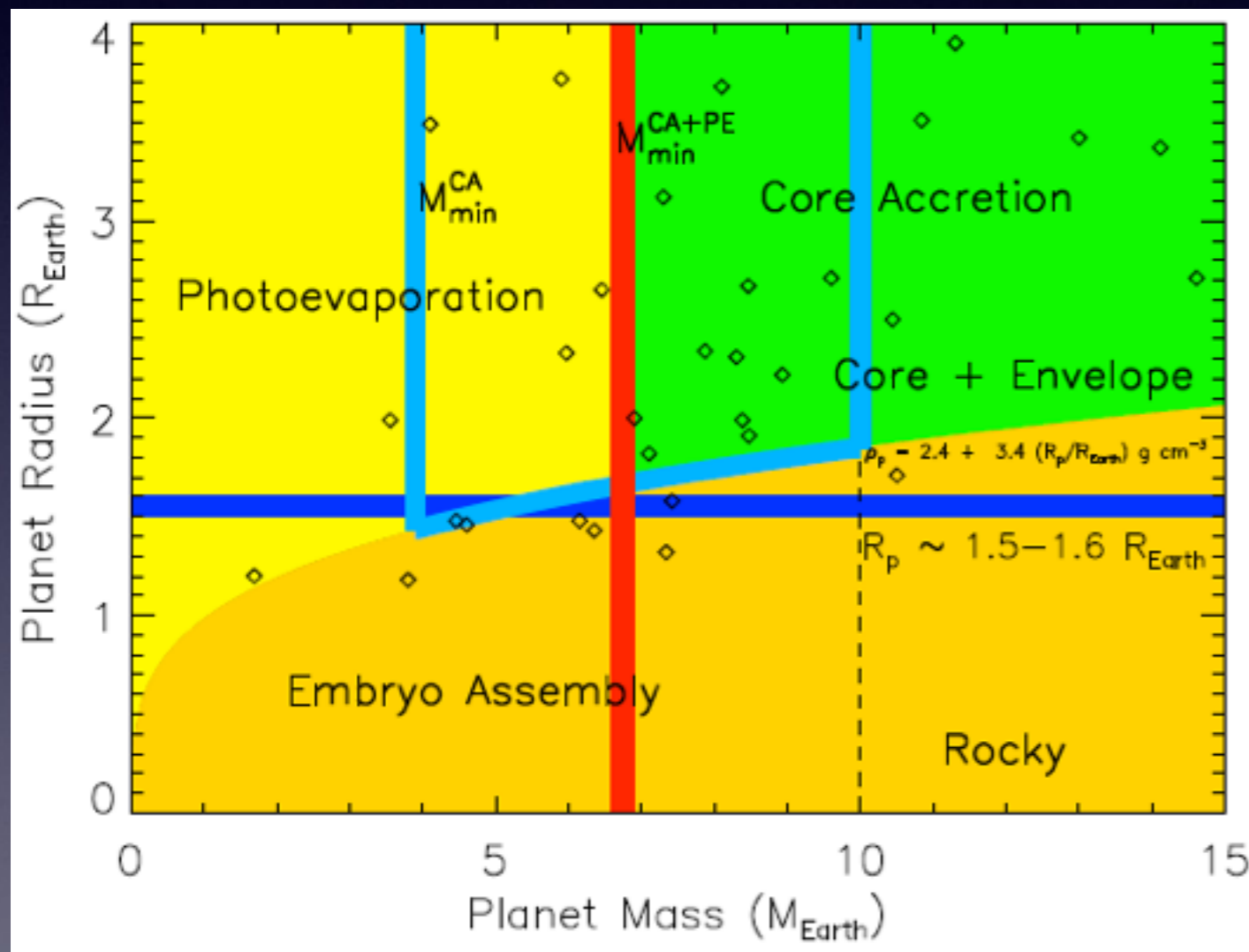
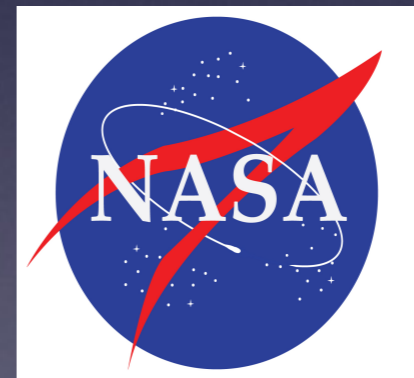


# Super-Earths as Failed Cores in Orbital Migration Traps



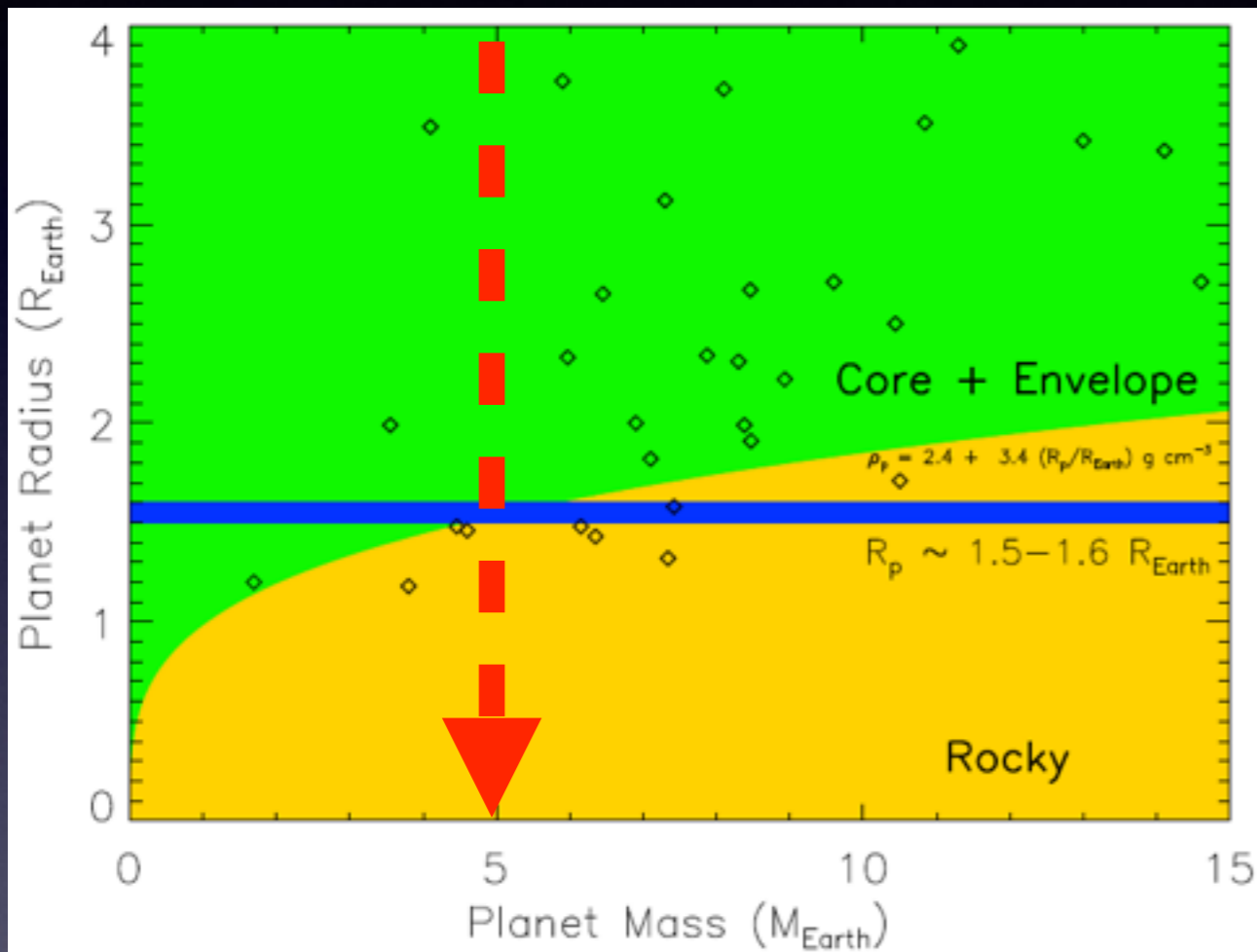
Yasuhiro Hasegawa  
(Jet Propulsion Laboratory,  
California Institute of Technology)



Hasegawa 2016, ApJ, 832, 83

# (Potential) Links to Formation Processes of Planets

e.g., Weiss & Marcy 2014, Marcy et al 2014, Rogers 2015, Wolfgang & Lopez 2014



Sub-set of samples from Weiss & Marcy 2014  
(mass measurements better than 2-sigma)

