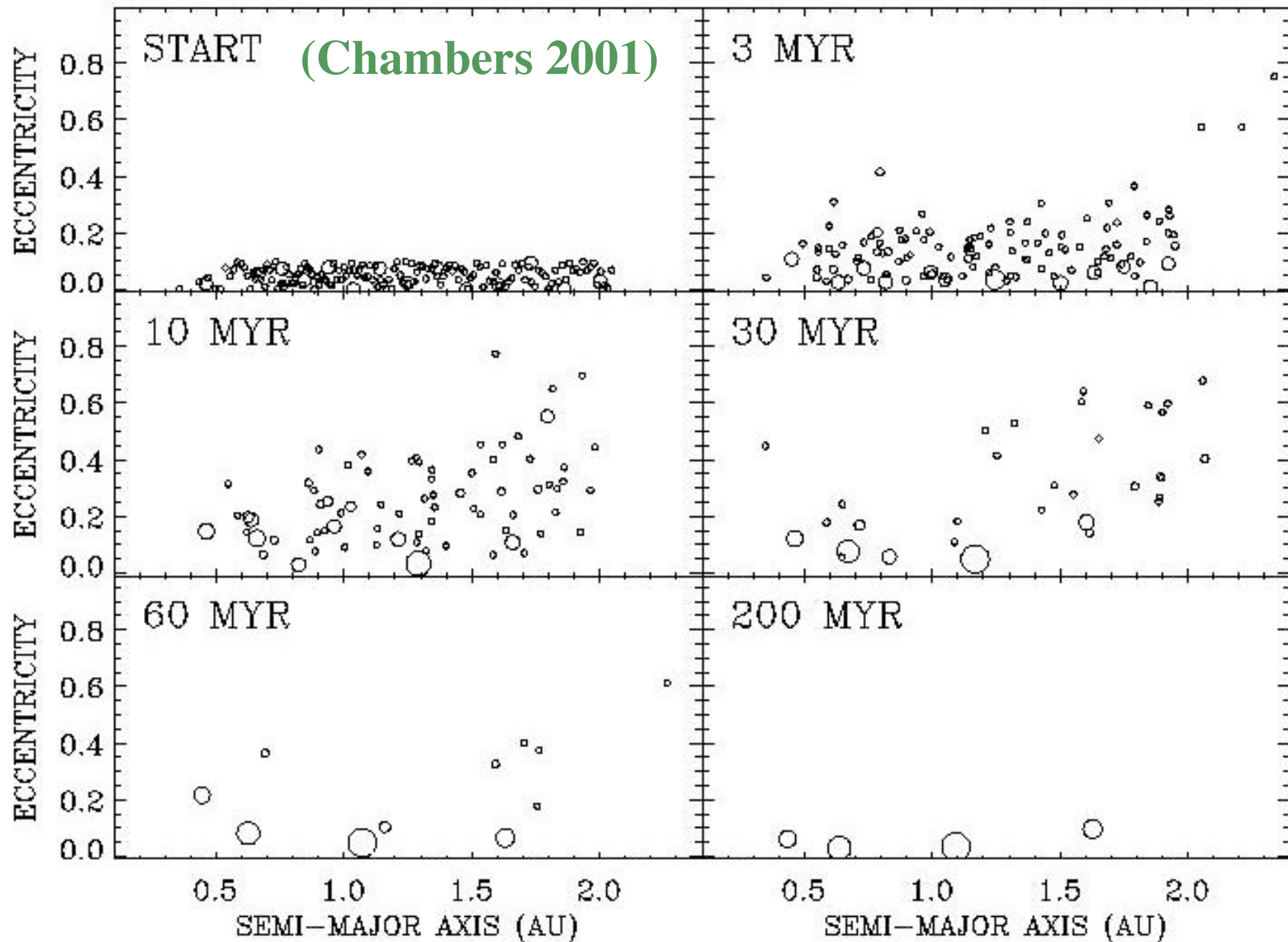
= Runaway Growth

- Gravitational encounters important for bodies  $> 1$  km.
- Close encounters alter trajectories.
- Equipartition of energy determines random velocities.
- Random velocities determine growth rate.
- *Rapid*