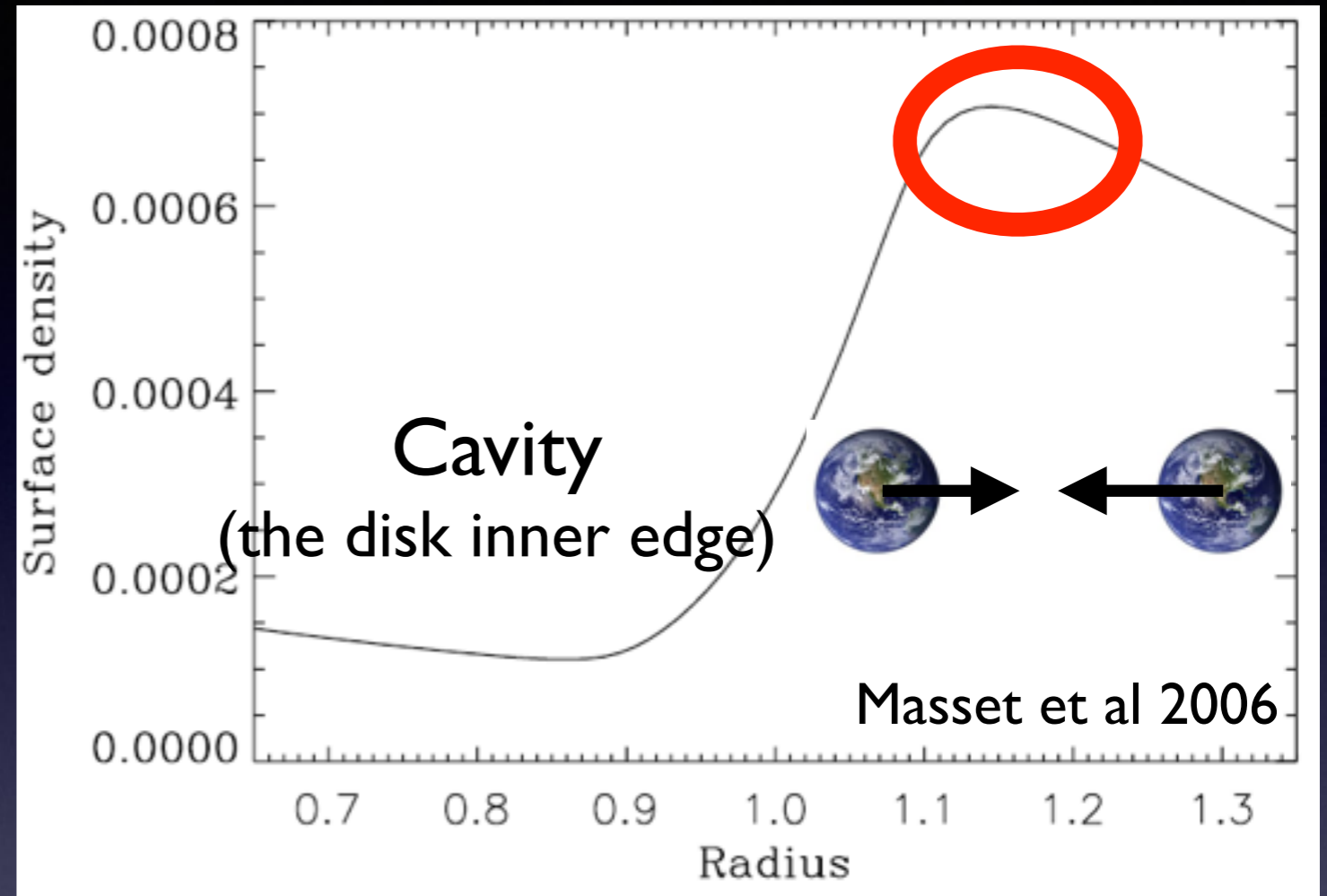
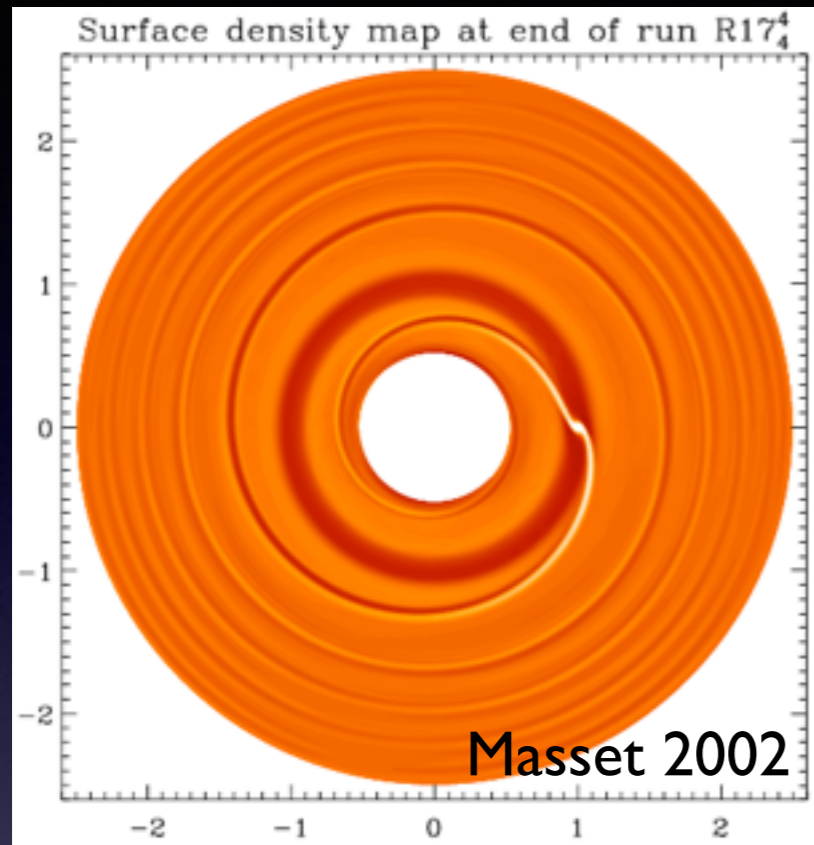
**Planets w/  $> 1.5 - 1.6 R_e$**   
: not purely rocky

**Planets w/  $< 1.5 - 1.6 R_e$**   
: likely to be purely rocky

An Implication  $\Rightarrow$   
 $M_p \simeq 5M_{\oplus}$  may be a  
Minimum Mass of Planets  
Formed in Gas Disks???

# Key Idea: Type I Migration Traps (Planet Traps)

e.g., Masset et al 2006, Hasegawa & Pudritz 2011b



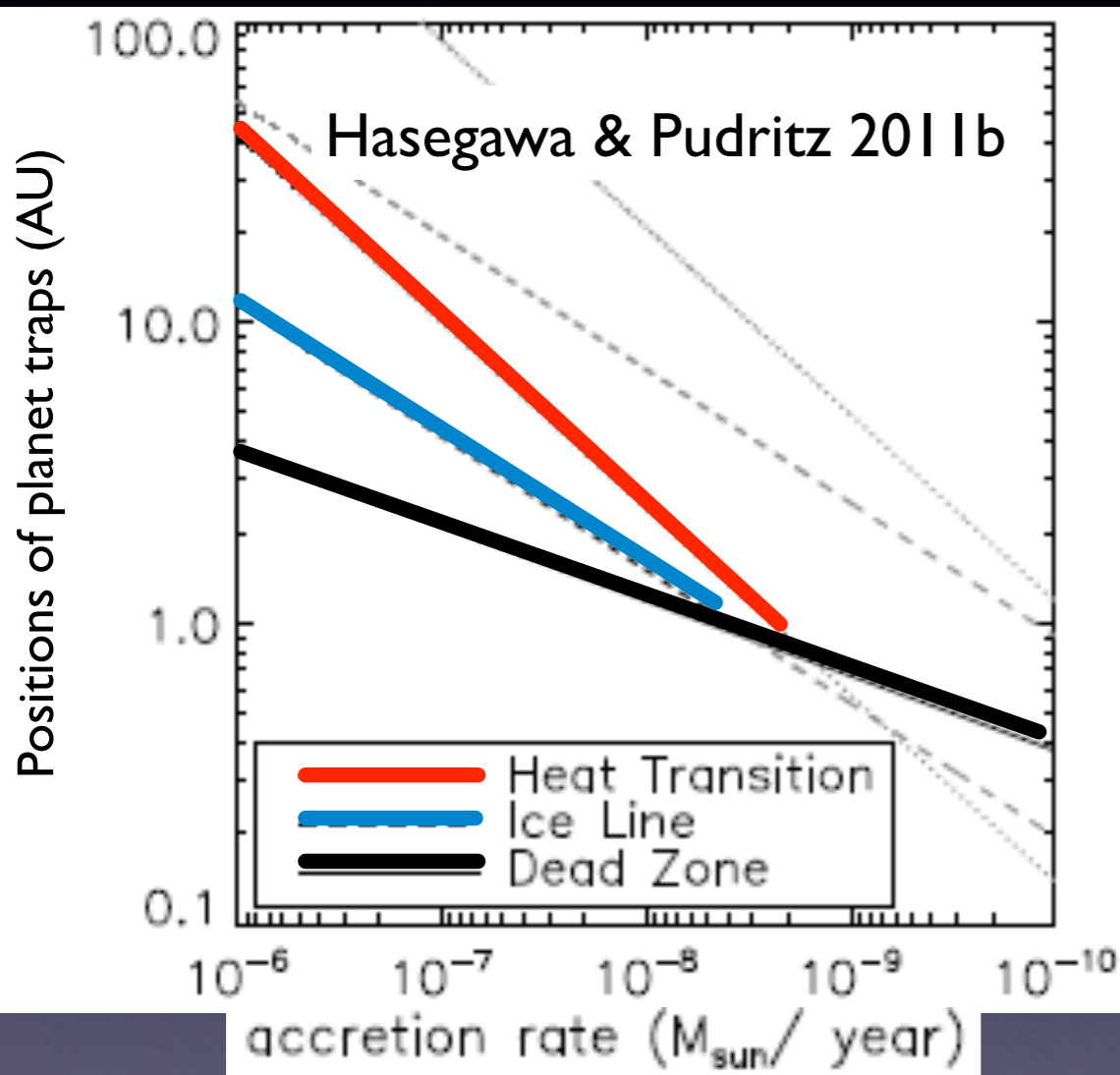
Planetary Migration =  
Angular Momentum Transfer  
between Planets and Gas Disks

The **Net** of Transferred  
Angular Momentum Regulates  
the **Direction** of Migration,  
which Depends on Disk Structures

Planet Traps = Disk Structures  
where the Net Torque  
becomes Zero  
(i.e. Dead Zones, Ice Lines, etc..)

# Fundamental Properties of Planet Traps

e.g., Hasegawa & Pudritz 2011b



Time

## Multiple Traps in Single Disks

: the outer edge of dead zones, ice lines, heat transitions

## Locations of Traps are Specified by Disk Evolution

## Mass Dependence of Traps

: planet traps are effective until protoplanets obtain the gap-opening mass & undergo type II migration

Planets Form Locally  
at Traps ( $r > 1 \text{ AU}$ )  
Before Type II Migration



# Result I: Evolutionary Tracks of Trapped Planets

Disk Evolution

e.g., Hartmann et al 1998

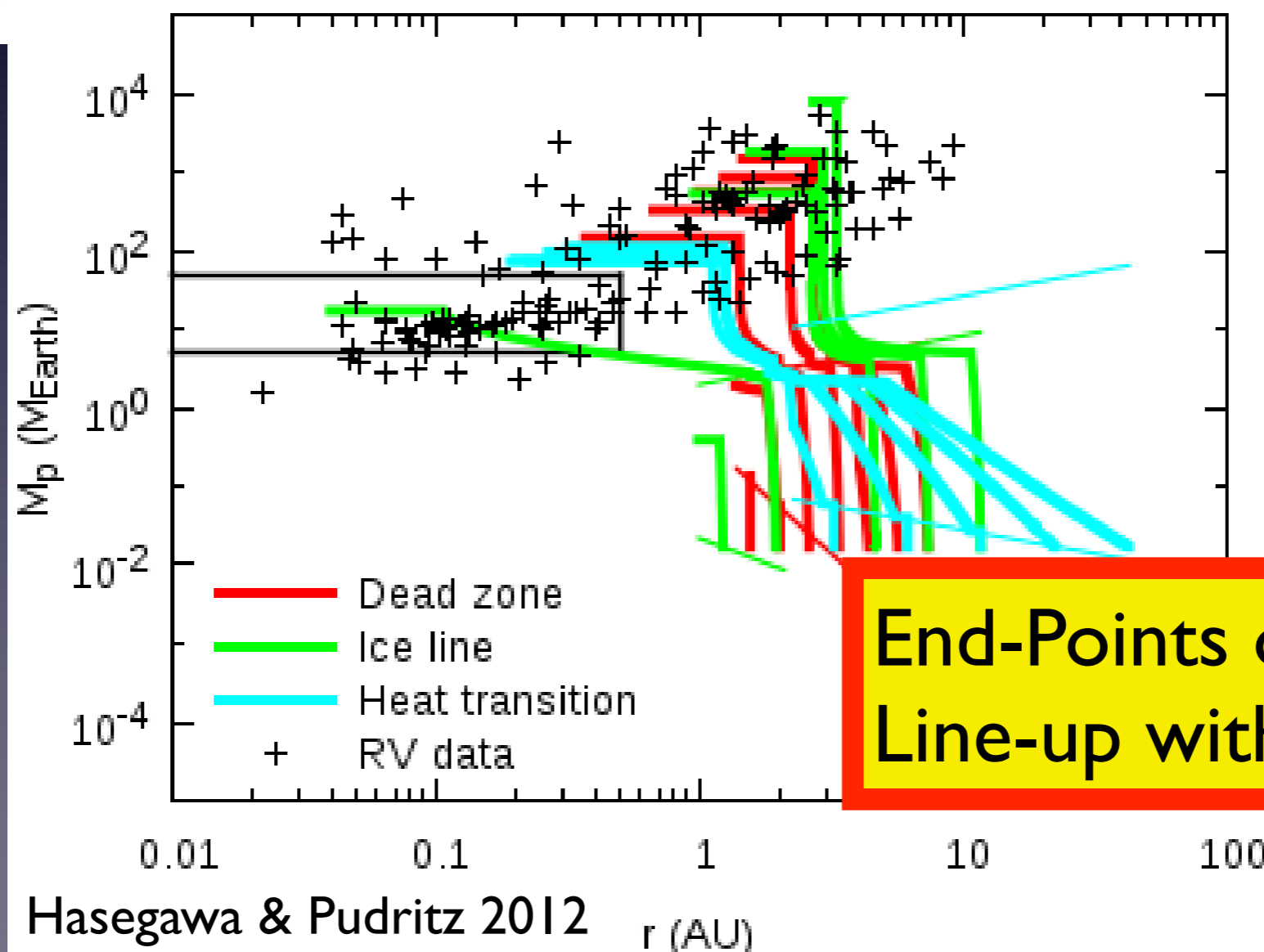
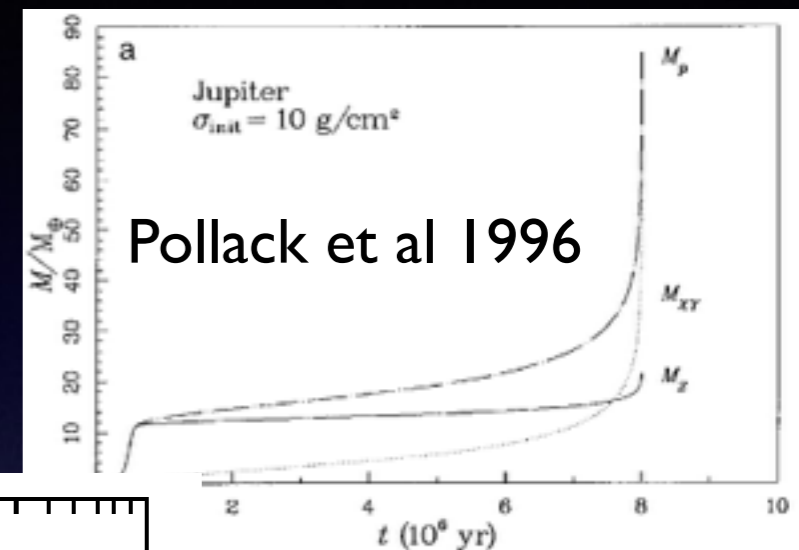
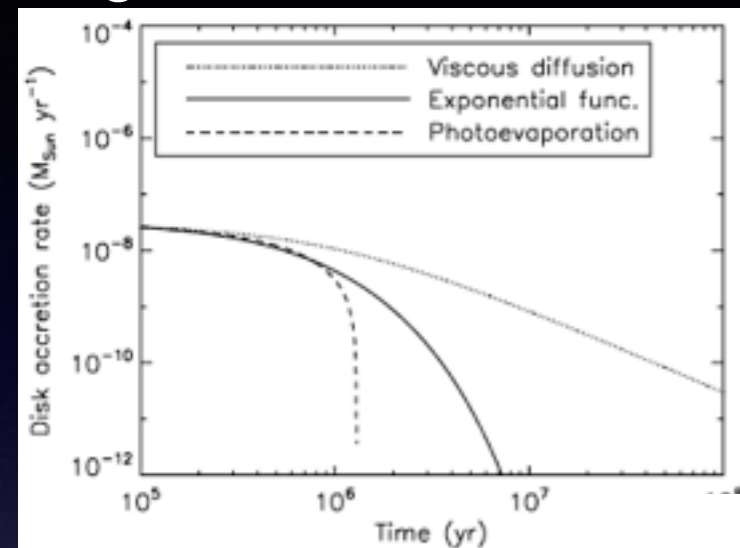
Hasegawa & Pudritz 2012

Core Accretion  
(Mass Growth)

Planetary Migration  
(Orbital Evolution)

Planet Traps for Low Mass Planets

Type II for Massive Planets (w/ a Gap)



End-Points of Tracks  
Line-up with the RV Data

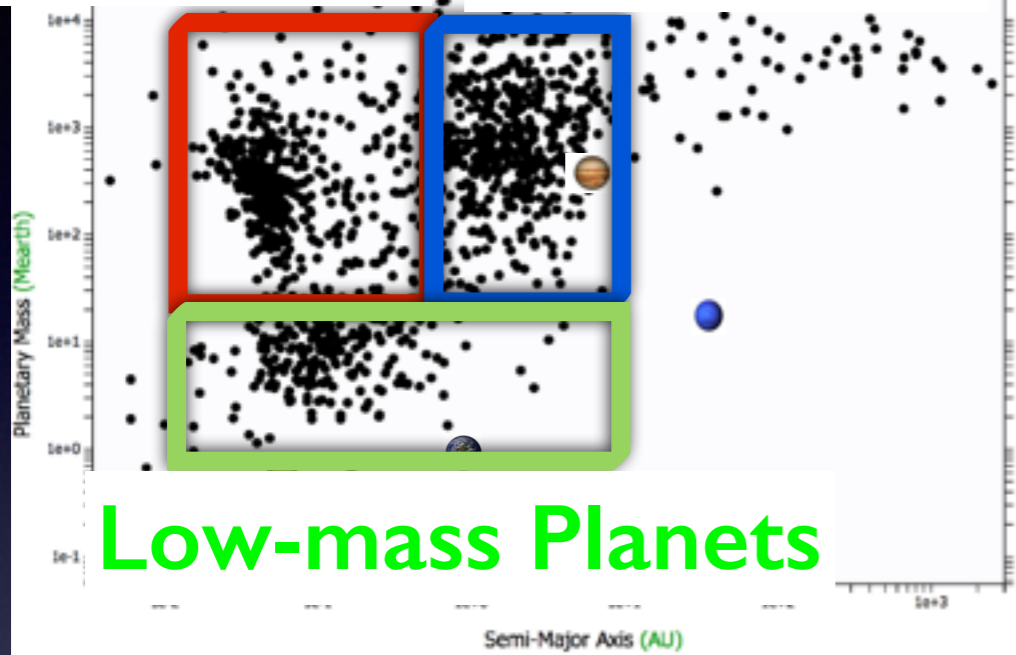
Hasegawa & Pudritz 2012

# Result 2: Statistical Analysis for Computed Tracks

Hasegawa & Pudritz 2013

Partition the Diagram

Hot Jupiters Exo-Jupiters



Calculate Planet Formation Frequencies (PFFs)

$$PFFs \equiv \sum_{\eta_{acc}} \sum_{\eta_{dep}} \frac{N(\eta_{acc}, \eta_{dep})}{N_{int}} \times w_{mass}(\eta_{acc}) w_{lifetime}(\eta_{dep})$$