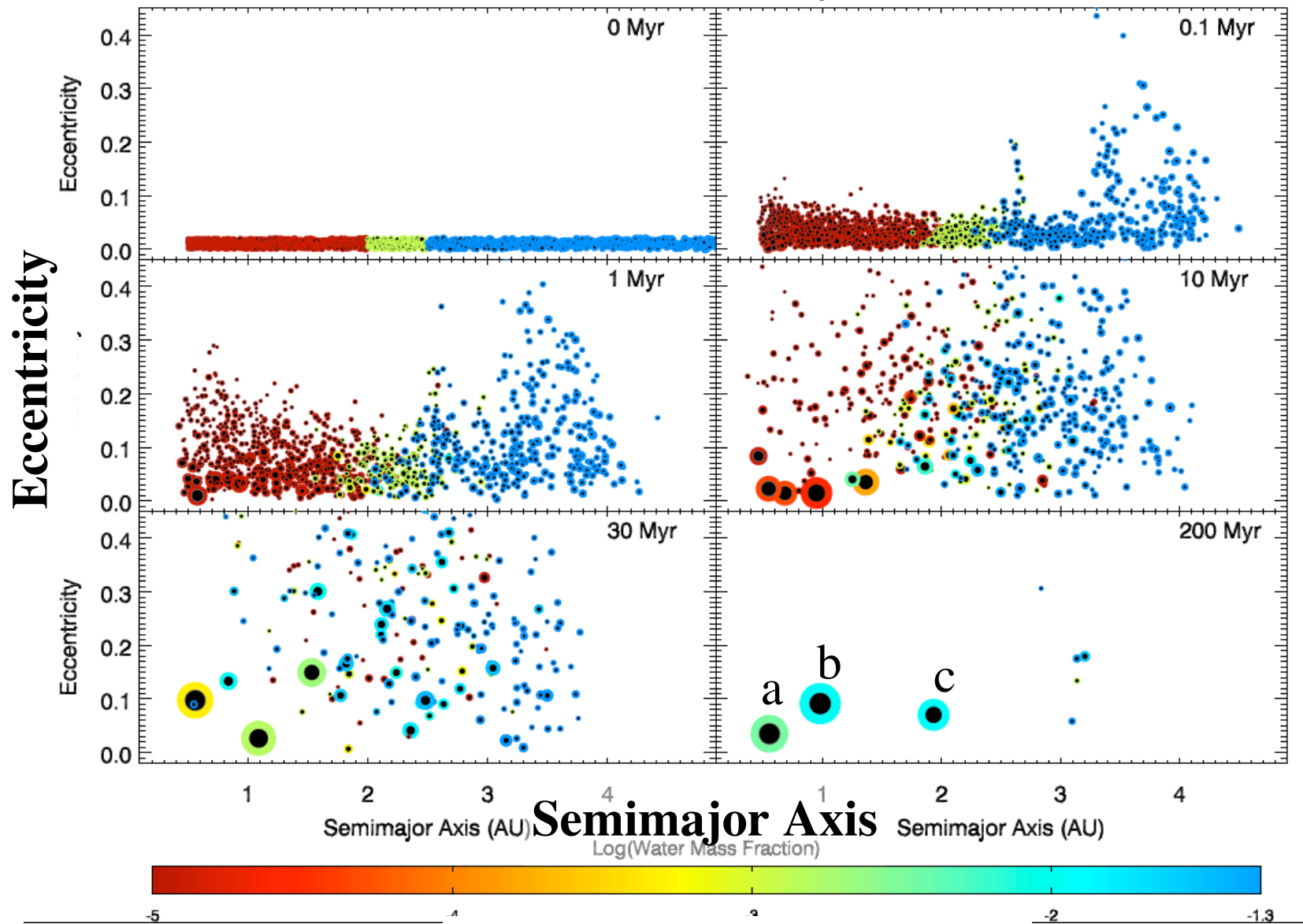
# Oligarchic Growth



# Terrestrial Planet Growth Sun-Jupiter-Saturn



# Simulation of planet growth and H<sub>2</sub>O accretion (Jupiter at 5.5 AU, $e_J = 0$ )



# Planetesimals to Rocky Planets

- **Planetesimal velocities**
  - Gravity vs. collisions
  - Energy equipartition/dynamical friction
- **Runaway growth**
  - Oligarchic growth
- **Isolation mass**
  - 3-body effects
  - Can produce high velocity collisions between particles
- **Slow growth at high velocity**
  - Distant perturbations/chaos
  - Giant impacts
- **Accretion energy/Differentiation**
- **Atmospheric accretion & erosion**

# Terrestrial Planets: Masses & Orbits

Mergers continue until stable configuration reached

Fewer planets usually more stable, even though planets are larger

Resonances (commensurabilities in orbital periods) destabilize system

Stable configurations need to last billions of years

Giant impacts & chaos imply diversity

# Terrestrial Planet Growth

Mergers continue until stable configuration reached

Runaway/oligarchic stages  $\sim 10^5$  years

High velocity stage  $\sim 10^8$  years

**These processes take longer at greater distances from star**

# Theories of Giant Planet Formation

Core-nucleated accretion: Big rocks accumulated gas

Fragmentation during collapse: Planets form like stars

Gravitational instability in disk: Giant gaseous protoplanets



# Theories of Giant Planet Formation

## Core-nucleated accretion: Big rocks accumulated gas

One model for rocky planets, jovian planets, moons, comets...

Explains composition vs. mass

Detailed models exist

Takes millions of years

## Fragmentation during collapse: Planets form like stars

## Gravitational instability in disk: Giant gaseous protoplanets

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Rapid

Binary stars are common

Mass gap

Requires  $M > 7 M_J$

Separate model for solid bodies; no model for Uranus/Neptune

## Gravitational instability in disk: Giant gaseous protoplanets

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One model for rocky planets, jovian planets, moons, comets...

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Rapid

Binary stars are common

Mass gap

Requires  $M > 7 M_J$

Separate model for solid bodies; no model for Uranus/Neptune

## Gravitational instability in disk: Giant gaseous protoplanets

Rapid growth, but cooling rate limits contraction

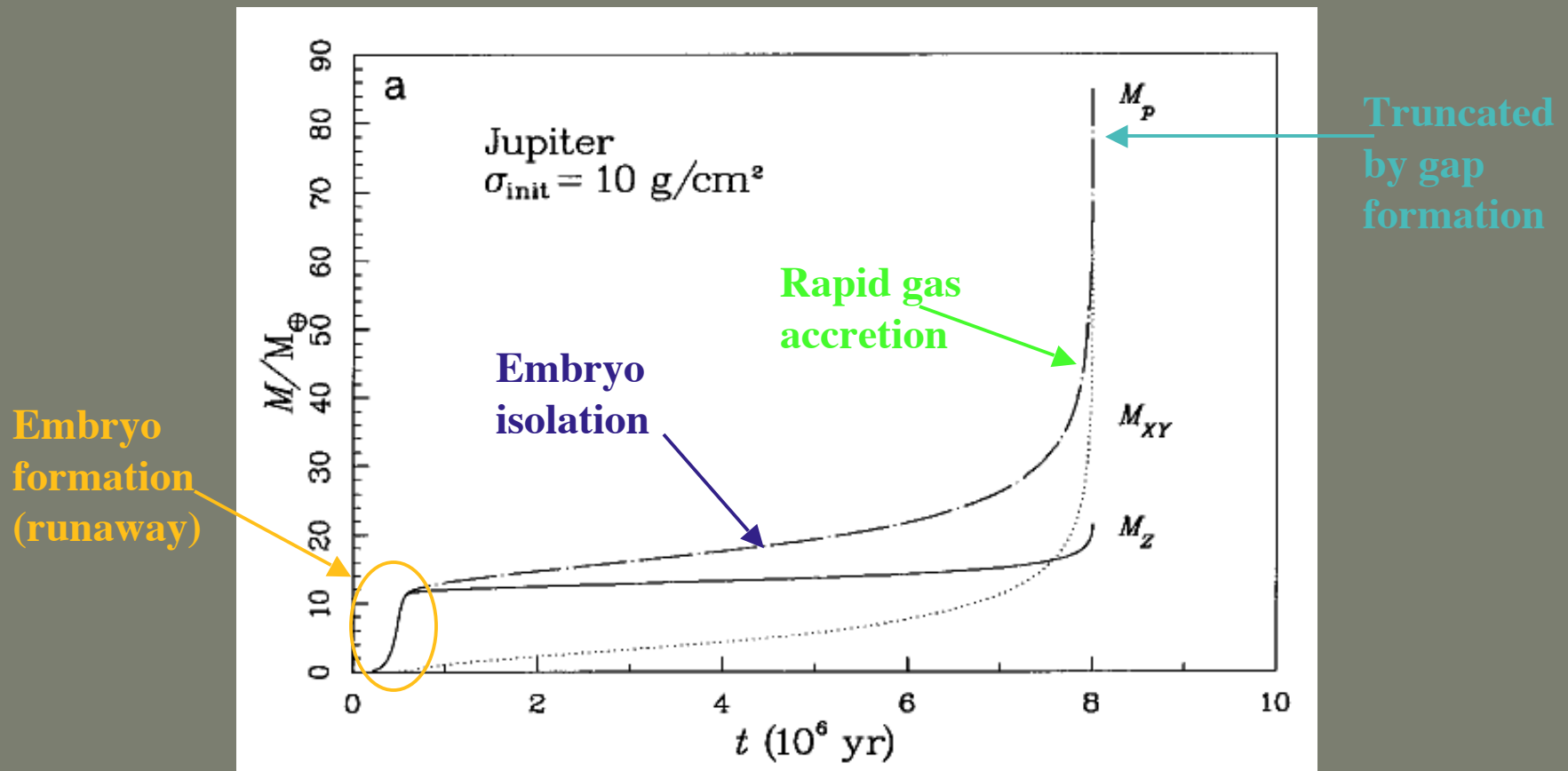
Requires unphysical initial conditions (density waves stabilize)

Separate model for solid bodies; no good model for Uranus/Neptune

# CORE ACCRETION MODEL

- planetesimals accrete into a solid core
- ↓ growing core attracts gas envelope
- ↓ runaway gas accretion with a little more solids
- ↓ no gas  $\Rightarrow$  accretion ends
  - nearby gas accreted ?
  - tidal truncation ?
  - protoplanetary nebula removal ?
- planet contracts and cools