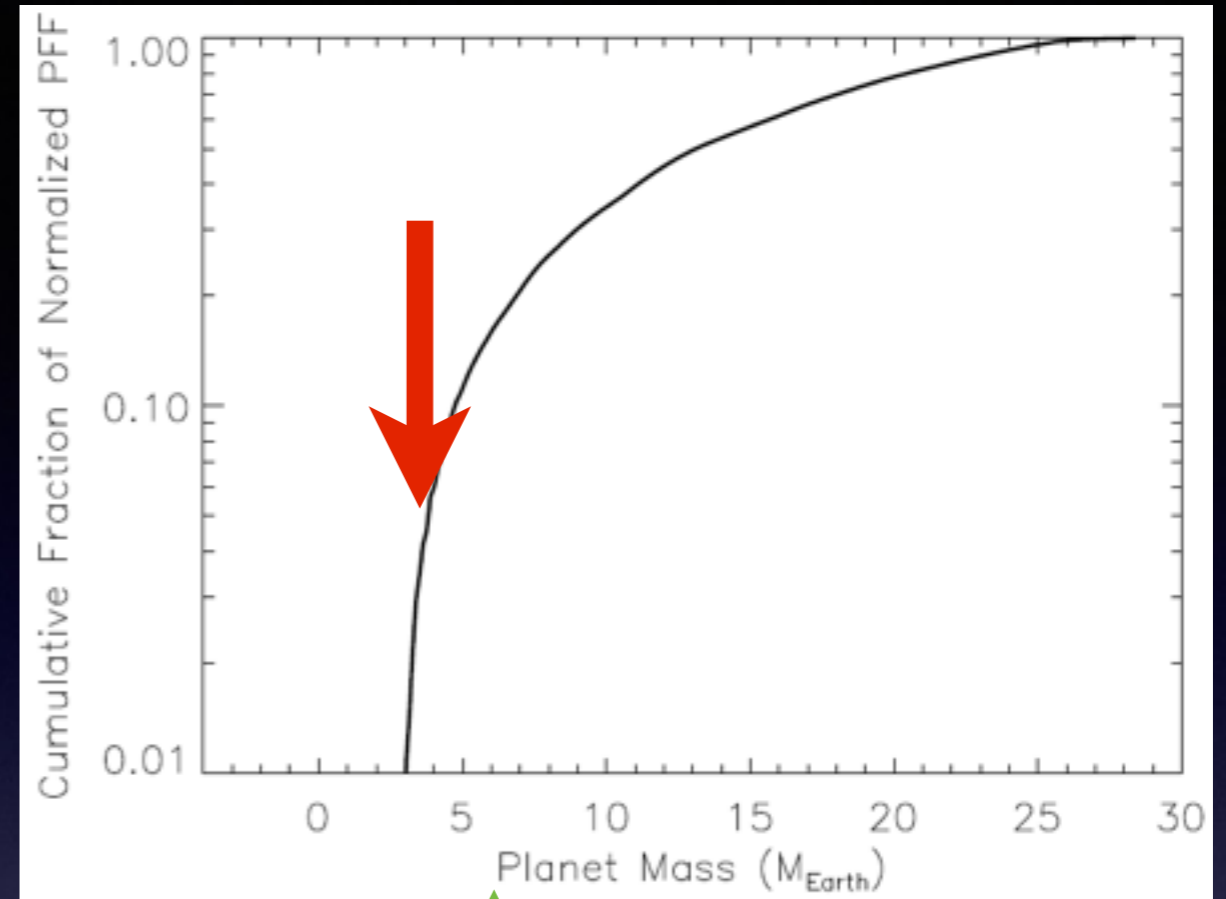
Weight functions related to disk observations

	Hot Jupiters	Exo-Jupiters	Super-Earths	Total
PFF	~ 7.6 %	~ 25.3 %	~ 10.2 %	43.1%

The Observational Trend of Massive Planets can be Reproduced  
Other Formation Mechanisms are Needed for Super-Earths

# The Minimum Mass of Planets Formed by Core Accretion at Planet Traps:

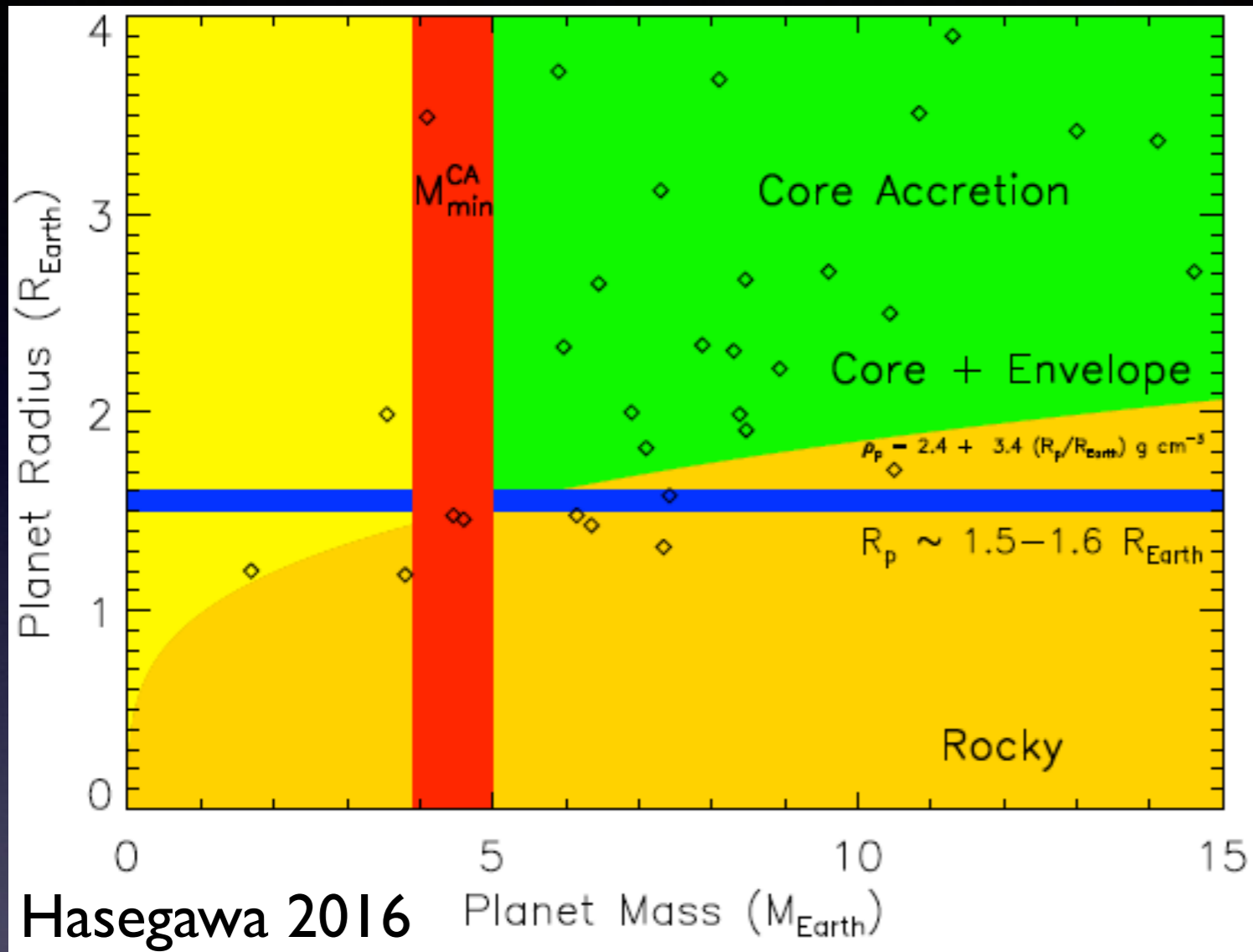
$$M_{min}^{CA} \simeq 4 - 5 M_{\oplus}$$



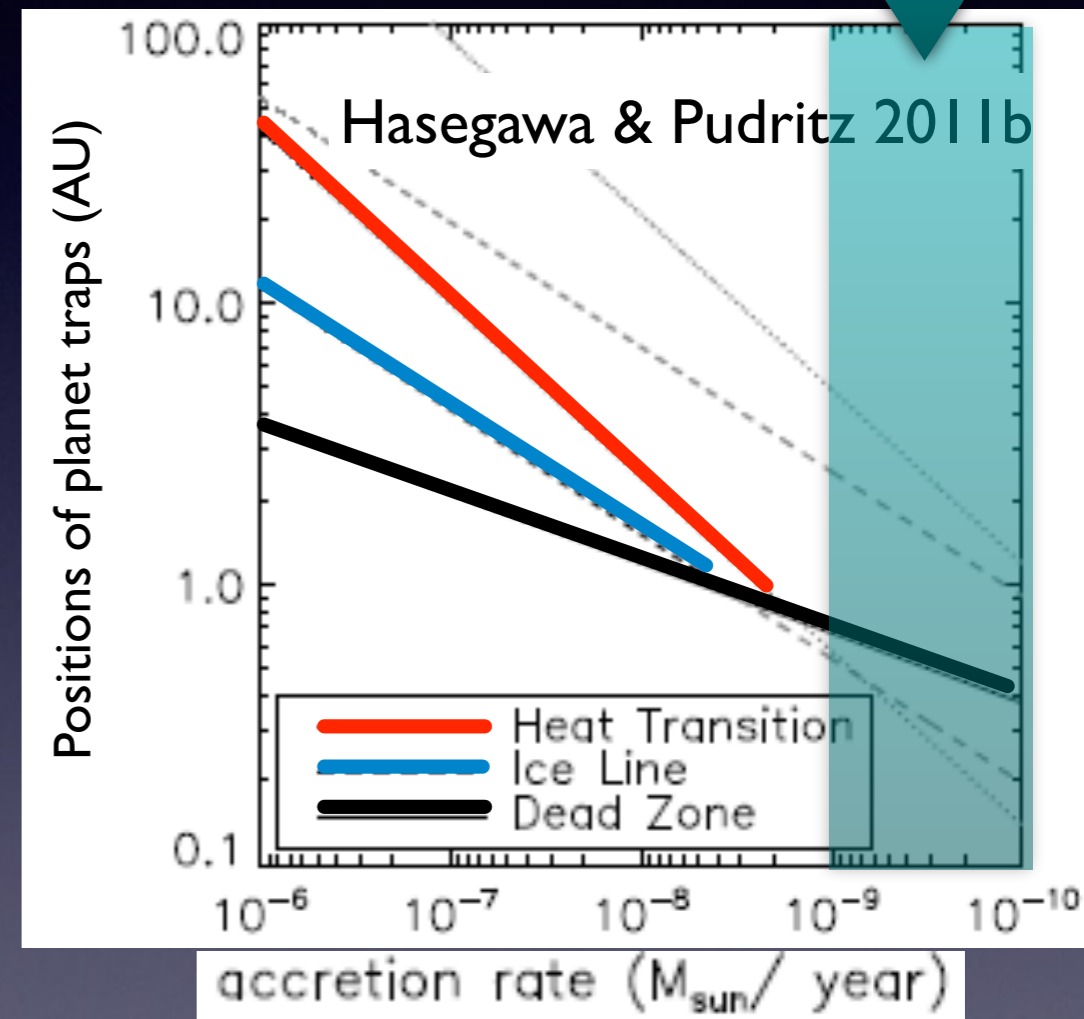
	Hot Jupiters	Exo-Jupiters	Super-Earths	Total
PFF	~ 7.6 %	~ 25.3 %	~ 10.2 %	43.1%