# Nucleated Instability model ("Standard" Case)



Pollack *et al*, 1996

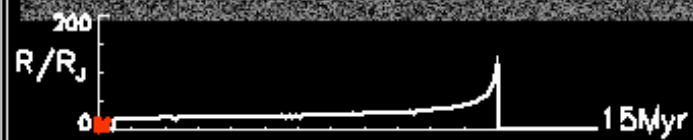
10L $\infty$  TIME = 0.00 Myr 10L10



10L5



10L3

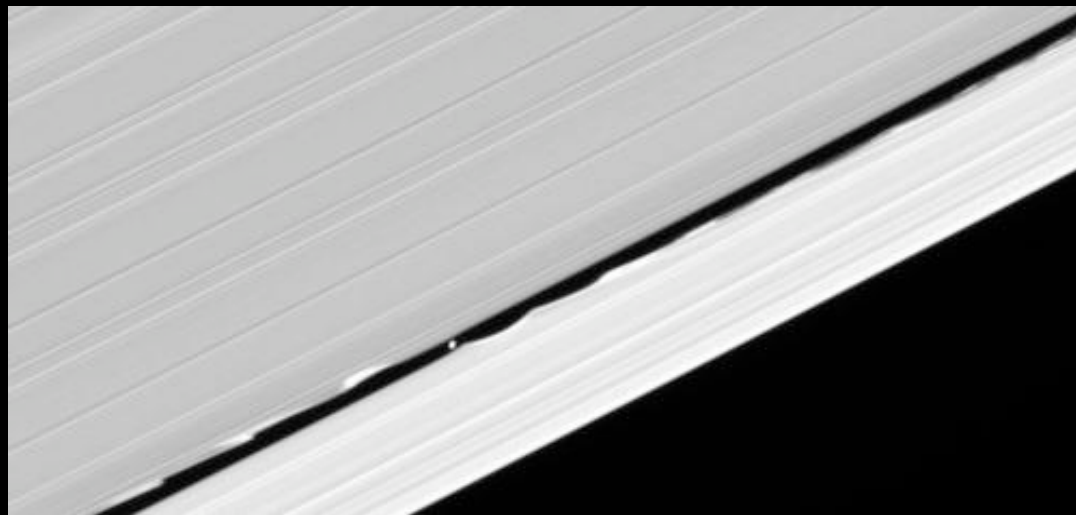


# Disk-Satellite Interactions

- Dissipative processes cause disks to spread (e.g., viscous accretion disks, Lynden-Bell & Pringle 1974).
- Satellites can excite density waves in disks - resulting net force is repulsive.
- Satellites repelled by disk on both sides - move if torque is asymmetric.
- Massive satellites clear gaps in disks.

# Disk-Satellite Interactions in Saturn's Rings

- Moons excite spiral density waves at resonant locations.
- Gaps are produced at strong resonances and close to moons where resonances overlap

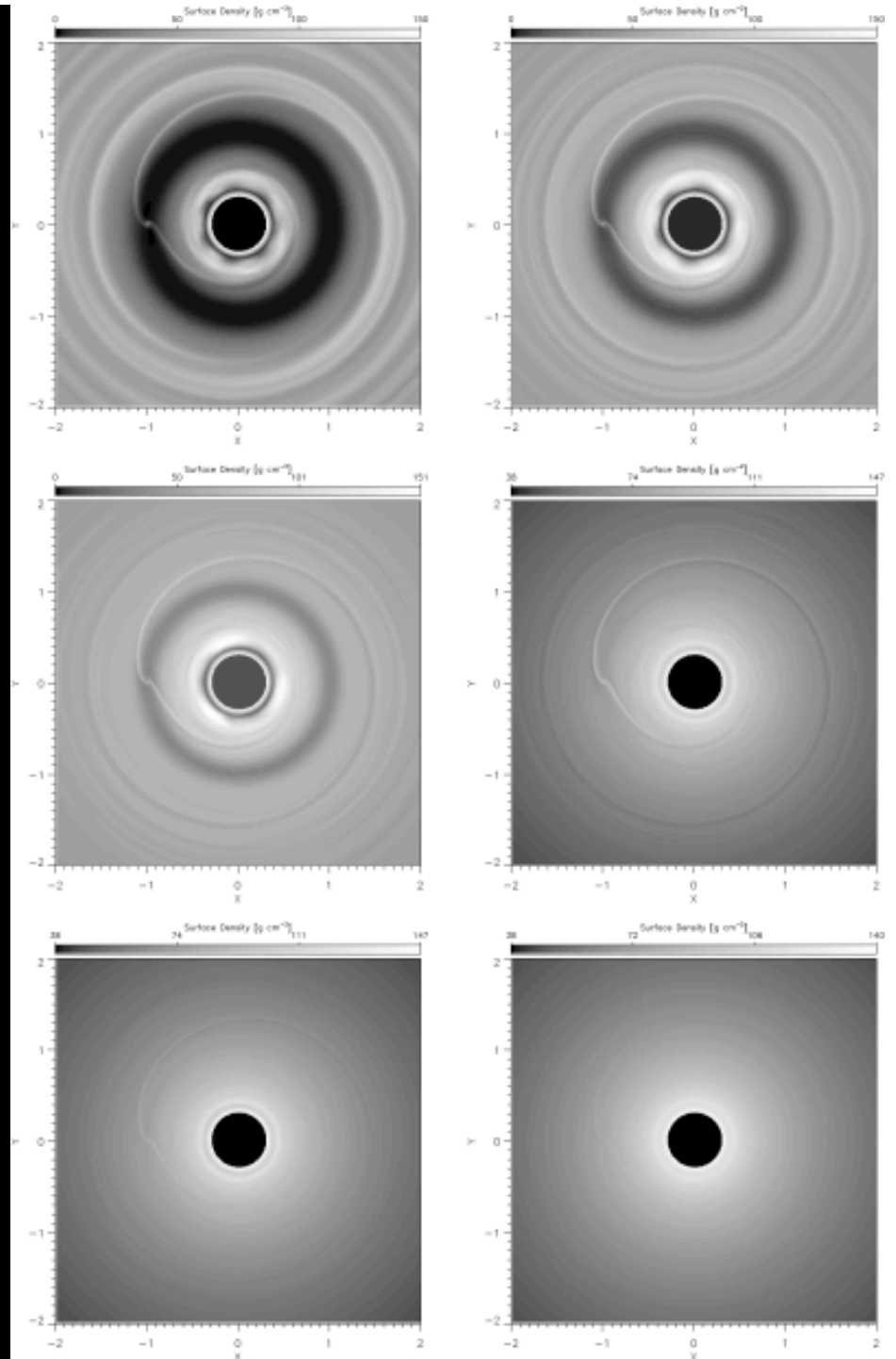




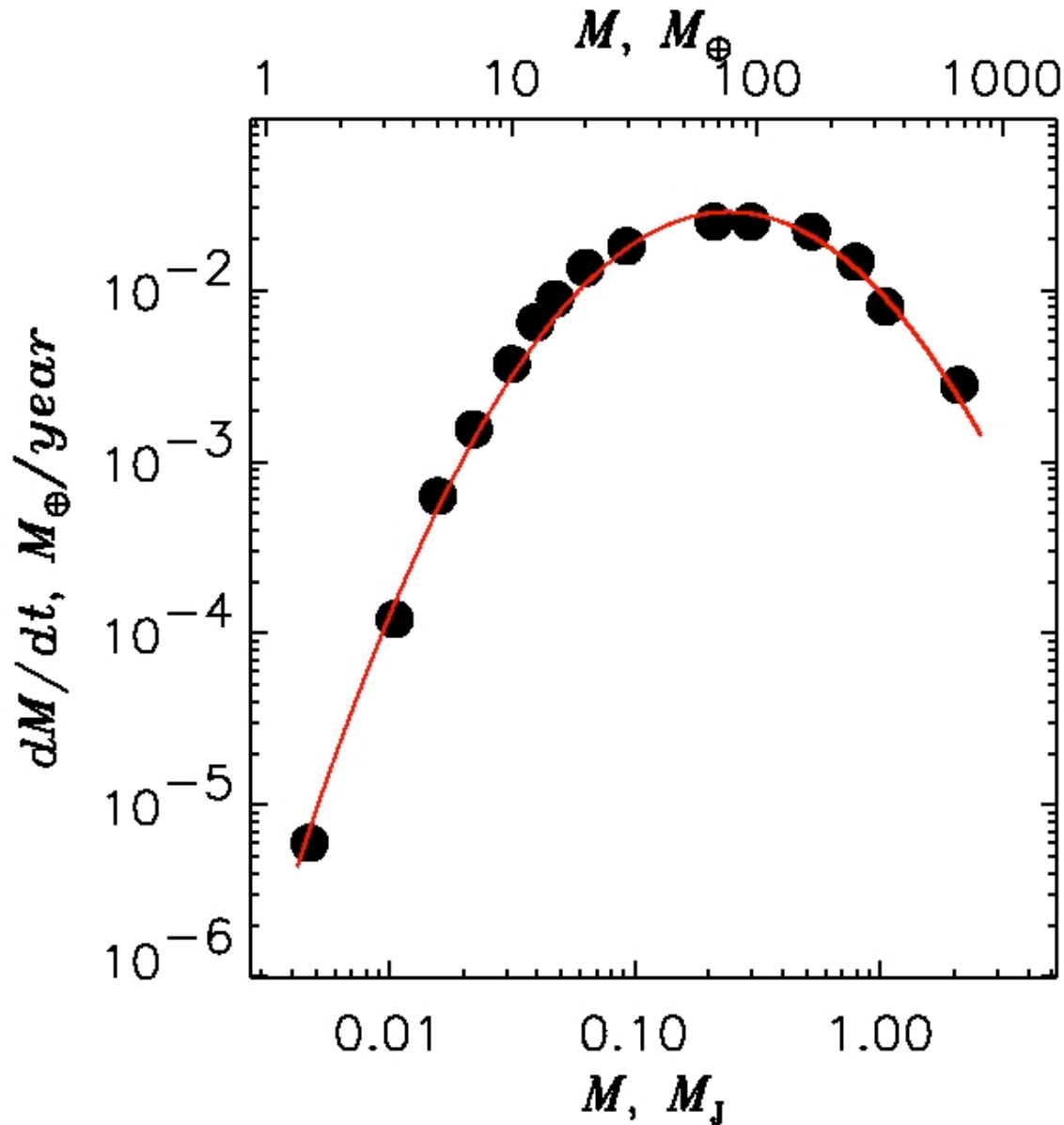
# Gas Flow Near Planet

(Bate et al. 2003)