A Considerable Fraction of Close-in Super-Earths can be Formed as Failed Cores of Gas Giants (Mini-Gas Giants)

# Switching of Migration Modes at $M_{min}^{CA} \simeq 4 - 5 M_{\oplus}$



No Gas in Disks  
No Planet Traps



## Planet Traps (Type I Migration)

: Transport Forming Planetary Cores  
from Large Orbital Radii to  $> 1$  AU

## Type II Migration (w/ a Gap)

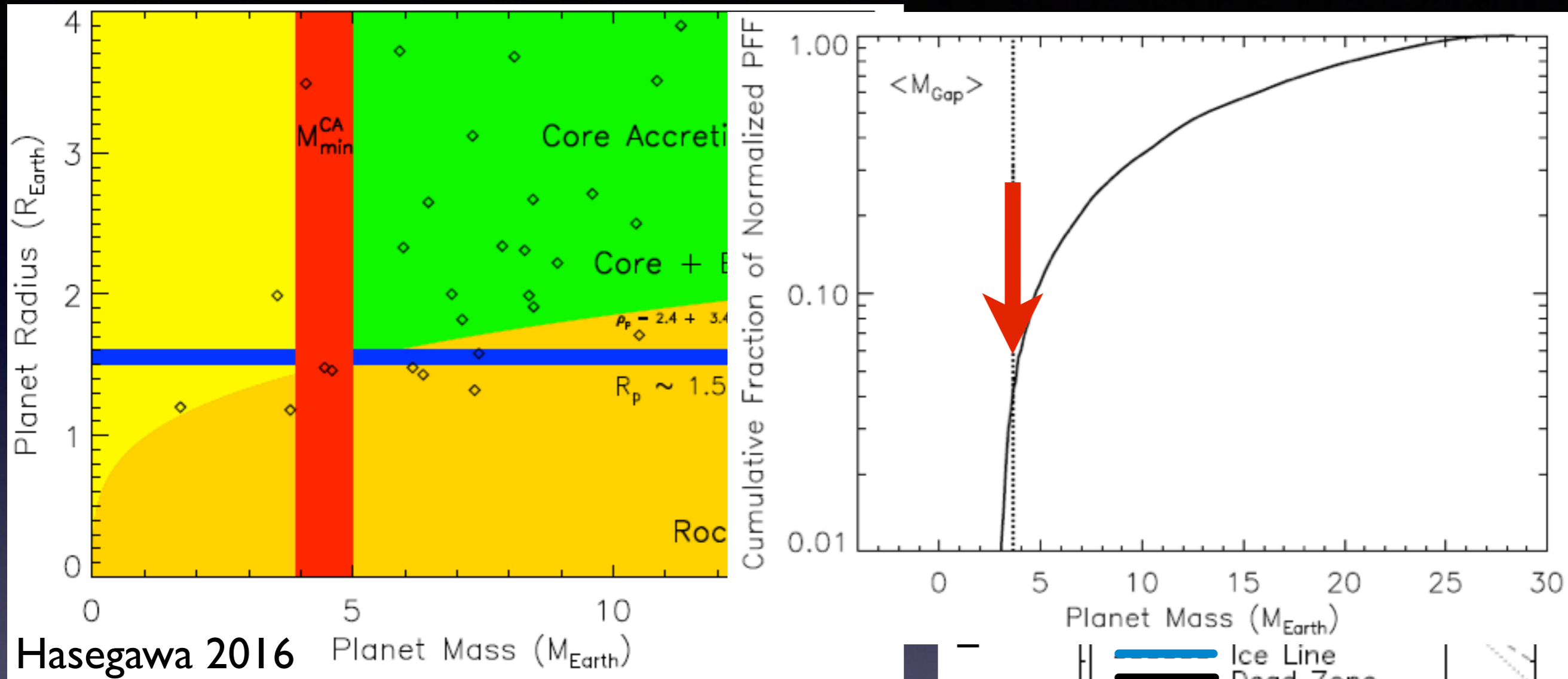
: Transport the Cores from  $r > 1$  AU to  $r < 1$  AU

Time





# Switching of Migration Modes at $M_{min}^{CA} \simeq 4 - 5M_{\oplus}$



Hasegawa 2016

## Planet Traps (Type I Migration)

: Transport Forming Planetary Cores from Large Orbital Radii to  $> 1$  AU

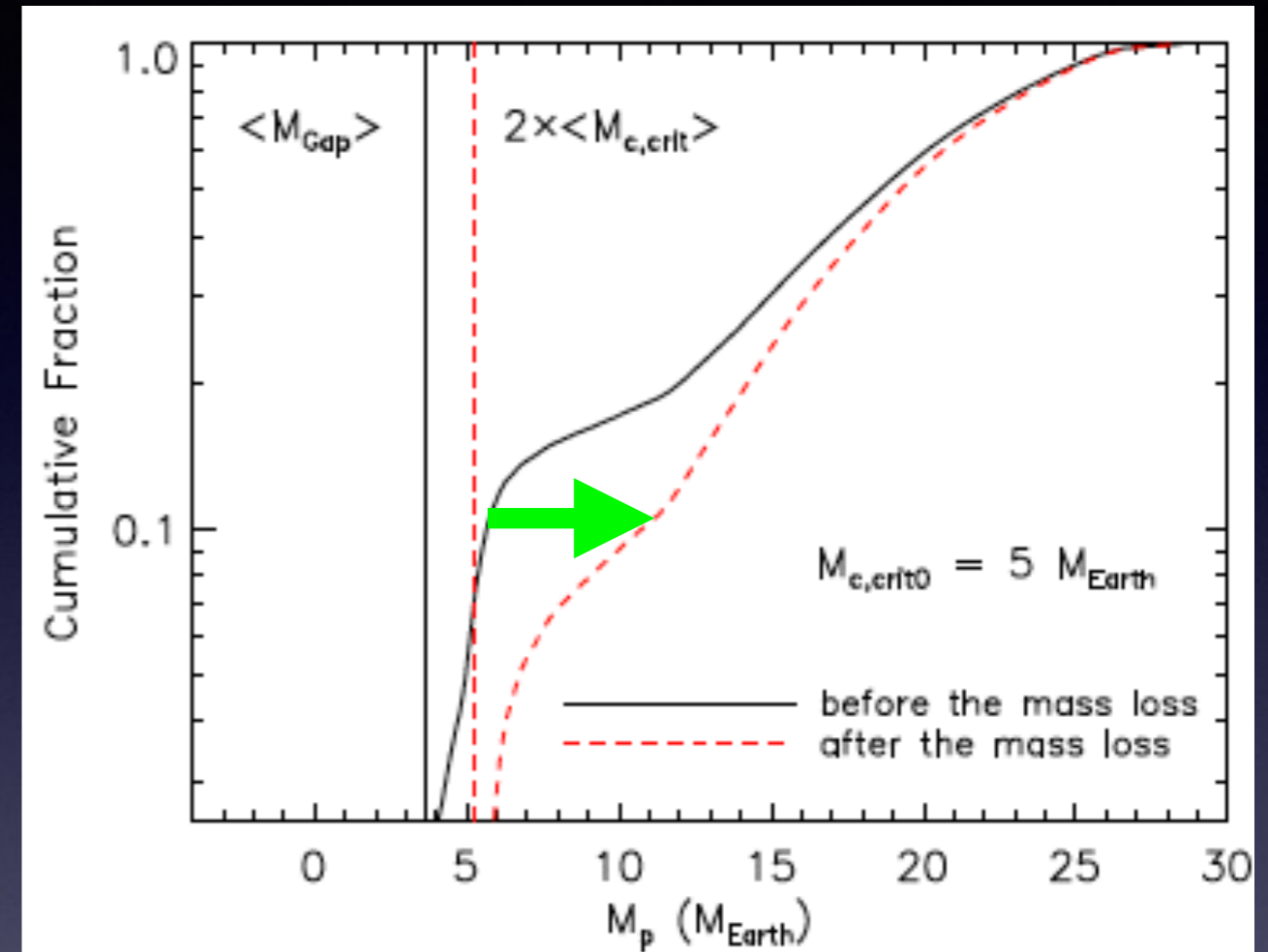
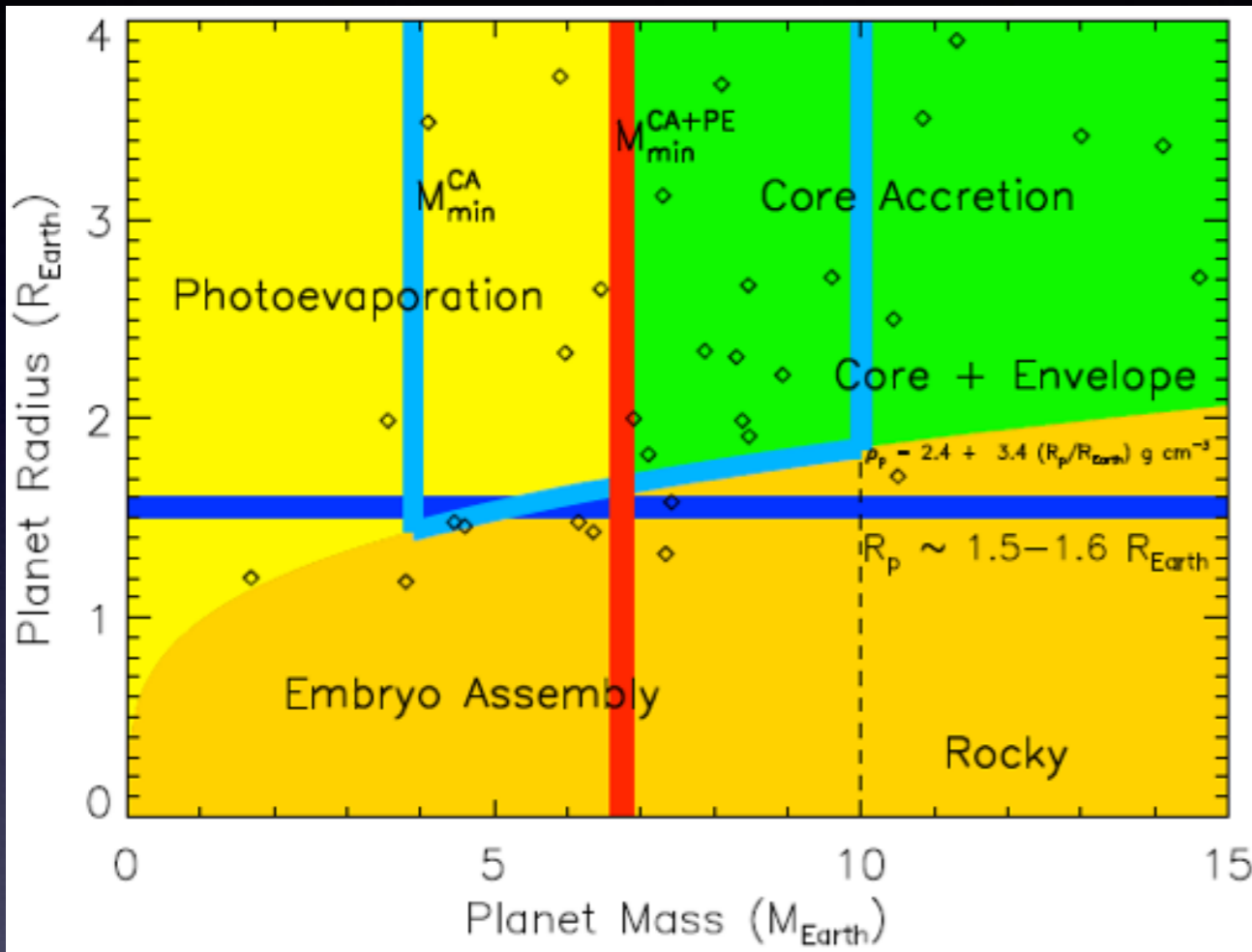
## Type II Migration (w/ a Gap)