- Planet masses are  
1, 0.3,  
0.1, 0.03,  
0.01, 0.003  $M_J$



# Gas Flow to Planets (D'Angelo et al. 2003)

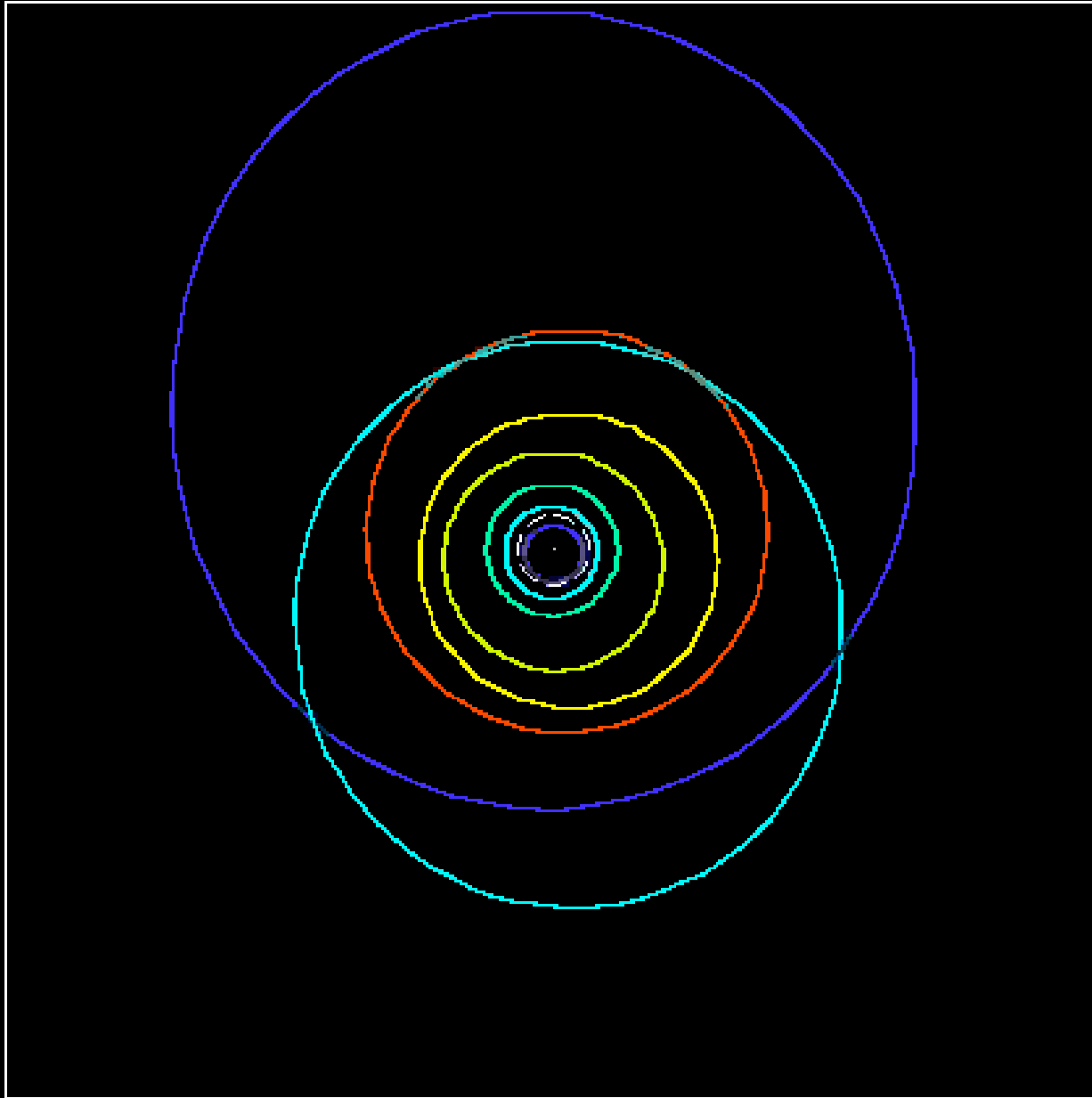


Note flow peaks  $\sim M_{\text{saturn}}$ ;  
drops sharply  $> M_{\text{Jupiter}}$ .

# Orbital Evolution

- **Planet-planet scattering**
  - Produces eccentric orbits
  - Planets well-separated
  - Some planets ejected
- **Planet-planetesimal scattering**
  - Produces circular orbits
  - Kuiper belt provides strong evidence in Solar System
- **Disk-planet interactions**
  - No gap: Migration relative to disk
  - Gap: Moves with disk
  - Faster near star - need stopping mechanism

# Planet-Planet Scattering

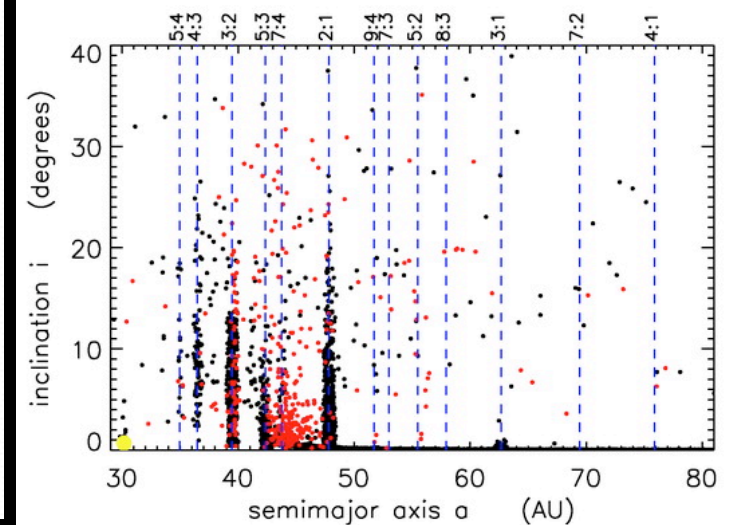
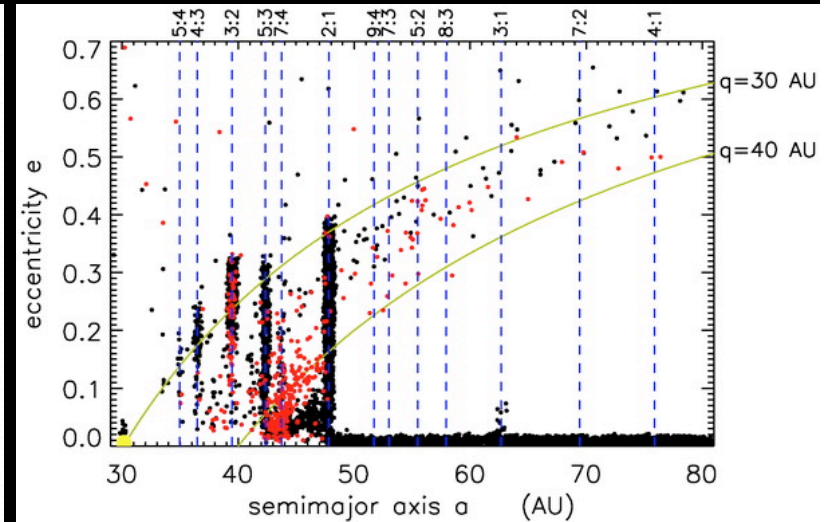
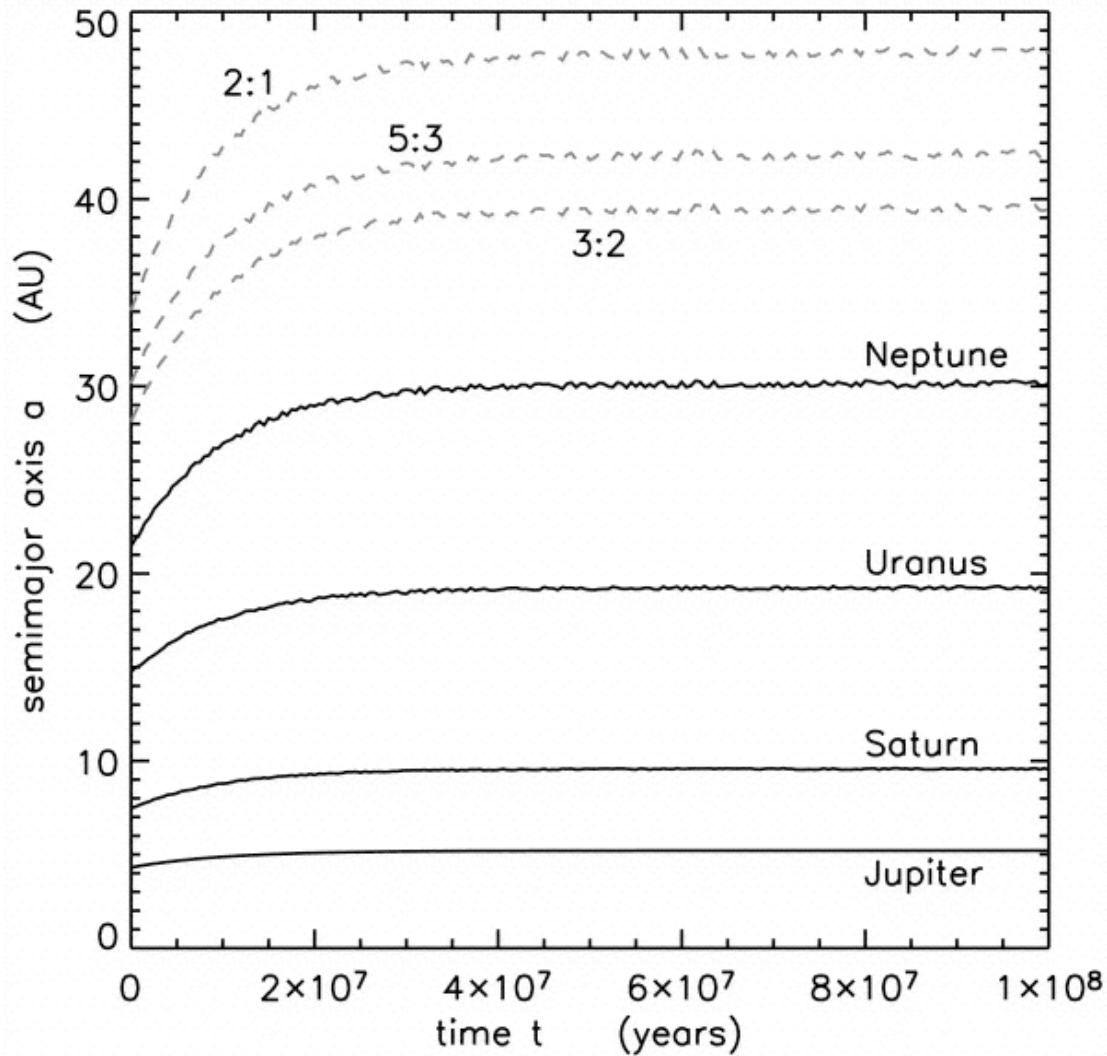


Ellipses display  
planetary orbits.  
Dashed circle is  
5.2 AU.