: Transport the Cores from  $r > 1$  AU to  $r < 1$  AU

$\langle M_{Gap} \rangle =$   
the Mean Value of  
the Gap-Opening Mass  
for Close-in Super-Earths

# The Effect of Atmospheric Escape

Hasegawa 2016



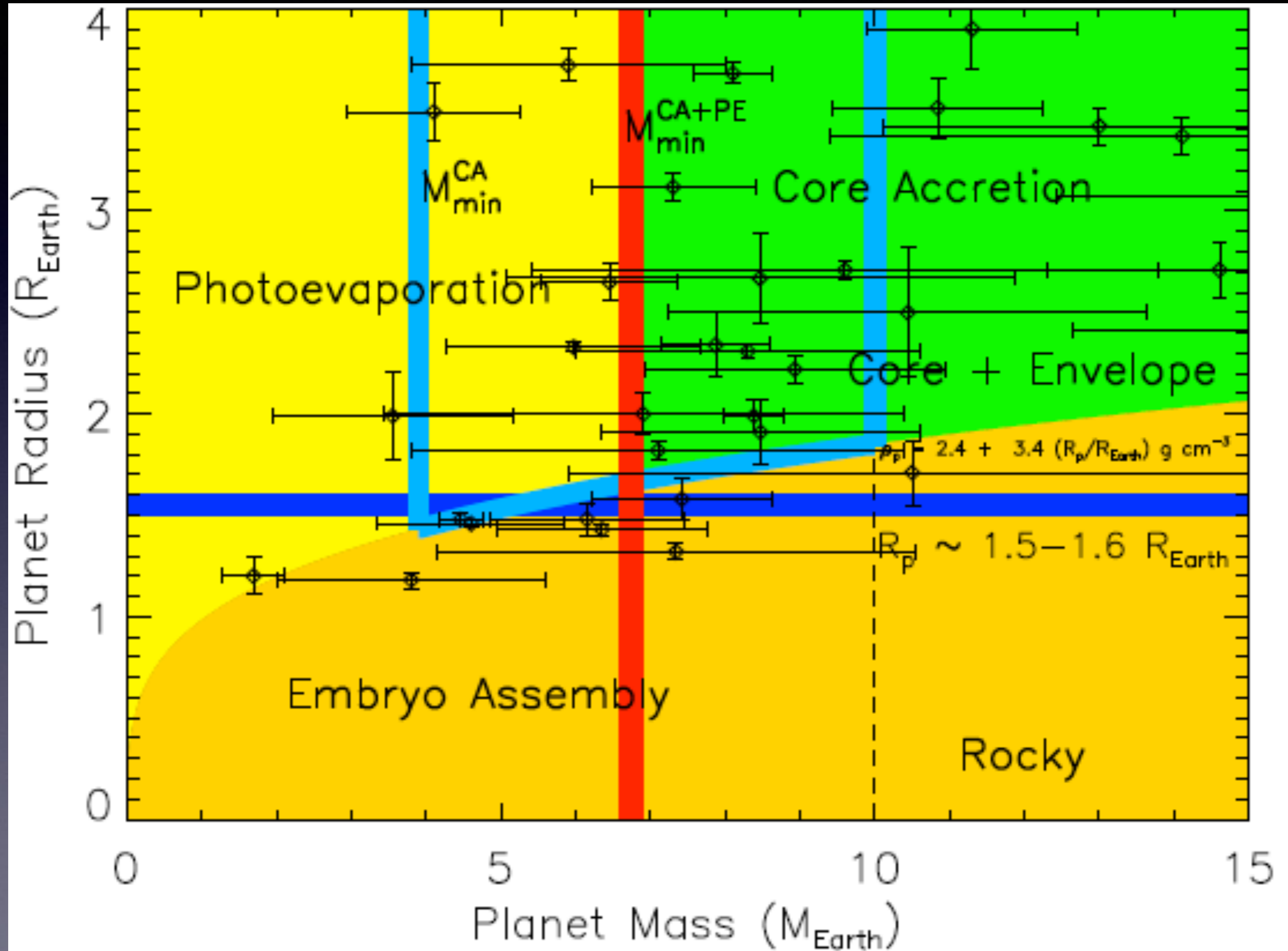
The Mass-Radius Diagram Divides into a Number of Regions, and can Specify the Formation Histories of Close-in Super-Earths

Photoevaporative Mass Loss Increases  $M_{\text{min}}^{\text{CA}}$  of  $\sim 5M_{\oplus}$  to  $M_{\text{min}}^{\text{CA+PE}}$  of  $\sim 7M_{\oplus}$  by Stripping the Gas Envelopes