Levison, Lissauer &  
Duncan 1998

# Planet-Planetesimal Scattering

(Hahn & Malhotra 2005)



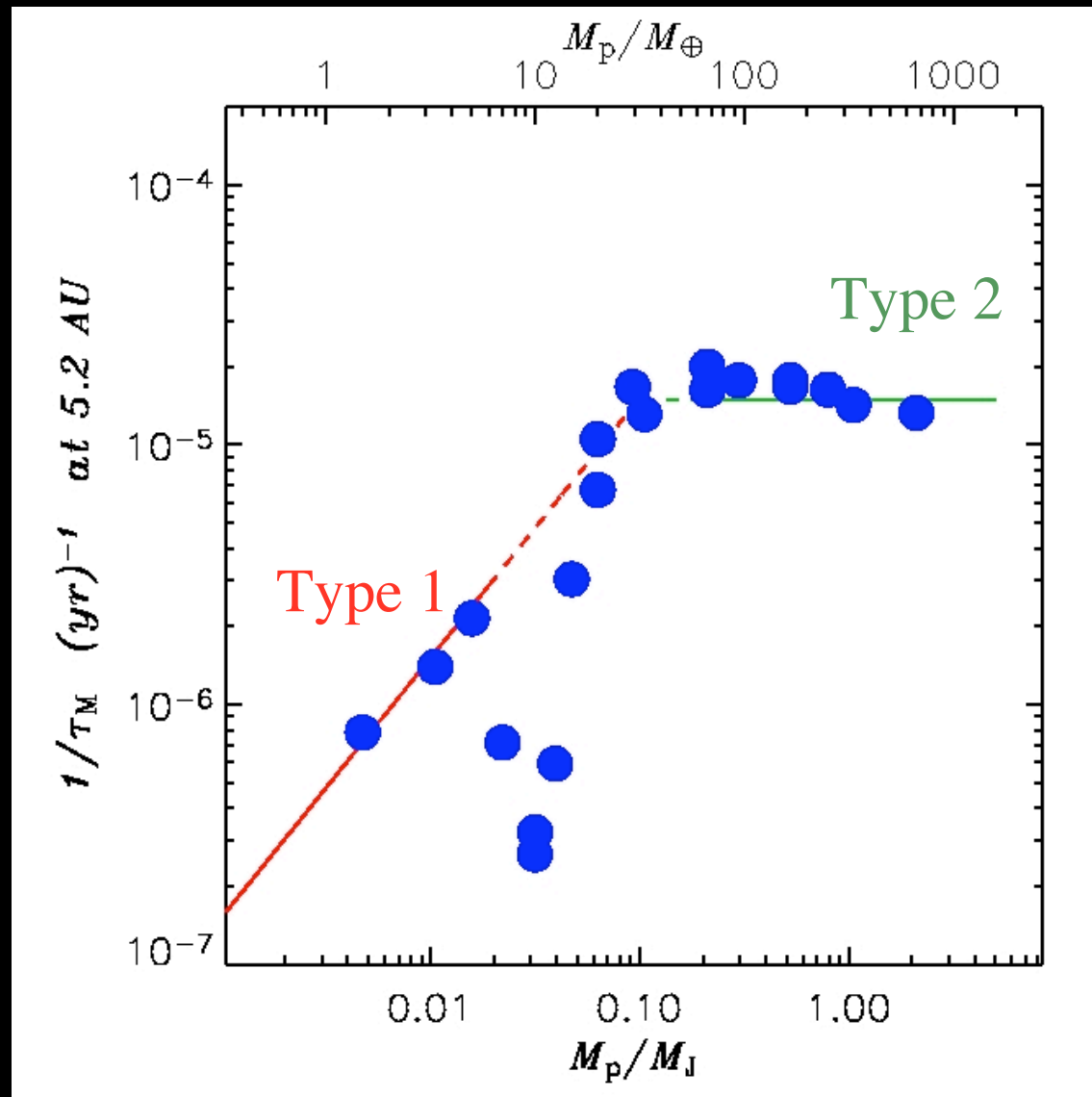
# Migration of Extrasolar Planets

- Goldreich & Tremaine (1980) pointed out that disk torques could move planets large distances in  $\sim 10^5$  years.
- Research by Ward, Lin, Papaloizou, etc. has shown that migration is almost always inwards.
- Timescale decreases near star, suggesting planets lost, so “giant vulcans” not predicted.
- Lin et al (1996): tides or gap may halt migration.

# Types of Planetary Migration

- Type 1: Small planet, no gap, asymmetric torque from wave excitation. Torque  $\propto M^2$ , so  $v \propto M$ .
- Type 2: Planet clears gap, dragged along by massive disk.  $v \propto M^0$ .
- Type 2a: Planet clears gap in low mass disk, planet's inertia slows disk's evolution, so  $v \propto M^{-1}$ .

# Migration Timescales



D'Angelo  
et al. (2003)



# Conclusions

- Planet formation models are developed to fit a very diverse range of data
  - Meteorites, planetary orbits, composition, circumstellar disks, extrasolar planets
- Planets form in gas/dust disks orbiting young stars
  - Most stars form together with such a disk
- Solid planets grow by pairwise accumulation of small bodies
  - Massive planets gravitationally trap H<sub>2</sub>, He
- Gravitational torques from protoplanetary disks can cause planets to migrate inwards substantial distances
- Planets are common, and planetary systems are **d<sup>i</sup>verse**
  - New technologies allow observations of many types of extrasolar planets