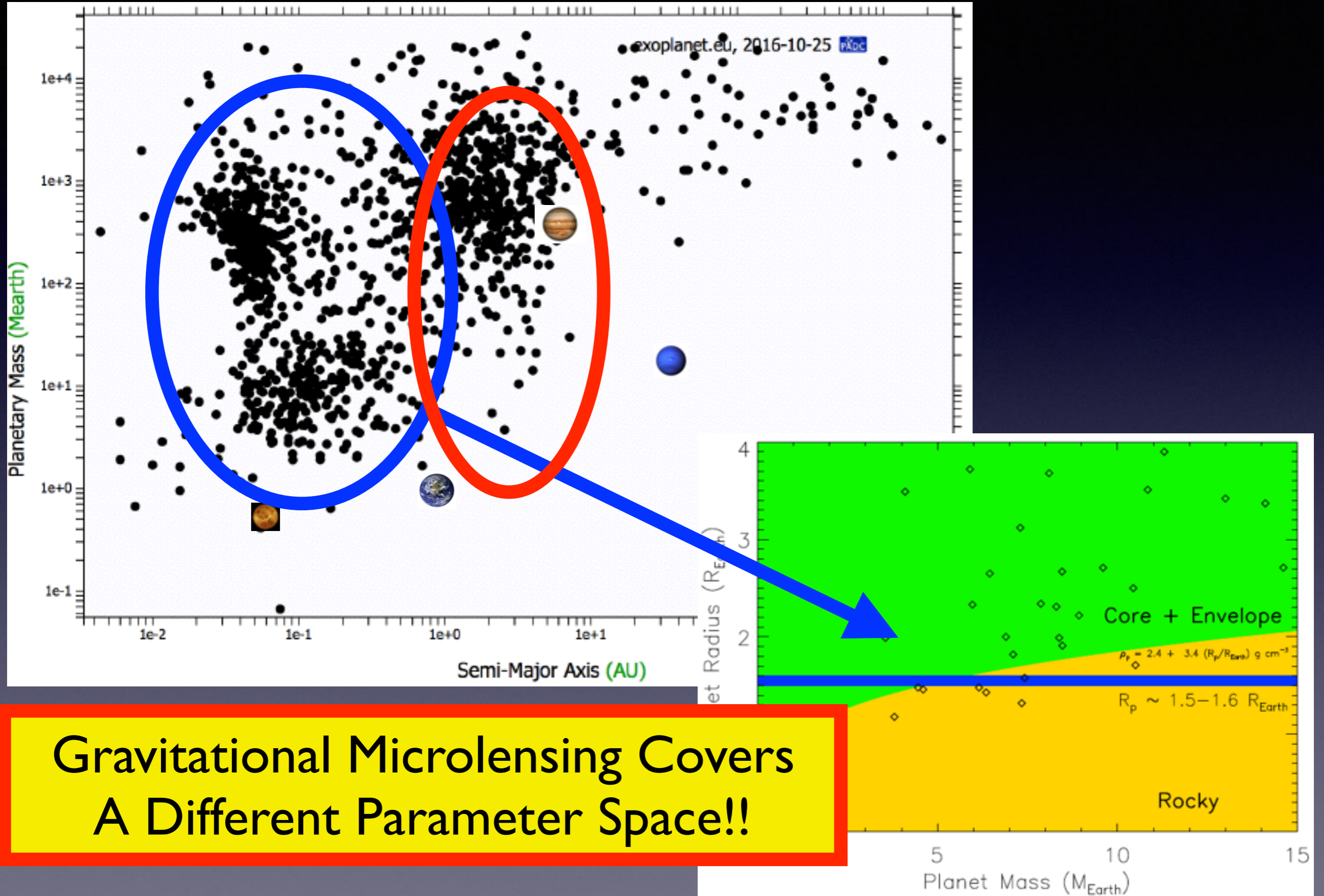
Lopez & Fortney 2013

# Exoplanet "Phase" Diagram

Hasegawa 2016



# Implications for Gravitational Microlensing





# Summary

Hasegawa 2016, ApJ, 832, 83

- The currently observed exoplanetary populations are quite useful for deriving some constraints on theory of planet formation
- A population synthesis model is developed, focusing on Type I migration traps (dead zone, ice line, heat transition)
- Planet traps may be important to reproduce the trend of observed massive exoplanets, and for some fractions of observed close-in super-Earths
- Switching of migration modes determines the minimum mass of super-Earths formed by our model, which is  $M_p > 4-5 M_{\text{Earth}}$ , & the mass-radius diagram can serve as an exoplanet “phase” diagram
- (Future) gravitational microlensing observations can fill out a different parameter space, and would be useful for drawing a better picture of planet formation

Supplementary

# Model: Evolutionary Tracks of Trapped Planets

e.g., Hasegawa & Pudritz 2012

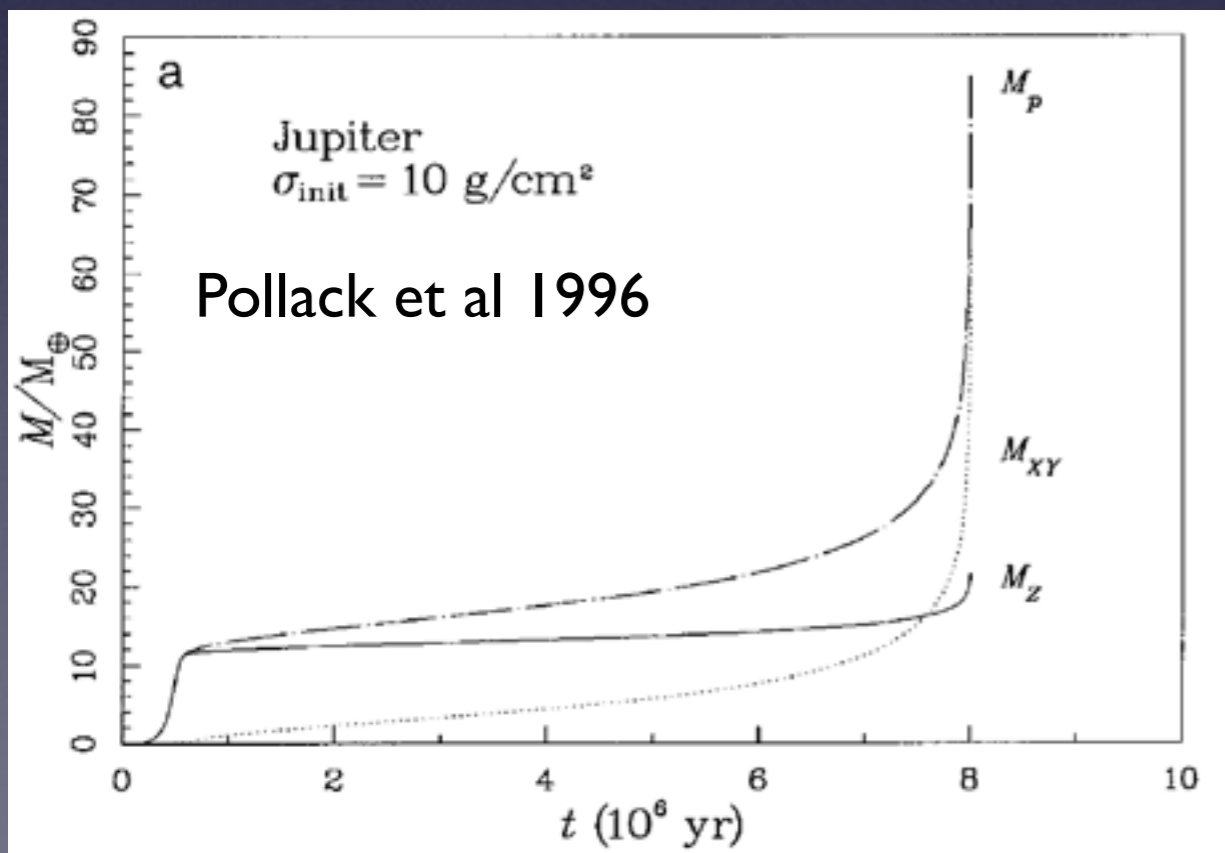
Disk Evolution

+

Planetary Migration  
(Planet Traps + Type II)

+

Core Accretion  
(Mass Growth)



Gas disks totally dissipate



Phase IV

Gas giants



Phase III

Cores + low-mass atmospheres



Phase II

Cores of gas giants



Phase I

Dust/Planetesimals

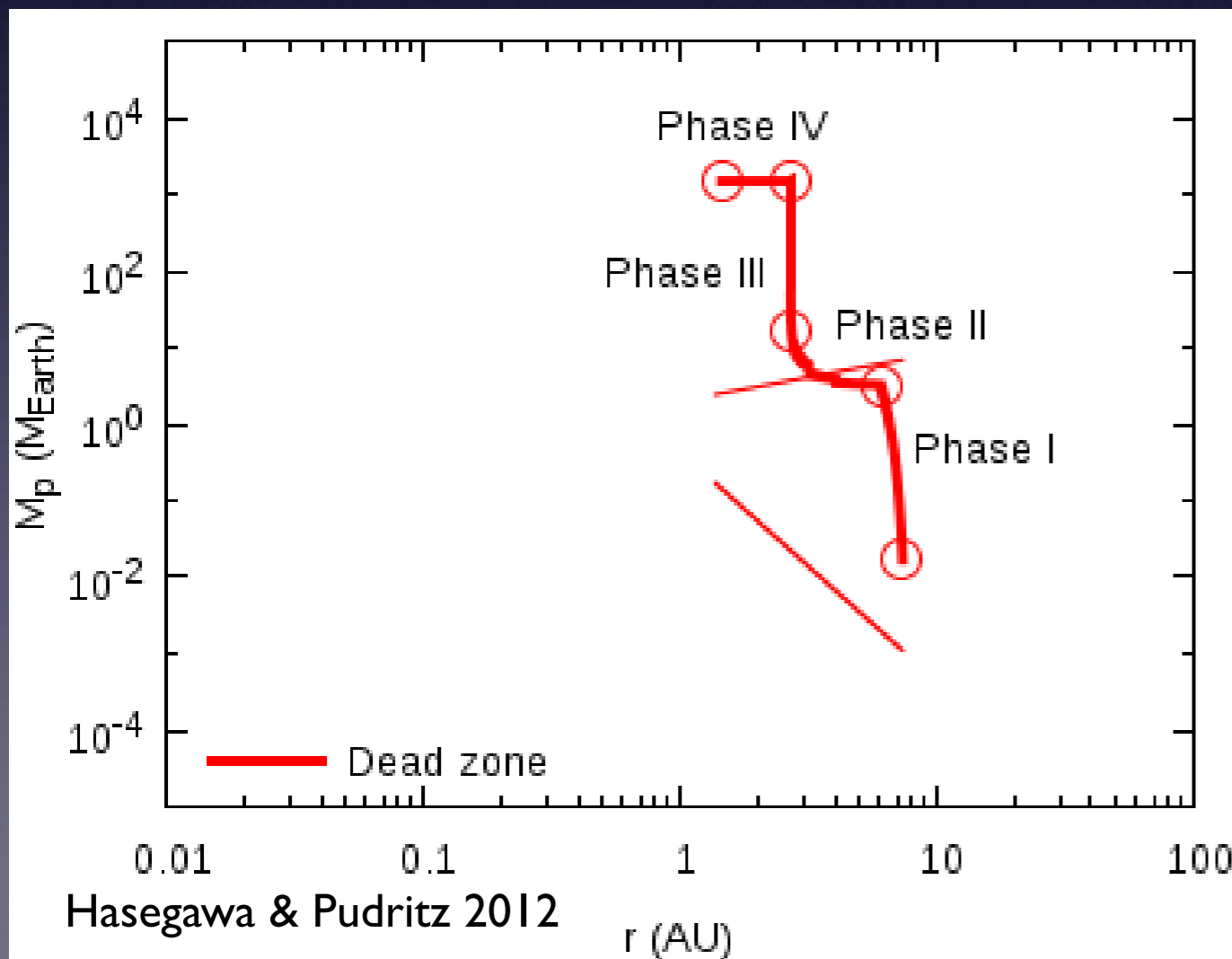
# Results: Evolutionary Tracks of Trapped Planets

e.g., Hasegawa & Pudritz 2012

A disk around a classical T Tauri star is considered

$$M_{disk} \sim 0.03 M_{\odot}$$

$$\tau_{disk} \sim 8.8 \times 10^6 \text{ years}$$



Gas disks totally dissipate

↑ **Phase IV** ( $> 10^6 \text{ years}$ )

Gas giants

↑ **Phase III** ( $< 10^5 \text{ years}$ )

Cores + low-mass atmospheres

↑ **Phase II**  
( $\sim 2 \times 10^6 \text{ years}$ )

Cores of gas giants

↑ **Phase I** ( $< 10^6 \text{ years}$ )

Dust/Planetesimals



# Results: Evolutionary Tracks of Trapped Planets

e.g., Hasegawa & Pudritz 2012

A disk around a classical T Tauri star is considered

$$M_{disk} \sim 0.03 M_{\odot}$$

$$\tau_{disk} \sim 8.8 \times 10^6 \text{ years}$$

Type II migration

Gas disks totally dissipate

Phase IV ( $> 10^6 \text{ years}$ )

Gas giants

Phase III ( $< 10^5 \text{ years}$ )

Cores + low-mass atmospheres

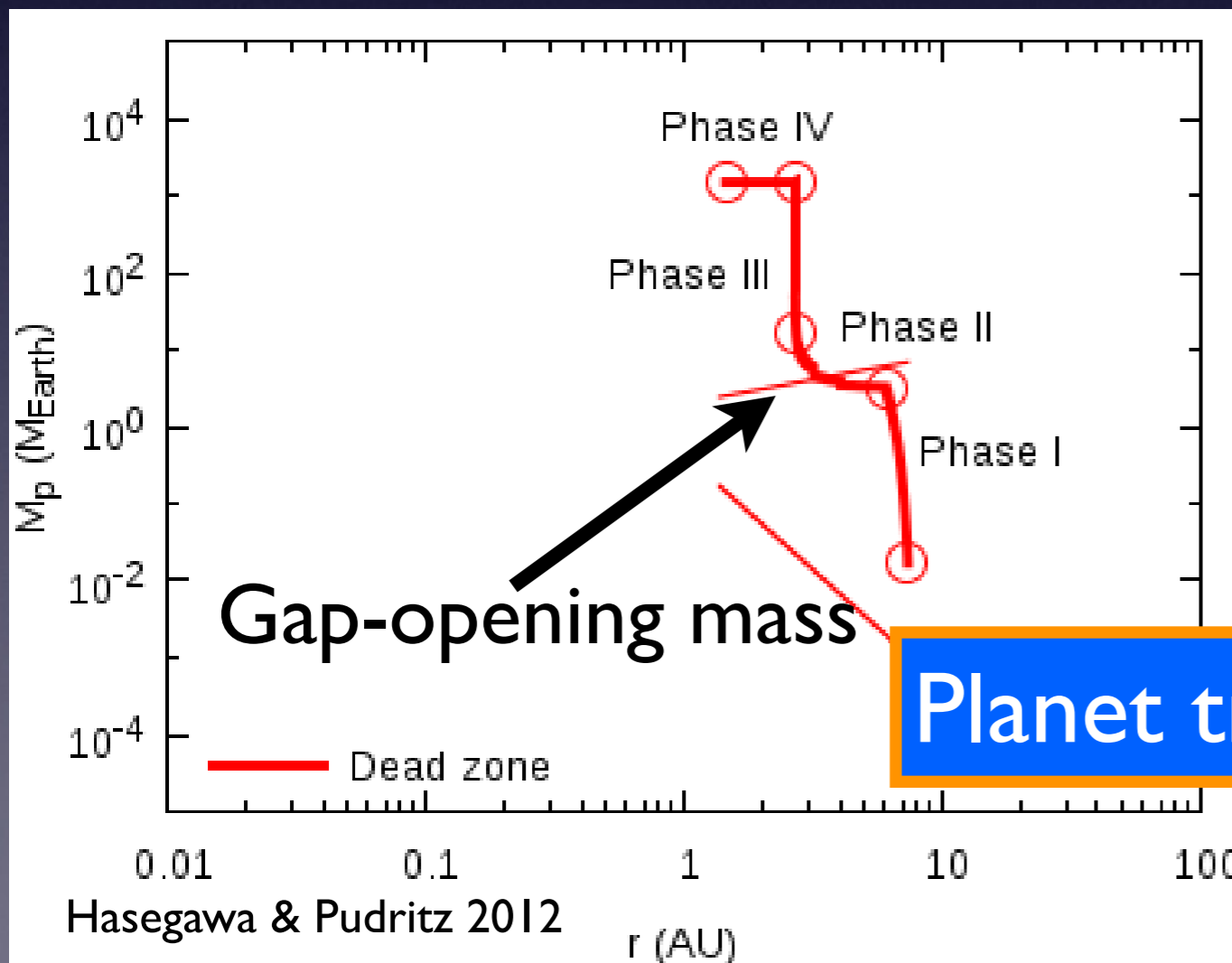
Phase II

( $\sim 2 \times 10^6 \text{ years}$ )

Cores of gas giants

Phase I ( $< 10^6 \text{ years}$ )

Dust/Planetesimals



Planet traps

Gap-opening mass

# Statistical Analysis for Computed Tracks

e.g., Hasegawa & Pudritz 2013

A disk around a classical T Tauri star is considered

$$M_{disk} \sim 0.03 M_{\odot}$$

$$\tau_{disk} \sim 8.8 \times 10^6 \text{ years}$$

Compute lots of tracks

Partition the diagram

Calculate planet formation frequencies (PFFs)

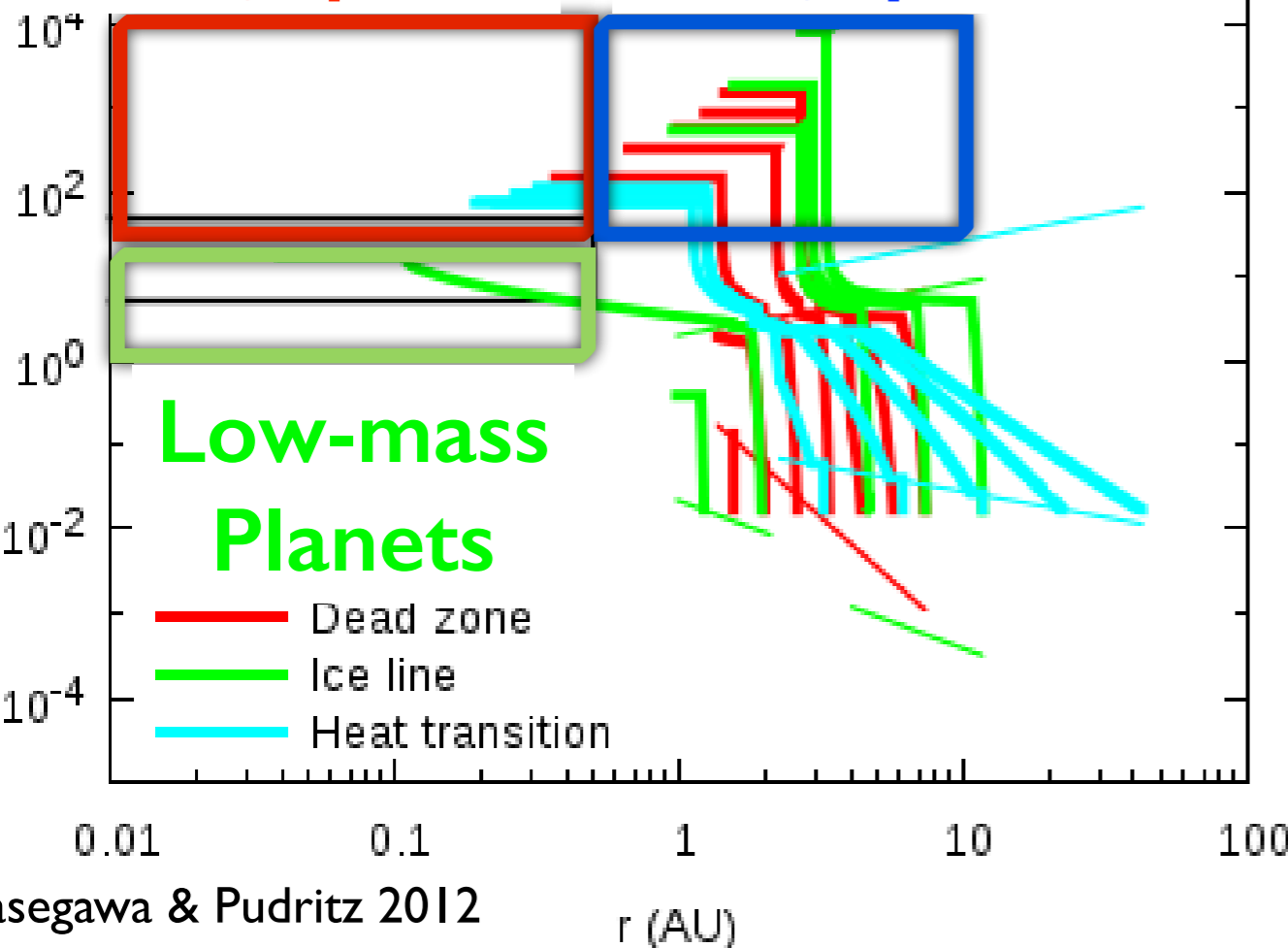
$$PFFs \equiv \sum_{\eta_{acc}} \sum_{\eta_{dep}} \frac{N(\eta_{acc}, \eta_{dep})}{N_{int}}$$

$$\times w_{mass}(\eta_{acc}) w_{lifetime}(\eta_{dep})$$



Weight functions related to disk observations

Hot Jupiters Exo-Jupiters



Low-mass Planets

— Dead zone  
— Ice line  
— Heat transition

Hasegawa & Pudritz 2012

r (AU)